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Standard Guide for Microbial Contamination in Fuels and Fuel Systems¹

This standard is issued under the fixed designation D6469; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope*

1.1 This guide provides personnel who have a limited microbiological background with an understanding of the symptoms, occurrence, and consequences of chronic microbial contamination. The guide also suggests means for detection and control of microbial contamination in fuels and fuel systems. This guide applies primarily to gasoline, aviation, boiler, industrial gas turbine, diesel, marine, furnace fuels and blend stocks (see Specifications **D396**, **D910**, **D975**, **D1655**, **D2069**, **D2880**, **D3699**, **D4814**, **D6227**, and **D6751**), and fuel systems. However, the principles discussed herein also apply generally to crude oil and all liquid petroleum fuels. ASTM Manual 47² provides a more detailed treatment of the concepts introduced in this guide; it also provides a compilation of all of the standards referenced herein that are not found in the *Annual Book of ASTM Standards*, Section Five on Petroleum Products and Lubricants.

1.2 This guide is not a compilation of all of the concepts and terminology used by microbiologists, but it does provide a general understanding of microbial fuel contamination.

1.3 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

1.4 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.5 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

2. Referenced Documents

2.1 ASTM Standards:³

D130 Test Method for Corrosiveness to Copper from Petroleum Products by Copper Strip Test

D396 Specification for Fuel Oils

D445 Test Method for Kinematic Viscosity of Transparent and Opaque Liquids (and Calculation of Dynamic Viscosity)

D515 Test Method for Phosphorus In Water (Withdrawn 1997)⁴

D664 Test Method for Acid Number of Petroleum Products by Potentiometric Titration

D888 Test Methods for Dissolved Oxygen in Water

¹ This guide is under the jurisdiction of ASTM Committee D02 on Petroleum Products, Liquid Fuels, and Lubricants and is the direct responsibility of Subcommittee D02.14 on Stability, Cleanliness and Compatibility of Liquid Fuels.

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² MNL 47, *Fuel and Fuel System Microbiology: Fundamentals, Diagnosis, and Contamination Control*, Passman, F. J., ed., ASTM International, 2003.

³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

⁴ The last approved version of this historical standard is referenced on www.astm.org.

