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Standard Guide for Development, Verification, Validation, and Documentation of Simulated High-Level Tank WasteSimulants for Hazardous Materials and Process Streams¹

This standard is issued under the fixed designation C1750; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 Intent:

- 1.1.1 The intent of this <u>guidelineguide</u> is to provide general considerations for the development, verification, validation, and documentation of <u>high-level waste (HLW) tank simulants</u>. <u>tank simulants for hazardous materials (for example, radioactive wastes) and process streams.</u> Due to the expense and hazards associated with obtaining and working with actual <u>wastes</u>, <u>hazardous materials</u>, especially radioactive wastes, simulants are used in a wide variety of applications including process and equipment development and testing, equipment acceptance testing, and plant commissioning. This standard guide facilitates a consistent methodology for development, preparation, verification, validation, and documentation of <u>waste</u> simulants.
- 1.2 This guidelineguide provides direction on (1) defining simulant use, (2) defining simulant-design requirements, (3) developing a simulant preparation procedure, (4) verifying and validating that the simulant meets design requirements, and (5) documenting simulant-development activities and simulant preparation procedures.

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- 1.3 Applicability:dards.iteh.ai/catalog/standards/sist/08f8058c-f60a-457a-8b51-579962ab9bfa/astm-c1750-21
- 1.3.1 This guide is intended for persons and organizations tasked with developing HLW—simulants to <u>either</u> mimic certain characteristics and properties of <u>actual wastes</u>. <u>hazardous materials or provide representative performance for the phenomenon being evaluated</u>. The process for simulant development, verification, validation, and documentation is shown schematically in Fig. 1. Specific approval requirements for the <u>simulants simulant</u> developed under this <u>guideline guide</u> are not provided. This topic is left to the performing organization. <u>Approval requirements are associated with the design of the simulant, makeup procedures, and final simulant produced</u>.
- 1.3.2 While this guide is directed at HLW simulants, much of the guidance may also be simulants for radioactive materials (for example, nuclear waste), the guidance is also applicable to other aqueous based solutions and slurries.
- 1.3.3 The values stated in SI units are to be regarded as the standard. The values given in parentheses are for information only.
- 1.4 *User Caveats:* This guide is not a substitute for sound chemistry and chemical engineering skills, proven practices and experience. It is not intended to be prescriptive but rather to provide considerations for the development and use of simulants.

¹ This specification guide is under the jurisdiction of ASTM Committee C26 on Nuclear Fuel Cycle and is the direct responsibility of Subcommittee C26.13 on Spent Fuel and High Level Waste.

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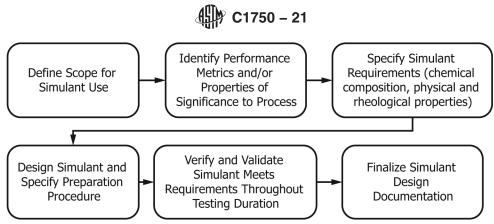


FIG. 1 Simulant Development, Verification, Validation, and Documentation Flowsheet

- 1.4.1 This guideline is not a substitute for sound chemistry and chemical engineering skills, proven practices and experience. It is not intended to be prescriptive but rather to provide considerations for the development and use of waste simulants.
- 1.4.2 This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.
- 1.5 This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.
- 1.6 This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

2. Referenced Documents

2.1 ASTM Standards:²

C859 Terminology Relating to Nuclear Materials

C1109 Practice for Analysis of Aqueous Leachates from Nuclear Waste Materials Using Inductively Coupled Plasma-Atomic Emission Spectroscopy, a catalog/standards/sist/08f8058c-f60a-457a-8651-579962ab9bfa/astm-c1750-21

C1111 Test Method for Determining Elements in Waste Streams by Inductively Coupled Plasma-Atomic Emission Spectroscopy C1752 Guide for Measuring Physical and Rheological Properties of Radioactive Solutions, Slurries, and Sludges

D4129 Test Method for Total and Organic Carbon in Water by High Temperature Oxidation and by Coulometric Detection 2.2 ASME Standard:³

NQA-1 Quality Assurance Requirements for Nuclear Facility Applications

2.3 Environmental Protection Agency SW-846 Methods:⁴

Method 3010A Acid digestion of Aqueous Samples and Extracts for total metals for Analysis by FLAA or ICP Spectroscopy

Method 3050B Acid Digestion of Sediments, Sludges and Soils

Method 3051A Microwave Assisted Acid Digestion of Sediments, Sludges and Soils

Method 3052 Microwave Assisted Acid Digestion of Siliceous and Organically Based Matrices Matrices

Method 6010C Inductively Coupled Plasma-Atomic Emission Spectrometry

Method 6020A Inductively Coupled Plasma-Mass Spectrometry

Method 9056A Determination of Inorganic Anions by Ion Chromatography

3. Terminology

3.1 Refer to Terminology C859 for additional terminology, which may not be defined below.

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ For typical contaminants such as chloride, these ingredients should be added after the amount already present from the other chemicals added is known. Available from American Society of Mechanical Engineers (ASME), ASME International Headquarters, Two Park Ave., New York, NY 10016-5990, http://www.asme.org.

⁴ Available from United States Environmental Protection Agency (EPA), William Jefferson Clinton Bldg., 1200 Pennsylvania Ave., NW, Washington, DC 20460, http://www.epa.gov.

- 3.2 Definitions of Terms Specific to This Standard:
- 3.2.1 cognizant engineer, n—lead engineer responsible for overall supervision and direction of simulant development.
- 3.2.2 *simulant*, *n*—a solution or slurry that mimics or replicates selected chemical, physical or rheological properties, or both, of an actual process or waste stream.stream and is utilized to reduce hazards and costs associated with working with the actual material.
- 3.2.3 simulant development test plan, n—a document that describes the simulant development process that results in a simulant that meets the usage and design requirements identified in the simulant requirements specification.
- 3.2.4 simulant preparation procedure, n—a document that specifies the step by step process of producing the simulant.
- 3.2.5 simulant requirements specification, n—a document that specifies the simulant use and design requirements.
- 3.2.6 *simulant validation*, *n*—establishment of documented evidence that confirms that behavior of the simulant adequately mimics the targeted actual waste behavior. Simulant behavior or performance; simulant validation can be expressed by the query, "Are you making the correct simulant?" and refers back to the needs for which the simulant is being developed.
- 3.2.7 *simulant verification*, *n*—establishment of documented evidence which provides a high degree of assurance that the simulant meets the predetermined design and quality requirements. Simulant requirements; simulant verification can be expressed by the query, "Are you making the simulant properly?"
 - 3.3 Acronyms:
- 3.3.1 *ASME*—American Society of Mechanical Engineers
- 3.3.2 *DI*—Deionized Water
- 3.3.3 DOE—U.S. Department of Energy
- 3.3.4 *GFC*—Glass Forming Chemicals

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- 3.3.5 HLW—High-Level Waste
- 3.3.6 LAW—Low-Activity Waste
- 3.3.7 *N/A*—Not Applicable
- 3.3.8 NQA-1—Nuclear Quality Assurance
- 3.3.9 PSD—Particle Size Distribution
- 3.3.10 *QA*—Quality Assurance
- 3.3.11 QC—Quality Control
- 4. Summary of Guide
- 4.1 This guide provides general considerations on the development, preparation, validation, verification, and documentation of HLW-simulants.
- 4.2 The first step in the process is to define the purpose for which the simulant will be used and to identify the key process performance metrics or properties, or both, relevant to the phenomenon being assessed. The performance metrics/parameters provide a means of comparing simulant performance against that for actual waste (based on available performance or

characterization data, or both, for the waste) for the process or phenomenon being evaluated. evaluated as exemplified by Peterson et al., Wells, and Lee et al. This first step also includes specifying the target values or range of values for the chemical composition and physical properties (including rheology) of the simulant. The quality assurance requirements are also defined in the first step in accordance with the project requirements for which the simulant is being developed.

- 4.3 The next step is to define the simulant design requirements. This involves determining the necessary and sufficient simulant properties to be measured for each affected unit operation. Key simulant properties and acceptance criteria are developed with regard to the project requirements for which the simulant is being developed. Standardized chemical and physical property measurements are referenced.
- 4.4 The following step is to define an approach for developing the simulant to meet the needs for simulant use while satisfying the design requirements. This approach is often documented in a test plan that includes the methods for validating the use of the final developed simulant and verifying the simulant is acceptable.
- 4.5 Upon developing an approach and simulant, a procedure for preparing the simulant must be generated and documented. The procedure is focused on providing a means for consistently generating the correct simulant regardless of persons conducting process. The procedure takes into account sequence constituents are added, degree of mixing, and temperature at which processes take place. The development of the preparation procedures must address scale-up associated with fabricating larger batches of simulant, and simulant contamination, degradation, or attrition during testing.
- 4.6 Once the fabrication of simulant is initiated, the simulant being produced needs to be verified. Verification assures the simulant meets design requirements and <u>addressaddresses</u> the question: was the simulant made properly?
- 4.7 At the end of the simulant process, documentation for the simulant development process needs to be compiled and finalized. The documentation must meet project requirements for producing records materials and focus on assuring the repeatability of the process.

