

Designation: E1781/E1781M - 20

Standard Practice for Secondary Calibration of Acoustic Emission Sensors¹

This standard is issued under the fixed designation E1781/E1781M; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

1. Scope*

1.1 This practice covers requirements for the secondary calibration of acoustic emission (AE) sensors. The secondary calibration yields the frequency response of a sensor to waves of the type normally encountered in acoustic emission work. The source producing the signal used for the calibration is mounted on the same surface of the test block as the sensor under testing (SUT). Rayleigh waves are dominant under these conditions; the calibration results represent primarily the sensor's sensitivity to Rayleigh waves. The sensitivity of the sensor is determined for excitation within the range of 100 kHz to 1 MHz. Sensitivity values are usually determined at frequencies approximately 10 kHz apart. The units of the calibration are volts per unit of mechanical input (displacement, velocity, or acceleration).

1.2 Units—The values stated in either SI units or inchpound units are to be regarded separately as standard. The values stated in each system are not necessarily exact equivalents; therefore, to ensure conformance with the standard, each system shall be used independently of the other, and values from the two systems shall not be combined.

1.3 This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.

1.4 This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

2. Referenced Documents

- 2.1 ASTM Standards:²
- E114 Practice for Ultrasonic Pulse-Echo Straight-Beam Contact Testing
- E494 Practice for Measuring Ultrasonic Velocity in Materials
- E1106 Test Method for Primary Calibration of Acoustic Emission Sensors

E1316 Terminology for Nondestructive Examinations

3. Terminology

3.1 *Definitions*—Refer to Terminology E1316, Section B, for terms used in this practice.

3.2 Definitions of Terms Specific to This Standard:

3.2.1 *reference sensor (RS), n*—a sensor that has had its response established by primary calibration or by laser interferometer (also called secondary standard transducer) (see Test Method E1106).

3.2.1.1 *Discussion*—Alternatively, a laser interferometer or similar device may be used as a reference sensor.

3.2.2 *secondary calibration, n*—a procedure for measuring the frequency or transient response of an AE sensor by comparison with an RS.

3.2.3 *test block, n*—a block of homogeneous, isotropic, elastic material on which a source, an RS, and a SUT are placed for conducting secondary calibration.

4. Significance and Use

4.1 The purpose of this practice is to enable the transfer of calibration from sensors that have been calibrated by primary calibration to other sensors.

5. General Requirements

5.1 Units for Calibration—Secondary calibration produces the same type of information regarding a sensor as does

¹ This practice is under the jurisdiction of ASTM Committee E07 on Nondestructive Testing and is the direct responsibility of Subcommittee E07.04 on Acoustic Emission Method.

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.



primary calibration (Test Method E1106). An AE sensor responds to motion at its front face. The actual stress and strain at the front face of a mounted sensor depends on the interaction between the mechanical impedance of the sensor (load) and that of the mounting block (driver); neither the stress nor the strain is amenable to direct measurement at this location. However, the free displacement that would occur at the surface of the block in the absence of the sensor can be inferred from measurements made elsewhere on the surface. Since AE sensors are used to monitor motion at a free surface of a structure and interactive effects between the sensor and the structure are generally of no interest, the free motion is the appropriate input variable. It is therefore required that the units of calibration shall be volts per unit of free displacement or free velocity, that is, volts per unit or volt seconds per unit.

5.2 The calibration results may be expressed, in the frequency domain, as the steady-state magnitude and phase response of the sensor to steady-state sinusoidal excitation or, in the time domain, as the transient response of the sensor to a delta function of displacement.

