This document is not an ASTM standard and is intended only to provide the user of an ASTM standard an indication of what changes have been made to the previous version. Because it may not be technically possible to adequately depict all changes accurately, ASTM recommends that users consult prior editions as appropriate. In all cases only the current version of the standard as published by ASTM is to be considered the official document.



### Standard Test Method for Ballistic Resistant Shields for Law Enforcement<sup>1</sup>

This standard is issued under the fixed designation E3141/E3141M; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon ( $\varepsilon$ ) indicates an editorial change since the last revision or reapproval.

#### **INTRODUCTION**

This test method for the ballistic resistance of shields has been prepared after consideration of the features of ballistic-resistant shields and of potential vulnerabilities. Specifically, the tests have been designed to target the face of the shield, the viewports, the handle support structures, and lights or any other attachments to the body of the shield. This test method addresses ballistic resistance of shields used by law enforcement and corrections.

This test method is to be used in conjunction with documents created by other concerned parties that establish performance levels through the specification of threats and other requirements.

#### 1. Scope

## iTeh Standards

1.1 This test method<sup>2</sup> applies to personal protective ballistic-resistant shields.

1.2 The intent of this test method is to evaluate the ballistic resistance of shield products for law enforcement.

1.3 Certifiers, purchasers, and other users of this test method will specify the ballistic test threats to be used. Within this test method, the reference defining the ballistic test threats will be called the "test threats document."

1.4 In this test method, "other standards and specifications" and "unless specified elsewhere" refer to documents (for example, military standards, purchase specifications) that require the use of this test method. Certifiers, purchasers, and other users are responsible for the "other standards and specifications" and for specifying any requirements that supersede those of this test method.

1.5 *Units*—Values stated in either the International System of Units (metric) or U.S. Customary units (inch-pound) are to be regarded separately as standard. The values stated in each system may not be exact equivalents. Tests conducted using either system maintain repeatability and reproducibility of the test method and results are comparable.

1.6 This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.

1.7 This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.

<sup>&</sup>lt;sup>1</sup> This test method is under the jurisdiction of ASTM Committee E54 on Homeland Security Applications and is the direct responsibility of Subcommittee E54.04 on Personal Protective Equipment (PPE).

Current edition approved March 15, 2018Feb. 1, 2022. Published April 2018February 2022. Originally approved in 2018. Last previous edition approved in 2018 as E3141/E3141M - 18. DOI: 10.1520/E3141\_E3141M-18:10.1520/E3141\_E3141M-22.

<sup>&</sup>lt;sup>2</sup> This test method is subject to revision as indicated by changes to threats experienced by law enforcement practitioners and subject to review at least every five years.

### (III) E3141/E3141M – 22

#### 2. Referenced Documents

2.1 ASTM Standards:<sup>3</sup>

E3005 Terminology for Body Armor

E3062E3062/E3062M Specification for Indoor Ballistic Test Ranges for Small Arms and Fragmentation Testing of Ballisticresistant Items

E3078/E3078M Practice for Conditioning of Hard Armor Test Items

E3112/E3112M Test Method for Ballistic-resistant Products and Shoot Packs

2.2 NIJ-U.S. Department of Defense Standard:<sup>4</sup>

NIJ Standard-0101.06<u>MIL-STD-810G</u> Ballistic Resistance of Body ArmorEnvironmental Engineering Considerations and Laboratory Tests

2.3 ISO Standard:<sup>5</sup>

ISO/IEC 17025 General Requirements for the Competence of Testing and Calibration Laboratories

#### 3. Terminology

3.1 For terms not defined in this test method, the following definitions of Terminology E3005 apply: complete penetration (see 3.2.2), shot-to-edge distance, shot-to-shot distance, strike face, test item, witness panel, and yaw.

- 3.2 Definitions of Terms Specific to This Standard:
- 3.2.1 *ballistic layup, n*—the layering of ballistic materials through the thickness of the ballistic-resistant item under consideration. 3.2.1.1 *Discussion*—

Ballistic materials are typically recorded from strike face to body side.

3.2.1.1 Discussion-

Ballistic materials are typically recorded from strike face to body side.

