

## IEC TR 62541-2

Edition 3.0 2020-11 REDLINE VERSION

# TECHNICAL REPORT



OPC unified architecture - Teh Standards
Part 2: Security Model
(https://standards.iteh.ai)
Document Preview

IEC TR 62541-2:2020

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#### IEC Glossary - std.iec.ch/glossary

67 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.



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INTERNATIONAL ELECTROTECHNICAL COMMISSION

ICS 25.040.40; 35.100.01 ISBN 978-2-8322-9089-7

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#### INTERNATIONAL ELECTROTECHNICAL COMMISSION

#### **OPC UNIFIED ARCHITECTURE -**

Part 2: Security Model

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The main task of IEC technical committees is to prepare International Standards. However, a technical committee may propose the publication of a technical report when it has collected data of a different kind from that which is normally published as an International Standard, for example "state of the art".

IEC TR 62541-2, which is a technical report, has been prepared by subcommittee 65E: Devices and integration in enterprise systems, of IEC technical committee 65: Industrial-process measurement, control and automation.

This third edition cancels and replaces the second edition of IEC TR 62541-2, published in 2016. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) protection-targets definition change;
- b) threat type clarifications;
- c) expanded best practices;
- d) added Websockets;
- e) added Pub/Sub.

The text of this technical report is based on the following documents:

	Enquiry draft	Report on voting
4	65E/679/DTR	65E/703/RVDR

Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

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Throughout this document and the referenced other Parts of the series, certain document conventions are used:

Italics are used to denote a defined term or definition that appears in the "Terms and definition" clause in one of the parts of the series.

Italics are also used to denote the name of a service input or output parameter or the name of a structure or element of a structure that are usually defined in tables.

The italicized terms and names are also often written in camel-case (the practice of writing compound words or phrases in which the elements are joined without spaces, with each element's initial letter capitalized within the compound). For example, the defined term is AddressSpace instead of Address Space. This makes it easier to understand that there is a single definition for AddressSpace, not separate definitions for Address and Space.

A list of all parts of the IEC 62541 series, published under the general title *OPC Unified Architecture*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,

- replaced by a revised edition, or
- amended.

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#### **OPC UNIFIED ARCHITECTURE -**

#### Part 2: Security Model

#### 1 Scope

This part of IEC 62541 describes the OPC Unified Architecture (OPC UA) security model. It describes the security threats of the physical, hardware, and software environments in which OPC UA is expected to run. It describes how OPC UA relies upon other standards for security. It provides definition of common security terms that are used in this and other parts of the OPC UA specification. It gives an overview of the security features that are specified in other parts of the OPC UA specification. It references services, mappings, and *Profiles* that are specified normatively in other parts of this multi-part the OPC UA Specification. It provides suggestions or best practice guidelines on implementing security. Any seeming ambiguity between this part and one of the other normative parts does not remove or reduce the requirement specified in the other normative part.

Note It is important to understand that there are many different aspects of security that have to be addressed when developing applications. However, since OPC UA specifies a communication protocol, the focus is on securing the data exchanged between applications. This does not mean that an application developer can ignore the other aspects of security like protecting persistent data against tampering. It is important that the developers look into all aspects of security and decide how they can be addressed in the application.

This part is directed to readers who will develop OPC UA *Client* or *Server* applications or implement the OPC UA services layer. It is also for end Users that wish to understand the various security features and functionality provided by OPC UA. It also offers some suggestions that can be applied when deploying systems. These suggestions are generic in nature since the details would depend on the actual implementation of the *OPC UA Applications* and the choices made for the site security.

It is assumed that the reader is familiar with Web Services and XML/SOAP. Information on these technologies can be found in SOAP Part 1: and SOAP Part 2.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 62351 (all parts), Power systems management and associated information exchange – Data and communications security

IEC TR 62541-1, OPC Unified Architecture – Part 1: Overview and Concepts

IEC 62541-4, OPC Unified Architecture - Part 4: Services

IEC 62541-5, OPC Unified Architecture - Part 5: Information Model

IEC 62541-6, OPC Unified Architecture – Part 6: Mappings

IEC 62541-7, OPC Unified Architecture - Part 7: Profiles

IEC 62541-12, OPC Unified Architecture - Part 12: Discovery and Global Services

IEC 62541-14. OPC Unified Architecture - Part 14: PubSub

IEC 62351 (all parts), Power systems management and associated information exchange

