



Designation: D7844 – 22a

Standard Test Method for Condition Monitoring of Soot in In-Service Lubricants by Trend Analysis using Fourier Transform Infrared (FT-IR) Spectrometry¹

This standard is issued under the fixed designation D7844; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope*

1.1 This test method pertains to field-based monitoring soot in diesel crankcase engine oils as well as in other types of engine oils where soot may contaminate the lubricant as a result of a blow-by due to incomplete combustion of in-service fuels.

1.2 This test method uses FT-IR spectroscopy for monitoring of soot build-up in in-service lubricants as a result of normal machinery operation. Soot levels in engine oils rise as soot particles contaminate the oil as a result of exhaust gas recirculation or a blow-by. This test method is designed as a fast, simple spectroscopic check for monitoring of soot in in-service lubricants with the objective of helping diagnose the operational condition of the machine based on measuring the level of soot in the oil.

1.3 Acquisition of FT-IR spectral data for measuring soot in in-service oil and lubricant samples is described in Standard Practice D7418. In this test method, measurement and data interpretation parameters for soot using both direct trend analysis and differential (spectral subtraction) trend analysis are presented.

1.4 This test method is based on trending of spectral changes associated with soot in in-service lubricants. For direct trend analysis, values are recorded directly from absorbance spectra and reported in units of 100*absorbance per 0.1 mm pathlength. For differential trend analysis, values are recorded from the differential spectra (spectrum obtained by subtraction of the spectrum of the reference oil from that of the in-service oil) and reported in units of 100*absorbance per 0.1 mm pathlength (or equivalently absorbance units per centimeter). Warnings or alarm limits can be set on the basis of a fixed maximum value for a single measurement or, alternatively, can

be based on a rate of change of the response measured (1).² In either case, such maintenance action limits should be determined through statistical analysis, history of the same or similar equipment, round robin tests or other methods in conjunction with the correlation of soot levels to equipment performance.

1.4.1 Interpretation of soot values reported as a percentage is more widely understood within the industry. As an alternate reporting option, an equation to convert the soot absorbance value generated from Procedure A (direct trend) analysis to percent is provided. This equation is based on the Beer-Lambert law which states that concentration is directly proportional to absorbance.

NOTE 1—It is not the intent of this test method to establish or recommend normal, cautionary, warning, or alert limits for any machinery. Such limits should be established in conjunction with advice and guidance from the machinery manufacturer and maintenance group.

1.5 This test method is primarily for petroleum/hydrocarbon based lubricants but is also applicable for ester based oils, including polyol esters or phosphate esters.

1.6 This method is intended as a field test only, and should be treated as such. Critical applications should use laboratory based methods, such as Thermal Gravimetric (TGA) analysis described in Standard Method D5967, Annex A4.

1.7 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.8 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

¹ This test method is under the jurisdiction of ASTM Committee D02 on Petroleum Products, Liquid Fuels, and Lubricants and is the direct responsibility of Subcommittee D02.96.03 on FTIR Testing Practices and Techniques Related to In-Service Lubricants.

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² The boldface numbers in parentheses refer to the list of references at the end of this standard.

*A Summary of Changes section appears at the end of this standard

2. Referenced Documents

2.1 *ASTM Standards*:³

- D445** Test Method for Kinematic Viscosity of Transparent and Opaque Liquids (and Calculation of Dynamic Viscosity)
- D2896** Test Method for Base Number of Petroleum Products by Potentiometric Perchloric Acid Titration
- D4175** Terminology Relating to Petroleum Products, Liquid Fuels, and Lubricants
- D5185** Test Method for Multielement Determination of Used and Unused Lubricating Oils and Base Oils by Inductively Coupled Plasma Atomic Emission Spectrometry (ICP-AES)
- D5967** Test Method for Evaluation of Diesel Engine Oils in T-8 Diesel Engine
- D7412** Test Method for Condition Monitoring of Phosphate Antiwear Additives in In-Service Petroleum and Hydrocarbon Based Lubricants by Trend Analysis Using Fourier Transform Infrared (FT-IR) Spectrometry
- D7414** Test Method for Condition Monitoring of Oxidation in In-Service Petroleum and Hydrocarbon Based Lubricants by Trend Analysis Using Fourier Transform Infrared (FT-IR) Spectrometry
- D7415** Test Method for Condition Monitoring of Sulfate By-Products in In-Service Petroleum and Hydrocarbon Based Lubricants by Trend Analysis Using Fourier Transform Infrared (FT-IR) Spectrometry
- D7418** Practice for Set-Up and Operation of Fourier Transform Infrared (FT-IR) Spectrometers for In-Service Oil Condition Monitoring
- D7624** Test Method for Condition Monitoring of Nitration in In-Service Petroleum and Hydrocarbon-Based Lubricants by Trend Analysis Using Fourier Transform Infrared (FT-IR) Spectrometry
- E131** Terminology Relating to Molecular Spectroscopy
- E177** Practice for Use of the Terms Precision and Bias in ASTM Test Methods
- E691** Practice for Conducting an Interlaboratory Study to Determine the Precision of a Test Method