3.2.2 *complete penetration*—for purposes of this test method, definition 4 from Terminology E3005 applies: the result of a test threat impact if a hole is created through the witness panel.

3.2.3 *fastener*, *n*—hardware device that mechanically joins or affixes two or more objects together: together: together; for helmets, shields, and other protective products, a fastener passes into or through the protective material and includes such devices as bolts, anchors, screws, and rivets.

113.2.3.1ª Discussion h.ai/catalog/standards/sist/e32642a9-661f-4973-8811-97c27610bc57/astm-e3141-e3141m-22

A primary example of this is a bolt that joins a handle or light to the body of the shield.

3.2.3.1 Discussion—

A primary example of this is a bolt that joins a handle or light to the body of the shield.

3.2.4 *opaque, adj*—not able to be seen through; not transparent.

3.2.4.1 Discussion—

Functionally, non-opaque, transparent shield components act as viewports.

3.2.4.1 Discussion—

Functionally, non-opaque, transparent shield components act as viewports.

3.2.5 *spall, n*—particles of material from either the test item or the impacting projectile that are broken off as a result of the ballistic impact.

3.2.5.1 Discussion—

For the purposes of this test method, spall includes secondary projectiles such as bolts or other fastenings that may be propelled toward the witness panel as a result of a test threat impact.

<sup>&</sup>lt;sup>3</sup> For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

<sup>&</sup>lt;sup>4</sup> Available from National Institute of Justice (NIJ), 810 7th St., NW, Washington, DC 20531, http://nij.gov.U.S. Army Test and Evaluation Command, https:// www.atec.army.mil/publications/mil-std-810g/mil-std-810g.pdf.

<sup>&</sup>lt;sup>5</sup> Available from International Organization for Standardization (ISO), ISO Central Secretariat, BIBC II, Chemin de Blandonnet 8, CP 401, 1214 Vernier, Geneva, Switzerland, http://www.iso.org.

## 🕼 E3141/E3141M – 22

#### 3.2.5.1 Discussion-

For the purposes of this test method, spall includes secondary projectiles such as bolts or other fastenings that may be propelled toward the witness panel as a result of a test threat impact.

3.2.6 *strike face, n*—the surface of an armor panel or plate intended to face the incoming threat (Terminology E3005). 3.2.6.1 *Discussion*—

For purposes of this test method, the term strike face refers to the surface of the test item intended to face the incoming threat.

#### 3.2.6.1 Discussion-

For purposes of this test method, the term strike face refers to the surface of the test item intended to face the incoming threat.

#### 4. Test Items

4.1 In this test method, a test item is a fully assembled product. Test Item Requirements:

4.1.1 In this test method, a test item is a fully assembled product.

4.1.2 Additional test item requirements, such as size and quantity, shall be specified in other standards and specifications.

4.2 Inspect all test items for defects, including wrinkles, blisters, cracks or fabric tears, fraying, crazing, or chipped or sharp corners and edges. Document all defects in the test report.

4.3 Inspect all test items for variations in size, appearance, materials, and manner of construction compared to other submitted test items. Document all observed variances in the test report.

4.4 *Examination of Test Item Subcomponents*—This section provides guidelines for identifying regions of identical construction and regions of unique construction.

4.4.1 *Ballistic Layup*—Evaluate the shield to identify regions of identical construction. Regions are considered identical if they have identical layups of ballistic materials through the thickness of the shield. Each region of the ballistic shield that is not identical is considered to be unique.

4.4.2 *Viewport Mounting System*—If the shield design incorporates a viewport, examine the mounting system to identify identically constructed corners. Such corners may be rounded or squared. Two corners are considered to be identically constructed if the design is visually observed to be identical in form and function. Any corners that are not found to be identically constructed are considered to be unique. Evaluate the viewport mounting system to identify identically constructed edges. Edges are considered identical if the design is visually observed to be identical in form and function exclusive of edge length. Any edges that are not found to be identically constructed are not found to be identically constructed are considered to be unique.

4.4.3 *Fasteners*—Examine all fasteners to determine their form and function. Fasteners are considered to be identical if they match in form and function. Any fasteners that are not found to be identical are considered to be unique.

