



**SLOVENSKI STANDARD**  
**SIST EN 12010:2000**  
**01-januar-2000**

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**Neaktivni kirurški vsadki (implantati) - Sklepne proteze - Posebne zahteve**

Non-active surgical implants - Joint replacement implants - Particular requirements

Nichtaktive chirurgische Implantate - Implantate zum Gelenkersatz - Besondere Anforderungen

Implants chirurgicaux non actifs - Protheses articulaires - Exigences particulieres

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**Ta slovenski standard je istoveten z: EN 12010:1998**

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11.040.40

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English version

## Non-active surgical implants - Joint replacement implants - Particular requirements

Implants chirurgicaux non actifs - Prothèses articulaires -  
Exigences particulières

Nichtaktive chirurgische Implantate - Implantate zum  
Gelenkersatz - Besondere Anforderungen

This European Standard was approved by CEN on 1 January 1998.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the Central Secretariat has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Czech Republic, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom.

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EUROPEAN COMMITTEE FOR STANDARDIZATION  
COMITÉ EUROPÉEN DE NORMALISATION  
EUROPÄISCHES KOMITEE FÜR NORMUNG

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**Contents**

Foreword		3
Introduction		4
1	Scope	5
2	Normative references	5
3	Definitions	5
4	Intended performance	5
5	Design attributes	6
6	Materials	7
7	Design evaluation	7
8	Manufacturing and inspection	8
9	Sterilization	9
10	Packaging	9
11	Information supplied by the manufacturer	9
Annex A (informative)	List of materials found acceptable for the manufacture of implants	10
Annex B (informative)	List of materials found acceptable or non-acceptable for bearing surfaces of implants	12
Annex C (informative)	List of materials found acceptable or non acceptable for metallic combinations for non-articulating contact surfaces of implants	14
Annex D (informative)	Bibliography	15
Annex ZA (informative)	Clauses of this European Standard addressing essential requirements or other provisions of EU Directives	17

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## Foreword

This European Standard has been prepared by Technical Committee CEN/TC 285 "Non-active surgical implants", the secretariat of which is held by NNI.

This European Standard has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For relationship with EU Directive(s), see informative Annex ZA, which is an integral part of this standard.

There are three levels of European Standards dealing with non-active surgical implants. These are as follows, with level 1 being the highest:

- level 1: General requirements for non-active surgical implants;
- level 2: Particular requirements for families of non-active surgical implants;
- level 3: Specific requirements for types of non-active surgical implants.

This standard is a level 2 standard and contains requirements that apply to all non-active surgical implants in the family of joint replacement implants.

The level 1 standard contains requirements that apply to all non-active surgical implants. It also indicates that there are additional requirements in the level 2 and level 3 standards.

The level 1 standard has been published as EN ISO 14630:1997

Level 3 standards apply to specific types of implants within a family such as knee and hip joints. To address all requirements, it is recommended to start with a standard of the lowest available level.

References can also be found in the Annexes of this standard.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by August 1998, and conflicting national standards shall be withdrawn at the latest by August 1998.

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Czech Republic, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and the United Kingdom.

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### **Introduction**

This European Standard in addition to EN ISO 14630:1997 provides a method to demonstrate compliance with the relevant essential requirements as outlined in general terms in Annex 1 of the Council Directive 93/42/EEC of 14 June 1993 concerning medical devices, as they apply to joint replacement implants, ligaments and components for implants such as bone cement, screws, wire, meshes, wedges, augmentation blocks etc, and implants used in repair of articular surfaces.

**NOTE:** For certain products, specific requirements may apply. These requirements are specified in the level 3 standards.

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## 1 Scope

This European Standard specifies particular requirements for total and partial joint replacement implants, artificial ligaments and bone cement, hereafter referred to as implants. For the purposes of this standard, artificial ligaments and their associated fixing devices are included in the term implant, hereinafter referred to as implants.

It specifies requirements for intended performance, design attributes, materials, design evaluation, manufacturing, sterilization, packaging and information to be supplied by the manufacturer.

Some tests required to demonstrate compliance with this standard are contained in or referenced in level 3 standards.

## 2 Normative references

This European Standard incorporates by dated or undated reference, provisions from other publications. These normative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated references, subsequent amendments to or revisions of any of these publications apply to this European Standard only when incorporated in it by amendment or revision. For undated references the latest edition of the publication referred to applies.

EN ISO 14630:1997 Non-active surgical implants - General requirements (ISO 14630:1997)

ISO 7206-2 Implants for surgery - Partial and total hip joint prostheses - Part 2: Articulating surfaces made of metallic, ceramic and plastics materials.

## 3 Definitions

For the purposes of this European Standard the definitions in EN ISO 14630:1997 apply together with the following.

**3.1 joint replacement implant:** Implantable device, including ancillary implanted components and materials, intended to provide function similar to a natural joint and which is connected to the corresponding bones.

**3.2 artificial ligament:** Device, including its necessary fixing devices, intended to augment or replace the natural ligament.

NOTE: The artificial ligament provides short-term or long-term augmentation.