3. Terminology

3.1 *Definitions*—For definitions of terms relating to infrared spectroscopy used in this test method, refer to Terminology **E131**. For definitions of terms related to in-service oil condition monitoring, refer to Practice **D7418** and Terminology **D4175**.

4. Summary of Test Method

4.1 This test method uses FT-IR spectrometry to monitor soot levels in in-service lubricants. The test method is meant to serve as a field-based method to provide an indicator of soot level. The FT-IR spectra of in-service oil samples are collected according to the protocol described in Standard Practice **D7418**

and the levels of soot are measured using the absorption intensity measurement described herein. The values obtained for the sample of the in-service oil are compared to the value for a sample of new reference oil using either direct trend analysis or differential trend analysis approaches.

5. Significance and Use

5.1 An increase in soot material can lead to increased wear, filter plugging and viscosity. Monitoring of soot is therefore an important parameter in determining overall machinery health and should be considered in conjunction with data from other tests such as atomic emission (AE) and atomic absorption (AA) spectroscopy for wear metal analysis (Test Method **D5185**), physical property tests (Test Methods **D445** and **D2896**), and other FT-IR oil analysis methods for oxidation (Test Method **D7414**), sulfate by-products (Test Method **D7415**), nitration (Test Method **D7624**), and additive depletion (Test Method **D7412**), which also assess elements of the oil's condition (**1-6**).

6. Interferences

6.1 Refer to Practice **D7418** for a list of common interferences affecting the quality of all FTIR generated spectra.

6.2 When the sample has increased baseline (soot >2.5 %) or interferent peak present, follow the optional procedure in Practice **D7418** Section 11 depending on measurement accuracy needs.

6.3 High levels of water (>5 %) will interfere with the soot measurement in internal combustion engine crankcases. Other interferences include high levels of sludge or insolubles. These interferences will increase the measured soot values.

7. Apparatus

7.1 *Fourier Transform Infrared Spectrometer*, equipped with sample cell, filter and pumping system (optional) as specified in Standard Practice **D7418**.

7.2 *FT-IR Spectral Acquisition Parameters*—Set FT-IR spectral acquisition parameters according to instructions in Standard Practice **D7418**.

8. Sampling

8.1 Obtain a sample of the in-service oil and the reference oil according to the protocol described in Standard Practice **D7418**.

9. Preparation and Maintenance of Apparatus

9.1 Rinse, flush and clean the sample cell, lines and inlet filter according to instructions in Standard Practice **D7418**.

9.2 Monitor cell pathlength as specified in Standard Practice **D7418**.

10. Procedure and Calculation

10.1 Collect a background spectrum according to the procedure specified in Section 9 of Practice **D7418**.

10.2 *Differential Trend Analysis Only*—Collect the spectrum of a reference oil sample according to the procedure specified in Section 9 of Practice **D7418**.

³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

10.3 Collect the spectrum of an in-service oil sample according to the procedure specified in Section 9 of Practice D7418.

10.3.1 Include the optional cell loading check as specified in Section 9 of Practice D7418 as appropriate.

10.4 Perform the required sample carryover procedure in Section 9 of Practice D7418 between all samples being scanned.

10.4.1 Refer to Section 9 of Practice D7418 for an optional procedure to determine the sample carryover efficacy.

10.5 *Data Processing*—All data are processed to give direct trend analysis and differential trend analysis spectra normalized to 0.100 mm according to the procedure specified in Section 10 of Practice D7418.