5. Significance and Use

5.1 The development and use of simulants is generally dictated by the difficulty of working with actual radioactive <u>wastes</u> or hazardous wastes, materials, or both, and process streams. These difficulties include large costs associated with obtaining samples of significant size as well as significant environmental, safety and health issues.

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- 5.2 Simulant-DevelopmentSimulant-development Scope Statement:
- 5.2.1 Simulant Use Definition:
- 5.2.1.1 The first step should be to determine what the simulant is to be used for. Simulants may be used in a wide variety of applications including evaluation of process performance, providing design input to equipment, facilities and operations, acceptance testing of procured equipment or systems, commissioning of equipment or facilities, or troubleshooting operations in existing equipment or facilities. A simulant may be used for single or multiple unit operations. Through the simulant-use definition, the characteristics of the simulant required for development are determined. The characteristics may include chemical, physical, or a combination of these properties. The simulant-use definition should identify the key process performance metrics or properties, or both. It is important to note that a simulant developed to evaluate mobilization and suspension of Material A is not necessarily adequate for assessing component wear or pipeline transport associated with Material A. Both the material and the application (i.e., performance of interest) need to be considered. For example, if pipeline transport of non-buoyant solids in an aqueous liquid is the phenomena being evaluated, solids properties significant to the process performance can be different than those characteristics for the same simulant forming settled sediment that has a yield stress in a vessel, and the associated performance metrics are

⁵ Simulant development, verification, validation, and documentation activities (described in Peterson, R. A., Wells, B. E., Daniel, R. C., and Russell, R., "Performance-Based Simulants of the control of the control

⁶ Wells, B. E., "Simulant Development for Hanford Tank Farms Double Valve isolation (DVI) Valves Testing," *PNNL-22121*, Pacific Northwest National Laboratory, Richland, WA, 2013.

⁷Lee, K. P., Wells, B. E., and Gauglitz, P. A, and Sexton, R. A., "Waste Feed Delivery Mixing and Sampling Program Simulant Definition for Tank Farm Performance Testing," *RPP-PLN-51625*, Washington River Protection Solutions, LLC, Richland, WA, 2012.

different. Similarly, significant difference in simulant solid particle performance properties may be required to evaluate waste impact on equipment associated with abrasive wear and fretting. The use of key process performance metrics allows changes in simulant composition to be evaluated and compared with other compositions and the actual waste. The effect of process chemical additions and recycle streams must also be assessed. Wells provides an example of an assessment of an existing simulant designed for an alternative purpose and the resulting development of a performance-based simulant to represent the same process material for evaluating valve wear.

5.2.1.2 The applicable quality assurance requirements should be specified in accordance with the projects quality assurance program. For example, in the DOE-<u>U. S. Department of Energy (DOE)</u> complex, these requirements often include a QA program that implements ASME Nuclear Quality Assurance, NQA-1 (latest revision or as specified by project) and its applicable portions of Part II, Subpart 2.7 (latest revision or as specified by project) or Office of Civilian Radioactive Waste Management Quality Assurance Requirements Document: QARD DOE/RW 0333P (latest revision or as specified by project) QA requirements. Simulant-development activities that support regulatory and environmental compliance-related aspects of a waste-vitrification program may need to be performed in accordance with project quality-assurance requirements for generating environmental regulatory data. The use of simulants for project testing that is exploratory or scoping in nature may not need to comply with specific QA requirements.