## 4 Intended performance

For the purpose of this European Standard, the intended performance of implants shall conform to clause 4 of EN ISO 14630:1997, and shall address additionally the following matters:

- a) intended minimum and maximum relative angular movement between the skeletal parts to which the joint replacement implant is attached;
- b) expected maximum load actions (forces and moments) to be transmitted to the bony parts to which the joint replacement implant is attached;
- c) dynamic response of the body to the shape/stiffness of the implants;
- d) expected wear of articulating surfaces or ligaments;
- e) suitability of the dimensions and shape of the implant for the population for which it is intended;
- f) strength of the adhesion and durability of surface coatings or surface treatments.

NOTE 1: The clinical indications and contra-indications for the use of a particular implant are complex and should be reviewed by the surgeons when they are selecting implants to be used for particular patients, relying upon their own personal judgment and experience.

NOTE 2: The lifetime of an implant depends on the interaction of various factors: some are the responsibility of the manufacturer, some, such as the implantation technique, are the responsibility of the surgeon in conducting the operation, and some relate to the patient, for example, the biological and physiological response to the implant, the medical condition of the patient, the conduct of the patient in respect of increasing body weight, carriage of heavy loads and adopting a high level of physical activity.

## 5 Design Attributes

### 5.1 General

The development of the design attributes to meet the performance intended by the manufacturer shall conform to the requirements of clause 5 of EN ISO 14630:1997, and in addition, account shall be taken of the following points:

- a) the strength of adhesion and durability of surface coatings and surface treatments;
- b) the wear of the articulating and other surfaces;
- c) stability of the implant while allowing prescribed minimum and maximum relative movements between the skeletal parts;
- d) avoidance of cutting or abrading tissue during function other than insertion or removal;
- e) the creep resistance and rupture characteristics, particularly as they relate to ligaments.

NOTE 1: Methods of assessment of the wear of articulating and other surfaces and allowable values will be prescribed in level 3 standards at present in preparation.

NOTE 2: More specific requirements may appear in level 3 standards, such as for hip joints.

### 5.2 Surface finish of metallic or ceramic implants articulating on UHMWPE

NOTE: In this standard ultra-high-molecular-weight polyethylene is designated as UHMWPE.

The articulating surfaces of metallic or ceramic components of total joint replacements intended to articulate on UHMWPE shall have a surface roughness value  $R_a$  no greater than  $0,1 \mu\text{m}$  (when measured according to 7.2.1).

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### 5.3 Surface finish of metallic or ceramic partial implants

The articulating surface of metallic or ceramic components of partial joint replacements shall have a surface roughness value  $R_a$  no greater than  $0,5 \mu\text{m}$  (when measured according to 7.2.1).

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### 5.4 Surfaces of convex spherically conforming metallic or ceramic implants articulating on UHMWPE

The articulating surface of convex spherically conforming metallic or ceramic components of total joint replacements intended to articulate on UHMWPE shall have a surface roughness  $R_a$  no greater than  $0,05 \mu\text{m}$  and a radial separation value for sphericity no greater than  $10 \mu\text{m}$  (when measured according to 7.2.1 and 7.2.2).



### 5.5 Surfaces of spherically conforming metallic or ceramic partial implants

The articulating surface of spherically conforming metallic or ceramic components of partial joint replacements shall have a surface roughness value  $R_a$  no greater than  $0,5 \mu\text{m}$  and a radial separation value for sphericity no greater than  $100 \mu\text{m}$  (when measured according to 7.2.1 and 7.2.2).

### 5.6 Surfaces of concave spherically conforming UHMWPE components

The articulating surface of concave spherically conforming UHMWPE components of total joint replacements shall have a surface roughness  $R_a$  no greater than  $2 \mu\text{m}$  and a radial separation value for sphericity no greater than  $200 \mu\text{m}$  (when measured according to 7.2.1 and 7.2.2).

## 6 Materials

### 6.1 General

The requirements of clause 6 of EN ISO 14630:1997 apply together with the particular requirement of 6.2 of this standard.

NOTE 1: Annex A of this standard gives lists of materials found acceptable through proven use for the manufacture of implants or for use in association with implants.

NOTE 2: Annex B gives a list of pairs of materials found acceptable or not acceptable through proven use for articulating surfaces of implants.

NOTE 3: Where 6.1 of EN ISO 14630:1997 states that the acceptability of materials may be demonstrated by selection from the materials found suitable by proven clinical use in similar applications, for the purposes of this standard, proven use should be demonstrated by records of implantation of at least 500 of the implants and recorded satisfactory clinical use over a period of not less than five years.

### 6.2 Dissimilar metals or alloys

For applications in which two dissimilar metals or alloys or two metals in different metallurgical states are in contact where articulation is not intended, combinations used shall not produce unacceptable galvanic effects.

NOTE: Annex C gives lists of acceptable and unacceptable metallic combinations for use in non articulating bearing surfaces of implants.

## 7 Design evaluation

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### 7.1 General

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Joint replacement implants shall be evaluated to demonstrate that the intended performance is achieved. This evaluation shall be in accordance with clause 7 of EN ISO 14630:1997 together with the particular requirements of 7.2 and with the guidance of the note in 7.3 of this standard.

### 7.2 Preclinical evaluation

Preclinical evaluation shall consider: