



# SLOVENSKI STANDARD SIST EN 301 428:2001

01-september-2001

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Satellite Earth Stations and Systems (SES); Harmonized EN for Very Small Aperture Terminal (VSAT); Transmit-only, transmit/receive or receive-only satellite earth stations operating in the 11/12/14 GHz frequency bands covering essential requirements under article 3.2 of the R&TTE directive

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**Ta slovenski standard je istoveten z: EN 301 428 Version 1.2.1**

**ICS:**

33.070.40      Satelit      Satellite

**SIST EN 301 428:2001      en**

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# ETSI EN 301 428 V1.2.1 (2001-02)

*Candidate Harmonized European Standard (Telecommunications series)*

**Satellite Earth Stations and Systems (SES);  
Harmonized EN for Very Small Aperture Terminal (VSAT);  
Transmit-only, transmit/receive or receive-only  
satellite earth stations operating  
in the 11/12/14 GHz frequency bands  
covering essential requirements  
under article 3.2 of the R&TTE directive**

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**Reference**

REN/SES-00048

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**Keywords**

regulation, VSAT, satellite

**ETSI**

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## Foreword

This Candidate Harmonized European Standard (Telecommunications series) has been produced by ETSI Technical Committee Satellite Earth Stations and Systems (SES).

The present document has been produced by ETSI in response to a mandate from the European Commission issued under Directive 98/34/EC [3] laying down a procedure for the provision of information in the field of technical standards and regulations.

The present document is intended to become a Harmonized Standard, the reference of which will be published in the Official Journal of the European Communities referencing the Directive 1999/5/EC [1] of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity.

Technical specifications relevant to Directive 1999/5/EC [1] are given in annex A.

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### National transition dates

Date of adoption of this EN:	9 February 2001
Date of latest announcement of this EN (doa):	31 May 2001
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	30 November 2001
Date of withdrawal of any conflicting National Standard (dow):	30 November 2001

## Introduction

ETSI has designed a modular structure for the standards. Each standard is a module in the structure. The modular structure is shown in figure 1.

