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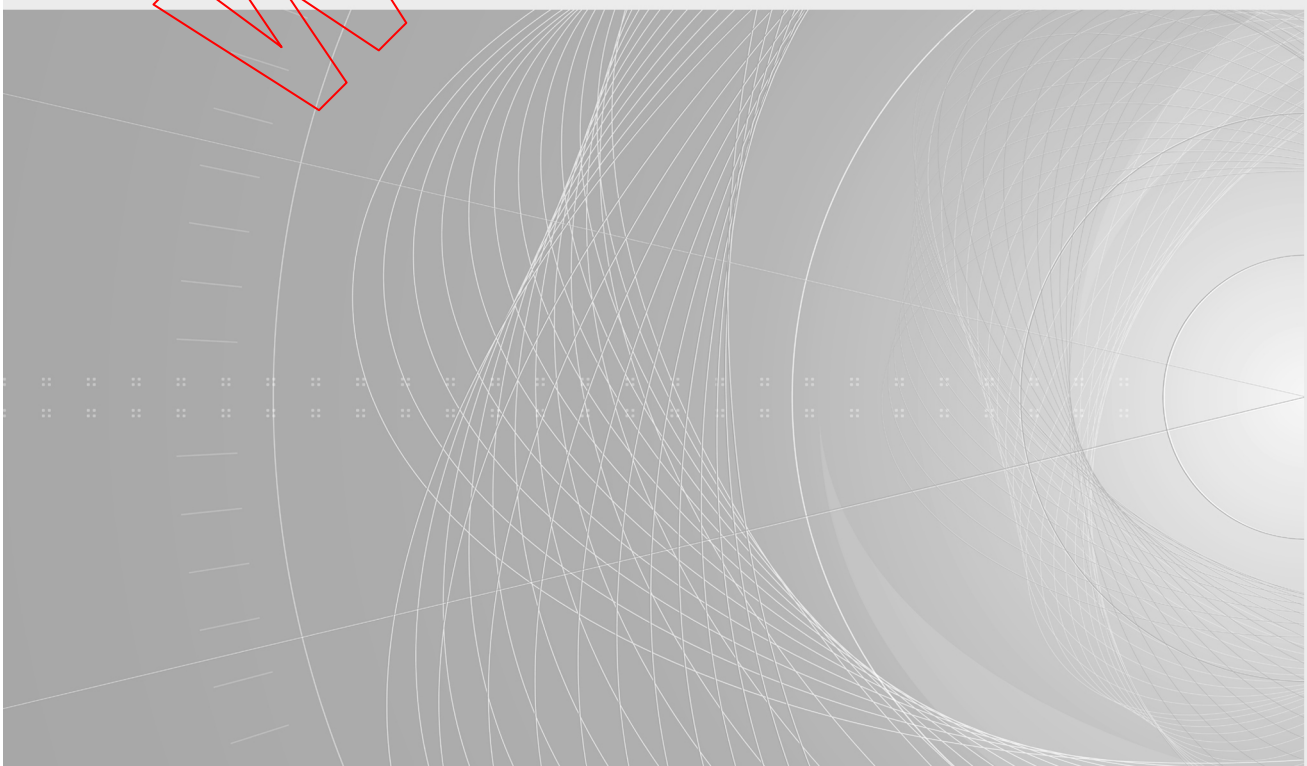
INTERNATIONAL STANDARD

Information technology – UPnP Device Architecture – Part 1: UPnP Device Architecture Version 1.0



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INFORMATION TECHNOLOGY – UPNP DEVICE ARCHITECTURE –

Part 1: UPnP Device Architecture Version 1.0

FOREWORD

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MS: JFS-98
USA – Hillsboro, Oregon 97124

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6101499 / US; 6687755 / US; 6910068 / US; 7130895 / US; 6725281 / US; 7089307 / US; 7069312 / US; 10/783 524 /US

Information may be obtained from:

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NL – 5656 AG Eindhoven

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5 956 487 / US; 6 170 007 / US; 6 139 177 / US; 6 529 936 / US; 6 470 339 / US; 6 571 388 / US; 6 205 466 / US

Information may be obtained from:

Hewlett Packard Company
1501 Page Mill Road
USA – Palo Alto, CA 94304

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Information may be obtained from:

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KR – Suwon City 443-742

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ISO/IEC 29341-1 was prepared by UPnP Implementers Corporation and adopted, under the PAS procedure, by joint technical committee ISO/IEC JTC 1, *Information technology*, in parallel with its approval by national bodies of ISO and IEC.