*A Summary of Changes section appears at the end of this standard

- D910 Specification for Leaded Aviation Gasolines
- D974 Test Method for Acid and Base Number by Color-Indicator Titration
- D975 Specification for Diesel Fuel
- D1067 Test Methods for Acidity or Alkalinity of Water
- D1126 Test Method for Hardness in Water
- D1293 Test Methods for pH of Water
- D1298 Test Method for Density, Relative Density, or API Gravity of Crude Petroleum and Liquid Petroleum Products by Hydrometer Method
- D1331 Test Methods for Surface and Interfacial Tension of Solutions of Paints, Solvents, Solutions of Surface-Active Agents, and Related Materials
- D1426 Test Methods for Ammonia Nitrogen In Water
- D1655 Specification for Aviation Turbine Fuels
- D1744 Test Method for Determination of Water in Liquid Petroleum Products by Karl Fischer Reagent (Withdrawn 2016)⁴
- D1976 Test Method for Elements in Water by Inductively-Coupled Plasma Atomic Emission Spectroscopy
- D2068 Test Method for Determining Filter Blocking Tendency
- D2069 Specification for Marine Fuels (Withdrawn 2003)⁴
- D2274 Test Method for Oxidation Stability of Distillate Fuel Oil (Accelerated Method)
- D2276 Test Method for Particulate Contaminant in Aviation Fuel by Line Sampling
- D2880 Specification for Gas Turbine Fuel Oils
- D3240 Test Method for Undissolved Water In Aviation Turbine Fuels
- D3241 Test Method for Thermal Oxidation Stability of Aviation Turbine Fuels
- D3242 Test Method for Acidity in Aviation Turbine Fuel
- D3325 Practice for Preservation of Waterborne Oil Samples
- D3326 Practice for Preparation of Samples for Identification of Waterborne Oils
- D3328 Test Methods for Comparison of Waterborne Petroleum Oils by Gas Chromatography
- D3414 Test Method for Comparison of Waterborne Petroleum Oils by Infrared Spectroscopy (Withdrawn 2018)⁴
- D3699 Specification for Kerosine
- D3867 Test Methods for Nitrite-Nitrate in Water
- D3870 Practice for Establishing Performance Characteristics for Colony Counting Methods in Microbiology (Withdrawn 2000)⁴
- D4012 Test Method for Adenosine Triphosphate (ATP) Content of Microorganisms in Water
- D4057 Practice for Manual Sampling of Petroleum and Petroleum Products
- D4176 Test Method for Free Water and Particulate Contamination in Distillate Fuels (Visual Inspection Procedures)
- D4412 Test Methods for Sulfate-Reducing Bacteria in Water and Water-Formed Deposits
- D4418 Practice for Receipt, Storage, and Handling of Fuels for Gas Turbines -8023-b2ac1ee33cc3/astm-d6469-20
- D4454 Test Method for Simultaneous Enumeration of Total and Respiring Bacteria in Aquatic Systems by Microscopy (Withdrawn 2015)⁴
- D4814 Specification for Automotive Spark-Ignition Engine Fuel
- D4840 Guide for Sample Chain-of-Custody Procedures
- D4860 Test Method for Free Water and Particulate Contamination in Middle Distillate Fuels (Clear and Bright Numerical Rating)
- D4870 Test Method for Determination of Total Sediment in Residual Fuels
- D4952 Test Method for Qualitative Analysis for Active Sulfur Species in Fuels and Solvents (Doctor Test)
- D5304 Test Method for Assessing Middle Distillate Fuel Storage Stability by Oxygen Overpressure
- D5452 Test Method for Particulate Contamination in Aviation Fuels by Laboratory Filtration
- D6217 Test Method for Particulate Contamination in Middle Distillate Fuels by Laboratory Filtration
- D6227 Specification for Unleaded Aviation Gasoline Containing a Non-hydrocarbon Component
- D6426 Test Method for Determining Filterability of Middle Distillate Fuel Oils
- D6751 Specification for Biodiesel Fuel Blend Stock (B100) for Middle Distillate Fuels
- D6974 Practice for Enumeration of Viable Bacteria and Fungi in Liquid Fuels—Filtration and Culture Procedures
- D7463 Test Method for Adenosine Triphosphate (ATP) Content of Microorganisms in Fuel, Fuel/Water Mixtures, and Fuel Associated Water
- D7464 Practice for Manual Sampling of Liquid Fuels, Associated Materials and Fuel System Components for Microbiological Testing
- D7687 Test Method for Measurement of Cellular Adenosine Triphosphate in Fuel and Fuel-associated Water With Sample Concentration by Filtration
- D7978 Test Method for Determination of the Viable Aerobic Microbial Content of Fuels and Associated Water—Thixotropic Gel Culture Method

D8070 Test Method for Screening of Fuels and Fuel Associated Aqueous Specimens for Microbial Contamination by Lateral Flow Immunoassay

E177 Practice for Use of the Terms Precision and Bias in ASTM Test Methods

E1259 Practice for Evaluation of Antimicrobials in Liquid Fuels Boiling Below 390 °C

E1326 Guide for Evaluating Non-culture Microbiological Tests

2.2 *Energy Institute Standards:*⁵

IP 385 Determination of the viable aerobic microbial content of fuels and fuel components boiling below 390 °C—Filtration and culture method

IP 472 Determination of fungal fragment content of fuels boiling below 390 °C

2.3 *Government Standards:*⁶

40 CFR 152 Pesticide Registration and Classification Procedures

2.4 *Other Standards:*

Test Method 2540D Total Suspended Solids Dried at 103–105 °C⁷

98/8/EC Biocidal Products Directive⁸

TPC Publication No. 3 The role of bacteria in the corrosion of oil field equipment⁹

3. Terminology

3.1 Definitions:

3.1.1 *aerobe, n*—an organism that requires oxygen to remain metabolically active.

