



Designation: A531/A531M – 18 (Reapproved 2023)

Standard Practice for Ultrasonic Examination of Turbine-Generator Steel Retaining Rings¹

This standard is issued under the fixed designation A531/A531M; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 This practice covers ultrasonic examination of turbine-generator retaining rings produced in accordance with Specifications **A288** and **A289/A289M** with an inside diameter to wall thickness ratio equal to or greater than 5:1 and with wall thicknesses from 1 in. to 4 in. [25 mm to 102 mm].

1.2 Forgings may be inspected by either the contact or the immersion methods, or combinations thereof, as agreed upon between the manufacturer and the purchaser.

1.3 Supplementary requirements of an optional nature are provided for use at the option of the purchaser. The supplementary requirements shall apply only when specified individually by the purchaser in the purchase order or contract.

1.4 This practice is expressed in inch-pound and SI units; however, unless the purchase order or contract specifies the applicable “M” specification designation (SI units), the inch-pound units shall apply. The values stated in either SI units or inch-pound units are to be regarded separately as standard. Within the practice, the SI units are shown in brackets. The values stated in each system may not be exact equivalents; therefore, each system shall be used independently of the other. Combining values from the two systems may result in nonconformance with the standard.

1.5 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety, health, and environmental practices and determine the applicability of regulatory limitations prior to use.*

1.6 *This international standard was developed in accordance with internationally recognized principles on standardization established in the Decision on Principles for the Development of International Standards, Guides and Recommendations issued by the World Trade Organization Technical Barriers to Trade (TBT) Committee.*

¹ This practice is under the jurisdiction of ASTM Committee A01 on Steel, Stainless Steel and Related Alloys and is the direct responsibility of Subcommittee A01.06 on Steel Forgings and Billets.

Current edition approved March 15, 2023. Published April 2023. Originally approved in 1965. Last previous edition approved in 2018 as A531/A531M – 18. DOI: 10.1520/A0531_A0531M-18R23.

2. Referenced Documents

2.1 ASTM Standards:²

A288 Specification for Carbon and Alloy Steel Forgings for Magnetic Retaining Rings for Turbine Generators

A289/A289M Specification for Alloy Steel Forgings for Nonmagnetic Retaining Rings for Generators

E127 Practice for Fabrication and Control of Flat Bottomed Hole Ultrasonic Standard Reference Blocks

E317 Practice for Evaluating Performance Characteristics of Ultrasonic Pulse-Echo Testing Instruments and Systems without the Use of Electronic Measurement Instruments

2.2 Other Documents:

ANSI/ASME B46.1 Surface Texture (Surface Roughness, Waviness and Lay)³

Recommended Practice No. SNT-TC-1A Personnel Qualification and Certification in Nondestructive Testing⁴

3. Personnel Requirements

3.1 Personnel performing ultrasonic examinations to this practice shall be qualified and certified in accordance with a written procedure conforming to Recommended Practice No. SNT-TC-1A or other national standard acceptable to both the purchaser and the supplier.

4. Ordering Information

4.1 When this practice is to be applied to an inquiry, contract, or order, the purchaser shall so state and shall also furnish the following information:

4.1.1 The method or combination of methods to be used for inspection.

4.1.2 The frequency to be used for conducting each inspection.

4.1.3 Report requirements including C-scan plot, if applicable.

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ Available from American National Standards Institute (ANSI), 25 W. 43rd St., 4th Floor, New York, NY 10036, <http://www.ansi.org>.

⁴ Available from American Society for Nondestructive Testing (ASNT), P.O. Box 28518, 1711 Arlingate Ln., Columbus, OH 43228-0518, <http://www.asnt.org>.

4.1.4 Supplementary requirements, if any.

5. General Requirements

5.1 As far as possible the entire volume of the retaining rings shall be subject to ultrasonic inspection. Circumferential and axial faces shall be machined flat and parallel to one another.

