

Designation: E2011 – 21

Standard Test Method for Evaluation of Hygienic Handwash and Handrub Formulations for Virus-Eliminating Activity Using the Entire Hand¹

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INTRODUCTION

Mechanical removal and/or in situ inactivation of viruses by hygienic handwash and handrub agents can be assessed using artificially-contaminated hands of adults. This test method uses the entire surface of both hands (including both the palmar and dorsum sides of the hands) in contrast to only the fingerpads in the procedure described in Test Method E1838. However, the reported results from these two methods are comparable. $(1, 2)^2$

1. Scope

1.1 This test method is designed to evaluate handwash or handrub agents for their ability to reduce or eliminate viable viruses from the skin of human hands.

Note 1—A knowledge of virological techniques is required for this test method.

1.2 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

1.3 This standard may involve hazardous materials, operations and equipment. This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use. The user should consult a reference for laboratory safety recommendations. (3-5)

1.4 This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

2. Referenced Documents

2.1 ASTM Standards:³

E1482 Practice for Use of Gel Filtration Columns for Cytotoxicity Reduction and Neutralization

- E1838 Test Method for Determining the Virus-Eliminating Effectiveness of Hygienic Handwash and Handrub Agents Using the Fingerpads of Adults
- E2756 Terminology Relating to Antimicrobial and Antiviral Agents

2.2 AOAC Standard:

AOAC 960.9 Official Methods of Analysis (2007)⁴

3. Terminology 8-0dbceff28684/astm-e2011-21

3.1 For definitions of terms used in this method refer to Terminology E2756.

3.2 Definitions of Terms Specific to This Standard:

3.2.1 hygienic handwash agents, n—agents generally used for handwashing by personnel in hospitals, other health-care facilities, day-care centers, nursing homes, and food-handling establishments; should be safe for repeated use, non-irritating, fast-acting, and efficient in eliminating transient microorganisms from intact skin.

3.2.2 hygienic handrub agents (that is, hand sanitizers), *n*—agents not requiring rinsing and generally used for hand hygiene by personnel in hospitals, other health-care facilities,

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 $^{^{2}\,\}mathrm{The}\,$ boldface numbers in parentheses refer to a list of references at the end of this test method.

³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

⁴ Available from AOAC International, 481 North Frederick Ave., Suite 500, Gaithersburg, Maryland 20877-2417, http://www.aoac.org.

day-care centers, nursing homes, and food-handling establishments; should be safe for repeated use, non-irritating, fastacting, and efficient in eliminating transient microorganisms from intact skin.

3.2.3 *non-medicated soap*, *n*—a soap or detergent that is mild to the skin and does not contain any germicidal chemicals.

3.2.4 *soil* (*organic*) *load*, *n*—a solution of one or more organic and/or inorganic substances added to the suspension of the test organism to simulate the presence of body secretions, excretions or other extraneous substances.

3.2.5 virus-eliminating (inactivating/removing) agent, *n*—any agent that rids hands of viruses by either inactivating them on the skin or by dislodging them for subsequent wash-off.

3.2.6 virus-inactivating agent, n—any agent that renders a virus noninfectious.

4. Summary of Test Method

4.1 This test method uses adult subjects who have provided a written informed consent and whose hands have been determined to be free from any apparent damage at the time of their participation in the study.

4.1.1 Since both hands, including nail beds, of the test subject are exposed to high-titer suspensions of virus, each subject shall be carefully examined for any skin irritations, micro-breaches, or breaks in the hand skin and around the nails using a magnifying glass under well-lighted conditions. Those with any breaches, breaks, or other apparent skin damages shall not participate in the test.

4.1.2 While no fewer than six subjects (one evaluated for baseline and five evaluated post-application of test product) are recommended for each virus-test substance combination to be evaluated, the number required may vary depending on the intended use of the data and the target regulatory agency.

4.2 All subjects should refrain from using any antimicrobials starting at least one week prior to the experimental contamination of their hands.

4.3 A prepared suspension of the selected test virus is grown and diluted or concentrated to produce a titer with a minimum of 10^7 infective units/mL. The contaminating virus is applied to the hands and the hands are treated with the test substance according to the manufacturer's directions or with a set test regimen.

4.4 The virus titer recovered after treatment with the test substance is compared to a baseline sample.

4.5 The virus on experimentally contaminated hands is exposed to the test substance for the length of time that is recommended by the product manufacturer and is representative of actual use conditions of the product. The virus to be recovered after exposure to the test substance is assayed in a cell culture system appropriate to the test virus. The virus titer of the stock, test samples, and controls is determined by a suitable infectivity assay. Cytotoxicity of the host cell culture system caused by the test substance or vehicle at the tested concentration is also determined. The virus-test substance mixture is assayed using multiple replicate wells or flasks of the host system at a dilution just beyond the cytotoxicity range of the formulation tested. At least three replicate determinations are performed on controls (untreated) and test samples (treated) to confirm the extent of virus elimination by the number of lots of the test substance required by the target regulatory agency. Results are recorded and \log_{10} and/or percent reduction in virus infectivity are calculated.