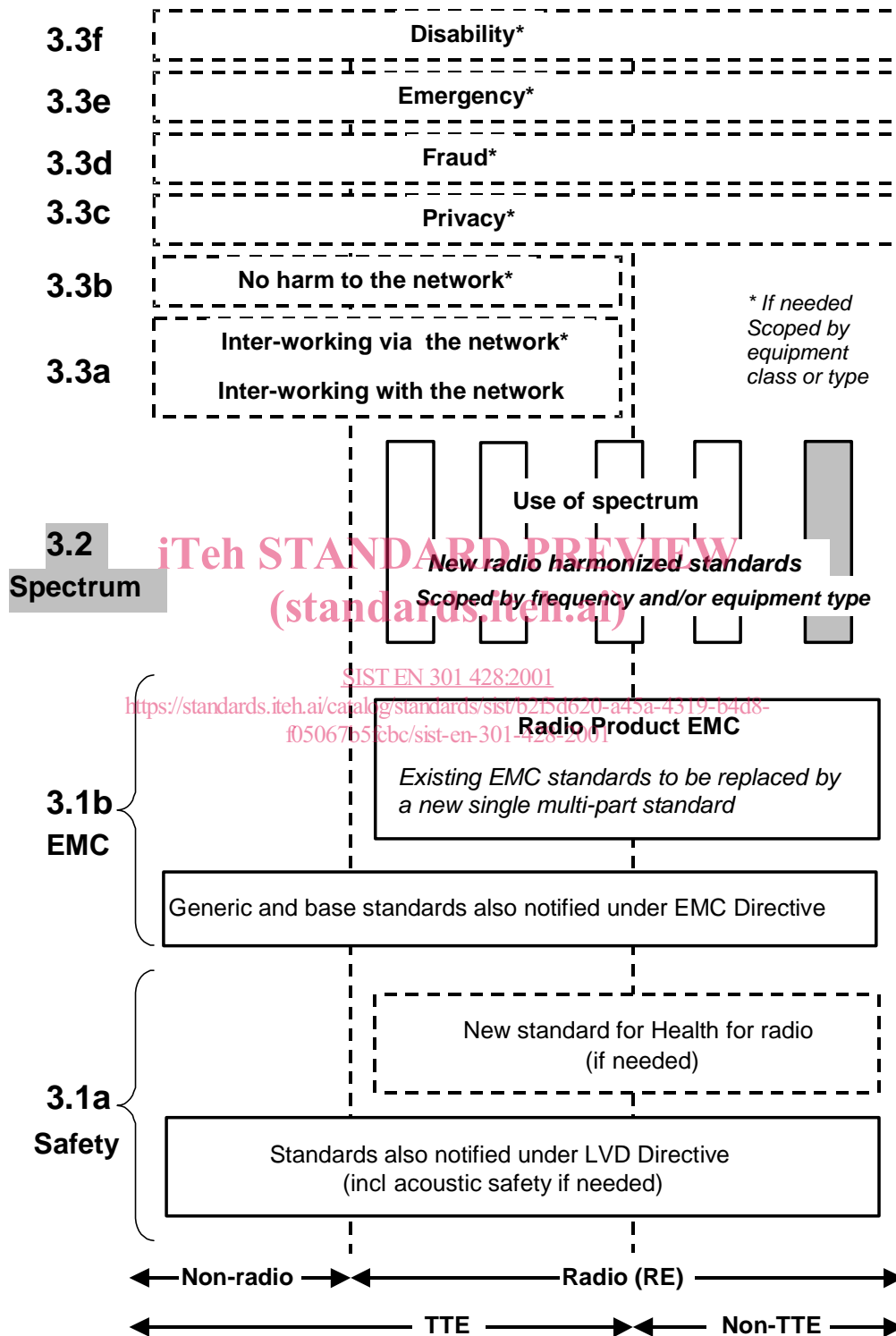


Figure 1: Modular structure for the various standards used under the R&TTE Directive

The left hand edge of the figure shows the different clauses of Article 3 of the Directive.

For article 3.3 various horizontal boxes are shown. Their dotted lines indicate that no essential requirements in these areas have yet been adopted by the Commission. If such essential requirements are adopted, they will be elaborated in individual standards whose scope is likely to be specified by function or interface type.

The vertical boxes show the standards under article 3.2 for the use of the radio spectrum. The scopes of these standards are specified either by frequency (normally in the case where frequency bands are harmonized) or by radio equipment type.

For article 3.1(b), the diagram shows the new single multi-part product EMC standard for radio, and the existing collection of generic and base standards currently used under the EMC Directive. The parts of this new standard will become available in the second half of 2000, and the existing separate EMC standards will be used until it is available.

For article 3.1(a) the diagram shows the existing safety standards currently used under the LVD Directive and the possibility of a new standard on health relating to radio emissions.

The bottom of the figure shows the relationship of the standards to radio equipment and telecommunications terminal equipment. A particular equipment may be radio equipment, telecommunications terminal equipment or both.

The modular approach has been taken because:

- it minimizes the number of standards needed. Because equipment may have multiple interfaces and functions it is not practicable to produce a single standard for each possible combination of functions that may occur in an equipment;
- it provides scope for standards to be added:
  - under article 3.2 when new frequency bands are agreed; or
  - under article 3.3 should the Commission take the necessary decisions, without requiring alteration of standards that are already published.

The present document is based on ETSI EN 301 428 [4] and, for class B Control and Monitoring Functions and up-link power control, on ETSI EN 301 459 [5]. It allows the choice of either a class A Control and Monitoring system or a class B Control and Monitoring system. The class B system is more suitable for networks comprising very many terminals.

The determination of the parameters of the user earth stations using a given geo-stationary satellite for the protection of the spectrum allocated to that satellite, is considered to be under the responsibility of the satellite operator or the satellite network operators. For this reason the requirement on the cross polarization discrimination which was in TBR 28 [6] has not been copied in the present document and inter-modulation limits inside the band 14,0 GHz to 14,5 GHz are to be determined by system design and are subject to satellite operator specifications.

The requirements have been selected to ensure an adequate level of compatibility with other radio services. The levels, however, do not cover extreme cases which may occur in any location but with a low probability of occurrence.

The present document may not cover those cases where a potential source of interference which is producing individually repeated transient phenomena or a continuous phenomenon is present, e.g. a radar or broadcast site in the near vicinity. In such a case it may be necessary to use special protection applied to either the source of interference, or the interfered part or both.

The present document does not contain any requirement, recommendation or information about the installation of the VSAT.

All parts of the indoor unit related to reception, processing and presentation of the received information except the control channel are not within the scope of the present document. The syntax of the control channel messages is outside the scope of the present document.