The list of all currently available parts of the ISO/IEC 29341 series, under the general title *Universal plug and play (UPnP) architecture*, can be found on the IEC web site.

This International Standard has been approved by vote of the member bodies, and the voting results may be obtained from the address given on the second title page.

ORIGINAL UPNP DOCUMENTS
(informative)

Reference may be made in this document to original UPnP documents. These references are retained in order to maintain consistency between the specifications as published by ISO/IEC and by UPnP Implementers Corporation. The following table indicates the original UPnP document titles and the corresponding part of ISO/IEC 29341:

UPnP Document Title	ISO/IEC 29341 Part
UPnP Device Architecture 1.0	ISO/IEC 29341-1
UPnP Basic:1 Device	ISO/IEC 29341-2
UPnP AV Architecture:1	ISO/IEC 29341-3-1
UPnP MediaRenderer:1 Device	ISO/IEC 29341-3-2
UPnP MediaServer:1 Device	ISO/IEC 29341-3-3
UPnP AVTransport:1 Service	ISO/IEC 29341-3-10
UPnP ConnectionManager:1 Service	ISO/IEC 29341-3-11
UPnP ContentDirectory:1 Service	ISO/IEC 29341-3-12
UPnP RenderingControl:1 Service	ISO/IEC 29341-3-13
UPnP MediaRenderer:2 Device	ISO/IEC 29341-4-2
UPnP MediaServer:2 Device	ISO/IEC 29341-4-3
UPnP AV Datastructure Template:1	ISO/IEC 29341-4-4
UPnP AVTransport:2 Service	ISO/IEC 29341-4-10
UPnP ConnectionManager:2 Service	ISO/IEC 29341-4-11
UPnP ContentDirectory:2 Service	ISO/IEC 29341-4-12
UPnP RenderingControl:2 Service	ISO/IEC 29341-4-13
UPnP ScheduledRecording:1	ISO/IEC 29341-4-14
UPnP DigitalSecurityCamera:1 Device	ISO/IEC 29341-5-1
UPnP DigitalSecurityCameraMotionImage:1 Service	ISO/IEC 29341-5-10
UPnP DigitalSecurityCameraSettings:1 Service	ISO/IEC 29341-5-11
UPnP DigitalSecurityCameraStillImage:1 Service	ISO/IEC 29341-5-12
UPnP HVAC_System:1 Device	ISO/IEC 29341-6-1
UPnP HVAC_ZoneThermostat:1 Device	ISO/IEC 29341-6-2
UPnP ControlValve:1 Service	ISO/IEC 29341-6-10
UPnP HVAC_FanOperatingMode:1 Service	ISO/IEC 29341-6-11
UPnP FanSpeed:1 Service	ISO/IEC 29341-6-12
UPnP HouseStatus:1 Service	ISO/IEC 29341-6-13
UPnP HVAC_SetpointSchedule:1 Service	ISO/IEC 29341-6-14
UPnP TemperatureSensor:1 Service	ISO/IEC 29341-6-15
UPnP TemperatureSetpoint:1 Service	ISO/IEC 29341-6-16
UPnP HVAC_UserOperatingMode:1 Service	ISO/IEC 29341-6-17
UPnP BinaryLight:1 Device	ISO/IEC 29341-7-1
UPnP DimmableLight:1 Device	ISO/IEC 29341-7-2
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UPnP SwitchPower:1 Service	ISO/IEC 29341-7-11
UPnP InternetGatewayDevice:1 Device	ISO/IEC 29341-8-1
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UPnP WANDevice:1 Device	ISO/IEC 29341-8-3
UPnP WANConnectionDevice:1 Device	ISO/IEC 29341-8-4
UPnP WLANAccessPointDevice:1 Device	ISO/IEC 29341-8-5
UPnP LANHostConfigManagement:1 Service	ISO/IEC 29341-8-10
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UPnP RadiusClient:1 Service	ISO/IEC 29341-8-13
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UPnP WANCommonInterfaceConfig:1 Service	ISO/IEC 29341-8-15
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UPnP Document Title	ISO/IEC 29341 Part
UPnP QosManager:2 Service	ISO/IEC 29341-11-11
UPnP QosPolicyHolder:2 Service	ISO/IEC 29341-11-12
UPnP RemoteUIClientDevice:1 Device	ISO/IEC 29341-12-1
UPnP RemoteUIServerDevice:1 Device	ISO/IEC 29341-12-2
UPnP RemoteUIClient:1 Service	ISO/IEC 29341-12-10
UPnP RemoteUIServer:1 Service	ISO/IEC 29341-12-11
UPnP DeviceSecurity:1 Service	ISO/IEC 29341-13-10
UPnP SecurityConsole:1 Service	ISO/IEC 29341-13-11