10.6 *Calculation of Soot Value*—Soot has no specific frequency of absorption in the infrared spectrum, but causes a baseline shift and tilt in the spectrum due to light scattering. As such, soot is calculated from the normalized oil sample spectrum by directly measuring the absorbance intensity at 2000 cm⁻¹ using no baseline. For differential trending, soot is directly measured from the difference spectrum also using the absorbance intensity at 2000 cm⁻¹. Fig. 1 illustrates the effect that soot (increasing from 1 to 5) has on the baseline of the spectra of diesel crankcase oils in-service and the measurement frequency used to monitor soot.

10.7 *Reporting*:

10.7.1 *Procedure A (Direct Trend Analysis)*—Values are reported in units of 100*absorbance/0.100 mm; for example #4 in Fig. 1, the soot value equals 78.

10.7.2 As an alternate option, the soot result generated by Procedure A may be converted to a percentage using the following conversion equation⁴:

$$y = 0.0156x + 0.0147 \tag{1}$$

where:

y = the concentration (in percent) of soot within the sample, and

x = the soot value of the sample generated from Procedure A in units of 100*absorbance/0.100 mm.

Values are reported as the nearest 0.1 %. For the example #4 in Fig. 1, the soot value equals 1.2 %.

10.7.3 *Procedure B (Differential Trend Analysis)*—Values are reported in units of 100*absorbance/0.100 mm (or equivalently absorbance units per centimeter).

10.8 *High Soot Values*—In samples where the value for soot is >150 Abs./0.1 mm, which corresponds approximately to a soot content of >3 %, then it is necessary to dilute the oil sample with the new oil or mineral spirits prior to analysis to obtain reliable results. The measured value obtained from the diluted oil sample spectrum is then multiplied by the dilution factor to give the soot value for the original sample. Tests in which oils having soot contents of 2.9 % and 10.8 % were diluted in odorless mineral spirits (OMS) at a level of 0.5 g oil/16 mL OMS and their FTIR spectra were recorded at 15-min intervals showed no settling of soot over a period of 450 min.

11. Reporting

11.1 *Trending*—Data shall be recorded and reported at selected time intervals during the lubricant’s life. Ideally, soot values would be compared to that of the newly formulated oil and plotted over time to visualize the relative changes in soot and to determine when there needs to be an oil change, albeit other parameters may dictate this change earlier. Sampling and reporting time intervals for soot are based on the type of machinery and its previous history associated with this parameter.

11.2 *Effects of Oil Formulation*—The compositions of various oil formulations can have an effect on the results reported

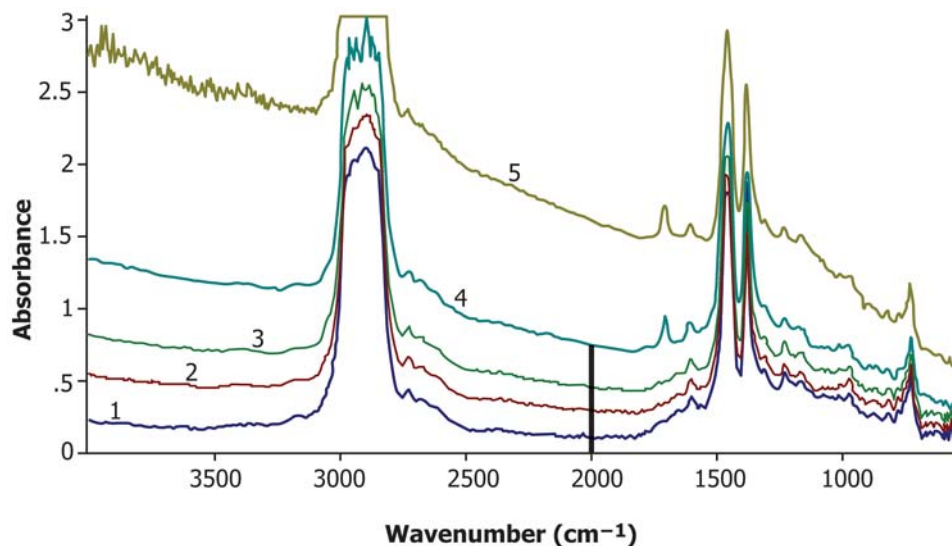


FIG. 1 Soot Measurements in Diesel Crankcase Oils

⁴ Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR:D02-2008. Contact ASTM Customer Service at service@astm.org.