

# INTERNATIONAL STANDARD

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**61164**

Second edition  
2004-03

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## Reliability growth – Statistical test and estimation methods

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International Electrotechnical Commission, 3, rue de Varembé, PO Box 131, CH-1211 Geneva 20, Switzerland  
Telephone: +41 22 919 02 11 Telefax: +41 22 919 03 00 E-mail: [inmail@iec.ch](mailto:inmail@iec.ch) Web: [www.iec.ch](http://www.iec.ch)



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## CONTENTS

FOREWORD.....	4
INTRODUCTION.....	6
1 Scope.....	7
2 Normative references .....	7
3 Terms and definitions .....	7
4 Symbols .....	8
5 Reliability growth models in design and test .....	12
6 Reliability growth models used for systems/products in design phase.....	13
6.1 Modified power law model for planning of reliability growth in product design phase.....	13
6.2 Modified Bayesian IBM-Rosner model for planning reliability growth in design phase.....	16
7 Reliability growth planning a tracking in the product reliability growth testing.....	18
7.1 Continuous reliability growth models .....	18
7.2 Discrete reliability growth model.....	20
8 Use of the power law model in planning reliability improvement test programmes.....	23
9 Statistical test and estimation procedures for continuous power law model.....	23
9.1 Overview .....	23
9.2 Growth tests and parameter estimation .....	23
9.3 Goodness-of-fit tests.....	27
9.4 Confidence intervals on the shape parameter.....	29
9.5 Confidence intervals on current MTBF .....	31
9.6 Projection technique.....	33
Annex A (informative) Examples for planning and analytical models used in design and test phase of product development.....	37
Annex B (informative) The power law reliability growth model – Background information.....	50
Bibliography.....	55
Figure 1 – Planned improvement of the average failure rate or reliability .....	12
Figure A.1 – Planned and achieved reliability growth – Example .....	40
Figure A.2 – Planned reliability growth using Bayesian reliability growth model.....	41
Figure A.3 – Scatter diagram of expected and observed test times at failure based on data of Table A.2 with power law model.....	48
Figure A.4 – Observed and estimated accumulated failures/accumulated test time based on data of Table A.2 with power law model.....	49
Table 1 – Categories of reliability growth models with clause references .....	13
Table 2 – Critical values for Cramér-von Mises goodness-of-fit test at 10 % level of significance.....	34
Table 3 – Two-sided 90 % confidence intervals for MTBF from Type I testing .....	35

Table 4 – Two-sided 90 % confidence intervals for MTBF from Type II testing .....	36
Table A.1 – Calculation of the planning model for reliability growth in design phase .....	39
Table A.2 – Complete data – All relevant failures and accumulated test times for Type I test .....	46
Table A.3 – Grouped data for Example 3 derived from Table A.2 .....	46
Table A.4 – Complete data for projected estimates in Example 4 – All relevant failures and accumulated test times .....	47
Table A.5 – Distinct types of Category B failures, from Table A.4, with failure times, time of first occurrence, number observed and effectiveness factors.....	47

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# INTERNATIONAL ELECTROTECHNICAL COMMISSION

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## RELIABILITY GROWTH – STATISTICAL TEST AND ESTIMATION METHODS

### FOREWORD

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International Standard IEC 61164 has been prepared by IEC technical committee 56: Dependability.

This second edition cancels and replaces the first edition, published in 1995, and constitutes a technical revision.

The main changes with respect to the previous edition are listed below:

- addition of two statistical models for reliability growth planning and tracking in the product design phase;
- statistical methods for the reliability growth programme in the design phase of IEC 61014;
- addition of the discrete reliability growth model for the test phase;
- addition of the fixed number of faults model for the test phase;
- clarification of the symbols used for various models;
- addition of real life examples for most of the statistical models;
- numerical correction of tables in the reliability growth test example.

This standard should be used in conjunction with IEC 61014.

The text of this standard is based on the following documents:

FDIS	Report on voting
56/920/FDIS	56/939/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until 2011. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

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## INTRODUCTION

This International Standard describes the power law reliability growth model and related projection model and gives step-by-step directions for their use. There are several reliability growth models available, the power law model being one of the most widely used. This standard provides procedures to estimate some or all of the quantities listed in Clauses 4, 6 and 7 of IEC 61014.

