



Designation: E2111 – 12 (Reapproved 2018)

Standard Quantitative Carrier Test Method to Evaluate the Bactericidal, Fungicidal, Mycobactericidal, and Sporocidal Potencies of Liquid Chemicals¹

This standard is issued under the fixed designation E2111; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

INTRODUCTION

The need for better tests to assess the microbicidal activity of chemicals was recognized (1)² and several simpler and quantitative test methods have been developed for working with a wide variety of microorganisms (2). The test method described here uses glass vials as carriers; the same basic set of materials and procedures can be used to test the potency of liquid microbicides against vegetative bacteria, fungi, mycobacteria, and bacterial spores. However, the test method is not appropriate for use with viruses because of the relatively high levels of eluate dilutions required and the need for membrane filtration. Further evaluation of products under more stringent test conditions may be necessary for their registration. Performance standards for the categories of products to be tested and the specific types of organism(s) to be used may also vary depending on the regulatory agency.

1. Scope

1.1 This test method is designed for use in product development and for the generation of product potency data. This test method permits the loading of each carrier with a known volume of the test organism. The incorporation of controls can also determine the initial load of colony forming units (CFU) of organisms on the test carriers and any loss in CFU after the mandatory drying of the inoculum.

1.2 This test method is designed to have survivors and also to be used with a performance standard. The surviving microorganisms on each test carrier are compared to the mean of no less than three control carriers to determine if the performance standard has been met. To allow proper statistical evaluation of results, the size of the test inoculum should be sufficiently large to take into account both the performance standard and the experimental variation in the results. For example, if an arbitrary performance standard of 6-log_{10} reduction in the viability titer of the test organism is used, and an inoculum size of 10^7 CFU, then theoretically a maximum of ten survivors per

carrier is permitted; however, because of experimental variability, the exact target may need to be higher than 10^6 CFU/carrier, thus fewer survivors would be permitted.

1.3 This test method should be performed by persons with training in microbiology and in facilities designed and equipped for work with infectious agents at the appropriate biosafety level (3).

1.4 In this test method, SI units are used for all applications, except for distance, in which case inches are used and SI units follow.

1.5 It is the responsibility of the investigator to determine whether Good Laboratory Practice Regulations (GLPs) are required and to follow them where appropriate (40 CFR Part 160 for EPA submissions and 21 CFR Part 58 for FDA submissions).

1.6 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.7 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

¹ This test method is under the jurisdiction of ASTM Committee E35 on Pesticides, Antimicrobials, and Alternative Control Agents and is the direct responsibility of Subcommittee E35.15 on Antimicrobial Agents.

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² The boldface numbers in parentheses refer to the list of references at the end of this standard.

2. Referenced Documents

2.1 ASTM Standards:³

D1129 Terminology Relating to Water

D1193 Specification for Reagent Water

E1054 Test Methods for Evaluation of Inactivators of Antimicrobial Agents

E2197 Quantitative Disk Carrier Test Method for Determining Bactericidal, Virucidal, Fungicidal, Mycobactericidal, and Sporocidal Activities of Chemicals

E2756 Terminology Relating to Antimicrobial and Antiviral Agents

2.2 CFR Standards:⁴

40 CFR Part 160

21 CFR Part 58

3. Terminology

3.1 Definitions of Terms Specific to This Standard:

3.1.1 *carrier, n*—inanimate surface or object inoculated with the test organism.

3.1.2 *eluate, n*—eluent, which contains the recovered organism(s).

3.1.3 *eluent, n*—any solution that is harmless to the test organism(s) and that is added to a carrier to recover the organism(s) in or on it.

3.1.4 *neutralization, n*—process to quench the antimicrobial activity of a test formulation. This process may be achieved by dilution of the organism/test formulation mixture and/or by adding to it one or more chemical neutralizers. (Refer to Test Methods **E1054** for further details)

3.1.4.1 *Discussion*—This process may be achieved by dilution of the organism/test formulation mixture or by adding to it one or more chemical neutralizers, or both.

3.1.5 *soil load, n*—solution of one or more organic, or inorganic substances, or both, added to the suspension of the test organism to simulate the presence of body secretions, excretions, or other extraneous substances.

3.1.6 *test formulation, n*—formulation that incorporates antimicrobial ingredients.

3.1.7 *test organism, n*—applied inoculum of an organism that has characteristics that allows it to be readily identified. It also may be referred to as a *surrogate* or a *marker organism*.

4. Summary of Test Method

4.1 This is a fully quantitative carrier test method suitable for assessing the potency of chemicals against vegetative bacteria, fungi, mycobacteria, as well as bacterial spores. It is designed primarily for testing formulations to be used on hard environmental surfaces and medical devices. This test method uses the flat inside bottom surface of glass vials as the carrier. Each vial receives 10 µL of the test organism with or without

a soil load. The contamination of the inside surface of the carrier with microaerosols is avoided by the use of glass inserts. The inoculum is dried and exposed to 1 mL of the test microbicide for the desired contact time at the recommended temperature; control carriers receive 1 mL of normal saline instead. At the end of the contact time, 9 mL of an eluent without or with a neutralizer, is added to the vial to dilute/neutralize the microbicide and any inoculum adhering to the carrier surface is recovered using a magnetic stir bar with a threaded surface. The eluate is passed through a membrane filter, the carrier vial is then rinsed several times with eluent/diluent and the rinses are also passed through the same filter. The total rinse volume is no less than 100 mL. Control and test eluates requiring dilution to get countable colonies are first subjected to a series of tenfold dilutions and the material from suitable dilutions is passed separately through membrane filters. Each filter is placed on the agar surface of an appropriate recovery medium in a 100-mm diameter petri plate. The plates are held for the required period at the desired incubation temperature, colonies counted, and log₁₀ reductions in the viability titer of the test organism calculated.

NOTE 1—Do not soak the magnetic stir bars in ethanol or other solvents for decontamination as this may damage the sealant on them.

5. Significance and Use

5.1 This test method is fully quantitative and it also avoids any loss of viable organisms through wash off, making it possible to produce statistically valid data using many fewer test and control carriers than other quantitative methods based on most probable numbers (MPN).

5.2 The design of the carriers makes it possible to place into each a precisely measured volume of the test suspension. The use of the threaded stir bars allows for efficient recovery of the inoculum even after its exposure for several hours to strong fixatives such as glutaraldehyde.

5.3 The membrane filtration step allows processing of the entire eluate from the test carriers and therefore the capture and subsequent detection of even low numbers of viable organisms that may be present.

5.4 This test can be performed with or without a soil load to determine the effect of such loading on microbicide performance. Consult the target regulatory agency on the need, type(s), and acceptable level(s) of soil load prior to testing. One type of soil load (Quantitative Disk Carrier Test Method **E2197**) to consider for this test is a mixture of three types of proteins (high molecular weight proteins, low molecular weight peptides, and mucous material) to represent the body secretions, excretions, or other extraneous substances that chemical microbicides may encounter under field conditions. It is suitable for working with the various test organisms included here. The components of the soil load are readily available and subject to much less variability than animal sera.

5.5 If distilled water or other diluent is not to be specified on the product label, the diluent for the test substance is assumed to be tap water. Since the quality of tap water varies considerably both geographically and temporally, this test method incorporates the use of water with a specified and documented

³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

⁴ Available from U.S. Government Printing Office Superintendent of Documents, 732 N. Capitol St., NW, Mail Stop: SDE, Washington, DC 20401.

level of hardness to prepare use-dilutions of test substance that require dilution in water before use. Consult the target regulatory agency regarding the use and level of water hardness prior to testing.