Introduction

What is UPnP Technology?

UPnP technology defines an architecture for pervasive peer-to-peer network connectivity of intelligent appliances, wireless devices, and PCs of all form factors. It is designed to bring easy-to-use, flexible, standards-based connectivity to ad-hoc or unmanaged networks whether in the home, in a small business, public spaces, or attached to the Internet. UPnP technology provides a distributed, open networking architecture that leverages TCP/IP and the Web technologies to enable seamless proximity networking in addition to control and data transfer among networked devices.

The UPnP Device Architecture (UDA) is more than just a simple extension of the plug and play peripheral model. It is designed to support zero-configuration, "invisible" networking, and automatic discovery for a breadth of device categories from a wide range of vendors. This means a device can dynamically join a network, obtain an IP address, convey its capabilities, and learn about the presence and capabilities of other devices. Finally, a device can leave a network smoothly and automatically without leaving any unwanted state behind.

The technologies leveraged in the UPnP architecture include Internet protocols such as IP, TCP, UDP, HTTP, and XML. Like the Internet, contracts are based on wire protocols that are declarative, expressed in XML, and communicated via HTTP. Using internet protocols is a strong choice for UDA because of its proven ability to span different physical media, to enable real world multiple-vendor interoperation, and to achieve synergy with the Internet and many home and office intranets. The UPnP architecture has been explicitly designed to accommodate these environments. Further, via bridging, UDA accommodates media running non-IP protocols when cost, technology, or legacy prevents the media or devices attached to it from running IP.

What is "universal" about UPnP technology? No device drivers; common protocols are used instead. UPnP networking is media independent. UPnP devices can be implemented using any programming language, and on any operating system. The UPnP architecture does not specify or constrain the design of an API for applications; OS vendors may create APIs that suit their customers' needs.

UPnP Forum

The UPnP Forum is an industry initiative designed to enable easy and robust connectivity among stand-alone devices and PCs from many different vendors. The UPnP Forum seeks to develop standards for describing device protocols and XML-based device schemas for the purpose of enabling device-to-device interoperability in a scalable networked environment.

The UPnP Implementers Corporation (UIC) is comprised of UPnP Forum member companies across many industries who promote the adoption of uniform technical device interconnectivity standards and testing and certifying of these devices. The UIC develops and administers the testing and certification process, administers the UPnP logo program, and provides information to UIC members and other interested parties regarding the certification of UPnP devices. The UPnP device certification process is open to any vendor who is a member of the UPnP Forum and UIC, has paid the UIC dues, and has devices that support UPnP functionality. For more information, see http://www.upnp-ic.org.

The UPnP Forum has set up working committees in specific areas of domain expertise. These working committees are charged with creating proposed device standards, building sample implementations, and building appropriate test suites. This document indicates specific technical decisions that are the purview of UPnP Forum working committees.

UPnP vendors can build compliant devices with confidence of interoperability and benefits of shared intellectual property and the logo program. Separate from the logo program, vendors may also build devices that adhere to the UPnP Device Architecture defined herein without a formal standards procedure. If vendors build non-standard devices, they determine technical decisions that would otherwise be determined by a UPnP Forum working committee.