SOAP Part 1: SOAP Version 1.2 Part 1: Messaging Framework

Available from Internet: http://www.w3.org/TR/soap12-part1/ (website checked 2016-04-05)

SOAP Part 2: SOAP Version 1.2 Part 2: Adjuncts

Available from Internet: http://www.w3.org/TR/soap12-part2/ (website checked 2016-04-05)

XML Encryption: XML Encryption Syntax and Processing

Available from Internet: http://www.w3.org/TR/xmlenc-core/ (website checked 2016-04-05)

XML Signature:: XML-Signature Syntax and Processing

Available from Internet: http://www.w3.org/TR/xmldsig-core/ (website checked 2016-04-05)

WS Security: SOAP Message Security 1.1

Available from Internet: http://www.oasis-open.org/committees/download.php/16790/wss-v1.1-spec-os-SOAPMessageSecurity.pdf (website checked 2016-04-05)

WS Secure Conversation: Web Services Secure Conversation Language (WS-SecureConversation)

Available from Internet:http://specs.xmlsoap.org/ws/2005/02/sc/WS-SecureConversation.pdf (website checked 2016-04-05)

SSL/TLS: RFC 2246: The TLS Protocol Version 1.0

Available from Internet: http://www.ietf.org/rfc/rfc2246.txt (website checked 2016-04-05)

:X509: X.509 Public Key Certificate Infrastructure

Available from Internet: https://www.ietf.org/rfc/rfc2459 (website checked 2016-04-05)

HTTP: RFC 2616: Hypertext Transfer Protocol - HTTP/1.1

Available from Internet: http://www.ietf.org/rfc/rfc2616.txt (website-checked-2016-04-05)

HTTPS: RFC 2818: HTTP Over TLS

Available from Internet: http://www.ietf.org/rfc/rfc2818.txt (website-checked-2016-04-05)

IS Glossary: Internet Security Glossary

Available from Internet: http://www.ietf.org/rfc/rfc2828.txt (website checked 2016-04-05)

NIST 800-57: Part 3: Application-Specific Key Management Guidance

Available from Internet:http://csrc.nist.gov/publications/nistpubs/800-57/sp800-57\_PART3\_key-management\_Dec2009.pdf (website checked 2016-04-05)

NERC CIP: CIP 002-1 through CIP 009-1, by North-American Electric Reliability Council

Available from Internet: http://www.nerc.com/files/cip-002-1.pdf (website checked 2016-04-05)

SHA-1: Secure Hash Algorithm RFC

Available from Internet: http://tools.ietf.org/html/rfc3174 (website checked 2016-04-05)

PKI: Public Key Infrastructure article in Wikipedia

Available from Internet: http://en.wikipedia.org/wiki/Public\_key\_infrastructure (website checked 2016-04-05)

X509 PKI: Internet X.509 Public Key Infrastructure

Available from Internet: http://www.ietf.org/rfc/rfc3280.txt (website checked 2016-04-05)

#### 3 Terms, definitions, and abbreviated terms

#### 3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC TR 62541-1 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at http://www.electropedia.org/
- ISO Online browsing platform: available at http://www.iso.org/obp

#### 3.1.1

#### **Access Restriction**

limit on the circumstances where an operation, such as a read, write or a call, can be performed on a *Node* 

Note 1 to entry: Operations can only be performed on a *Node* if the *Client* has the necessary *Permissions* and has satisfied all of the *Access Restrictions*.

#### 3.1.2

#### **Access Token**

digitally signed document that asserts that the subject is entitled to access a Resource

Note 1 to entry: The document includes the name of the subject and the Resource being accessed.

#### 3.1.3

#### **Application Instance**

individual installation of a program running on one computer

Note 1 to entry: There can be several Application Instances of the same application running at the same time on several computers or possibly the same computer.

#### 3.1.4

#### **Application Instance Certificate**

Digital Certificate of an individual Application Instance that has been installed in an individual host

Note 1 to entry: Different installations of one software product would have different Application Instance Certificates. The use of an Application Instance Certificate for uses outside of what is described in the specification could greatly reduce the security provided by the Application Instance Certificate and should be discouraged.