5.2 The ultrasonic inspection shall be performed after final processing and heat treatment for properties, unless otherwise specified in the order or contract.

5.3 Rings may be tested either stationary (contact) or while rotating (immersion). If not specified by the purchaser, a combination of methods may be used at the manufacturer's option. Scanning speed shall not exceed 6 in./s [15 cm/s], unless automatic recording (C-scan) equipment is employed.

5.4 To ensure complete coverage (during contact testing), the search unit shall be indexed no more than 75 % of the transducer width with each pass of the search unit. During immersion testing establish a transducer index adjustment which will ensure complete coverage with sufficient overlap.

5.5 During the testing, a combination of methods and frequencies of 1 MHz, 2 MHz, 2¼ MHz, and 5 MHz may be used for accurately locating, determining orientation, and defining specific discontinuities detected during overall scanning.

5.6 For reporting purpose, location of indications shall be circumferentially defined by clock position. The test notch or a similar locator, such as a reference line bisecting the serial number, shall be used to define and identify the 12 o'clock position.

6. Apparatus

6.1 *Electronic Apparatus*—A pulse-echo instrument permitting inspection frequencies of at least 1 MHz to 5 MHz is required. The accuracy of discontinuity amplitude analysis using this practice involves a knowledge of the true operating frequency of the complete inspection system. One of the best ways to obtain the desired accuracy is by use of a tuned pulser and narrow band amplifier of known frequency response, with either a broadband transducer, or a narrow-band tuned transducer of known and matching frequency.

6.1.1 *Apparatus Qualification and Calibration*—Basic qualification of the ultrasonic test instrument shall be performed at intervals not to exceed twelve months or whenever maintenance is performed that affects the equipment function. The date of the last calibration and the date of the next required calibration shall be displayed on the test equipment.

6.1.2 The horizontal linearity shall be checked on a distance calibration bar using the multiple order technique (see Practice E317). The horizontal linearity shall be ± 2 % of the metal path.

6.1.3 The accuracy of the linearity shall be checked by ultrasonically verifying the thickness of the component in at least one location beyond the near field of the transducer. If necessary, minor adjustments for differences in the ultrasonic velocities between the calibration bar and the forging shall then be made.

6.1.4 When the immersion method of inspection is employed, suitable equipment must be available so that the retaining rings can be immersed in a liquid coupling agent or can be subject to inspection by the use of a column or stream of the couplant through an appropriate container attached to the part or transducer. Equipment must also include fixturing for smooth mechanical rotation of the part or the transducer during scanning.

6.2 *Amplifier*—The amplifier and display shall provide linear response within ± 2 %, up to 100 % of full screen height.

6.2.1 *Amplifier Calibration*—An amplifier vertical linearity check shall be made prior to performing the test by observing a multiple order pattern from a calibration block using a 2 MHz or 2.25 MHz transducer (see Practice E317). The first back reflection shall be set at 100 % of full screen height. The higher order back reflections, 10 % and higher in amplitude, shall also be positioned on the screen and their amplitudes noted. The first back reflection shall be reduced to 50 % and then 25 % of full screen height. The amplitudes of the higher order back reflections shall be noted at each step. The vertical linearity will be considered acceptable if the signal heights of the higher order reflections decrease in proportion to the decrease set for the first back reflection. The maximum acceptable error for the decrease of the higher order reflections is the greater of ± 5 % of the expected back reflection height or ± 2 % of full screen height.

6.3 *Signal Attenuator*—The instrument shall contain a calibrated gain control or signal attenuator that meets the requirements of Practice E317 (in each case, accurate within ± 5 %) that will allow indications beyond the linear range of the instrument to be measured. It is recommended that these controls permit signal adjustments up to 25 to 1 (28 dB).

6.4 Search Units:

6.4.1 Contact Method:

6.4.1.1 A 2.25 MHz, 2.0 MHz or 1.0 MHz, 45° angle beam shear wave search unit shall be employed for shear wave testing (2.0 MHz or 2.25 MHz shall be used unless acoustic attenuation of the material is such that 1 MHz must be employed to obtain adequate penetration of the ring section). Adequate penetration is the ability to clearly resolve the calibration notch above resultant noise level.

6.4.1.2 A 5 MHz, 2.25 MHz, 2.0 MHz or 1 MHz, ¾ in. to 1½ in. [20 mm to 30 mm] diameter, longitudinal wave transducer shall be used for performing the longitudinal wave test.

6.4.1.3 Acrylic resin shoes ground to the curvature of the retaining ring may be used to maintain the optimum contact angle between the transducer and outside diameter of the ring.

6.4.1.4 When agreed upon between the purchaser and supplier, alternative test frequencies may be used to perform the required tests.

6.4.2 Immersion Method:

6.4.2.1 A 5 MHz, 2.25 MHz, 2.0 MHz, or 1 MHz transducer, ¾ in. to 1 in. [20 mm to 30 mm] in diameter, suitable for water immersion, shall be used for performing the required test.

6.4.2.2 The manipulator (holder) for the search tube or transducer, or both, shall provide for angular manipulation of

the transducer for optimum response from the internal discontinuities. The tolerance or play present in the manipulation and in the traversing unit should not be excessive so as to prevent ultrasonic examination at the required sensitivity level.

6.4.2.3 When agreed upon between the purchaser and supplier, alternative test frequencies may be used to perform the required tests.

6.4.2.4 *Accessory Equipment*—Coaxial cables and search tubes used in conjunction with the electronic apparatus capable of conducting the electrical pulses while immersed in a liquid, and collimators for shaping the sound beam may be used.

6.5 Recording instruments or alarm systems, or both, may be used, provided sufficient range and sensitivity are available to properly monitor the test.

7. Preparation of the Forging for Ultrasonic Examination

7.1 Surface roughness on the outside and inside diameter and radical face surfaces of the ring shall not exceed an Ra of 125 μin . [3.18 μm] (Ra is defined in ANSI/ASME B46.1), and waviness shall not exceed 0.001 in. [0.02 mm] measured in both the axial and circumferential directions. The radical faces of the ring shall be sufficiently perpendicular to the axis of the forging to permit axial tests.

7.2 All surfaces of the ring to be examined shall be free of extraneous material such as surface tears, loose scale, machining or grinding particles, paint, and other foreign matter.

8. Ultrasonic Couplants

8.1 For contact testing, a suitable couplant, such as clean SAE 20 motor oil, shall be used to couple the transducer to test surfaces.

8.2 For immersion testing, a liquid such as water, oil, glycerin, etc., capable of conducting ultrasonic vibrations from the transducer to the material being tested shall be used. Rust inhibitors, softeners, and wetting agents may be added to the couplant. The couplant liquid with all additives should not be detrimental to the surface condition of the test specimen or the container, and it should wet the surface of the material to provide an intimate contact. Couplant may be heated to a comfortable working temperature and must be free of air bubbles.

9. Method for Shear Wave Testing

9.1 Calibration Reference:

9.1.1 Place a calibration V-shaped notch, with an included angle of 60° to 90° and ¼ in. [6.35 mm] long, in the outside diameter surface of the ring at a sufficient distance from the end of the ring to eliminate side wall interference. Determine the location of the notch by scanning the ring at a sensitivity high enough to show the material structure and with the shear wave beam directed circumferentially. Using this procedure, then locate the notch in an area representative of the ring material.

9.1.2 Place the notch axially in the ring to a depth of 1 % of the wall thickness or 0.020 in. [0.51 mm], whichever is greater. Make the depth measurement after removal of the upset material adjacent to the notch.

9.1.3 For the inspection of finish machined rings, an outside reference block of the same alloy, wall thickness, and curvature

as the ring being tested may be used. The block must be at least 4 in. [10 cm] wide and long enough to permit three bounces from the reference notch.