Two types of input are required. The first one is for reliability growth planning through analysis and design improvements in the design phase in terms of the design phase duration, initial reliability, reliability goal, and planned design improvements, along with their expected magnitude. The second input, for reliability growth in the project validation phase, is for a data set of accumulated test times at which relevant failures occurred, or were observed, for a single system, and the time of termination of the test, if different from the time of the final failure. It is assumed that the collection of data as input for the model begins after the completion of any preliminary tests, such as environmental stress screening, intended to stabilize the product's initial failure intensity.

Model parameters estimated from previous test results may be used to plan and predict the course of future reliability growth programmes, provided the conditions are similar.

Some of the procedures may require computer programs, but these are not unduly complex. This standard presents algorithms for which computer programs should be easy to construct.

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## RELIABILITY GROWTH – STATISTICAL TEST AND ESTIMATION METHODS

### 1 Scope

This International Standard gives models and numerical methods for reliability growth assessments based on failure data, which were generated in a reliability improvement programme. These procedures deal with growth, estimation, confidence intervals for product reliability and goodness-of-fit tests.

### 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050(191):1990, *International Electrotechnical Vocabulary (IEV) – Chapter 191: Dependability and quality of service*

IEC 60300-3-5:2001, *Dependability management – Part 3-5: Application guide – Reliability test conditions and statistical test principles*

IEC 60605-4, *Equipment reliability testing – Part 4: Statistical procedures for exponential distribution – Point estimates, confidence intervals, prediction intervals and tolerance intervals*

IEC 60605-6, *Equipment reliability testing – Part 6: Tests for the validity of the constant failure rate or constant failure intensity assumptions*

IEC 61014:2003, *Programmes for reliability growth*

### 3 Terms and definitions

For the purposes of this document, the terms and definitions of IEC 60050(191) and IEC 61014, together with the following terms and definitions, apply.

#### 3.1

##### **reliability goal**

desired level of reliability that the product should have at the end of the reliability growth programme

#### 3.2

##### **initial reliability**

reliability that is estimated for the product in earlier design stages before any potential failure modes or their causes have been mitigated by the design improvement

#### 3.3

##### **reliability growth model for the design phase**

mathematical model that takes into consideration potential design improvements, and their magnitude to express mathematically reliability growth from start to finish during the design period

**3.4**

**average product failure rate**

average product failure rate calculated from its reliability as estimated for a predetermined time period

NOTE The change in this failure rate as a function of time is a result of the modifications of the product design.

**3.5**

**delayed modification**

corrective modification, which is incorporated into the product at the end of a test

NOTE A delayed modification is not incorporated during the test.

**3.6**

**improvement effectiveness factor**

fraction by which the intensity of a systematic failure is reduced by means of corrective modification

**3.7**

**type I test**

time-terminated test

reliability growth test which is terminated at a predetermined time, or test with data available through a time which does not correspond to a failure

**3.8**

**type II test**

failure-terminated test

reliability growth test which is terminated upon the accumulation of a specified number of failures, or test with data available through a time which corresponds to a failure

**4 Symbols**

For the purposes of this standard, the following symbols apply.

a) For 6.1, clauses A.1 and B.3:

$T$	product lifetime such as mission, warranty period or operational time
$R_0(T)$	initial product reliability
$\lambda_{a0}$	initial average failure rate of product in design period
$d(t)$	number of design modifications at any time during the design period
$\alpha_D$	reliability growth rate resultant from fault mitigation
$D$	total number of implemented design improvements
$t_D$	total duration of the design period available for the design improvements
$t$	time variable during the design period from 0 to $t_D$
$\lambda_a(t)$	average failure rate of product as a function of time during the design period
$\lambda_{aG}(t_D)$	goal average failure rate at the end of the design period $t_D$

$R_G(T)$	reliability goal of the product to be attained during design period
$R(t,T)$	reliability of product as a function of time and design improvements

b) For 6.2, clauses A.2 and B.4:

$R_G(T)$	reliability goal of the product to be attained during design period
$t_D$	total duration of the design period
$\alpha_D$	reliability growth rate during design period
$\lambda_{NS}$	rate of non-systematic (or residual) failures
$D$	total number of predicted or implemented design improvements within design period to address weaknesses
$K$	total number of distinct classes of fault
$j,k,i$	general purpose indicators
$p_{kj}$	probability of $j$ -th design weakness in fault class $k$ resulting in failure during the specified life of the product
$\eta_k$	expected number of design weaknesses in fault class $k$ resulting in failure during the specified life of the product
$D_k$	total number of predicted or implemented design improvements within design period to address faults in fault class $k$
$\lambda_k$	failure rate of design weaknesses categorized in fault class $k$
$R_1(T)$	initial reliability at time $T$
$R(T)$	reliability of product as a function of $T$
$t_G$	expected time to reach reliability goal

c) For 7.1.1, 7.1.2, Clauses 9, A.4, B.1, and B.2:

$D$	total number of design modifications carried out during product design period to mitigate identified faults
$t_D$	total duration of the design period available for potential design modifications
$t$	time variable (during design period $0 \leq t \leq t_D$ )
$d(t)$	number of design modifications at any given time $t$ during design period from 0 to $t_D$
$\alpha_D$	reliability growth rate during the design period
$\lambda_{a0}$	initial average failure rate of a product in design

$\lambda_a(t)$	product average failure rate variable as a function of time during the design period (0 to $t_D$ )
$R_0(T)$	initial product reliability calculated for a time $T$ (mission or other predetermined time)
$R_G(T)$	product reliability goal to be attained through design improvement, calculated for a predetermined time
$R(t)$	product reliability increase as a function of time and design improvements
$\lambda_{aG}$	goal average failure rate
$T$	predetermined time during a product life (mission, warranty, life)
$\lambda$	scale parameter for the power law model
$\beta$	shape parameter for the power law model
CV	critical value for hypothesis test
$d$	number of intervals for grouped data analysis
$\bar{E}, E_i, E_j$	mean and individual improvement effectiveness factors
$I$	number of distinct types of category B failures observed
$i, j$	general purpose indices
$K_A$	number of category A failures
$K_B$	number of category B failures
$K_i$	number of $i$ -th type category B failures observed: $K_B = \sum_{i=1}^{\ell} K_i$
$M$	parameter of the Cramér-von Mises test (statistical)
$N$	number of relevant failures
$N_i$	number of relevant failures in $i$ -th interval
$N(T)$	accumulated number of failures up to test time $T$
$E[N(T)]$	expected accumulated number of failures up to test time $T$
$t(i-1); t(i)$	endpoints of $i$ -th interval of test time for grouped data analysis
$T$	current accumulated relevant test time
$T_i$	accumulated relevant test time at the $i$ -th failure
$T_N$	total accumulated relevant test times for type II test
$T^*$	total accumulated relevant test times for type I test
$\chi^2_{\gamma}(\nu)$	$\gamma$ fractile of the $\chi^2$ distribution with $\nu$ degrees of freedom
$z$	general symbol for failure intensity
$u_{\gamma}$	$\gamma$ fractile of the standard normal distribution
$z_p$	projected failure intensity
$z(T)$	current failure intensity at time $T$ (relevant test time)
$\theta(T)$	current instantaneous mean time between failures
$\theta_p$	projected mean time between failures

$p_j$	probability of success at the stage $i$ of product modification in design phase described in Barlow, Proschan and Scheuer discrete reliability growth model
$N(t)$	number of non-random type faults remaining at time $t>0$ in IBM/Rosner continuous reliability growth model
$g$	fraction that a product/equipment is debugged as given in the IBM/Rosner reliability growth model
$E$	exposure time of item
$\lambda_{NS}$	failure rate of non-systematic (residual) failures
$\lambda_S$	failure rate of systematic failures
$\mu_k$	failure rate of the $k$ -th failure class
$D_k$	number of potential design weakness in failure class $k$
$p_{k,j}$	probability that the $j$ -th potential design weakness associated with failure class $k$ will result in failure
$t_E$	expected design phase time to achieve goal reliability, $R_G(T)$
$t_D$	duration of design phase
$R_i(T)$	initial reliability at time $T$
$\alpha$	parameter to represent the expected growth rate
$\lambda_k$	the expected number of design weaknesses associated with failure class $k$ that will result in failure
$K_2$	proportion of systematic (non-random) faults in product design at start of test
$K_1$	number of faults in the product design at start of test
$q$	fraction of faults removed through debugging on reliability growth test
$q(T)$	fraction of original faults removed by time $t$
$t_q$	Expected time for removing fraction $q$ of systematic faults in test
$\theta(T)$	Cumulative time between failures