5.3 Importance of the Test Block Material—The specific acoustical impedance (ρc) of the test block is an important parameter that affects calibration results. Calibrations performed on blocks of different materials yield sensor sensitivities that are very different. For example, a sensor that has been calibrated on a steel block, if calibrated on a glass or aluminum block, may have an average sensitivity that is 50 % of the value obtained on steel and, if calibrated on a polymethyl methacrylate block, may have an average sensitivity that is 3 % of the value obtained on steel.³

5.3.1 For a sensor having a circular aperture (mounting face) with uniform sensitivity over the face, there are frequencies at which nulls in the frequency response occur. These nulls occur at the zeroes of the first order Bessel function, J_1 (*ka*), where $k = 2\pi f/c$, f = frequency, c = the Rayleigh speed in the test block, and a = the radius of the sensor face.³ Therefore, calibration results depend on the Rayleigh wave speed in the material of the test block.

5.3.2 For the reasons outlined in 5.3 and 5.3.1, all secondary calibration results are specific to a particular material; a secondary calibration procedure must specify the material of the block.⁴

6. Requirements of the Secondary Calibration Apparatus

6.1 *Basic Scheme*—A prototype apparatus for secondary calibration is shown in Fig. 1. A glass-capillary-break device or other suitable source device (A) is deployed on the upper face of the steel test block (B). The RS (C) and the SUT (D) are placed at equal distances from the source and in opposite directions from it. Because of the symmetry of the sensor placement, the free surface displacements at the locations of



FIG. 1 Schematic of the Prototype Secondary Calibration Apparatus: A = a Capillary-Break Source, B = a 41 by 41 by 19 cm [16 by 16 by 7.5 in.] Steel Block, C = the RS, D = the SUT, and E = the Two-Channel Waveform Recorder System

the RS and SUT are the same. Voltage transients from the two sensors are recorded simultaneously by digital waveform recorders (E) and processed by a computer.

6.1.1 Actual dynamic displacements of the surface of the test block at the locations of the RS and SUT may be different because the RS and SUT may present different load impedances to the test block. However, consistent with the definitions used for primary and secondary calibration, the loading effects of both sensors are considered to be characteristics of the sensors themselves, and calibration results are stated in terms of the free displacement of the block surface.

6.2 *Qualification of The Test Block*—The prototype secondary calibration apparatus was designed for sensors intended for use on steel. The test block is therefore made of steel (hot rolled steel A36 material). For a steel block, it is recommended that specification to the metal supplier require that the block be stress relieved at 566 °C [1050 °F] or greater and that the stress relief be conducted subsequent to any flame cutting.

 $^{+1}$ 6.2.1 For a steel test block, there must be two parallel faces with a thickness, measured between the faces, of at least 18 cm [7 in.]. The volume of the block must contain a cylinder that is 40 cm [16 in.] in diameter by 18 cm [7 in.] long, and the two faces must be flat and parallel to within 0.12 mm [0.005 in.] overall (\pm 0.06 mm [0.0025 in.]).

6.2.2 For a steel test block, the top surface of the block (the working face) must have a RMS roughness value no greater than 1 μ m [40 μ in.], as determined by at least three profilometer traces taken in the central region of the block. The bottom surface of the block must have a RMS roughness value no greater than 4 μ m [160 μ in.]. The reason for having a specification on the bottom surface is to ensure reasonable ability to perform time-of-flight measurements of the speed of sound in the block.

6.2.3 For blocks of materials other than steel, minimum dimensional requirements, dimensional accuracies, and the roughness limitation must be scaled in proportion to the longitudinal sound speed in the block material relative to that in steel.

6.2.4 The top face of the block shall be the working face on which the source, RS, and SUT are located. These locations shall be chosen near the center so as to maximize the distances of source and receivers to the nearest edge of the face. For a

³ Breckenridge, F. R., Proctor, T. M., Hsu, N. N., and Eitzen, D. G., "Some Notions Concerning the Behavior of Transducers," *Progress in Acoustic Emission III*, Japanese Society of Nondestructive Inspection, 1986, pp. 675–684.

⁴ Although this practice addresses secondary calibrations on test blocks of different materials, the only existing primary calibrations are performed on steel test blocks. To establish a secondary calibration on another material would also require the establishment of a primary calibration for the same material.

test block of any material, the distance from the source to the RS and the distance from the source to the SUT must each be $100 \pm 2 \text{ mm} [4 \pm 0.1 \text{ in.}]$ (the same as that specified for primary calibration).