3.1.1.1 Discussion—

Aerobes use oxygen as their terminal electron acceptor in their primary energy-generating metabolic pathways. Aerobes require oxygen for survival, using *aerobic* metabolic processes to generate energy for growth and survival.

3.1.2 *aggressiveness index (A.I.), n*—the value computed from the sum of the pH + log alkalinity + log hardness of water sample where both alkalinity and hardness are reported as milligram CaCO₃/L.

3.1.2.1 Discussion—

As A.I. decreases, water becomes more corrosive. At A.I. ≥ 12, water is noncorrosive. At 10 ≤ A.I. < 12, water is moderately corrosive. At A.I. < 10, water is strongly corrosive.

3.1.3 *anaerobe, n*—an organism that cannot grow or proliferate in the presence of oxygen.

3.1.3.1 Discussion—

Anaerobes use molecules other than oxygen in their primary energy-generating metabolic pathways, such as sulfate, nitrate, ketones, and other high-energy organic molecules. Although anaerobes may survive in the presence of oxygen, anaerobic growth typically occurs only in an oxygen depleted environment.

3.1.4 *anoxic, adj*—oxygen free.

3.1.5 *antimicrobial, n*—see biocide.

3.1.6 *bacterium (pl. bacteria), n*—a single cell microorganism characterized by the absence of defined intracellular membranes that define all higher life forms.

3.1.6.1 Discussion—

All bacteria are members of the biological diverse kingdoms *Prokaryota* and *Archaeobacteriota*. Individual taxa within these kingdoms are able to thrive in environments ranging from sub-zero temperatures, such as in frozen foods and polar ice, to superheated waters in deep-sea thermal vents, and over the pH range <2.0 to >13.0. Potential food sources range from single carbon molecules (carbon dioxide and methane) to complex polymers, including plastics. Oxygen requirements range from obligate anaerobes, which die on contact with oxygen, to obligate aerobes, which die if oxygen pressure falls below a species specific threshold.

3.1.7 *bioburden, n*—the level of microbial contamination (*biomass*) in a system.

⁵ Available from Energy Institute, 61 New Cavendish St., London, W1G 7AR, U.K., <http://www.energyinst.org>.

⁶ Available from U.S. Government Printing Office, Superintendent of Documents, 732 N. Capitol St., NW, Mail Stop: SDE, Washington, DC 20401.

⁷ Available from American Public Health Association, 800 I Street, NW Washington, DC 20001.

⁸ Official Journal of the European Communities, 24.4.98, L123/1–63(1998).

⁹ Available from NACE International (NACE), 1440 South Creek Dr., Houston, TX 77084-4906, <http://www.nace.org>.

3.1.7.1 *Discussion*—

Typically, bioburden is defined in terms of either biomass or numbers of cells per unit volume or mass or surface area material tested (g biomass/mL; g biomass/g; cells/mL sample, and so forth). The specific parameter used to define bioburden depends on critical properties of the system evaluated and the investigator's preferences.

3.1.8 *biocide, n*—a poisonous substance that can kill living organisms.

3.1.8.1 *Discussion*—

Biocides are further classified as bactericides (kill bacteria), fungicides (kill fungi), and microbiocides (kill both bacterial and fungi). They are also referred to as *antimicrobials*.

3.1.9 *biodeterioration, n*—the loss of commercial value or performance characteristics, or both, of a product (fuel) or material (fuel system) through biological processes.

3.1.10 *biofilm, n*—a film or layer of microorganisms, biopolymers, water, and entrained organic and inorganic debris that forms as a result of microbial growth and proliferation at phase interfaces (liquid-liquid, liquid-solid, liquid-gas, and so forth) (synonym: *skinogen layer*).

3.1.11 *biomass, n*—biological material including any material other than fossil fuels which is or was a living organism or component or product of a living organism.

3.1.11.1 *Discussion*—

In biology and environmental science, biomass is typically expressed as density of biological material per unit sample volume, area, or mass (g biomass/g (or /mL or /cm²) sample); when used for products derived from organisms biomass is typically expressed in terms of mass (kg, MT, etc.) or volume (L, m³, bbl, etc.).