## d) Symbols used in the discrete reliability growth model, 7.2:

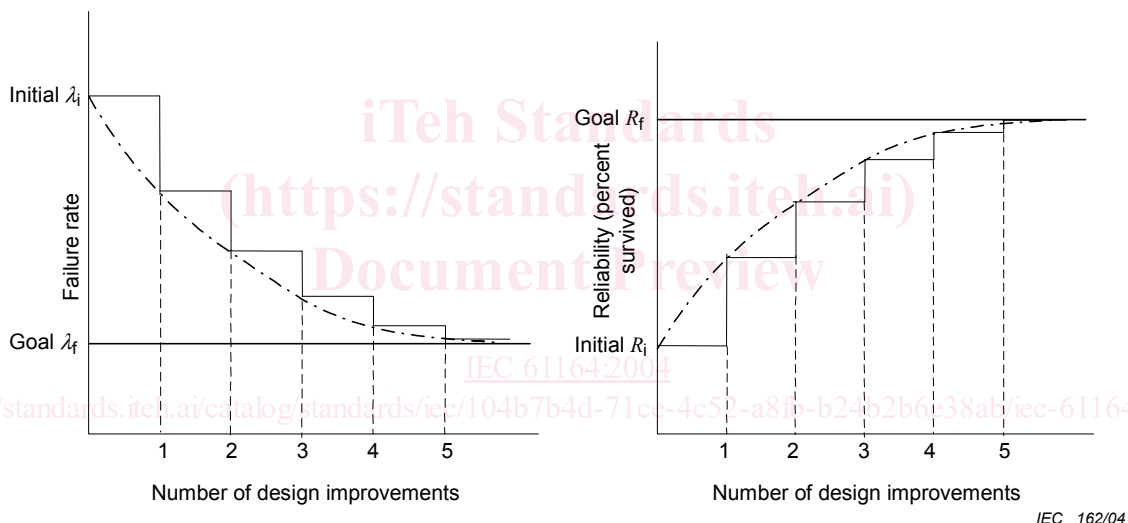
$R_i$	reliability, or success probability, of the $i$ -th configuration
$f_i = 1 - R_i$	unreliability, or failure probability, of the $i$ -th configuration
$k$	number of stages and configurations
$n_i$	number of trials for stage $i$
$m_i$	number of failures for stage $i$
$t_i = \sum_{j=1}^i n_j$	the cumulative number of trials through stage $i$
$N(t_i)$	the accumulated number of failures up through trial $t_i$
$E[N(t_i)]$	the expected accumulated number of failure up through trial $t_i$
$\lambda, \beta$	scale and shape parameters for power law and discrete models

## 5 Reliability growth models in design and test

The basic principles of reliability growth of a product are the same during design and test. This is because both involve identifying and removing weaknesses to improve the product and both measure that improvement by comparing the estimated reliability with the reliability goal. The difference lies in the tools used to conduct design and test analysis and the models used to measure reliability growth. IEC 61064 provides guidance on the construction of reliability growth programmes and the analysis tools used in design and test. This standard provides details about the models that can be used to measure reliability growth in different stages of the product life cycle and for different types of items, such as repairable or one-shot items.

The mathematical models for reliability growth are constructed to estimate the growth achieved and the projected reliability. Reliability growth models aim to support the planning of reliability improvement programmes by estimating the number and the magnitude of the changes during the design and development process or the test time required to reach a specified reliability goal.

The reliability growth models can be formulated in terms of the failure rate (or intensity) or probability of survival to a specified time (the reliability) as shown in Figure 1.



**Figure 1 – Planned improvement of the average failure rate or reliability**

Within this general framework many models for reliability growth exist. Table 1 provides a summary of the main categories. As well as the distinction between design and test, the type of data available will influence model selection. The continuous category refers to items that operate through time, for example, repaired items. The discrete category refers to data that are collected as if for a success/failure of a trial, for example, one-shot items. The procedures used to estimate reliability growth are labelled classical or Bayesian. The former uses the observed data only, while the latter uses both empirical data from design and test as well as engineering knowledge, for example, regarding the anticipated number of failure modes of concern.