# 1 Scope

The present document applies to Very Small Aperture Terminals (VSATs) which have the following characteristics:

- The VSAT are operating in one or more frequency ranges in the part of the following bands allocated exclusively to the Fixed Satellite Services (FSS):
  - 14,00 GHz to 14,25 GHz (earth-to-space);
  - 12,50 GHz to 12,75 GHz (space-to-earth);or in the shared parts of the following bands, allocated to the FSS and Fixed Services (FS):
  - 14,25 GHz to 14,50 GHz (earth-to-space);
  - 10,70 GHz to 11,70 GHz (space-to-earth).
- The VSAT use linear polarization.
- The VSAT operate through a geostationary satellite at least 3° away from any other geostationary satellite operating in the same frequency band and covering the same area.
- The VSAT antenna diameter does not exceed 3,8 m, or equivalent corresponding aperture.
- The VSAT are either:
  - transmit only VSAT: designed for transmission only of radio-communications signals in any of the frequency bands (earth-space) specified above; or
  - transmit and receive VSAT: designed for transmission and reception of radio-communications signals in any of the frequency bands specified above; or
  - receive only VSAT: designed for reception only of radio-communications signals in any of the frequency bands (space-earth) specified above.
- The VSAT are designed usually for unattended operation.
- The VSAT are operating as part of a satellite network (e.g. star, mesh or point-to-point) used for the distribution and/or exchange of information between users.
- The VSAT are controlled and monitored by a Centralized Control and Monitoring Function (CCMF). The CCMF is outside the scope of the present document.

The present document applies to the VSAT with its ancillary equipment and its various terrestrial ports, and when operated within the boundary limits of the operational environmental profile declared by the applicant and when installed as required by the applicant by declaration or in the user documentation.

The present document is intended to cover the provisions of Directive 1999/5/EC [1] (R&TTE Directive) Article 3.2, which states that "... radio equipment shall be so constructed that it effectively uses the spectrum allocated to terrestrial/space radio communications and orbital resources so as to avoid harmful interference".

In addition to the present document, other ENs that specify technical requirements in respect of essential requirements under other parts of Article 3 of the Directive 1999/5/EC [1] (R&TTE Directive) may apply to equipment within the scope of the present document.

NOTE: A list of such ENs is included on the web site <http://www.newapproach.org/>.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication and/or edition number or version number) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.

- [1] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [2] CISPR 16-1: "Specification for radio disturbance and immunity measuring apparatus and methods; Part 1: Radio disturbance and immunity measuring apparatus" (annex G: Validation of the open area test site for the frequency range of 30 MHz to 1 000 MHz).
- [3] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations
- [4] ETSI EN 301 428 (V1.1.1): "Satellite Earth Stations and Systems (SES); Harmonized EN for Very Small Aperture Terminal (VSAT); Transmit-only, transmit/receive or receive-only satellite earth stations operating in the 11/12/14 GHz frequency bands covering essential requirements under article 3.2 of the R&TTE directive".
- [5] ETSI EN 301 459: "Satellite Earth Stations and Systems (SES); Harmonized EN for Satellite Interactive Terminals (SIT) and Satellite User Terminals (SUT) transmitting towards satellites in geostationary orbit in the 29,5 to 30,0 GHz frequency bands covering essential requirements under article 3.2 of the R&TTE Directive".
- [6] ETSI TBR 28 (1997): "Satellite Earth Stations and Systems (SES); Very Small Aperture Terminal (VSAT); Transmit-only, transmit/receive or receive-only satellite earth stations operating in the 11/12/14 GHz frequency bands".

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions in the Directive 1999/5/EC [1] and the following terms and definitions apply.

**ancillary equipment:** equipment used in connection with a VSAT is considered as ancillary if the three following conditions are met:

- a) the equipment is intended for use in conjunction with the VSAT to provide additional operational and/or control features (e.g. to extend control to another position or location); and
- b) the equipment cannot be used on a stand alone basis, to provide user functions independently of the VSAT; and
- c) the absence of the equipment does not inhibit the operation of the VSAT.

**applicant:** manufacturer or his authorized representative within the European Community or the person responsible for placing the apparatus on the market

**carrier-off state:** VSAT is in this state when it is authorized by the Centralized Control and Monitoring Functions (CCMF) to transmit, but when it does not transmit any signal

NOTE 1: The existence of a carrier-off state depends on the system of transmission used. For VSAT designed for continuous transmission mode there may be no carrier-off state.

**carrier-on state:** VSAT is in this state when it is authorized by the CCMF to transmit and when it transmits a signal

**Centralized Control and Monitoring Functions (CCMF):** set of functional entities that, at system level, monitor and control the correct operation of all transmit VSAT in a network

**Control Channel (CC):** channel or channels by which VSAT receive control information from the CCMF

**EIRP<sub>max</sub>:** maximum EIRP capability of the VSAT as declared by the applicant

**EIRP<sub>nom</sub>:** Either

(i) EIRP<sub>max</sub>;

(ii) or, when uplink power control is implemented, the maximum required EIRP of the VSAT under clear sky condition as declared by the applicant.