3.1.11.2 *Discussion*—

Products of living organisms include those materials produced directly by living organisms as metabolites (for example, ethanol, various carbohydrates and fatty acids), materials manufactured by processing living organisms (for example, pellets manufactured by shredding and pelletizing plant material) and materials produced by processing living organisms, their components or metabolites (for example, transesterified oil; also called biodiesel).

3.1.12 *biosurfactant, n*—a biologically produced molecule that acts as a soap or detergent.

3.1.13 *consortium (pl. consortia), n*—microbial community comprised of more than one, species that exhibits properties not shown by individual community members.

3.1.13.1 *Discussion*—

Consortia often mediate biodeterioration processes that individual taxa cannot.

3.1.14 *depacifying, adj*—the process of removing hydrogen ions (protons) from the cathodic surface of an electrolytic cell, thereby promoting continued electrolytic corrosion.

3.1.15 *deplasticize, v*—the process of breaking down polymers in plastics and similar materials, resulting in loss of the material's structural integrity.

3.1.16 *facultative anaerobe, n*—a microorganism capable of growing in both oxic and anoxic environments.

3.1.16.1 *Discussion*—

Facultative anaerobes use oxygen when it is present, and use either organic or inorganic energy sources (nitrate, sulfate, and so forth) when oxygen is depleted or absent.

3.1.17 *fungus (pl. fungi), n*—single cell (yeasts) or filamentous (molds) microorganisms that share the property of having the true intracellular membranes (organelles) that characterize all higher life forms (*Eukaryotes*).

3.1.18 *metabolite, n*—a chemical substance produced by any of the many complex chemical and physical processes involved in the maintenance of life.

3.1.19 *microbial activity test, n*—any analytical procedure designed to measure the rate or results of one or more microorganism processes.

3.1.19.1 *Discussion*—

Examples of microbial activity tests include loss or appearance of specific molecules or measuring the rate of change of parameters, such as acid number, molecular weight distribution (carbon number distribution), and specific gravity.

3.1.20 *microbially influenced corrosion (MIC), n*—corrosion that is enhanced by the action of microorganisms in the local environment.

3.1.21 *mold, n*—form of fungal growth, characterized by long strands of filaments (hyphae) and, under appropriate growth conditions, aerial, spore-bearing structures.

3.1.21.1 *Discussion*—

In fluids, mold colonies typically appear as soft spheres; termed *fisheyes*.

3.1.22 *obligate aerobe, n*—microorganism with an absolute requirement for atmospheric oxygen in order to function.

3.1.22.1 *Discussion*—

Obligate aerobes may survive periods in anoxic environments but will remain dormant until sufficient oxygen is present to support their activity.

3.1.23 *obligate anaerobe, n*—microorganism that cannot function when atmospheric oxygen is present.

3.1.23.1 *Discussion*—

Obligate anaerobes may survive periods in oxic environments but remain dormant until conditions become anoxic.

3.1.24 *oxic, adj*—an environment with a sufficient partial pressure of oxygen to support aerobic growth.

3.1.25 *shock treatment, n*—the addition of an antimicrobial agent sufficient to cause rapid and substantial (several orders of magnitude) reductions in number of living microbes in a fluid or system receiving that concentration.

3.1.26 *skinnogen, n*—synonymous with *biofilm*.

3.1.26.1 *Discussion*—

Generally applied to a biofilm formed at the fuel-water interface.

3.1.27 *sour, v*—to increase the concentration of hydrogen sulfide.

3.1.28 *sulfate reducing bacteria (SRB), pl., n*—any bacteria with the capability of reducing sulfate to sulfide.

3.1.28.1 *Discussion*—

The term SRB applies to representatives from a variety of bacterial taxa that share the common feature of sulfate reduction (SO_4^{2-} to S^{2-}). SRB are major contributors to MIC.

3.1.29 *taxa, pl., n*—the units of classification of organisms, based on their relative similarities.

3.1.29.1 *Discussion*—

Each *taxonomic unit* (group of organisms with greatest number of similarities) is assigned, beginning with the most inclusive to kingdom, division, class, order, family, genus, and species. Bacteria and fungi are often further classified by strain and biovariation.