4.5.1 This test method is designed to be performed by a person trained and experienced in working with human pathogenic viruses and their host cells. Such an individual will also be responsible for choosing the appropriate host system for the test virus, and applying the techniques necessary for propagation and maintenance for host system and test virus. For a reference text, see Ref (6).

5. Significance and Use

5.1 This test method is designed to evaluate the viruseliminating activity of hygienic handwash and handrub agents from experimentally-contaminated hands. Such formulations may be further assessed in a clinical trial for their effectiveness in the field. This test method incorporates whole-hand exposure and reflects actual use conditions such as friction during hand decontamination, and enables alternative product forms such as alcohol- or non-alcohol-based liquids, gels, and foams to be tested according to label directions. It is meant to extend, if required, the results of testing with Test Method E1838, which gives precise reductions in viral infectivity on a limited area of the hands. It may also serve as an alternative test method when product form is not amenable to testing by Test Method E1838.

5.2 This test method is not meant for use with surgical hand scrubs or preoperative skin preparations.

Note 2—Application of viruses on the entire surface of both hands entails a greater risk to the subjects than using fingerpads only. Therefore, greater care is needed to ensure that the hands of the participants are free from any apparent damage. Also, virus preparations must be thoroughly screened for, or documented to be free from, extraneous or adventitious pathogens before use in such tests.

6. Equipment and Apparatus

6.1 Laminar Flow Cabinet—a Class II biological safety cabinet. The procedures for the proper maintenance and use of such cabinets are given in Ref (3, 4).

6.2 *Incubator*—an incubator at 35 °C \pm 2 °C or other appropriate temperature for growing host cells and for incubating virus-infected cultures. If an open system is used for cell culture, a CO₂ incubator will be required.

6.3 *Positive Displacement Pipette*—a pipette and pipette tips that can accurately dispense 10-µL to 20-µL volumes.

6.4 *Sterilizer*—any steam sterilizer suitable for processing cell culture media and reagents. The steam supplied to the sterilizer must be free from additives toxic to cell cultures.

6.5 Filter Sterilization System—a membrane or cartridge filtration system (0.22-µm pore diameter) is required for sterilization of heat-sensitive media and solutions.

6.6 *Freezers*—a freezer at $-20 \degree C \pm 2 \degree C$ for the storage of serum and other additives for cell culture media. A second freezer at $-70 \degree C$ or lower is required to store viruses.

6.7 *Refrigerator*—a refrigerator at 4 °C \pm 2 °C is necessary for storage of prepared cell culture media and reagents.

6.8 *Timer*—any calibrated stopwatch that can be read in minutes and seconds.

6.9 *Magnetic Stirrer and Magnets*—magnetic stirrer and magnets must be large enough to hold a 5-L beaker or Erlenmeyer flask for preparing cell culture media or other solutions.

6.10 *Handwashing Sink*—a sink of sufficient size to permit subjects to wash hands without touching hands to sink surface.

6.10.1 Water faucet(s) are to be located above the sink at a height that permits the hands to be held higher than the elbow during the washing procedures. Faucets with electronic sensors or those that are wrist-, elbow-, knee-, or foot-operated are preferred to avoid recontamination of the washed hands.

6.10.2 Mild, proven non-antimicrobial soap, preferably liquid.

6.10.3 Tap water temperature regulator and temperature monitor to monitor and regulate water temperature at 40 °C \pm 2 °C.

6.11 *Liquid Nitrogen Storage for Cells*—an appropriate liquid nitrogen container and liquid nitrogen for cryopreservation of cell line stocks.

6.12 *Inverted Microscope*—an inverted microscope with 10x eye pieces and 5x, 10x, and 40x objectives.

6.13 Serological Pipettes—sterile reusable or single-use pipettes of 10.0-, 5.0-, and 1.0-mL capacity or other suitable capacity.

6.14 *Cell Culture Flasks*—plastic cell culture flasks of 25 cm^2 or 75 cm^2 or other suitable capacity for culturing cells and for preparing virus pools.

Note 3—Each plastic flask for growing cell monolayers can be reused by reseeding with new cell cultures up to 10 times before being discarded.

6.15 *Plastic and Glass Vials, Medication (Medicant)* sterile screw-capped vials will be required for storage of samples.

6.16 *Miscellaneous Labware*—automatic pipettes, pipette tips, plastic vials for storing cell and virus stocks, dilution tubes, cluster plates or flasks for virus titration.

6.17 Sterile Glass Beads-3.5 mm in diameter.

6.18 Glass or Plastic Funnel-27 cm in diameter.

6.19 Glass or Plastic Beaker-200 mL in capacity.

7. Materials and Reagents

7.1 *Cell Culture Media and Supplements*—Culture media and the types and ratios of supplements will vary depending on the cell line. For example, Eagle's minimal essential medium (EMEM) with 5 % to 10 % fetal bovine serum (virus- and mycoplasma-tested) is used for growing a wide variety of cells (see Note 4). Antibiotics may be required in the medium to suppress bacterial contamination.

7.2 Soil Load:

7.2.1 Bovine serum, at a final concentration of 5% in the virus inoculum (see Note 4), if required for the test.

Note 4—Serum is considered unsuitable for use as a soil load with rotaviruses because of its rotavirus-inhibitory and trypsin-neutralizing activity.

7.2.2 A tripartite soil load, as an alternative to serum, is prepared from the following stock solutions in phosphate buffer (pH 7.2 to 7.4).

7.2.2.1 Add 0.5 g of tryptone or yeast extract to 10 mL of the buffer.

7.2.2.2 Add 0.5 g of bovine serum albumin (BSA) to 10 mL of the buffer.

7.2.2.3 Add 0.04 g of bovine mucin to 10 mL of the buffer.

7.2.2.4 Prepare the stock solutions separately and sterilize by passage through a 0.22-µm pore diameter membrane filter, aliquot and store at either 4 °C \pm 2 °C or -20 °C \pm 2 °C. Use within a validated shelf-life.

7.2.2.5 To obtain a 500- μ L inoculum of the test inoculum, add to 340 μ L of the microbial suspension 25 μ L BSA, 100 μ L mucin, and 35 μ L of tryptone/yeast extract stock solutions. This mixture contains approximately 2 g of total protein/L, which is approximately equivalent to the protein content of a 5% solution of fetal bovine serum.

7.3 *Standard Hard Water*—Water prepared according to AOAC 960.9 to a standard hardness of 200 ppm as calcium carbonate is used for dilution of test substance. This is the control solution to determine the baseline level of virus elimination, and to rinse the hands after exposure to the test substance.

7.4 Number of Test Substance Lots to be Used—The number of separate manufactured lots (batches) of each test formulation to be tested will depend on the specific requirements of the target regulatory agency.

7.5 *Diluent for Virus Titration*—Earle's balanced salt solution (EBSS) or other appropriate dilution medium with a pH of 7.2 to 7.4.

7.6 *Eluent for Virus Recovery from Hands*—EBSS or other appropriate dilution medium containing 1 % peptone and 0.1 % Polysorbate 80 at final concentrations.

7.7 *Sterile Disposable Gloves*—Loose-fitting, unlined, powder-free gloves which possess no antiviral or cytotoxic properties, or equivalent. (Plastic bags with low bioburden may be used in place of gloves.)

8. Test Viruses and Cell Cultures

8.1 See Appendix X1 for suggested viruses and host cells.

8.2 Virus stocks as well as host cells used for virus propagation may contain adventitious viruses or other pathogens potentially harmful to human subjects. Therefore, great care should be used in the selection and use of such materials to be applied on human hands.

9. Preparation of Virus Stocks and Determination of Infectivity Titer

9.1 Use appropriate host cells to prepare the virus pool. The virus pool should contain $\geq 10^7$ infective unit/mL.

9.2 Remove growth or maintenance medium and inoculate 0.1 mL of virus (control flasks receive 0.1 mL of EBSS instead)

into each flask (for example, 75 cm²) with a confluent cell monolayer and allow 60 to 120 min for virus adsorption. Place 15 mL of maintenance medium into each inoculated flask and reincubate until about 75 % to 95 % of each infected cell monolayer shows virus-induced cytopathology. Control monolayers must remain free from any apparent degeneration or contamination. Freeze (-20 to -90 °C) and thaw (room temperature) the infected flasks three times to disrupt host cells for virus release. Centrifuge the cell suspension at 4 °C for 10 min to 20 min at approximately $1000 \times g$ to sediment the cell debris, collect supernatant, aliquot if necessary, and store it at -6 °C to -90 °C in suitable aliquots.

Note 5—Alternative flask size, inoculum volume, and/or medium volume may be used as appropriate.

9.3 A titer of $\geq 10^7$ infective units/mL is required for the testing and ultra-centrifugation of virus pools may be needed to achieve such levels of infectivity for the contamination of hands.

10. Controls

10.1 *Cell Control*—To ensure that the host cells are not contaminated with bacteria, fungi, or any cytopathogenic viruses other than those used in the test, at least two host cell monolayers are left untreated in each test and examined first at the end of the incubation period. Any obvious contamination or degeneration in such monolayers would invalidate the assay.

10.2 Virus Susceptibility Control—To ensure that the host cells remain susceptible to the test virus, at least three host cell monolayers will receive a level of the test virus sufficient to produce cytopathology. A lack of obvious and typical virus-induced cytopathic effects in such a monolayer would also invalidate the test.

10.3 *Cytotoxicity Control*—This control applies to neutralizers used in the test and for tests which utilize handrub agents. Its objective is to determine if residues of the test substance in the neutralized eluates can produce any apparent degeneration (cytotoxicity) of the cell line for measuring viral infectivity.

10.3.1 Place 0.5 mL of EBSS or other appropriate medium as a mock inoculum in the cupped hands of the subject and ask him/her to rub them together in a lathering motion not reaching above the wrists for 90 s. Then place in the cupped hands the same volume of the handrub as required for the test. Elute each hand with 40 mL of an eluent containing an appropriate neutralizer (see 10.5). Inoculate host cell monolayers with the eluate, and incubate at 35 °C \pm 2 °C or another appropriate temperature for the number of days suitable for the test virus. At the end of the incubation period, observe the cell monolayer in an inverted microscope for any signs of cytotoxicity. Absence of any apparent degeneration of the cells indicates freedom from cytotoxicity that could interfere with the scoring for viral infectivity. If cytotoxicity is detected, a different neutralizer or alternative approaches (7) to the removal/ reduction of cytotoxicity may be needed.

10.3.2 Inoculate host cell monolayers with the eluate used as the neutralizer and incubate at 35 °C \pm 2 °C or another appropriate temperature for the number of days suitable for the test virus. At the end of the incubation period, observe the cell

monolayer in an inverted microscope for any signs of cytotoxicity. Absence of any apparent degradation of the cells indicates freedom from cytotoxicity that could interfere with the scoring for viral infectivity. If cytotoxicity is detected, a different neutralizer or alternative approaches (7) to removal/ reduction of cytotoxicity may be needed.

10.4 Control for Interference with Viral Infectivity—This control also applies to handrub agents only. Levels of the test substance which show no obvious cytotoxicity could still reduce or enhance the ability of the challenge virus to infect or replicate in host cells, thus interfering with the determination of its virucidal activity. An interference control must, therefore, be included to rule out such a possibility.

10.4.1 This control can be run using the same eluate as described in 10.3. First, expose the cell monolayers to the eluate or cell culture medium with or without neutralizer (controls) for 60 min and then challenge them with a defined number of infective units of the test virus. Complete the rest of the procedure for an infectivity assay and incubate the cultures as needed for the challenge virus. Any significant difference (greater than $\pm 1.0 \log_{10}$) in the viral infectivity titer is indicative of the test substance's and/or the neutralizer's ability to affect the viral susceptibility of the host cells. In such a case, a different neutralizer or alternative approaches to the removal of the test substance residues in the samples to be titrated for viral infectivity may be needed.

10.5 Validation of Neutralization:

10.5.1 Handwash Agents—While the virucidal activity of the test substance must be neutralized as soon as possible at the end of the exposure period for an accurate measure of the contact time (Test Method E1482), this is not readily attainable in testing handwash agents as described here. The treatment of the contaminated hands with such test substances is immediately followed by rinsing of the hands with 500 mL of hard water and then drying with paper towels. These additional steps, which are an integral part of a normal handwash procedure, may incrementally reduce the levels of any test substance remaining on the hands with a corresponding reduction in virucidal activity. Therefore, the eluates may not require any active neutralization before infectivity assays.

10.5.2 *Handrub Agents*—Add a suitable neutralizer to the eluent (EBSS-peptone or equivalent) when working with handrubs and validate that it can successfully quench the activity of the active ingredient(s) against the test virus(es).

10.5.2.1 Add a countable number of infective units of the test virus to 10 mL of the eluent with the neutralizer and hold the suspension for 10 min at room temp. For control, use the eluent without the neutralizer.

10.5.2.2 Assay the suspensions for infectious virus. The neutralization is considered to be validated if the level of infectious virus recovered from the eluents with neutralizer and the cell culture medium are similar ($\pm 1.0 \log_{10}$).

11. Cleaning and Decontamination of Hands Before Experimental Contamination

11.1 Immediately prior to the experimental contamination, instruct each subject to wash his/her hands with a mild, proven