4.4.4 *Lights*—<u>Weak Points</u>—Evaluate all lights to determine if they are identically constructed. Two lights are considered to be identically constructed if they are identical in terms of manner of construction and mounting except for axes and planes of symmetry. All lights that are not found to be identical are considered unique. Examine the test item and information provided by the manufacturer to identify weak points.

#### 5. Test of Ballistic ResistanceRequirements

5.1 *Test Range Configuration*—The test range shall meet Specification  $\underline{E3062}\underline{E3062}\underline{E3062}\underline{M}$  under normal circumstances. If a shield's performance is to be evaluated against a specific threat where it is not practical to meet the specifications described in Specification  $\underline{E3062}\underline{E3062}\underline{E3062}\underline{E3062}\underline{M}$ , then thoroughly document the configuration that is utilized in the testing of the shield in the test report with respect to the type of test threat used and the means of propelling the test threat downrange.

5.2 A separate test threats document will specify test threats utilized in testing.

### 🖗 E3141/E3141M – 22

5.3 Fair-hit Requirements—Table 1 lists default fair-hit requirements which are applicable when other standards, specifications, or test methods do not otherwise specify such requirements. See Table 1.

5.3.1 Measure the shot-to-edge distance from the center of the projectile impact to the nearest edge of the strike face of the ballistic shield. In situations where the edge of the shield has a rounded profile, the edge is defined as the ultimate edge of the shield when viewed from the strike face. Measure the shot-to-shot distances from center of one projectile impact to the center of another.

NOTE 1-When assessing whether a particular impact location meets the minimum shot-to-shot distance requirements, the shot-to-shot distances are measured from that impact location to all prior impact locations. There is no requirement to record acceptable shot-to-shot distances or physically measure distances that are obviously greater than the minimum shot-to-shot distance.

5.3.2 Tolerance—The center point of each impact shall lie within a 10 mm [0.39 in.] radius circle of its intended point of impact.

#### 5.4 *Cluster Shot Requirements:*

5.4.1 Cluster for Handgun-rated and Rifle-rated Shields—A cluster consists of three shots that shall meet the fair hit requirements and strike within a 100.0 mm [3.94 in.] diameter circle. The manufacturer may choose to decrease the spacing between shots in the cluster. The spacing used for the test shall be documented.

5.4.2 Cluster for Shotgun-rated Shields—A cluster consists of three shots that shall meet the fair hit requirements and strike within a 152.0 mm [6.0 in.] diameter circle. The manufacturer may choose to decrease the spacing between shots in the cluster. The spacing used for the test shall be documented.

5.4.3 Adjacent Clusters on Handgun-rated Shields—The spacing between adjacent clusters shall be 76.0 mm  $\pm$  6.0 mm [3.0 in.  $\pm$  0.25 in.] from center-to-center of the closest shots between the clusters. The manufacturer may choose to decrease the spacing between adjacent clusters. The spacing used for the test shall be documented.

5.4.4 Adjacent Clusters on Rifle-rated Shields—The spacing between adjacent clusters shall be 203.0 mm  $\pm$  6.0 mm [8.0 in.  $\pm$ 0.25 in.] from center-to-center of the closest shots between the clusters. The manufacturer may choose to decrease the spacing between adjacent clusters. The spacing used for the test shall be documented.

5.4.5 Adjacent Clusters on Shotgun-rated Shields—The spacing between adjacent clusters shall be 203.0 mm  $\pm$  6.0 mm [8.0 in.  $\pm$  0.25 in.] from center-to-center of the closest shots between the clusters. The manufacturer may choose to decrease the spacing between adjacent clusters. The spacing used for the test shall be documented.

5.5 Sampling and Test Items—Testing Sequence and Distribution of Shots—Other standards and specifications specify test item details including quantity, size, and conditioning details such as conditioning procedures prior to ballistic testing and distribution of shots across test items.

NOTE 2-The supplier is responsible for providing a sufficient number of test items to accomplish the required testing. It is recommended that spare test items be provided.