**environmental profile:** range of environmental conditions under which equipment within the scope of the present document is required to comply with the provisions of the present document

**external control channel:** control channel which is either (i) carried by the VSAT network via the same or another satellite, but not within the internal protocol of the VSAT system, or (ii) carried by the PSTN or some other means

**external response channel:** response channel which is either (i) carried by the VSAT network via the same or another satellite, but not within the internal protocol of the VSAT system, or (ii) carried by the PSTN or some other means

**indoor unit:** is composed of that part of the VSAT which is not part of the outdoor unit. It is generally installed inside a building and is connected to the outdoor unit. The connection cable between the outdoor and indoor unit is considered part of the indoor unit.

<https://standards.iteh.ai/catalog/standards/sist/b2f5d620-a45a-4319-b4d8->

**integral antenna:** antenna which may not be removed during the tests according to the applicant's statement

**internal control channel:** control channel which is carried by the VSAT network via the same satellite as used for transmission of user data and within the internal protocol structure of the VSAT system

**internal response channel:** response channel which is carried by the VSAT network via the same satellite as used for transmission of user data and within the internal protocol structure of the VSAT system

**network:** in the present document a network is any network configuration including star, mesh and point-to-point configurations

**nominated bandwidth:** bandwidth of the VSAT radio frequency transmission is nominated by the applicant. The nominated bandwidth is centred on the transmit frequency and does not exceed 5 times the occupied bandwidth.

NOTE 2: The nominated bandwidth is wide enough to encompass all spectral elements of the transmission which have a level greater than the specified spurious radiation limits. The nominated bandwidth is wide enough to take account of the transmit carrier frequency stability. This definition is chosen to allow flexibility regarding adjacent channel interference levels which will be taken into account by operational procedures depending on the exact transponder carrier assignment situation.

**Occupied Bandwidth (Bo):** for a digital modulation scheme-the width of the signal spectrum 10 dB below the maximum in-band density. For an analogue modulation scheme-the width of a frequency band such that, below the lower and above the upper frequency limits, the mean power emitted is equal to 0,5 % of the total mean power of the emission.

**outdoor unit:** part of the VSAT intended to be installed outdoor, as declared by the applicant, or as indicated in the user documentation.

The outdoor unit usually comprises three main parts:

- a) the antenna sub-system which converts the incident radiation field into a guided wave and vice versa;
- b) the Low Noise Block (LNB) down converter, which is a device that amplifies, with very low internal noise, the received signals in the Radio Frequency (RF) band and converts them to intermediate frequencies;
- c) the up-converter and the power amplifier which convert from the intermediate frequency to RF and amplify the low level RF signals for transmission through the antenna subsystem.

NOTE 3: The installation equipment (means of attachment) is outside the scope of the present document. However, the antenna structures and other components directly mounted on the antenna and forming an integral part of it, are subject to the specifications of the present document.

**removable antenna:** antenna which may be removed during the tests according to the applicant's statement

**Response Channel (RC):** channel by which VSAT transmit monitoring information to the CCMF

**spurious radiation:** any radiation outside the nominated bandwidth

NOTE 4: For a receive-only VSAT there is no nominated bandwidth therefore any radiation is a spurious radiation.

**Transmission disabled state:** VSAT is in this state when it is not authorized by the CCMF to transmit

**Transmit VSAT:** VSAT capable of being used either for transmission only, or for transmission and reception

## 3.2 Abbreviations

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For the purposes of the present document, the following abbreviations apply:

CC	Control Channel
CCF	Control Channel reception Failure
CCMF	Centralized Control and Monitoring Functions
CISPR	Comité International Spécial des Perturbations Radioélectriques (International Special Committee on Radio Interference)
CMF	Control and Monitoring Functions
CCR	Control Channel correctly Received
CV	Control Variable
EIRP	Equivalent Isotropically Radiated Power
EN	European Standard
EUT	Equipment Under Test
FEC	Forward Error Correction
FS	Fixed Service
FSS	Fixed Satellite Service
HPA	High Power Amplifier
ITU	International Telecommunications Union
LNA	Low Noise Amplifier
LNB	Low Noise Block
LO	Local Oscillator
modem	MOdulator/DEModulator
PSTN	Public Switched Telephone Network
R&TTE	Radio and Telecommunications Terminal Equipment
RC	Response Channel
RE	Reset Event
RF	Radio Frequency
SMF	System Monitoring Fail
SMP	System Monitoring Pass
SMV	Self Monitoring Variable
STE	Special Test Equipment
TDMA	Time Division Multiple Access