



Designation: ~~D5520~~—~~11~~ **D5520 – 18**

## Standard Test Method for Laboratory Determination of Creep Properties of Frozen Soil Samples by Uniaxial Compression<sup>1</sup>

This standard is issued under the fixed designation D5520; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon ( $\epsilon$ ) indicates an editorial change since the last revision or reapproval.

### INTRODUCTION

Knowledge of the stress-strain-strength behavior of frozen soil is of great importance for civil engineering construction in permafrost regions. The behavior of frozen soils under load is usually very different from that of unfrozen soils because of the presence of ice and unfrozen water films. In particular, frozen soils are much more subject to creep and relaxation effects, and their behavior is strongly affected by temperature change. In addition to creep, volumetric consolidation may also develop in frozen soils having large unfrozen water or gas contents.

As with unfrozen soil, the deformation and strength behavior of frozen soils depends on interparticle friction, particle interlocking, and cohesion. In frozen soil, however, bonding of particles by ice may be the dominant strength factor. The strength of ice in frozen soil is dependent on many factors, such as temperature, pressure, strain rate, grain size, crystal orientation, and density. ~~At very high ice contents (ice-rich soils), frozen soil~~ In ice-rich soils (that is, soils where the ratio of the mass of ice contained in the pore spaces of frozen soil or rock material, to the mass of solid particles in that material is high), frozen soil behavior under load is similar to that of ice. In fact, for fine-grained soils, experimental data suggest that the ice matrix dominates when mineral volume fraction is less than about 50 %. At low ice contents, however, (ice-poor soils), when interparticle forces begin to contribute to strength, the unfrozen water films play an important role, especially in fine-grained soils. Finally, for frozen sand, maximum strength is attained at full ice saturation and maximum dry density **(1)**.<sup>2</sup>

### ASTM D5520-18

#### 1. Scope\*

1.1 This test method covers the determination of the creep behavior of cylindrical specimens of frozen soil, subjected to uniaxial compression. It specifies the apparatus, instrumentation, and procedures for determining the stress-strain-time, or strength versus strain rate relationships for frozen soils under deviatoric creep conditions.

1.2 Although this test method is one that is most commonly used, it is recognized that creep properties of frozen soil related to certain specific applications, can also be obtained by some alternative procedures, such as stress-relaxation tests, simple shear tests, and beam flexure tests. Creep testing under triaxial test conditions will be covered in another standard.

1.3 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

1.4 All observed and calculated values shall conform to the guidelines for significant digits and rounding established in Practice **D6026**.

1.4.1 For the purposes of comparing, a measured or calculated value(s) with specified limits, the measured or calculated value(s) shall be rounded to the nearest decimal or significant digits in the specified limits.

1.4.2 The procedures used to specify how data are collected/recorded or calculated in this standard are regarded as the industry standard. In addition, they are representative of the significant digits that generally should be retained. The procedures used do not consider material variation, purpose for obtaining the data, special purpose studies, or any considerations for the user's objectives;

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<sup>2</sup> The boldface numbers in parentheses refer to the list of references at the end of the text.

\*A Summary of Changes section appears at the end of this standard

and it is common practice to increase or reduce significant digits of reported data to be commensurate with these considerations. It is beyond the scope of this standard to consider significant digits used in analysis methods for engineering design.

1.5 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.6 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

## 2. Referenced Documents

### 2.1 ASTM Standards:<sup>3</sup>

**D653** Terminology Relating to Soil, Rock, and Contained Fluids

~~D2850~~ Test Method for Unconsolidated-Undrained Triaxial Compression Test on Cohesive Soils

**D3740** Practice for Minimum Requirements for Agencies Engaged in Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

**D4083** Practice for Description of Frozen Soils (Visual-Manual Procedure)

~~D4341~~ Test Method for Creep of Hard Rock Core Specimens in Uniaxial Compression at Ambient or Elevated Temperature (Withdrawn 2005)<sup>4</sup>

~~D4405~~ Test Method for Creep of Soft Rock Core Specimens in Uniaxial Compression at Ambient or Elevated Temperature (Withdrawn 2005)<sup>4</sup>

~~D4406~~ Test Method for Creep of Rock Core Specimens in Triaxial Compression at Ambient or Elevated Temperatures (Withdrawn 2005)<sup>4</sup>

**D6026** Practice for Using Significant Digits in Geotechnical Data

## 3. Terminology

### 3.1 Definitions:

3.1.1 For definitions of **common technical terms** in this standard, refer to Terminology **D653**.

3.1.2 Definitions of the components of freezing and thawing soils shall be in accordance with the terminology in Practice **D4083**.