In this document

The UPnP Device Architecture (formerly known as the DCP Framework) contained herein defines the protocols for communication between controllers, or *control points*, and devices. For discovery, description, control, eventing, and presentation, the UPnP Device Architecture uses the following protocol stack (the indicated colors and type styles are used throughout this document to indicate where each protocol element is defined):

UPnP vendor [purple-italic]			
UPnP Forum [red-italic]			
UPnP Device Architecture [green-bold]			
SSDP [blue]		SOAP [blue]	**GENA [navy-bold]**
HTTPMU (multicast) [black]	HTTPU (unicast) [black]	HTTP [black]	HTTP [black]
UDP [black]		TCP [black]	
IP [black]			

At the highest layer, messages logically contain only UPnP vendor-specific information about their devices. Moving down the stack, vendor content is supplemented by information defined by UPnP Forum working committees. Messages from the layers above are hosted in UPnP-specific protocols such as the Simple Service Discovery Protocol (SSDP) and the General Event Notification Architecture (GENA) defined in this document, and others that are referenced. The above messages are delivered via HTTP, either a multicast or unicast variety running over UDP, or the standard HTTP running over TCP. Ultimately, all messages above are delivered over IP. The remaining sections of this document describe the content and format for each of these protocol layers in detail. For reference, colors in [square brackets] above indicate which protocol defines specific message components throughout this document.

Two general classifications of devices are defined by the UPnP architecture: controlled devices (or simply “devices”), and control points. A controlled device functions in the role of a server, responding to requests from control points. Both control points and controlled devices can be implemented on a variety of platforms including personal computers and embedded systems. Multiple devices, control points, or both may be operational on the same network endpoint simultaneously.

The foundation for UPnP networking is IP addressing. Each device must have a Dynamic Host Configuration Protocol (DHCP) client and search for a DHCP server when the device is first connected to the network. If a DHCP server is available, i.e., the network is managed, the device must use the IP address assigned to it. If no DHCP server is available, i.e., the network is unmanaged, the device must use Auto IP to get an address. In brief, Auto IP defines how a device intelligently chooses an IP address from a set of reserved addresses and is able to move easily between managed and unmanaged networks. If during the

DHCP transaction, the device obtains a domain name, e.g., through a DNS server or via DNS forwarding, the device should use that name in subsequent network operations; otherwise, the device should use its IP address.

Given an IP address, Step 1 in UPnP networking is *discovery*. When a device is added to the network, the UPnP discovery protocol allows that device to advertise its services to control points on the network. Similarly, when a control point is added to the network, the UPnP discovery protocol allows that control point to search for devices of interest on the network. The fundamental exchange in both cases is a discovery message containing a few, essential specifics about the device or one of its services, e.g., its type, identifier, and a pointer to more detailed information. The section on Discovery below explains how devices advertise, how control points search, and details of the format of discovery messages.

Step 2 in UPnP networking is *description*. After a control point has discovered a device, the control point still knows very little about the device. For the control point to learn more about the device and its capabilities, or to interact with the device, the control point must retrieve the device's description from the URL provided by the device in the discovery message. Devices may contain other, logical devices, as well as functional units, or *services*. The UPnP description for a device is expressed in XML and includes vendor-specific, manufacturer information like the model name and number, serial number, manufacturer name, URLs to vendor-specific Web sites, etc. The description also includes a list of any embedded devices or services, as well as URLs for control, eventing, and presentation. For each service, the description includes a list of the commands, or *actions*, the service responds to, and parameters, or *arguments*, for each action; the description for a service also includes a list of variables; these variables model the state of the service at run time, and are described in terms of their data type, range, and event characteristics. The section on Description below explains how devices are described and how those descriptions are retrieved by control points.

Step 3 in UPnP networking is *control*. After a control point has retrieved a description of the device, the control point can send actions to a device's service. To do this, a control point sends a suitable control message to the control URL for the service (provided in the device description). Control messages are also expressed in XML using the Simple Object Access Protocol (SOAP). Like function calls, in response to the control message, the service returns any action-specific values. The effects of the action, if any, are modeled by changes in the variables that describe the run-time state of the service. The section on Control below explains the description of actions, state variables, and the format of control messages.