6. General Equipment and Labware

6.1 *Laminar Flow Cabinet*—A Class II (Type A) biological safety cabinet for this work. The procedures for the proper maintenance and use of such cabinets are given in Ref 3.

6.2 *Incubator*—An ordinary incubator and an anaerobic incubator. If only one ordinary incubator is available, its temperature will require adjustment depending on the type of organism under test.

6.3 *Sterilizer*—Any steam sterilizer suitable for processing culture media, reagents and labware is acceptable. The steam supplied to the sterilizer must be free from additives toxic to the test organisms.

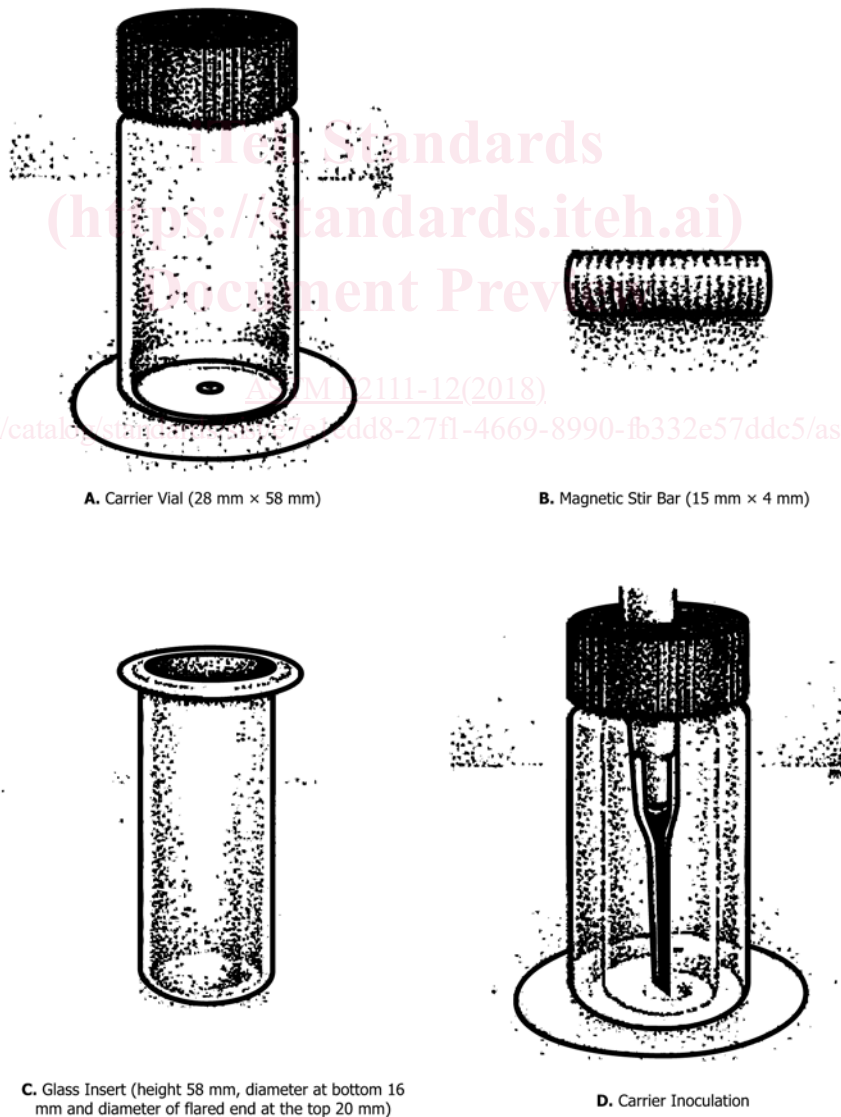
6.4 *Filter Sterilization System for Media and Reagents*—A membrane or cartridge filtration system (0.22- μm pore diameter) is required for sterilizing heat-sensitive solutions.