### 3.2 Definitions of Terms Specific to This Standard:

3.2.1 The following terms supplement those in Practice **D4083** and in the glossary on permafrost terms by Harris et al (2).

3.2.2 ~~creep~~—*creep*, *n*—of frozen ground, the irrecoverable time-dependent deviatoric deformation that results from long-term application of a deviatoric stress.

3.2.3 ~~excess ice~~—the volume of ice in the ground which exceeds the total pore volume that the ground would have under unfrozen conditions:

3.2.4 ~~ground ice~~—a general term referring to all types of ice formed in freezing or frozen ground:

3.2.5 ~~ice-bearing permafrost~~—permafrost that contains ice:

3.2.6 ~~ice-bonded permafrost~~—ice-bearing permafrost in which the soil particles are cemented together by ice.

3.2.7 ~~ice content~~—the ratio of the mass of ice contained in the pore spaces of frozen soil or rock material, to the mass of solid particles in that material, expressed as percentage.

3.2.8 ~~ice lens~~—a dominant horizontal, lens-shaped body of ice of any dimension:

3.2.9 ~~ice-rich permafrost~~—*permafrost*, *n*—permafrost containing excess ice.

3.2.10 ~~permafrost~~—perennially frozen soil or rock:

3.2.11 ~~pore ice~~—*ice*, *n*—ice occurring in the pores of soil and rocks.

3.2.12 ~~sample~~—a portion of a material intended to be representative of the whole:

3.2.13 ~~specimen~~—a piece or portion of a sample used to make a test:

3.2.14 ~~total water content~~—*content*, *n*—the ratio of the mass of water (unfrozen water + ice) contained in the pore spaces of frozen soil or rock material, to the mass of solid particles in that material, expressed as percentage.

3.2.15 ~~unfrozen water content~~—*content*, *n*—the ratio of the mass of water (free and adsorbed) contained in the pore spaces of frozen soil or rock material, to the mass of solid particles in that material, expressed as percentage (3).

<sup>3</sup> For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

#### 4. Summary of Test Method

4.1 A cylindrical frozen soil specimen is cut to length and the ends are machined flat. The specimen is placed in a loading chamber and allowed to stabilize at a desired test temperature. An axial compression stress is applied to the specimen and held constant at the specified temperature for the duration of the test. Specimen deformation is monitored continuously. Typical results of a uniaxial compression creep test are shown in Fig. X1.1.

#### 5. Significance and Use

5.1 Understanding the mechanical properties of frozen soils is of primary importance to permafrost engineering. Data from creep tests are necessary for the design of most foundation elements embedded in, or bearing on frozen ground. They make it possible to predict the time-dependent settlements of piles and shallow foundations under service loads, and to estimate their short- and long-term bearing capacity. Creep tests also provide quantitative parameters for the stability analysis of underground structures that are created for permanent use.

5.2 It must be recognized that the structure of frozen soil in situ and its behavior under load may differ significantly from that of an artificially prepared specimen in the laboratory. This is mainly due to the fact that natural permafrost ground may contain ice in many different forms and sizes, in addition to the pore ice contained in a small laboratory specimen. These large ground-ice inclusions (such as ice lenses) lenses, a dominant horizontal, lens-shaped body of ice of any dimension will considerably affect the time-dependent behavior of full-scale engineering structures.

5.3 In order to obtain reliable results, high-quality intact representative permafrost samples are required for creep tests. The quality of the sample depends on the type of frozen soil sampled, the in situ thermal condition at the time of sampling, the sampling method, and the transportation and storage procedures prior to testing. The best testing program can be ruined by poor-quality samples. In addition, one must always keep in mind that the application of laboratory results to practical problems requires much caution and engineering judgment.