9.1.4 For axial shear wave examination by the immersion method, place a circumferentially oriented notch into the outside diameter surface of the ring at a sufficient distance from the end of the ring so that it can be clearly resolved from the outside diameter corner reflection. Dimensions of notch shall be the same as described in 9.1.1.

9.2 Contact Method for Equipment Calibration:

9.2.1 Connect the 2.25 MHz, 2.0 MHz or 1 MHz angle beam search unit to the test instrument and place it directly over the calibration notch with the crystal directed circumferentially. Move the search unit circumferentially, directing the sound beam toward the notch until an indication from the notch appears. Continue to move the search unit in the same direction until a maximized second bounce indication appears.

9.2.2 Adjust the sweep length so that the first and second bound indications from the notch are about 1½ in. [38 mm] apart. Mark and designate the first and second bounce positions on the sweep line.

9.2.3 Adjust the gain until the first bounce indication has a sweep-to-peak amplitude of 1.5 in. [38 mm]. Mark the upper tip of this indication and the point midway between the tip and the sweep line. Check the notch in the reverse direction; if a marked difference in amplitude is found, make a new notch.

9.2.4 In a similar manner, locate and mark the position of the tip and point midway between the tip and sweep line of the second bounce notch indication. Draw a line connecting the two points designating the amplitudes of the first and second notch indications. Likewise, draw a line through the half-amplitude points. Refer to these lines as the amplitude and half-amplitude reference lines.

9.3 Immersion Method for Equipment Calibration:

9.3.1 Circumferential:

9.3.1.1 With the transducer located perpendicular to the outside diameter so as to obtain a 3 in. \pm ¼ in. [76 mm \pm 6 mm] water path, adjust the sweep delay and sweep length to position the water steel interface reflection at the left of the screen, and the first back reflection from the outside diameter of the ring slightly to the left of center of the screen, so that at least two angular passes through the ring will be visible on the screen. Position the transducer over the calibration reference and adjust the angle and horizontal position to maximize the indication from the reference notch. Check the notch in the reverse direction; if a marked difference in amplitude is noted, make a new notch.

9.3.1.2 Adjust the gain until the first bounce indication has a sweep-to-peak amplitude of 1.5 in. [38 mm]. Mark the upper tip of this indication and the point midway between the tip and the sweep line.

9.3.1.3 In a similar manner, locate and mark the position of the tip and point midway between the tip and sweep line of the second bounce notch indication. Draw a line connecting the two points designating the amplitudes of the first and second notch indications. Likewise, draw a line through the half-amplitude points. Refer to these lines as the amplitude and half-amplitude reference lines.

9.3.2 Axial:

9.3.2.1 With the transducer located perpendicular to the outside diameter so as to obtain a 3 in. \pm ¼ in. [76 mm \pm 6 mm] water path as previously described in 9.3.1.1, position the transducer over the circumferential reference notch, and adjust the angle and horizontal position to maximize the indication from the reference notch while directing the ultrasonic beam toward the nearest end face of the ring.

9.3.2.2 Adjust the gain until the first bounce indication has a sweep-to-peak amplitude of 1.5 in. [38 mm]. Mark the upper tip of this indication and the point midway between the tip and the sweep line.

9.3.2.3 In a similar manner, locate and mark the position of the tip and point midway between the tip and sweep line of the second bounce notch indication. Draw a line connecting the two points designating the amplitudes of the first and second notch indications. Likewise, draw a line through the half-amplitude points. Refer to these lines as the amplitude and half-amplitude reference lines.

9.4 Scanning:

9.4.1 Contact Method:

9.4.1.1 *Circumferential*—With the search unit held by hand or in a mechanical fixture, place it on the outside diameter surface of the ring with the sound beam directed circumferentially. Move the search unit in the circumferential direction while maintaining the proper contact angle as determined during calibration. Make successive parallel passes, each overlapping the previous pass at least 15 % of the transducer (not search unit) width, until the entire surface of the ring has been scanned. Rotate the search unit 180° and repeat the procedure.