Step 4 in UPnP networking is *eventing*. A UPnP description for a service includes a list of actions the service responds to and a list of variables that model the state of the service at run time. The service publishes updates when these variables change, and a control point may subscribe to receive this information. The service publishes updates by sending event messages. Event messages contain the names of one of more state variables and the current value of those variables. These messages are also expressed in XML. A special initial event message is sent when a control point first subscribes; this event message contains the names and values for all evented variables and allows the subscriber to initialize its model of the state of the service. To support scenarios with multiple control points, eventing is designed to keep all control points equally informed about the effects of any action. Therefore, all subscribers are sent all event messages, subscribers receive event messages for all evented variables that have changed, and event messages are sent no matter why the state variable changed (either in response to a requested action or because the state the service is modeling changed). The section on Eventing below explains subscription and the format of event messages.

Step 5 in UPnP networking is *presentation*. If a device has a URL for presentation, then the control point can retrieve a page from this URL, load the page into a browser, and depending on the capabilities of the page, allow a user to control the device and/or view device status. The degree to which each of these can be accomplished depends on the specific capabilities of the presentation page and device. The section on Presentation below explains the protocol for retrieving a presentation page.

Audience

The audience for this document includes UPnP device vendors, members of UPnP Forum working committees, and anyone else who has a need to understanding the technical details of UPnP protocols.

This document assumes the reader is familiar with the HTTP, TCP, UDP, IP family of protocols; this document makes no attempt to explain them. This document also assumes most readers will be new to XML, and while it is not an XML tutorial, XML-related issues are addressed in detail given the centrality of XML to the UPnP device architecture. This document makes no assumptions about the reader's understanding of various programming or scripting languages.

Required vs. recommended

In this document, features are described as Required, Recommended, or Optional as follows:

Required (or Must or Shall).
: These basic features must be implemented to comply with the UPnP Device Architecture. The phrases "must not" and "shall not" indicate behavior that is prohibited that if performed means the implementation is not in compliance.

Recommended (or Should).
: These features add functionality supported by the UPnP Device Architecture and should be implemented. Recommended features take advantage of the capabilities of the UPnP Device Architecture, usually without imposing major cost increases. Notice that for compliance testing, if a recommended feature is implemented, it must meet the specified requirements to be in compliance with these guidelines. Some recommended features could become requirements in the future. The phrase "should not" indicates behavior that is permitted but not recommended.

Optional (or May).
: These features are neither required nor recommended by the UPnP Device Architecture, but if the feature is implemented, it must meet the specified requirements to be in compliance with these guidelines. These features are not likely to become requirements in the future.

Acronyms

Acronym	Meaning	Acronym	Meaning
ARP	Address Resolution Protocol	SOAP	Simple Object Access Protocol
CP	Control Point	SSDP	Simple Service Discovery Protocol
DCP	Device Control Protocol	UDA	UPnP Device Architecture
DHCP	Dynamic Host Configuration Protocol	UPC	Universal Product Code
DNS	Domain Name System	URI	Uniform Resource Identifier
GENA	General Event Notification Architecture	URL	Uniform Resource Locator
HTML	HyperText Markup Language	URN	Uniform Resource Name
HTTP	Hypertext Transfer Protocol	UUID	Universally Unique Identifier
HTTPMU	HTTP (Multicast over UDP)	XML	Extensible Markup Language
HTTPU	HTTP (Unicast over UDP)		

References and resources

RFC 2616
: HTTP: Hypertext Transfer Protocol 1.1. <http://www.ietf.org/rfc/rfc2616.txt>.

RFC 2279
: UTF-8, a transformation format of ISO 10646 (character encoding). <http://www.ietf.org/rfc/rfc2279.txt>.

XML

Extensible Markup Language. W3C recommendation. <http://www.w3.org/TR/2000/REC-xml-20001006>.

Each section in this document contains additional information about resources for specific topics.