NOTE 1—The quality of the result produced by this standard is dependent on the competence of the personnel performing it, and the suitability of the equipment and facilities used. Agencies that meet the criteria of Practice D3740 are generally considered capable of competent and objective testing/sampling/inspection/etc. Users of this standard are cautioned that compliance with Practice D3740 does not in itself assure reliable results. Reliable results depend on many factors; Practice D3740 provides a means of evaluating some of those factors.

#### 6. Apparatus

6.1 *Axial Loading Device*—The axial compression device shall be capable of maintaining a constant load or stress within one percent of the applied load or stress. The device may be a screw jack driven by an electric motor through a geared transmission, a platform weighing scale equipped with a screw-jack-activated load yoke, a deadweight load apparatus, a hydraulic or pneumatic loading device, or any other compression device with sufficient capacity and control to provide the loading conditions prescribed in Section 8. Vibrations due to the operation of the loading device should be kept at a minimum.

6.2 *Axial Load-Measuring Device*—The axial load-measuring device may be a load ring, electronic load cell, hydraulic load cell, or any other load measuring device capable of the accuracy prescribed in this paragraph and may be a part of the axial loading device. For frozen soil with a deviator stress at failure of less than 100 kPa, the axial load measuring device shall be capable of measuring the unit axial load to an accuracy equivalent to 1 kPa; for frozen soil with a deviator stress at failure of 100 kPa and greater, the axial load measuring device shall be capable of measuring the axial load to an accuracy of 1 % of the axial load at failure.

6.3 *Measurement of Axial Deformation*—The interaction between the test specimen and the testing machine loading system can affect the creep test results. For this reason, in order to observe the true strain-time behavior of a frozen soil specimens, deformations should be measured directly on the specimen. This can be achieved by mounting deformation gages on special holders attached to the sides of the specimen (4). If deformations are measured between the loading platens, it should be recognized that some initial deformation (seating error) will occur between the specimen ends and the loading surface of the platens.

6.4 *Bearing Surfaces*—The specimen cap and base shall be constructed of a noncorrosive impermeable material, and each shall have a circular plane surface of contact with the specimen and a circular cross section. The weight of the specimen cap shall be less than 0.5 % of the applied axial load at failure. The diameter of the cap and base shall be greater than the diameter of the specimen. The stiffness of the end cap should normally be high enough to distribute the applied load uniformly over the loading surface of the specimen. The specimen base shall be coupled to the compression chamber so as to prevent lateral motion or tilting, and the specimen cap shall be designed to receive the piston, such that the piston-to-cap contact area is concentric with the cap.

NOTE 2—It is advisable not to use ball or spherical seats that would allow rotation of the platens, but rather special care should be taken in trimming or molding the ends of the specimen to parallel planes. The ends of the specimen shall be flat to 0.02 mm and shall not depart from perpendicularity to the axis of the specimen by more than 0.001 radian (about 3.5 min) or 0.05 mm in 50 mm. Effects of end friction on specimen deformation can be tolerated if the height to diameter ratio of the test specimen is two to three. However, it is recommended that lubricated platens be used whenever possible in the uniaxial compression and creep testing of frozen soils. The lubricated platen should consist of a circular sheet of 0.8-mm thick latex membrane, attached to the loading face of a steel platen with a 0.5-mm thick layer of high vacuum silicone grease. The steel platens are polished stainless steel disks about 10 mm larger than the specimen diameter. As the latex sheets and grease layers compress under load, the axial strain of the specimen should be measured using extensometers located on the specimen (5, 6).

6.5 *Thermal Control*—The compressive strength of frozen soil is also affected greatly by temperature and its fluctuations. It is imperative, therefore, that specimens be stored and tested in a freezing chamber that has only a small temperature fluctuation to minimize thermal disturbance. Reduce the effect of fluctuations in temperature by enclosing the specimen in an insulating jacket during storage and testing. Reference (7) suggests the following permissible temperature variations when storing and testing frozen soils within the following different ranges:

Temperature, ° C	0 to – 2	–2 to – 5	–5 to – 10	below – 10
Permissible deviation, ° C	±0.1	±0.2	±0.5	±1.0

## 7. Test Specimen

### 7.1 *Thermal Disturbance Effects:*

7.1.1 The strength and deformation properties of frozen soil samples are known to be affected by sublimation, evaporation, and thermal disturbance. Their effect is in the redistribution and ultimate loss of moisture from the sample as the result of a temperature gradient or low-humidity environment, or both. Loss of moisture reduces the cohesion between soil particles and may reduce the strength (that is dependent on temperature). The effects of moisture redistribution in frozen soil are thought to change its strength and creep behavior.

7.1.2 Thermal disturbance of a frozen sample refers not only to thawing, but also to temperature fluctuations. Soil structure may be changed completely if the sample is thawed and then refrozen. Temperature fluctuations can set up thermal gradients, causing moisture redistribution and possible change in the unfrozen moisture content. Take care, therefore, to ensure that frozen soil specimens remain in their natural state, and that they are protected against the detrimental effects of sublimation and thermal disturbance until testing is completed.

7.1.3 In the event that the soil sample is not maintained at the in situ temperature prior to testing, bring the test specimen to the test temperature from a higher temperature to reduce the hysteresis effect on the unfrozen water content.

7.1.4 Before testing, maintain the test specimen at the test temperature for a sufficient period, to ensure that the temperature is uniform throughout the volume.

### 7.2 *Machining and Preparation of Specimens for Testing:*

7.2.1 The machining and preparation procedures used for frozen soils depend upon the size and shape of the specimen required, the type of soil, and the particular test being performed. Follow similar procedures for cutting and machining both naturally frozen and artificially frozen samples.

7.2.2 Handle frozen soil samples with gloves and all tools and equipment kept in the cold room to avoid sample damage by localized thawing. A temperature of  $-5 \pm 1^\circ\text{C}$  is the most suitable ambient temperature for machining with respect to material workability and personal comfort.

7.2.3 Cylindrical specimens are either machined on a working lathe or cut carefully with a coring tube in the laboratory. They can also be cored from block samples, using a diamond set core barrel and a large industrial drill press. For machining on a working lathe, the best results are obtained when the specimen is turned at 690 r/min and the carriage feed set at 30 mm per 36 revolutions. Limit the maximum depth of cut to 0.38 mm. A tungsten carbide cutting tool, with a minimum back clearance of  $45^\circ$ , gives the best results. For clean cuts, sharpen the tool often, as the abrasive action of the soil dulls the edge quickly (8). Shaping coarse sand or gravel specimens on a lathe is difficult, because the soil grains are pulled out leaving an uneven pitted surface that should be made smooth by filling the pits with ice and fine sand mixture. It is important that the ends of the specimen are parallel and plane, so that intimate contact occurs with the loading platens.

### 7.3 *Test Specimen Shape and Size:*

7.3.1 Both the shape and size of frozen soil test specimens can influence the results of uniaxial compression tests. The sizes of specimens used in compression testing are generally a compromise between theoretical and practical considerations. Some of these considerations are:

7.3.1.1 The influence of boundary conditions of the test, that, among other things, include the lateral restraint imposed on the test specimen by the end platens,

7.3.1.2 The maximum size of particles in a soil specimen,

7.3.1.3 The loading capacity of the available loading equipment,

7.3.1.4 The maximum dimensions and weight of a test specimen that can be handled conveniently,

7.3.1.5 The size of soil samples that can be taken from a field site using common sampling methods, and

7.3.1.6 Equipment that is readily available to shape and protect specimens.

7.3.2 To reduce the influence of boundary conditions and that of maximum soil particle size, the test specimen should be as large as can be tested conveniently.

7.3.3 From the testing of unfrozen soils, the importance of the ratio of specimen height to diameter has long been recognized as an important factor where the type of loading platens influences the test results. Experience with compression testing of frozen soils (7, 9, 10) indicates that consistent creep and strength results can be obtained when the height to diameter ratio is ~~2 to 3~~, between 3:1 and 2:1, regardless of the type of end platens used in testing. Based on this information, it is recommended that: the shape of the test specimen be a right circular cylinder, the height-to-diameter ratio of the test specimen be two to three, the

minimum diameter of the test specimen be at least ten times the maximum soil particle size, and either 50 or 100-mm diameter be used when the soil particle size does not control the diameter size **(11)**.