9.4.1.2 *Axial*—The sensitivity established for the circumferential test shall also be used for the axial test. Place the search unit near one end of the ring and direct the sound axially toward this end. Move the search unit circumferentially over successive parallel passes, each overlapping the previous pass until the opposite end is reached. Reverse the direction of the search unit and repeat the procedure. In this manner, completely scan the entire outside surface of the ring.

9.4.2 Immersion Method:

9.4.2.1 *Circumferential*—With the transducer positioned as described in 9.3.1, rotate the part or the transducer in the circumferential direction (or traverse the transducer in the axial direction) while maintaining the proper angle as determined during calibration. Make successive parallel passes, each overlapping the previous pass by a minimum of 15 % of the transducer diameter, until the entire surface of the ring has been scanned. With the search unit repositioned to scan in the opposite circumferential direction, repeat this scanning procedure.

9.4.2.2 *Axial*—With the equipment calibrated in accordance with 9.3.2, rotate the ring or the transducer in the circumferential direction (or traverse the transducer in the axial direction) while maintaining the proper angle as determined during calibration. Make successive parallel passes until the entire surface of the ring has been scanned. With the search unit repositioned to scan in the opposite axial direction, repeat the scanning procedure.

9.5 Report:

9.5.1 The amplitudes of indications from discontinuities near (within approximately ¼ in. [6 mm]) the inside and outside diameter surfaces are influenced by trapping of the ultrasonic beam so that their amplitudes are greater than equivalent discontinuities that are below the surface (deeper than ¼ in.). Therefore, rate those discontinuities near the surface against the amplitude reference line and rate internal discontinuities against the half-amplitude reference line.

9.5.2 As the test proceeds, mark on the ring the locations of all indications exceeding the appropriate reference line. Recheck all indications found with the ring rotating, and record while the ring is stationary.

9.5.3 Record the number, amplitude, and location (axial, radial, and clock relative to 12 o'clock positions) of all indications reaching or exceeding their respective amplitude reference lines. Report indication amplitudes as percentages of their respective reference lines in increments of 10 %.

9.5.4 Where reportable indications are numerous, individually count and record the outstanding indications (see 9.5.3), and make an estimate of the number and amplitude of the remaining indications. A C-scan report may also be used to supply additional information.

10. Method for Longitudinal Wave Testing

10.1 Reference Blocks (Radial Test):

10.1.1 In order to correct for the many variables involved in ultrasonic testing, it is necessary to use references to establish instrument settings, assist reproducibility of techniques, and evaluate discontinuities. The fabrication of reference blocks should be governed by the requirements described in Practice E127.

10.1.2 The ultrasonic reference blocks should have ultrasonic characteristics, such as attenuation, noise level, and velocity similar to the metal being tested, or suitable correction should be made.

10.1.3 *Dimensions*—Calibration blocks prepared in accordance with the preceding paragraphs containing flat bottom holes of ⅛ in. [3.18 mm] diameter shall have metal travel within the following tolerances:

Wall Thickness (T) of Ring Being Tested, in. [mm]	Metal Travel Tolerance of Reference Test Block, in. [mm]
1 to 1½ [25 to 38]	T \pm ¼ [±6]
1½ to 4 [38 to 101]	T \pm ½ [±14]

10.2 Calibration:

10.2.1 Contact Method (Radial Test):

10.2.1.1 Connect a longitudinal wave search unit (5 MHz for alloy steel rings and 2.25 MHz, 2.0 MHz or 1 MHz for nonmagnetic rings) to the test equipment. Select an acoustically compatible calibration reference block with metal travel that is T – ¾ in. [19 mm] or T – 1 in. [25 mm] where T equals the wall thickness of the ring to be inspected.

10.2.1.2 Place the search unit on the entry surface of the calibration reference block and adjust the gain control of the instrument so as to obtain a 1.5 in. [38 mm] sweep-to-peak indication from the ⅛ in. [3 mm] flat bottom hole interface.