3.1.30 *viable titer, n*—the number of living microbes present per unit volume, mass, or area.

3.1.30.1 *Discussion*—

Viable titer is reported in terms of either colony forming units (CFU) or most probable number (MPN) per millilitre, milligram, or centimetre squared.

4. Summary

4.1 Microbes may be introduced into fuels as products cool in refinery tanks. Bacteria and fungi are carried along with dust particles and water droplets through tank vents. In seawater ballasted tanks, microbes are transported with the ballast. Vessel compartments ballasted with fresh, brackish, or seawater, all of which may contain substantial numbers of microbes, may easily become contaminated with the microbes transported with the ballast water. See Section 6 for more a detailed discussion.

4.2 After arriving in fuel tanks, microbes may either stick to overhead surfaces or settle through the product. Some microbes will

adhere to tank walls, whereas others will settle to the fuel/water interface. Most growth and activity takes place where fuel and water meet. The tank bottom fuel/water interface is the most obvious fuel/water boundary. However, there is also a considerable area of fuel/water interface on the interior surface of tank-shells. Microorganisms require water for growth. Although bacteria and fungi can be present in the fuel phase, their growth and activity is restricted to the water phase of fuel systems. The water phase includes volumes ranging from trace (several μL) to bulk ($>1 \text{ m}^3$) accumulations and water entrained within deposits that accumulate on system surfaces. Typically, fuel and system deterioration is caused by the net activity of complex microbial communities living within slimy layers called *biofilms*. Biofilms may be found on tank roofs, shells, at the fuel/water interface, and within bottom sludge/sediment. Section 7 provides greater detail.

4.3 Obtaining representative samples may be challenging. For best results, samples should be collected from the interface zones, especially the fuel/water interface, described in 4.2. Refer to Section 8 for more details.

4.4 Sample analysis includes gross observations as well as a battery of physical, chemical, and microbiological tests. Because biodeterioration shares symptoms with other fuel and fuel-system degradation processes, it is critical to subject samples to a sufficient range of appropriate tests to permit accurate root-cause diagnosis. Section 9 provides more information on examining and testing samples.

4.5 Microbial contamination control requires a well designed strategy that considers system design, sampling and analysis, and preventive and remedial treatment. See Section 11 for details.

4.5.1 Good system design minimizes contaminant entry and provides for adequate sampling, water removal, and periodic cleaning and inspection.

4.5.2 Effective monitoring programs cost-effectively balance biodeterioration risks with sampling and analytical costs.

4.5.3 Remedial efforts may include fuel filtration, reconditioning, disposal, biocide treatment, or tank/system cleaning, or combination thereof. Health, safety, and environmental considerations are critical to proper tank remediation.

5. Significance and Use

5.1 This guide provides information addressing the conditions that lead to fuel microbial contamination and biodegradation and the general characteristics of and strategies for controlling microbial contamination. It compliments and amplifies information provided in Practice D4418 on handling gas-turbine fuels. More detailed information may be found in the IP Guidelines and in Guidelines for the Investigation of Microbial Content of Liquid Fuels and for the Implementation of Avoidance and Remedial Strategies, 3rd Ed.,¹⁰ ASTM Manual 47:47, and Passman, 2019.¹¹

5.2 This guide focuses on microbial contamination in refined petroleum products and product handling systems. Uncontrolled microbial contamination in fuels and fuel systems remains a largely unrecognized but costly problem at all stages of the petroleum industry from crude oil production through fleet operations and consumer use. This guide introduces the fundamental concepts of fuel microbiology and biodeterioration control.

5.3 This guide provides personnel who are responsible for fuel and fuel system stewardship with the background necessary to make informed decisions regarding the possible economic or safety, or both, impact of microbial contamination in their products or systems.

6. Origins of Microbial Contamination

6.1 The high temperature characteristic of distillation and other refinery processes sterilize refinery stocks used in fuel blending. However, conditions in refinery tankage, transport systems, terminal tankage, and users' system tankage may lead to microbial contamination and possible biodeterioration.