6.2.5 The block must undergo longitudinal ultrasonic examination for indications at some frequency between 2 and 5 MHz. The guidelines of Practice E114 should be followed. The block must contain no indications that give a reflection greater than 12 % of the first back wall reflection.

6.2.6 The material of the block must be highly uniform, as determined by pulse-echo, time-of-flight measurements of both longitudinal and shear waves. These measurements must be made through the block at a minimum of seven locations spaced regularly over the surface. The recommended method of measurement is pulse-echo overlap using precisely controlled delays between sweeps. See Practice E494. It is recommended that the pulse-echo sensors have their main resonances in the range between 2 and 5 MHz. For the seven (or more) longitudinal measurements, the maximum difference between the individual values of the measurements must be no more than 0.3 % of the average value. The shear measurements must satisfy the same criterion.

6.3 *Source*—The source used in the prototype secondary calibration system is a breaking glass capillary. Capillaries are prepared by drawing down 6 mm Pyrex tubing to a diameter of 0.1 to 0.25 mm. Source events are generated by squeezing the capillary tubing against the test block using pressure from the side of a 4 mm diameter glass rod held in the hand. Since the capillary is a line source, its length must be oriented at 90 degrees to the direction of propagation to the sensosrs.⁵

6.3.1 In general, a secondary calibration source may be any small aperture (less than 3 mm [0.12 in.]) device that can provide sufficient energy to make the calibration measurements conveniently at all frequencies within the range of 100 kHz to 1 MHz. Depending on the technique of the calibration, the source could be a transient device such as a glass-capillary-break apparatus, a spark apparatus, a pulse-driven transducer (with pulse rise time less than one (1) micro-second), or a continuous wave device such as a National Institute for Standards and Technology (NIST) Conical Transducer driven by a tone burst generator. If the RS and SUT are to be tested on the block sequentially instead of simultaneously, then it must be established that the source is repeatable within 2 %.

6.4 *Reference Sensor*—The RS in the prototype secondary calibration system is an NIST Conical Transducer.

6.4.1 In general, the RS must have a frequency response, as determined by primary calibration, that is flat over the frequency range of 100 kHz to 1 MHz within a total overall variation of 20 dB either as a velocity transducer or a displacement transducer. For a valid calibration, the RS must have been calibrated on the same material as the material that the SUT is to be used on. It is preferred that the RS be of a type



Note 1—The nulls in the response curves are predicted by the aperture effect described in 5.3.1. The worst case error is approximately 3.6 dB and occurs at the first aperture null (0.3 MHz). Most of the data agree within 1 dB.

FIG. 2 Comparison of Primary and Secondary Calibration Results for a SUT Having a Nominal Diameter of 12.7 mm [0.5 in.]

that has a small aperture and that its frequency response be as smooth as possible. See 5.3.1 and Figs. 2 and 3 concerning the aperture effect.

6.5 Sensor Under Testing—The SUT must be tested under conditions that are the same as those intended for the SUT when in use. The couplant, the electrical load applied to the SUT terminals, and the hold-down force must all be the same as those that will be applied to the SUT when in use. The preferred couplant is low-viscosity machine oil, and the preferred hold-down force is 9.8 N [2.2 lbf]. These conditions are all the same as for primary calibration.

6.6 Data Recording and Processing Equipment—For methods using transient sources, the instrumentation would include a computer and two synchronized transient recorders, one for the RS channel and one for the SUT channel. The transient recorders must be capable of at least ten-bit accuracy and a sampling rate of 20 MHz, or at least twelve-bit accuracy and a sampling rate of 10 MHz. They must each be capable of storing data for a time record of at least 55 µs. The data are transferred to the computer for processing and also stored on a permanent device, for example, compact disc, as a permanent record.