5.2.2 Simulant Composition Definition:

- 5.2.2.1 Approaches to simulant-composition development will vary depending on the type of simulant required for testing. Simulant compositions may be based on actual sample characterization data, formulated for specific unit operations, or used for bounding or testing the limits of a process or specific piece of equipment. Key properties that are to be simulated should be identified as it may be difficult and unnecessary to develop simulants that exactly mimic all actual process stream properties at once. These key properties may be identified dentified based on the key process performance metrics (refer to (see 5.2.1.1) used to evaluate simulant performance relative to the phenomenon being investigated.
- 5.2.2.2 Compositions for simulants based on actual waste samples should be defined using the available characterization data as the starting point (see Fig. 2). The best available source-term analytical data, including uncertainties, along with a comparison against comparable inventory data, historical process information, or feed vectors must be assessed. This comparison should highlight analytical outlier values that will need to be addressed for an analyte.
- 5.2.2.3 For simulant compositions that mimic flow sheet streams later in the process (after the best available waste source-term analytical information on the incoming waste stream is defined), process flow sheet model runs may be required to provide estimates of the additional stream compositions that incorporate recycle streams from other flow sheet unit operations. Flow sheet runs should consider transient behavior of the process in order to provide a range of compositions such that bounding conditions can be determined. The compositional waste-stream source-term data should be used as inputs to the process model. Any other planned operations that could affect flow sheet compositions being simulated (for example, adjustment of actual-waste-composition data to reflect future waste-feed delivery activities to arrive at the "best forecast composition range") need to be considered. If available, analytical data from actual waste characterization and testing should be compared to waste-stream-modeling results to validate the modeling results. The assumptions and inputs to the process flow sheet used should be described and discussed, and should be incorporated into the simulant requirements specification. By this process, the best-forecast simulant composition range would be traceable to actual waste-characterization data.
- 5.2.2.4 For simulant compositions formulated for specific unit operations, the composition may be targeted to only the chemical, physical, and rheological properties that are known to affect specific key operating or processing parameters.

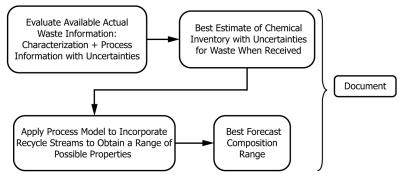


FIG. 2 Flowsheet for Simulant Composition Determinations Based Upon Actual Waste Sample Characterization Data

- 5.2.2.5 For a simulant intended to bound the limits of a process or specific piece of equipment, a range of compositions should be developed to define these operational limits. For example, purely physical simulants may be used to determine the rheological bounds between which a specific vessel is able to meet a required process condition. For this approach, multiple simulants may be required to test numerous parameters. A bounding simulant may consist of an existing simulant spiked with specific compounds to test process performance (for example, added organics to test destruction in a melter system) or a purely physical simulant to test the acceptable physical and rheological process limits of a system.
- 5.3 Simulant Design Requirements:
- 5.3.1 The cognizant engineer should determine the necessary and sufficient simulant properties to measure for each affected unit operation, waste, or recycle stream. These should be the same for both actual waste and simulant waste where the simulant is based upon actual-waste characterization data. Often trace amounts of polyvalent ions or organic constituents can have a significant influence on physical and rheological properties and must be carefully considered. Appendix X1 provides an example of chemical, physical, and rheological properties-measurement matrices for several common unit operations associated with tank waste treatment waste streams that may be considered in developing simulant-design requirements. A similar chemical, physical, and rheological property-measurement matrix should be developed for each specific project or application.
- 5.3.2 The cognizant engineer should determine how close each measured property must be to the target value for the important analytes, physical and rheological properties. The range of acceptable values may depend on the simulant use as well as the accuracy of the analytical techniques used for measuring the properties. The specified ranges should then become the acceptance criteria for the simulant eventually prepared, to verify the simulant-preparation procedure.
- 5.3.3 The following key properties may be discussed (as applicable) and documented in the simulant requirements specification:
- 5.3.3.1 Key Processing Properties—The key processing properties to be determined using the simulant should be listed. These may consist of the properties that are measured during testing of a piece of equipment or unit operation. Examples include filtrate flux, decontamination factors, fouling, scaling, pressure drop, and sample homogeneity. The cognizant engineer should consider plant process upset conditions in testing requirements.
- 5.3.3.2 *Key Chemical Properties*—The chemical properties of the simulant necessary to ensure preparation of a valid simulant should be listed.
- 5.3.3.3 Key Physical Properties—The key physical properties of the simulant should be listed. Examples include density, heat capacity, thermal conductivity, heat of vaporization, PSD, settling rate, wt% settled and centrifuged solids, vol% settled and centrifuged solids, wt% total dried solids, and wt% total oxide.
- 5.3.3.4 *Key Rheological Properties*—The key rheological properties of the simulant should be listed. These may include yield stress (vane), viscosity measurements obtained from rheogram of shear stress versus strain rate, and evaluation of time dependence associated with response at constant strain rate or constant stress application, or both. Other "strength" related parameters may be pertinent. For instance, erosion (mobilization of the sediment) rate parameters should be investigated for mobilization of the 5.2.1.1 example of a settled sediment that has a yield stress.
- 5.3.3.5 <u>Design-Basis Design-basis</u> Range—Key design assumptions used at the particular point in the plant should also be listed. For example, key design parameters for pumps, agitators, piping, and vessels that would affect the simulant development should be documented.
 - 5.3.4 If simulant melter feeds are to be developed, the cognizant engineer should ensure that the glass-former chemicals (GFCs), used for testing, are consistent with project requirements.
 - 5.3.5 The key simulant properties and acceptance criteria may be documented in the simulant requirements specification, preferably in table format. An example for a LAW Melter Feed is provided in X2.1. Each project is encouraged to develop a similar list.
 - 5.3.6 Standardized chemical, physical, and rheological property measurements for work performed should be used (see Section 2). Use of these property measurements is essential to ensure standardized, comparable results between all actual-waste and simulant-based tests.

- 5.4 Simulant Development Test Plan:
- 5.4.1 The person or organization assigned to perform the simulant development work may prepare a simulant development test plan that implements the simulant requirements specification. The simulant development test plan describes the proposed simulant development process, the key performance metrics being used, and should indicate what methodologies are planned to verify and validate simulant-property data produced during preparation and testing activities. For complex applications, the test plan may also define a hierarchy for applying or matching performance parameters to guide the simulant development process in cases where compromises between competing factors must be made.
- 5.5 Develop Simulant Preparation Procedure:
- 5.5.1 Once the simulant requirements specification and the development test plan (if required) have been completed, the performer of the work may proceed with the simulant-development activities in order to produce a standalone simulant preparation procedure. The performer of the work should make sure all simulant design requirements are met when developing the simulant-preparation procedure, for example:
- 5.5.1.1 Specified ionic forms of waste components to be used.
- 5.5.1.2 Charge balancing to be completed appropriately.
- 5.5.1.3 Appropriate substitutes to be used for radioactive species, as required.
- 5.5.1.4 Matching of pertinent performance parameters and physical properties (for example, phase, morphology, size, and crystalline vs. versus non-crystalline) of solids.
- 5.5.1.5 Sequence and rate of addition of simulant components to avoid unwanted chemical reactions.
- 5.5.1.6 Extent of mixing and the need for temperature control (heating/cooling).
- 5.5.1.7 Actual processing parameters of the simulant important in developing a final simulant (for example, washing, leaching, shearing of HLW solids solids, or generation and sampling of a submerged-bed-scrubber simulant) are stipulated.
- 5.5.2 Simulants may be developed following one of several general approaches: attempt to replicate the process that produced the waste, material (for example, waste), replicate key processes that produced the waste; material, obtain individual components that mimic the key properties of the actual wastematerial when mixed together, or use materials that are chemically different than the wastes, material being represented, but mimic the physical or rheological properties, or both, when mixed together.
- 5.5.2.1 One approach is to attempt to replicate the process that produced the actual waste. material (for example, waste). This is generally the most difficult approach to implement, but has the greatest chance of replicating a wide variety of wastematerial properties. This approach may be able to produce a simulant with specialized waste properties and produce compounds and particulates that may not be commercially available or may not have been identified during characterization of the actual wastes. material of interest. It has the potential to produce a simulant that is highly credible. Use of this approach may be hampered by a lack of knowledge of process conditions that produced the wastes or the wastes material. For example, nuclear wastes may have been stored for decades with unknown chemical interactions and changed in unknown ways due to aging effects. effects and a chemical evolution that is not fully understood. The processes are often complex, expensive and time consuming to replicate. In practice it is often sufficient to replicate the key processes that produced the waste:material. For example, neutralizing an acidic solution containing soluble components to form a slurry with insoluble precipitates.
- 5.5.2.2 Another approach is to mix individual commercially available components together to approximate the simulant properties. While this approach is relatively simple to implement it is often hampered by a lack of knowledge of the waste components (speciation) and a lack of commercially available materials. It is also difficult to replicate the particle morphology produced by the originating processes using this approach.
- 5.5.2.3 Often the optimum approach is to use a combination of the approaches in which some portions of the simulant are produced by replicating the key processes that produced the <u>wastematerial</u> and then adding selected components that may be fabricated separately or obtained from commercial sources.