## 8. Procedure

8.1 *Requirements for Placing Specimen in Test Apparatus*—Place the lower platen on the base of the loading device. Wipe clean the bearing faces of the upper and lower platens and of the test specimen, and place the specimen on the lower platen. Place the upper platen on the specimen and align properly. A small axial load, approximately 20 to 50 kPa, may be applied to the specimen by means of the loading device to properly seat the bearing parts of the apparatus.

8.2 *Temperature-Control Requirements*—When appropriate, install temperature-controlled enclosure and deformation transducers for the apparatus and sensors used. During the test, keep the temperature variations in the specimen within the limits of tolerance indicated in 6.5.1.

### 8.3 Determination of Test Loads:

8.3.1 The stress level at which creep tests are conducted depends upon the type of frozen soil (clay, silt, sand, or gravel), the temperature, and stresses it is expected to experience during the life of the structure. Because resources and time available for performing creep tests are limited, choose the creep stress levels so that sufficient and appropriate data are obtained from the tests to evaluate the creep strength and deformation parameters in a timely manner.

8.3.2 To provide a common basis for comparing the results of creep tests, the following optional procedure is recommended **(11)**:

8.3.2.1 First, determine the short-term uniaxial compression strength,  $q$ , of the frozen soil under investigation, by performing uniaxial compression tests with a constant axial strain rate of 1 %/min (0.017 %/s), related to the initial height of the specimen.

8.3.2.2 The constant compression stress for each creep test should be a fraction of the short-term uniaxial compression strength,  $q$ , of the soil under investigation.

8.3.2.3 Perform four or more creep tests, each with a different constant compression stress  $\sigma_1$ , for example  $\sigma_1 = 0.7 q$ ,  $0.5 q$ ,  $0.4 q$ , and  $0.3 q$ , respectively.

8.3.2.4 With respect to determination of creep parameters and for creep tests longer than 100 h, reduce the compressive stresses for the creep tests to become, for example,  $0.5 q$ ,  $0.3 q$ ,  $0.2 q$ , and  $0.1 q$ , respectively.

### 8.4 Loading Procedure:

8.4.1 Apply the axial load continuously and without shock to the required test load within 20 s. Thereafter, hold the load constant for the remainder of the test for constant load testing, or increase with specimen deformation, for constant true-stress testing.

8.4.2 Record the strain or deformation immediately after the required test load has been applied. Thereafter, record the strain or deformation at suitable time intervals. During the early rapid transient straining, take readings every few minutes to few hours, until the deformation rate slows and becomes relatively constant. Take readings at least twice daily, until the test is terminated. If the strain rate accelerates as failure is approached, increase the frequency of readings appropriately.

8.4.3 Record the load and specimen temperature either continuously or each time the strain or deformation is read.

### 8.5 Requirements for Test Duration:

8.5.1 As stated in 8.3.1, and shown in Fig. X1.2 **(12)**, the length of time in a creep test, necessary to attain either the minimum strain rate of creep failure, ranges from several minutes at stresses close to the short-term strength,  $q$ , to several days and even months at very low stresses equal to a small fraction of  $q$ . In order to keep the creep testing procedure within reasonable time limits, it is recommended that the duration of each creep test be at least 24 h, unless creep failure occurs at an earlier time.

### 8.6 Number of Tests:

8.6.1 For satisfying the requirements under 8.3.2.1 and 8.3.2.3, and taking into account a possible scatter of experimental results, a minimum of 15 specimens for each selected temperature and moisture content combination is needed for determination of creep properties of a given frozen soil.

8.6.2 Alternatively, in order to reduce the required number of specimens, stage-loaded creep tests can be performed. A stage-loaded creep test, carried out on a single specimen, consists in increasing the load in several successive stages, and holding the load constant at each stage for a given length of time. The performance and interpretation of such tests is described in Refs **(13-15)**.