6.5 *Membrane Filtration System for Capture of the Test Organisms*—Sterile 47-mm diameter sterilizing membrane filters and glass, metal, or plastic holders for such filters are required. Membranes made from polyethersulfone (PES) are recommended. Filter membranes with a pore diameter of 0.22 μm must be used when working with bacterial spores.

6.6 *Environmental Chamber/Incubator*—To hold the carriers at the desired test temperature.

6.7 *Freezers*—A freezer at $-20 \pm 2^\circ\text{C}$ is required for the storage of media and additives. A second freezer at -70°C or lower is required to store the stocks of test organisms.

6.8 *Refrigerator*—A refrigerator at $4 \pm 2^\circ\text{C}$ for storage of media, plates, and reagents.



A. Carrier Vial (28 mm × 58 mm)

B. Magnetic Stir Bar (15 mm × 4 mm)

C. Glass Insert (height 58 mm, diameter at bottom 16 mm and diameter of flared end at the top 20 mm)

D. Carrier Inoculation

FIG. 1 Components of a Carrier for the Quantitative Carrier Test

6.9 *Timer*—Any stopwatch that can be read in minutes and seconds.

6.10 *Hot Air Oven*—An oven at 60 °C to dry and sterile clean glassware.

6.11 *Magnetic Stir Plate and Stir Bars*—Large enough for a 5-L beaker or Erlenmeyer flask for preparing culture media or other solutions.

6.12 *Positive Displacement Pipette*—A pipette and pipette tips that accurately can dispense 10- μ L volumes for inoculation of carriers.

6.13 *Air Displacement Pipettes*—Eppendorf or equivalent, 100 to 1000 μ L with disposable tips.

6.14 *Orbital Shaker*—For shaking the broth cultures of bacteria during their incubation.

6.15 *Sterile Dispenser*—10 mL, for dispensing diluent/ eluent.

6.16 *Glassware*—One-liter flasks with a side-arm and appropriate tubing to capture the filtrates from 47-mm diameter membrane filters; 250-mL Erlenmeyer flasks for culture media; 100 mL and 5 L beakers, reusable or disposable glass pipettes capable of handling 10-, 5-, and 1-mL volumes; and 25-mL test tubes with caps.

6.17 *Vacuum Source*—A vacuum pump, access to an in-house vacuum line or a water faucet vacuum apparatus required to pull the samples through the membrane filters.

6.18 *Sterile Disposable Plastic Petri Dishes*, 100 by 15 mm.

6.19 *Forceps*, straight or curved, with smooth tips to handle membrane filters.

6.20 *Flat-Bottomed Glass Vials*, 20 mL, with regular and septate caps (Fig. 1A). Flat-bottomed glass vials may be manufactured such that the bottom of the vials is completely flat with no ridges.⁵

6.21 *Vials*, wide-mouth, glass, 25 mL, for use as dilution vials.

6.22 *Desiccator*, recommended size is 25 cm wide by 20 cm deep, with an active desiccant for drying the inocula on the carriers.

6.23 *Stir Bars with Threaded TFE-Fluorocarbon-Coated Surface*, to dislodge inoculum from the carriers surface. Stir bars may be manufactured according to Fig. 1B.⁶

6.24 *Magnet*, strong enough to hold the threaded stir bar in place in the glass carrier while the liquid is being poured out of it for membrane filtration.

⁵ The sole source of supply of the apparatus (flat-bottomed vials (catalog #5260G)) known to the committee at this time is Galaxy Environ. Products, P.O. Box 238, 7 Greenwood Ave., Newfield, NJ 08344. If you are aware of alternative suppliers, please provide this information to ASTM International Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee,¹ which you may attend.

⁶ The sole source of supply of stir bars known to the committee at this time is Engineering Department, Rehabilitation Centre, 505 Smyth Rd., Ottawa, ON, Canada K1H 8M2; phone: 613-737-7350, ext. 75320. If you are aware of alternative suppliers, please provide this information to ASTM International Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee,¹ which you may attend.

6.25 *Aluminum Foil*, to wrap items to be sterilized.

6.26 *Vortex Mixer*, to vortex the eluate and rinsing fluid in the carrier to ensure efficient recovery of the test organism(s).

6.27 *Glass Inserts*, to be placed inside the glass carriers during inoculation with the test organism. Such inserts have been found to eliminate the deposition of microaerosols on the inside walls of the carriers. Glass inserts may be manufactured according to Fig. 1C.⁷

6.28 *Centrifuge*, for concentration, or washing, or both of the cells/spores of the test organism(s).

6.29 *Markers*, permanent labware marking pens.

6.30 *Sterile Polypropylene Centrifuge Tubes with Caps*, 50 mL.

6.31 *Colony Counter*, for example, Quebec Colony Counter.

6.32 *Sterile Disposable Gloves*, for handling the carriers.

6.33 *Hemocytometer*, for counting fungal conidia.

6.34 *Spectrophotometer*, for measuring turbidity of microbial suspensions.

6.35 *Bunsen Burner*, for aseptic technique

7. General Solutions and Reagents⁸

7.1 *Purity of Reagents*—Reagent grade chemicals shall be used in all tests. Unless otherwise indicated, it is intended that all reagents conform to the specifications of the Committee on Analytical Reagents of the American Chemical Society (4). Other grades may be used (5), provided it is first ascertained that the reagent is of sufficiently high purity to permit its use without lessening the accuracy of the determination.

7.2 *Absolute Alcohol*—In a 100-mL plastic or glass beaker for flame-sterilization of metallic forceps used to handle membrane filters.

7.3 *Normal Saline (0.85 % NaCl; pH 7.2)*—To be used as an eluent and control fluid.

7.4 *Test Microbicide*—Prepared at its use-dilution and brought to the test temperature.

7.5 *Growth, Recovery Media and Media Supplements*—The required types of materials (see below) can be purchased from a variety of sources specializing in laboratory supplies.

7.6 *MnSO₄·H₂O*, added to Columbia broth to promote the *B. subtilis* sporulation.

7.7 *Test Product Diluent*, water with a standard hardness of at least 300 ppm as CaCO₃ may be used as the diluent, for test products requiring dilution in water to obtain a use-dilution.

⁷ The sole source of supply of glass inserts known to the committee at this time is Galaxy Environ. Products (Newfield, NJ). If you are aware of alternative suppliers, please provide this information to ASTM International Headquarters. Your comments will receive careful consideration at a meeting of the responsible technical committee,¹ which you may attend.

⁸ *Reagent Chemicals, American Chemical Society Specifications*, American Chemical Society, Washington, DC. For Suggestions on the testing of reagents not listed by the American Chemical Society, see *Annual Standards for Laboratory Chemicals*, BDH Ltd., Poole, Dorset, U.K., and the *United States Pharmacopeia and National Formulary*, U.S. Pharmacopeial Convention, Inc. (USPC), Rockville, MD.

7.8 *Deionized Distilled Water (DDW)*, for making reagent solutions and media. For terminology and specifications for water to be used refer to Terminology **D1129** and Specification **D1193** under 2.1.

7.9 *Plates of Recovery Media*—Media must be prepared and sterilized according to manufacturer's instructions and then aseptically dispensed into culture plates.

8. Carriers

8.1 *Preparation of the Carriers*—Place a clean glass insert inside each flat-bottomed vial and position the insert in place with the help of a septate cap loosely screwed on to the vial (see Fig. 1D). Sterilize the required number of carriers, along with an equivalent number of regular caps for the carrier vials, in a container such that they can be stored without any contamination.