#### 5.6 Test Equipment:

5.6.1 Test Item Mounting—Mount the test item on a test item mounting system in accordance with the manufacturer's guidance regarding usage. If a shield is intended to be held by the handles, then test the shield using a mounting system that holds the shield by the handles. If a shield is intended to be used while its base sits on the ground and does not rely on handles to keep it aloft then test the shield in this configuration using an appropriate support.

IABLE 1 Fair-hit Requirements				
Minimum Shot-to-edge distance	51 mm [2.0 in.]			
Minimum Shot-to-shot distance	51 mm [2.0 in.]			
Yaw	$\leq$ 5.0° test threat line of flight			
Velocity Tolerance	±9.1 m/s [30 ft/s]			

### E3141/E3141M – 22

NOTE 3—An example test item mounting system is presented in Appendix X1. The example system is not mandatory, and other similar test item mounting systems may be used.

5.6.2 *Witness Panel*—All ballistic resistance tests utilize a witness panel to determine if a complete penetration has occurred. Witness panels shall:

5.6.2.1 Meet the specifications listed in Table 2.

5.6.2.2 Be rigidly affixed 15 cm  $\pm$  2.5 cm [6 in.  $\pm$  1 in.] behind the intended point of impact of the test threat as measured from the side opposite the strike face along the intended shot line.

5.6.2.3 Have its geometric center positioned along the intended test threat flight line to within a 2.54 cm [1.0 in.] accuracy unless restricted by the test item features, the test configuration, or the test item mounting system.

5.6.2.4 Be oriented parallel to the plane normal to the surface of the test item at the intended point of impact.

5.6.2.5 Be sufficiently large to allow any test threat that completely penetrates or ejected spall to strike it. At the distance listed above, the dimensions shall be not less than 35.0 cm 35.0 cm by 35.0 cm [13.75 in.] unless the test item mounting system or shield components necessitate a smaller witness panel be used.

5.7 *Determination of Shot Result*—After each shot or cluster of shots, examine the witness panel for penetration by the projectile or spall. This is done by holding the witness panel between the eye and a light source of at least 800 lumens.

#### 5.7 Ballistic Resistance Test Procedures:

5.7.1 The following sections designate shot locations for test item(s) within a test sequence. Each major section is considered a separate test allowing the tests to be conducted on separate test items. A manufacturer or other entity submitting shields for testing may allow additional shots to be placed onto a test item that has received one or more shots. However, if a test is carried out on a test item that was used in a previous test, the result is considered a valid test result provided it meets all of the fair-hit requirements. Table X1.1 in Appendix X1 provides a summary of the shots required in this section.

5.7.1.1 Conduct measurements for targeting viewports according to the following guidance:

(1) Protruding (proud) Viewport—Measure from the farthest edge of the viewport inclusive of any bezel that is present.

(2) Flush Viewport—Measure from the most outwardly visible edge of the glazing component. Thus, if a bezel is present, then measure from the most inward edge of the bezel.

(3) Recessed Viewport-Measure from the edge of the recessed portion of the shield.

(4) Ballistic Shield Viewport – Center Shot Test Procedure—Place on shot in the center of the viewport. The center is a point that is equidistant from the top and bottom edges of the viewport as well as the left and right edges of the viewport. See Fig. 1. Replicate this shot on a separate test item.

(5) Ballistic Shield Viewport – Corner Test Procedure—Place one shot on each unique viewport corner type. Replicate this shot on a separate corner representing that unique corner type. This may be done on the same test item or separate test items. If both shots are placed on the same test item, then target the shots at diagonally opposing corners of the viewport. If shots are placed on separate test items, then target a different but identical corner for each shot. Mark the intended point of impact at 13 mm [0.5 in.] from two adjacent edges. If the corner is rounded, place the shot 13 mm [0.5 in.] from the curved portion of the viewport.

(6) Edge of Viewport Test Procedure—Place a single shot on each unique edge such that the intended point of impact is 13 mm [0.5 in.] from the edge and centered along the edge. Place a single shot at a 45° angle **into** the junction between shield body and

#### **TABLE 2 Witness Panel Specifications**

Operational Line		Description	Nominal Thickness <sup>A</sup>	
Operational Use	Aluminum Alloy		mm	mil
Opaque components of the shield	2024-T3, 2024-T4, or 5052	Sheet	0.5 ± 0.08	20 ± 3
Translucent or transparent components or areas intended to be operationally positioned in front of a user's face	Any Al alloy <sup>B</sup>	Heavy-duty foil	0.024 ± 0.004	0.95 ± 0.15

<sup>A</sup> Nominal thickness refers to an approximate dimension by which a material is generally called or sold but which may differ from the actual dimension. <sup>B</sup>Any alloy of Aluminum found in an Aluminum foil is acceptable.



the glazing material or the shield body and the glazing material mounting system along each unique edge. Place this shot such that the intended point of impact targets the estimated, logical position of the gap between the glazing material and the shield body.

(7) Test Item Body Test Procedure—Place two sets of three shots in a cluster on every area of unique materials layup that is larger than a 100 mm [3.94 in.] diameter circle; all three shots must strike within a 100 mm [3.94 in.] diameter circle. The clusters may be placed on the same test item or on a second test item at the manufacturer's discretion. Place an edge shot in the band whose limits are defined as the minimum shot-to-edge distance and the minimum shot-to-edge distance plus 19 mm [0.75 in.]. Replicate this shot four times.

(8) Lights Test Procedure—Place a single shot in the center of each unique light construction. Replicate this shot in a second light of that same construction. This may occur on a single test item if two identically constructed lights exist on a single test item or on two separate test items if only one light of a given construction is present on a shield. The center is a point that is equidistant from the top and bottom edges of the light as well as the left and right edges of the light.

(9) Fasteners Test Procedure—Place a single shot on each fastener of unique construction. Place an identical shot on a separate fastener of identical construction. This may be on the same test item or on a second test item. A shot is considered valid if and only if the head of the targeted fastener shows visible signs of having been impacted by the threat. Place a single shot in the proximity of each fastener of unique construction. Place this proximate shot 10 mm to 20 mm [0.39 in. to 0.79 in.] away from the edge of the head of the retaining fastener.

(10) Other Features Not Previously Covered by This Test Method—Target any other perceived points of weakness with additional shots at appropriate angles. Replicate these shots on the identical feature on a second item.

#### **6.** Conditioning Procedures

#### 6.1 Controlled Ambient Conditioning:

6.1.1 Expose test items to controlled ambient conditions of 20 °C  $\pm$  5.5 °C [68 °F  $\pm$  10 °F] and 50 %  $\pm$  20 % relative humidity (RH) for at least 24 h.

6.2 Temperature Extremes Procedure (see Practice E3078/E3078M):

6.2.1 Tests shall be performed in accordance with constant temperature exposure procedures of MIL-STD-810G, Method 501.5, Procedure I (high temperature) and Method 502.5, Procedure I (low temperature).

6.2.2 One set of test items shall be subjected to high temperature and another set shall be subjected to low temperature. Ramping of temperature is prohibited. ASTM E3141/E3141M-22

https://standards.iteh.ai/catalog/standards/sist/e32642a9-661f-4973-8811-97c27610bc57/astm-e3141-e3141m-22 6.2.3 The starting temperature shall be controlled ambient.

<u>6.2.4</u> One set of test items shall be heated in a chamber operating at 71.1 °C  $\pm$  3.0 °C [155 °F  $\pm$  5 °F] for a minimum of 6 h, not to exceed 24 h.

<u>6.2.5</u> One set of test items shall be cooled in a chamber operating at -51.1 °C  $\pm$  3.0 °C [-60 °F  $\pm$  5 °F] for a minimum of 6 h, not to exceed 24 h.

6.3 Temperature Shock Procedure (see Practice E3078/E3078M):

6.3.1 Tests shall be performed in accordance with MIL-STD-810G, Method 503.5, Procedure I-A, with the following modifications:

6.3.1.1 The extreme temperatures shall be T1:  $-31.7 \degree C \pm 3.0 \degree C$  [ $-25 \degree F \pm 5 \degree F$ ] and T2:  $48.9 \degree C \pm 3.0 \degree C$  [ $120 \degree F \pm 5 \degree F$ ].

6.3.1.2 Test items shall be subjected to temperature conditioning steps as follows: (1) controlled ambient, (2) T1, (3) T2, and (4) controlled ambient. Transfer of test items from one condition to another shall be accomplished in less than one minute. Ramping of temperature is prohibited.