7. Calibration Data Processing

7.1 *Raw Data*—In the prototype secondary calibration system, the triggering event is the Rayleigh spike of the reference channel. By means of pre-triggering, the data sequence in both channels is made to begin 25 μ s before the trigger event. The raw captured waveform record of one of the two channels comprises 2048 ten-bit data with a sampling interval t = 0.05 μ s. Therefore, the total record has a length of T = 102.4 μ s. Reflections from the bottom of the block appear approximately 60 μ s after the beginning of the record in both channels. These reflections are shown in the signals in Figs. 4 and 5 for a calibration by use of a prototype secondary

⁵ Burks, B., "Re-examination of NIST Acoustic Emission Sensor Calibration: Part I – Modeling the Loading from Glass Capillary Fracture," *Journal of Acoustic Emission*, Vol 29, pp. 167–174.

E1781/E1781M - 20



FIG. 3 Comparison of Primary and Secondary Calibration Results for Another SUT Having a Nominal Diameter of 12.7 mm [0.5 in.]; Worst Case Errors are 3 dB, While Most of the Data Agree Within 1 dB



Prototype Secondary Calibration System

calibration system. It is undesirable to have the reflections present in the captured waveforms because the reflected rays arrive at the sensors from directions that are different from those intended for the calibration. The record is truncated and padded as follows: data corresponding to times greater than 55 µs are replaced by values, all equal to the average of the last ten values in the record prior to the 55 µs cutoff.

7.2 Complex Valued Spectra—Using a fast Fourier transform (FFT), complex valued spectra $S(f_m)$ and $U(f_m)$ derived from the RS and SUT, respectively, are calculated:

$$S(f_m) = \sum_{j=0}^{n-1} s_j \exp(i2\pi m j/n),$$
 (1)

$$U(f_m) = \sum_{j=0}^{n-1} u_j \exp(i2\pi m j/n)$$
(2)

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FIG. 5 Waveform of the SUT from Calibration of Fig. 2

where:

j

$$n = 2048,$$

$$j = 0, 1, 2, ..., n-1,$$

$$s_j = j^{\text{th}} \text{ sample value in the RS channel,}$$

$$u_j = j^{\text{th}} \text{ sample value in the SUT channel,}$$

$$m = 0, 1, 2, ..., n/2 - 1, \text{ and}$$

$$f_{m} = m/T, \text{ the } m^{\text{th}} \text{ frequency in MHz.}$$

The frequency separation is 1/T = 9.76 kHz. It is assumed that s_i and u_i have been converted to volts by taking account of the gains of the waveform recorders and any preamplifiers used in the calibration. The (complex valued) response of the SUT is

$$D(f_m) = \frac{U(f_m)S_o(f_m)}{S(f_m)}$$
(3)

where $S_o(f_m)$ represents the (complex valued) response of the RS in volts per metre at the frequency f_m . The values of $S_o(f_m)$ are derived from primary calibration of the RS.

7.3 Magnitude and Phase—The magnitude, r_m , and phase, θ_m , of $D(f_m)$ are calculated from $D(f_m)$ in the usual way:

$$r_m = |D(f_m)|, \tag{4}$$

$$\theta_m = \arctan \frac{I[D(f_m)]}{R[D(f_m)]}$$
(5)

where I[z] and R[z], respectively, denote the imaginary and real parts of a complex argument, z. Calibration magnitude data, w_m , are usually expressed in decibels as follows:

$$w_m = 20 \times \log_{10} \left(r_m \right) \tag{6}$$

The values of w_m and θ_m are plotted versus frequency as shown in Figs. 6 and 7 for the data in Figs. 4 and 5.

7.4 Special Considerations—The FFT treats the function as though it were periodic, with the period equal to the length of the time recorded. If initial and final values are unequal, a step exists between the last and first data point. The FFT produces data that are contaminated by the spectrum of this step.

7.4.1 The fix that is applied in the prototype system is to add a linear function to the data as follows: