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Photovoltaic (PV) arrays – Earth fault protection equipment – Safety and safety-related functionality

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Groupes photovoltaïques (PV) – Matériel de protection contre les défauts à la terre – Sécurité et fonctionnalités relatives à la sécurité

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

**PHOTOVOLTAIC (PV) ARRAYS –
EARTH FAULT PROTECTION EQUIPMENT –
SAFETY AND SAFETY-RELATED FUNCTIONALITY**

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The language used for the development of this International Standard is English.

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INTRODUCTION

This document specifies the safety requirements that are applicable to Photovoltaic Earth-Fault Protection (PV-EFP) equipment (PV-EFPE) whose function is to detect, interrupt, and warn PV system operators of earth faults in solar photovoltaic arrays. A stand-alone standard on this topic is deemed necessary and appropriate because PV-EFPE may be designed as stand-alone equipment or may be integrated into other equipment such as PV inverters, charge controllers, combiner boxes, etc. Therefore it is not appropriate to continue the current standardization approach in which the PV-EFPE requirements are located only in an end-product standard specific to inverters: IEC 62109-2:2011. It is intended that in coordination with the publication of this document, IEC 62109-2 will be revised to refer to this document and to remove overlapping and conflicting requirements. With this approach, the PV-EFPE requirements will be more visible and will be usable for PV-EFPE that is not part of an inverter.

It is also desirable that in coordination with the publication of this document, the applicable IEC system and installation standards for PV arrays will be amended to refer to this document, to specify required functions and to remove overlapping and conflicting requirements. This work will be managed by TC82 for IEC 62548 and jointly by TC82 and TC64 through JWG32 for IEC 60364-7-712.

The appropriate functions, settings, responses, and timing for PV-EFP functions are dependent on the size and topology of the overall PV system. These array details are not known at the time the PV-EFPE is being evaluated to this product standard; therefore the required PV-EFP functions and settings need to be provided by local and international system and installation standards. As a result, this document does not require all PV-EFPE to implement all possible functions, and does not generally contain the required settings for the functions. The functions, settings, and ranges of adjustment that are claimed by the equipment manufacturer are tested and evaluated, and the documentation for the installer and user specifies what functions are and are not provided.

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As well as requirements for the PV-EFP functions, this document includes product safety requirements covering the construction, environmental suitability, markings, documentation, and testing of the equipment. Since PV-EFPE is related to, and often integral to, PV power conversion equipment, references are made to product safety requirements in IEC 62109-1. However, those requirements may overlap or conflict with existing IEC standards for certain types of equipment related to PV-EFP (for example insulation monitoring devices and residual current monitoring equipment). Therefore, for some aspects, this document provides options for equipment to comply with those standards, where such standards exist.

NOTE Further information on the intent of this document and special aspects of PV earth faults are summarized in the (informative) Annex B.

PHOTOVOLTAIC (PV) ARRAYS – EARTH FAULT PROTECTION EQUIPMENT – SAFETY AND SAFETY-RELATED FUNCTIONALITY

1 Scope

This document is applicable to low voltage Photovoltaic Earth-Fault Protection Equipment (PV-EFPE) whose function is to detect, interrupt, and warn system operators of earth faults in solar photovoltaic arrays.

NOTE 1 In the context of this document, the PV array may include connected wiring and equipment. The required coverage of the monitoring and protection is defined in PV installation codes and standards, including aspects such as whether or not the coverage is required to include battery circuits, the DC outputs of DC-DC converters, etc.

NOTE 2 The IEC definition of low voltage is 1 000 V or less for AC systems and 1 500 V or less for DC systems. PV-EFPE may be stand-alone or integrated into other equipment such as PV power conversion equipment, a PV combiner, etc.

This document specifies:

- the types and levels of the monitoring and protection functions that may be provided;
- the nature and timing of responses to earth faults;
- test methods for validating the monitoring and protection functions provided;
- requirements for functional safety and fault tolerance;
- requirements for product safety including construction, environmental suitability, markings, documentation, and testing.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60269-6, *Low-voltage fuses – Part 6: Supplementary requirements for fuse-links for the protection of solar photovoltaic energy systems*

IEC 60417, *Graphical symbols for use on equipment – 12-month subscription to regularly updated online database comprising all graphical symbols published in IEC 60417*

IEC 60664-1, *Insulation coordination for equipment within low-voltage systems – Part 1: Principles, requirements and tests*

IEC 60730-1:2013, *Automatic electrical controls – Part 1: General requirements*

IEC 60730-1:2013/AMD1:2015

IEC 60730-1:2013/AMD2:2020

IEC 60947-2:2016, *Low-voltage switchgear and controlgear – Part 2: Circuit-breakers*

IEC 60947-2:2016/AMD1:2019

IEC 61008-1:2010, *Residual current operated circuit-breakers without integral overcurrent protection for household and similar uses (RCCBs) – Part 1: General rules*

IEC 61008-1:2010/AMD1:2012

IEC 61008-1:2010/AMD2:2013

IEC 61439-1, *Low-voltage switchgear and controlgear assemblies – Part 1: General rules*

IEC 61557-8, *Electrical safety in low voltage distribution systems up to 1 000 V a.c. and 1 500 V d.c. – Equipment for testing, measuring or monitoring of protective measures – Part 8: Insulation monitoring devices for IT systems*

IEC TS 61836, *Solar photovoltaic energy systems – Terms, definitions and symbols*

IEC 62109-1:2010, *Safety of power converters for use in photovoltaic power systems – Part 1: General requirements*

IEC 62109-3:2020, *Safety of power converters for use in photovoltaic power systems – Part 3: Particular requirements for electronic devices in combination with photovoltaic elements*

IEC TS 63053, *General requirements for residual current operated protective devices for DC system*

ISO 3864 (all parts), *Graphical symbols – Safety colors and safety signs*

3 Terms, definitions, symbols and abbreviated terms

For the purposes of this document, the terms and definitions given in IEC TS 61836 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

3.1

closed electrical operating area

room or location for electrical equipment to which access is restricted to skilled or instructed persons by the opening of a door or the removal of a barrier by the use of a key or tool and which is clearly marked by appropriate warning signs

3.2

DC-only system

PV system where all energy sources and power conversion is DC, with no inverter and no AC connection in the system

3.3

earth fault

ground fault (US)

occurrence of an accidental conductive path between a live conductor and the Earth

Note 1 to entry: The conductive path can pass through a faulty insulation, through structures (e.g. poles, scaffoldings, cranes, ladders), or through vegetation (e.g. trees, bushes) and can have a significant impedance.

[SOURCE: IEC 60050-195:1998, 195-04-14]

3.4

host equipment

equipment that integrated PV-EFPE is intended to be used with or installed in (see also 3.7)

3.5 host system

system in which the PV-EFPE is connected, consisting of at least a PV array, the PV-EFPE, and the load(s) for the PV system, such as PCE, energy storage equipment, DC loads, etc.

3.6 indicate <a fault>

annunciate that a fault has occurred in a manner that can be locally and remotely observed

Note 1 to entry: Requirements for fault indication are given in detail in 8.1 through 8.3.

3.7 integrated PV-EFPE

PV-EFPE that is integrated into, intended to be integrated into, or specified to be exclusively used with particular host equipment (see 3.4) that has intended function(s) other than PV earth fault protection, and that the PV-EFPE is evaluated and tested with (for example a PCE, a PV combiner box, etc.)

3.8 $I_{SC-PR-MAX}$

PV-EFPE rating, applicable to any terminal intended for connection to an external power system, specifying the absolute maximum prospective short circuit fault current allowed to be available at the PV-EFPE terminals if a fault of negligible impedance is applied at the PV-EFPE terminals

Note 1 to entry: This is a general term applicable to all ports connecting to external sources of supply. For the PV port, the specific term is $I_{SC PV}$ as defined in 3.9.

3.9 $I_{SC PV}$

PV-EFPE maximum rated prospective short circuit current (see 3.8) at the PV input terminals; i.e. the absolute maximum current the PV input to the PV-EFPE is designed to withstand or carry under normal and fault conditions

Note 1 to entry: At the system design level, this rating would typically be coordinated with the total I_{sc} of the connected PV strings, adjusted for temperature, excess irradiance, etc., as required by installation standards (i.e. not simply the sum of the marked I_{sc} ratings of the connected PV modules, since those markings are based on short-circuit conditions under standard test conditions (STC), and may be exceeded in actual use).

Note 2 to entry: This is a particular case of the general definition of $I_{sc-pr-max}$ in 3.8, and is aligned with IEC 62109-1.

3.10 PV-EFP photovoltaic earth fault protection

3.11 PV-EFPE photovoltaic earth fault protection equipment

3.12 power conversion equipment PCE

electrical device converting one kind of electrical power from a voltage or current source into another kind of electrical power with respect to voltage, current and frequency

Note 1 to entry: Examples include AC-DC converters, DC-AC inverters, DC-DC charge controllers, frequency converters, etc.

[SOURCE: IEC 62109-1:2010, 3.66]

3.13 **R_{iso}**

symbol representing the insulation resistance (isolation) between the PV array and earth

3.14**routine test**

conformity test made on each individual item during or after manufacture

[SOURCE: IEC 60050-151:2001, 151-16-17]

3.15**safe state**

condition which continues to preserve safety

[SOURCE: IEC 60050-821:2017, 821-12-49]

3.16**stand-alone PV-EFPE**

PV-EFPE that is self-contained, and therefore not integrated PV-EFPE in accordance with 3.7, is for use in unspecified systems or with unspecified equipment, and is tested independently of any particular host equipment

3.17**system, functionally-earthed**

system in which the PV array has one conductor intentionally connected to earth for purposes other than safety, by means not complying with the requirements for protective bonding

Note 1 to entry: Examples of functionally-earthed systems include earthing one conductor of the PV array through an impedance, relay, or overcurrent protective device, or systems in which the array is not permanently earthed (opening the earth connection periodically or under fault conditions).

Note 2 to entry: Examples of design elements that are not functional earthing include the use of varistors or other surge protection devices between a circuit and earth, and the use of a resistive network connected between the array and earth to measure the array insulation resistance (impedance monitoring). Neither of these examples creates a functionally-earthed system, as the impedance of such connections is normally very high.

Note 3 to entry: For examples of the various system types, refer to Figure 1 through Figure 3.

3.18**system, non-earth-referenced**

system that has none of its conductors intentionally referenced to earth either directly or through the power conversion equipment (PCE)

3.19**system, non-separated**

system in which the PV array is connected to an intentionally earth-referenced system, through a PCE without at least simple separation

4 Requirements relating PV-EFP to system topology**4.1 General**

The following aspects of the system topology affect the approaches needed to protect against earth faults in the PV array:

- the PV system may or may not have separation between the PV array and the AC mains or other earthed power system;
- the PV array may or may not be functionally-earthed;

- the AC mains or other power system that the PV system output connects to may or may not be an earthed system.

In consideration of the above aspects, this document uses the following system types, for which examples are given in Figure 1 through Figure 3, to define requirements for protection against earth faults:

- functionally-earthed systems, as per 3.17 and Figure 1
- non-earth-referenced systems, as per 3.18 and Figure 2
- non-separated systems, as per 3.19 and Figure 3

Additional examples are given in Annex A, illustrating and explaining the fault current paths and EFP actions for various system topologies.

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