6.3.1.3 The test items shall be subjected to T1 and T2 for a minimum of 2 h, not to exceed 2 h and 15 min.

6.4 Conditioning by Submersion in Plain Water (see Test Method E3112/E3112M):

## ∰ E3141/E3141M – 22

NOTE 4-This conditioning is not intended to cause corrosion or simulate artificial aging.

#### 6.4.1 Equipment:

6.4.1.1 A water bath shall be used that is sufficiently sized to allow at least one test item to be submersed at least 50 mm below the surface of the water, and the test item edges and seals shall not contact the surfaces of the tank or be significantly obstructed by any weight used to keep the test item submersed.

<u>6.4.1.2</u> The water in the bath shall be clean and shall be either potable tap or demineralized water. The water shall be replaced anytime there are visible impurities in the water. The water temperature shall be 21 °C +3 °C/-6 °C [70 °F +5 °F/-10 °F].

6.4.2 Procedure:

6.4.2.1 Weigh the test item.

6.4.2.2 Place the test item in the water bath for 30 min (+5 min/-0 min). For test items that are buoyant, attach weights to keep the test item under water.

6.4.2.3 Remove the test item from the water.

6.4.2.4 Wipe each test item dry and weigh it.

6.4.2.5 Document any change in weight.

#### 7. Ballistic Resistance Test Procedures

# iTeh Standards

7.1 The following sections designate shot locations for test item(s) within a test sequence. Each major section is considered a separate test, allowing the tests to be conducted on separate test items. A manufacturer or other entity submitting shields for testing may allow additional shots to be placed onto a test item that has received one or more shots. However, if a test is carried out on a test item that was used in a previous test, the result is considered a valid test result provided it meets all of the fair-hit requirements. Table 3 provides a summary of the shots required in this section.

#### ASTM E3141/E3141M-22

#### https://standards.iteb.ai/catalog/standa TABLE X1.1 Summary Table of Shots Required 27610bc57/astm-e3141-e3141m-22

Shield Component	Shot Description	Number of Shots	Total
Viewport	Shot in center	1	2
Viewport	Shot in corner	1 per unique corner	2 per unique corner
Viewport	Shot on unique edge	1 per unique edge	1 per unique edge
Viewport	Angled shot on unique edge	1 shot per unique edge	1 per unique edge
Test Item Body	Cluster shot	1 cluster of 3 shot per unique	2 clusters per unique
		construction type	construction type
Test Item Body	Edge shot	1 edge shot	4
Lights	Center shot	1 shot per unique light	1 per unique light
Fasteners	Shot on head of fastener	1 per unique fastener	2 per unique fastener
Fasteners	Shot in proximity of fastener	1 per unique fastener	2 per unique fastener
	TABLE <u>3</u> Summary	of Required Shots	
Shield Component	Shot Description	Total Number of Shots Required for	Total Number of Shots Required for
		Handgun Shields	Rifle Shields
Viewport	Shot in center, 0°	2 shots	<u>1 shot</u>
Viewport	Shot in center, 0°	2 shots per unique corner	0 shots
Viewport	0° shot on unique edge	1 shot per unique edge	1 shot per unique edge
Viewport-Body Interface	45° angled shot at interface	1 shot at interface	1 shot at interface
Viewport-Body Interface	0° shot at interface	1 shot at interface	1 shot at interface
Body	3-shot cluster shot at 0°	2 clusters per unique	2 clusters per unique
		construction type	construction type
Body	3-shot cluster shot at 30°, with all	2 clusters per unique	2 clusters per unique
	shots in same direction	construction type	construction type
Body	Edge shot	4 shots	4 shots
Fasteners	Fastener head shot, 0°	2 shots per unique fastener	2 shots per unique fastener
Fasteners	Fastener proximity shot, 0°	2 shots per unique fastener	2 shots per unique fastener
Fasteners	Fastener shank shot, 45°	2 shots per unique fastener	2 shots per unique fastener
Weak Points	Shot on/near perceived weak points, 0°	1 shot per unique weak point	1 shot per unique weak point