6.2 In refinery tankage, water can condense and coalesce as product cools. Tank vents draw moisture from the outside atmosphere

¹⁰ Available from Energy Institute, 61 New Cavendish St., London, W1G 7AR, U.K., <http://www.energyinst.org>.

¹¹ Passman, F. J. "Chapter 35 – Biodeterioration." In: G. Totten, R. Shah and D. Forester, Eds. ASTM Manual 37 – Fuels and Lubricants Handbook: Technology, Properties, Performance, and Testing, 2nd Edition, ASTM International, West Conshohocken, www.astm.org, pp: 1231-1273 (2018). DOI: 10.1520/MNL3720150021.

and may allow precipitation to enter the tank. Moreover, product withdrawal creates a partial vacuum that pulls pollen, dust, and other microbe-carrying particulates through tank vents. Consequently, refinery products tanks are the first stage of petroleum handling where significant microbial contamination can occur.

6.3 In transport by means of tanker or pipeline, additional water may be introduced by condensation. In contrast to pipelines, condensate is not the major source of additional water. Rather, inadequate cargo compartment stripping, use of water as false bottoms to facilitate complete cargo discharge, and other incidental, unintentional water use provide substantial water to fuel tanks. Biofilms can form on tanker or pipeline surfaces where they entrain water, inorganic particles, and nutrients to support growth. Such growth can slough off and be carried to terminal and end user tankage (see 6.4). In terminal tanks, turnover rates may be a week or longer, allowing particulates (including biofilm flocs) to settle into the sludge and sediment zone before product is drawn from the tank. As turnover rates increase, the likelihood of drawing biomass with fuel also increases, due to reduced settling times. Population densities of less than two million cells/mL will have no effect on fuel clarity. Consequently, contaminated fuel is rarely detected visually at the terminal rack.

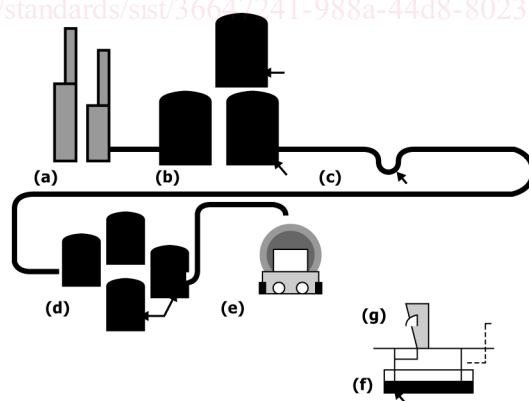
6.4 End-user tank materials and configurations are varied, reflecting use applications that range from small reservoirs (<3 L) on power appliances (chain-saws, mowers, and so forth) to large (>4000 L) day tanks feeding major power generation and propulsion engines. Location (above or below ground) and proximity to the point of combustion will also vary. End-use tanks accumulate water and bioburden that can lead to engine failure through fuel starvation resulting from filter or feed line plugging, or both. Moreover, MIC may compromise fuel tank integrity, leading to leakage. Substantial water volumes may be introduced into fuel tanks intentionally. In some ships, water is used as ballast and may occupy greater than 80 % of the total tank volume. At some tank farms, a layer of water is used to reduce the risk of ground water, contamination due to fuel leakage.

7. Occurrence and Impact

7.1 Microbes require water as well as nutrients. Consequently, they concentrate at sites within fuel systems where water accumulates (see Fig. 1).

7.1.1 Water is essential for microbial growth and proliferation. Even negligible traces of water are sufficient to support microbial populations.

7.1.2 Nutrients are divided into macro-nutrients and micro-nutrients. Carbon, hydrogen, oxygen, nitrogen, sulfur, and phosphorus (CHONSP) comprise the macro-nutrients, and most of these are readily available in fuels. Only phosphorous is likely to be growth



- (a) = refinery distillation towers
- (b) = refinery product tanks
- (c) = fuel transportation pipeline (low points in pipeline trap water)
- (d) = distribution terminal tanks
- (e) = commercial dispensing rack and tank truck
- (f) = retail/fleet underground storage tank
- (g) = retail/fleet dispensing system; arrows indicate sites where water and biologicals tend to accumulate

FIG. 1 Fuel Distribution System