9. Soil Load

9.1 Refer to 5.4 with regards to the addition of a soil load. In case a soil load is required, the following mixture is an example of a generic soil load. When a soil load is required in the testing, mix it with the suspension of the test organism. Prepare the stock solutions of the soil load components in saline (pH 7.2) as follows:

9.1.1 Add 0.5 g of Tryptone or yeast extract to 10 mL of saline.

9.1.2 Add 0.5 g of bovine serum albumin (BSA) to 10 mL of saline.

9.1.3 Add 0.04 g of bovine mucin to 10 mL of saline.

9.1.4 Prepare the solutions separately and sterilize by passage through a 0.22 μm pore diameter membrane filter. Aliquot in volumes for single-use in vials for storage at either $4 \pm 2^\circ\text{C}$ or $-20 \pm 2^\circ\text{C}$.

9.2 To obtain a 500 μL inoculum of the test organism, add to 340 μL of the microbial suspension 25, 100, and 35 μL of BSA, mucin, and tryptone stock solutions, respectively.

NOTE 2—Animal sera, often used as a soil load, vary widely in their composition and may also contain microbial inhibitors. The soil load mixture given above contains a level of protein roughly equal to that in 5 % serum. Preliminary screening of albumin and mucin is recommended to ensure compatibility with test organism(s).

10. Preparing Inocula of Specific Types of Organisms

10.1 This test method can be used with most species of vegetative and spore-forming bacteria as well as mycobacteria and fungi; however, **Appendix X1** summarizes the species and strains of the test organisms most often used. The number of CFU/mL of each freshly prepared and properly homogenized microbial test suspension may be estimated spectrophotometrically, based on a standard curve at a specific wavelength, but should be confirmed by membrane filtration.

10.2 In general, this number should not be more than $10 \times$ the defined performance standard. This should be confirmed in each test by determining the numbers of viable organisms on the control carriers

NOTE 3—TSA and TSB, which are based on soybean-casein digests, were used in the development of the test method described here. Other media with similar formulations may be used instead.

11. Carrier Test

11.1 *Inoculation of the Carriers*—Wearing sterile gloves, gently tighten the septate caps on the carriers such that insert is positioned at the center of the bottom of the vial.

NOTE 4—The septate cap must not be screwed on too tightly to avoid the touching and grinding of the narrow end of the insert on the inside bottom surface of the carrier vial.

11.1.1 Vortex the test suspension to distribute evenly cells/spores. Withdraw 10 μL of the suspension with a positive displacement pipette and place it onto the inside bottom surface of each carrier (Fig. 1D). For consistency, the same pipette tip can be used throughout the inoculation of a batch of carriers. Make sure that the inoculum does not touch the walls of the insert.

11.1.2 Allow the inoculum to dry at room temperature by first holding the carriers in a laminar flow hood for 1 h followed by drying under vacuum in a desiccator for one more hour.

NOTE 5—The ability of microorganisms to survive drying varies depending on air temperature and relative humidity. The drying times indicated here are a general guide only and care must be taken to ensure that the inoculum in the carriers becomes visibly dry while retaining sufficient viable organisms to assure a valid test.

11.1.3 Observe the dried inoculum on each carrier and discard any carrier in which the inoculum has touched the insert.

11.1.4 Aseptically remove the septate caps and inserts and place them in a bucket for subsequent decontamination and cleaning. Replace the septate caps with sterile regular caps and tighten.

11.1.5 These carriers are now ready for the test procedure.

11.2 *Exposure of the Organism(s) to the Formulation Under Test*—The number of test carriers to be used in each run is ten; however, in preliminary tests during product development three to five carriers may be sufficient to assess the potency of experimental formulation(s) against the test organism(s).

11.2.1 Place 1 mL of test microbicide into each carrier over the dried inoculum and hold the carriers at the desired temperature for the desired contact period. At the end of the exposure time, aseptically place a sterile threaded stir bar into each carrier and immediately add 9 mL of an eluent/neutralizer to neutralize/dilute the microbicide and arrest its activity.

11.2.2 Place the carrier onto a magnetic stir plate and stir the contents of the carrier for 30 s to ensure the removal and resuspension of the inoculum from the bottom of the carrier; vortex for 30 s.

11.2.3 Using a magnet to hold the stir bar in place, pour the contents of the vial into the membrane filter holder. Rinse the carrier vial with 20 mL of saline, vortex, and filter the rinse. Repeat rinse two more times. Rinse the sides of the funnel unit with at least 40 mL of saline. Aseptically transfer the membrane filter to the plate of a suitable recovery medium. Incubate the plates at the desired temperature for the required length of time.

NOTE 6—Presence of large numbers of colonies on the membrane filters from the test vials indicates no or weak activity of the test formulation against the challenge organism(s) under the specific conditions used in the

test. To obtain a more precise indication of the \log_{10} reduction in viability by the test product, ten-fold dilutions of the eluate may be necessary (see Fig. 2).

11.3 *Control Carriers*—The minimum number of control carriers to be used in each test is three regardless of the number of test carriers.

11.3.1 Instead of the test formulation, add 1 mL of sterile saline to each control carrier. The contact time and temperature for the control carriers must be the same as that for the test carriers.

11.3.2 At the end of the contact time, add 9 mL of an eluent/neutralizer and a sterile threaded stir bar to each control carrier. Place the carrier on a magnetic stir plate for 30 s to remove the inoculum from the bottom of the carrier. Vortex the carrier for 30 s, or until all visible clumps are broken.

11.4 *Dilution of the Eluates:*

11.4.1 The extent to which the eluates from the control carriers are to be diluted will depend on the number of viable cells in the inoculum and it will be necessary to determine the dilution range before hand to generate countable numbers of CFU for an accurate measurement of the challenge titer. Similarly, eluates from test carriers may require dilution to permit the calculation of \log_{10} in the viability titer after exposure of the target organism(s) to the test formulation.

11.4.2 Make ten-fold dilutions of the eluates from control and test carriers. Pass the material from each dilution through separate membrane filters. Rinse the vial by adding 10 mL of the eluent/neutralizer, vortex, and filter the rinse through the same filter. Repeat the procedure two more times. Rinse the sides of the funnel unit with approximately 40 mL of saline. Aseptically transfer the filter to the recovery medium.

NOTE 7—Separate membrane filters, but the same filtration unit, can be used for processing all dilutions for a given carrier starting with the most dilute sample first.

11.4.3 Incubate the plates of the recovery medium at the required temperature for the desired length of time (see Appendix X1). Count the colonies and calculate the \log_{10} reductions obtained.

NOTE 8—While the membrane filtration method offers certain advantages in a quantitative test, spread-plating or pour-plating may also be used.

12. Precision and Bias

12.1 *Precision*—The test method has been subjected to extensive intra-laboratory testing using a variety of test organisms to determine the extent of variability in the test data from operator to operator. A collaborative study of 15 laboratories also has been carried out to determine the reproducibility of the data for the sporicidal activity of several blinded test samples. The carriers as well as the spore suspensions of *Bacillus subtilis* were provided to the participating laboratories, which tested the microbicide samples against the spores without any soil load in the dried inocula. The test itself contributed only 5 % to the variability observed.

12.2 Other researchers have used the method for studies of germicidal activity (6, 7).

12.3 Target performance standards may vary depending on the regulatory agency.

NOTE 9—The development of this test method was made possible with financial assistance from the Antimicrobials Division of the U.S. Environmental Protection Agency.

13. Keywords

13.1 *Bacillus subtilis* spores; bactericides; chemical microbicides; *Clostridium sporogenes* spores; eluate; eluent; environmental surfaces; fungicides; germicides; \log_{10} reductions; medical devices; membrane filtration; mycobactericides; *Mycobacterium terrae*; *Pseudomonas aeruginosa*; quantitative carrier test; soil load; sporicides; *Staphylococcus aureus*; standard hard water; surrogate; *Trichophyton mentagrophytes conidia*