## 9. Calculation

9.1 Calculate the conventional axial strain,  $\epsilon_1$ , for a given applied axial load, as follows:

$$\epsilon_1 = \Delta L / L_o \quad (1)$$

where:

$\Delta L$  = change in length of specimen as read from deformation indicator, and

$L_o$  = initial length of test specimen when piston contacts specimen cap.

9.2 Calculate the average cross-sectional area,  $A$ , for a given applied compressive axial load as follows:

$$A = A_o / (1 - \varepsilon_1) \quad (2)$$

where:

$A_o$  = initial average cross-sectional area of the specimen, and  
 $\varepsilon_1$  = axial strain for the given axial load.

9.3 Calculate the deviator stress (principal stress difference), for a given applied axial load as follows:

$$(\sigma_1 - \sigma_3) = P/A \quad (3)$$

where:

$P$  = given applied axial load (corrected for uplift and piston friction, if required),  
 $A$  = corresponding average cross-sectional area, and  
 $\sigma_1, \sigma_3$  = principal normal stresses in axial and radial direction, respectively.

9.4 *Creep Curve*—Prepare a graph, as in Fig. X1.1(a), showing the relationship between axial strain  $\varepsilon_1$  and time,  $t$ , for each applied constant axial stress,  $\sigma_1$ , and a given constant temperature. Prepare also a plot of  $\log \varepsilon$  versus  $\log t$ , as in Fig. X1.2, to establish the point of inflection of the creep curve.

9.5 *Stress Versus Strain Rate Curve*—Prepare a graph, as in Fig. X1.3, showing the relationship between axial stress and axial strain rate, by plotting, for each given temperature, the applied axial stress,  $\sigma_1$ , versus minimum strain rate,  $\varepsilon_{1, \min}$ .

9.6 *Strength-Temperature-Time Curves*—If creep tests are made at different temperature, prepare a graph, as in Fig. X1.4, showing the relationship between uniaxial strength and temperature, for any given time to failure (or minimum axial strain rate).

## 10. Report

10.1 Report the following information:

10.1.1 *Description of Soil*—Unified soil classification system for frozen soils (16), grain size gradation curve, Atterberg limits (where applicable), physical properties, including total water content, dry unit weight of soil, specific gravity of soil grains, water/ice saturation in percent, and salinity.

10.1.2 *Sampling Conditions and Specimen Preparation*—Sampling method, ground temperature at the time of sampling, temperature fluctuation during transportation and storage, specimen machining method, and specimen dimensions.

10.1.3 *Testing Conditions*—Test temperature, end conditions of test specimen, loading conditions, including data on loading equipment, description of all tests, and graphs of test results as described in 9.4 – 9.6.

## 11. Precision and Bias

11.1 *Precision*—Test data on precision is not presented due to the nature of this test method. At present, adequate data for determining the precision of a uniaxial compression creep test on cylindrical frozen soil specimens are not available. It is either not feasible or too costly at this time to have ten or more agencies participate in an in situ testing program at a given site.

11.2 *Bias*—There is no accepted reference value for this test method, therefore, bias cannot be determined.

## 12. Keywords

12.1 creep; deformation; frozen soil; strain; stress; temperature; uniaxial compression

## APPENDIXES

### (Nonmandatory Information)

#### X1. GENERAL CONSIDERATIONS ON CREEP TESTING OF FROZEN SOILS

##### X1.1 *Creep in Uniaxial Compression:*

X1.1.1 To describe the effect of time on the behavior of frozen soils, uniaxial compression creep tests on cylindrical specimens, subjected to a constant uniaxial stress, are frequently run. When a step load is applied on the specimen, it responds by an instantaneous deformation, followed by creep. A typical creep curve resulting from such a step loading is shown in Fig. X1.1(a), and the related strain rate curve is shown in Fig. X1.1(b). The creep curve is usually thought to consist of three stages, in which the creep rate is, in order: decreasing (“primary creep”), essentially constant (“secondary” or “steady-state” creep, corresponding to the “minimum creep rate”), and increasing (“tertiary creep”), that eventually leads to ultimate failure of the specimen. However, the “secondary” or “steady-state” portion of the creep curve is usually reduced to an inflection point (shown as point “m” in Fig.