#### 3.1.5

#### **Asymmetric Cryptography**

Cryptography method that uses a pair of keys, one that is designated the *Private Key* and kept secret, the other called the *Public Key* that is generally made available

Note 1 to entry: Asymmetric Cryptography is also known as "public-key cryptography". In an Asymmetric Encryption algorithm when an entity "A" wants to ensure requires Confidentiality for data-it sends sent to-another entity "B", then entity "A" encrypts the data with a Public Key provided by entity "B". Only entity "B" has the matching Private Key that is needed to decrypt the data. In an asymmetric Digital Signature algorithm when an entity "A"—wants to ensure requires message Integrity or to provide Authentication for data-it sends sent to-an entity "B", entity A uses its Private Key to sign the data. To verify the signature, entity B uses the matching Public Key that entity A has provided. In an asymmetric key agreement algorithm, entity A and entity B each send their own Public Key to the other entity. Then each uses their its own Private Key and the other's Public Key to compute the new key value.' according to IS Glossary.

#### 3.1.6

#### **Asymmetric Encryption**

mechanism used by Asymmetric Cryptography for encrypting data with the Public Key of an entity and for decrypting data with the associated Private Key

#### 3.1.7

#### **Asymmetric Signature**

mechanism used by *Asymmetric Cryptography* for signing data with the *Private Key* of an entity and for verifying the data's signature with the associated *Public Key* 

#### 3.1.8

#### **Auditability**

security objective that assures that any actions or activities in a system can be recorded

#### 3.1.9

#### **Auditing**

tracking of actions and activities in the system, including security related activities where *Audit* records can be used to review and verify system operations

#### 3.1.10

#### Authentication

security objective that assures that the identity of an entity such as a *Client*, *Server*, or user can be verified

#### 3.1.11

#### Authorization

ability to grant access to a system resource

Note 1 to entry: Authorization of access to resources should be based on the need-to-know principle. It is important that access is restricted in a system.

#### 3.1.12

#### **AuthorizationService**

https Server which validates a request to access a Resource and can return an Access Token that 020 grants access to the Resource

Note 1 to entry: The AuthorizationService is also called STS (Security Token Service) in other standards.

#### 3.1.13

#### **Availability**

security objective that assures that the system is running normally, that is, no services have been compromised in such a way to become unavailable or severely degraded

#### 3.1.14

#### **Certificate Authority**

entity that can issue Digital Certificates, also known as a CA

Note 1 to entry: The <u>Digital</u> Certificate certifies the ownership of a Public Key by the named subject of the Certificate. This allows others (relying parties) to rely upon signatures or assertions made by the Private Key that corresponds to the Public Key that is certified. In this model of trust relationships, a CA is a trusted third party that is trusted by both the subject (owner) of the Certificate and the party relying upon the Certificate. CAs are characteristic of many Public Key infrastructure (PKI) schemes

#### 3.1.15

#### CertificateStore

persistent location where Certificates and Certificate revocation lists (CRLs) are stored

Note 1 to entry: It may be a disk resident file structure, or, on Windows platforms, it may be a Windows registry location.

#### 3.1.16

#### Claim

statement in an *Access Token* that asserts information about the subject which the *Authorization Service* knows to be true

Note 1 to entry: Claims can include username, email, and Roles granted to the subject.

#### 3.1.17

#### Confidentiality

security objective that assures the protection of data from being read by unintended parties

#### 3.1.18

#### Cryptography

transforming clear, meaningful information into an enciphered, unintelligible form using an algorithm and a key

#### 3.1.19

#### **Cyber Security Management System**

#### CSMS

program designed by an organization to maintain the security of the entire organization's assets to an established level of *Confidentiality*, *Integrity*, and *Availability*, whether they are on the business side or the industrial automation and control systems side of the organization

#### 3.1.16

#### **Digital Certificate**

structure that associates an identity with an entity such as a user, a product or an *Application Instance* where the *Certificate* has an associated asymmetric key pair which can be used to authenticate that the entity does, indeed, possess the *Private Key* 

#### 3.1.20

#### **Digital Signature**

value computed with a cryptographic algorithm and appended to data in such a way that any recipient of the data can use the signature to verify the data's origin and *Integrity* 

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#### 3.1.21

#### **Hash Function**

algorithm such as SHA-1 for which it is computationally infeasible to find either a data object that maps to a given hash result (the "one-way" property) or two data objects that map to the same hash result (the "collision-free" property)

Note 1 to entry: See IS Glossary.

#### 3.1.22

#### **Hashed Message Authentication Code**

#### HMAC

MAC that has been generated using an iterative Hash Function

#### 3.1.23

#### Integrity

security objective that assures that information has not been modified or destroyed in an unauthorized manner

Note 1 to entry: See IS Glossary.

#### 3.1.24

#### **Identity Provider**

Server which verifies credentials provided by a Security Principal and returns a token which can be passed to an associated Authorization Service