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Information technology – UPnP device architecture
Part 4-11: Audio Video Device Control Protocol – Level 2 – Connection Manager Service

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FOREWORD

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10) Attention is drawn to the possibility that some of the elements of this International Standard may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

International Standard ISO/IEC 29341-4-11 was prepared by UPnP Forum Steering committee[1], was adopted, under the fast track procedure, by subcommittee 25: Interconnection of information technology equipment, of ISO/IEC joint technical committee 1: Information technology.

This International Standard replaces ISO/IEC 29341-4-11, first edition, published in 2008, and constitutes a technical revision.

The list of all currently available parts of the ISO/IEC 29341 series, under the general title *Information technology – UPnP device architecture*, can be found on the IEC web site.

This International Standard has been approved by vote of the member bodies, and the voting results may be obtained from the address given on the second title page.

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1 UPnP Forum Steering committee, UPnP Forum, 3855 SW 153rd Drive, Beaverton, Oregon 97006 USA. See also "Introduction".

1 Overview and Scope

1.1 Introduction

This service definition is compliant with the UPnP Device Architecture version 1.0.

This service-type enables modeling of streaming capabilities of A/V devices, and binding of those capabilities between devices. Each device that is able to send or receive a stream according to the UPnP AV Architecture will have 1 instance of the ConnectionManager service. This service provides a mechanism for control points to:

a) Perform capability matching between source/server devices and sink/renderer devices,

b) Find information about currently ongoing transfers in the network,

c) Setup and teardown connections between devices (when required by the streaming protocol).

The ConnectionManager service is generic enough to properly abstract different kinds of streaming mechanisms, such as HTTP-based streaming, RTSP/RTP-based and 1394-based streaming.

The ConnectionManager enables control points to abstract from physical media interconnect technology when making connections. The term ‘stream’ used in this service template refers to both analog and digital data transfer.

1.2 Notation

- In this document, features are described as Required, Recommended, or Optional as follows:

  The keywords “MUST,” “MUST NOT,” “REQUIRED,” “SHALL,” “SHALL NOT,” “SHOULD,” “SHOULD NOT,” “RECOMMENDED,” “MAY,” and “OPTIONAL” in this specification are to be interpreted as described in [RFC 2119].

  In addition, the following keywords are used in this specification:

  PROHIBITED – The definition or behavior is prohibited by this specification. Opposite of REQUIRED.

  CONDITIONALLY REQUIRED – The definition or behavior depends on a condition. If the specified condition is met, then the definition or behavior is REQUIRED, otherwise it is PROHIBITED.

  CONDITIONALLY OPTIONAL – The definition or behavior depends on a condition. If the specified condition is met, then the definition or behavior is OPTIONAL, otherwise it is PROHIBITED.

  These keywords are thus capitalized when used to unambiguously specify requirements over protocol and application features and behavior that affect the interoperability and security of implementations. When these words are not capitalized, they are meant in their natural-language sense.

- Strings that are to be taken literally are enclosed in “double quotes”.
- Words that are emphasized are printed in *italic*.
- Keywords that are defined by the UPnP AV Working Committee are printed using the *forum* character style.
- Keywords that are defined by the UPnP Device Architecture specification are printed using the **arch** character style [DEVICE].
- A double colon delimiter, “::”, signifies a hierarchical parent-child (parent::child) relationship between the two objects separated by the double colon. This delimiter is used in multiple contexts, for example: Service::Action(), Action()::Argument, parentProperty::childProperty.

1.2.1 Data Types

This specification uses data type definitions from two different sources. The UPnP Device Architecture defined data types are used to define state variable and action argument data types [DEVICE]. The XML Schema namespace is used to define property data types [XML SCHEMA-2].

For UPnP Device Architecture defined **boolean** data types, it is strongly RECOMMENDED to use the value "**0**" for false, and the value "**1**" for true. However, when used as input arguments, the values "**false**", "**no**", "**true**", "**yes**" may also be encountered and MUST be accepted. Nevertheless, it is strongly RECOMMENDED that all **boolean** state variables and output arguments be represented as "**0**" and "**1**".

For XML Schema defined Boolean data types, it is strongly RECOMMENDED to use the value "*0*" for false, and the value "*1*" for true. However, when used as input properties, the values "*false*", "*true*" may also be encountered and MUST be accepted. Nevertheless, it is strongly RECOMMENDED that all properties be represented as "*0*" and "*1*".

1.2.2 Strings Embedded in Other Strings

Some string variables and arguments described in this document contain substrings that MUST be independently identifiable and extractable for other processing. This requires the definition of appropriate substring delimiters and an escaping mechanism so that these delimiters can also appear as ordinary characters in the string and/or its independent substrings. This document uses embedded strings in two contexts – Comma Separated Value (CSV) lists (see Clause 1.3.1, "Comma Separated Value (CSV) Lists") and property values in search criteria strings. Escaping conventions use the backslash character, "\" (character code U+005C), as follows:

a) Backslash ("\") is represented as "\\" in both contexts.

b) Comma (",") is
   1) represented as "\," in individual substring entries in CSV lists
   2) not escaped in search strings

c) Double quote (""") is
   1) not escaped in CSV lists
   2) not escaped in search strings when it appears as the start or end delimiter of a property value
   3) represented as "\"" in search strings when it appears as a character that is part of the property value

1.2.3 Extended Backus-Naur Form

Extended Backus-Naur Form is used in this document for a formal syntax description of certain constructs. The usage here is according to the reference [EBNF].

1.2.3.1 Typographic conventions for EBNF

`Non-terminal` symbols are unquoted sequences of characters from the set of English upper and lower case letters, the digits "0" through "9", and the hyphen ("-"). Character sequences between `'single quotes'` are terminal strings and MUST appear literally in valid strings. Character sequences between `(*comment delimiters*)` are English language definitions or supplementary explanations of their associated symbols. White space in the EBNF is used to separate elements of the EBNF, not to represent white space in valid strings. White space usage in valid strings is described explicitly in the EBNF. Finally, the EBNF uses the following operators:

Table 1-1 — EBNF Operators

Operator	Semantics
::=	**definition** – the non-terminal symbol on the left is defined by one or more alternative sequences of terminals and/or non-terminals to its right.
|	**alternative separator** – separates sequences on the right that are independently allowed definitions for the non-terminal on the left.
*	**null repetition** – means the expression to its left MAY occur zero or more times.
+	**non-null repetition** – means the expression to its left MUST occur at least once and MAY occur more times.
[ ]	**optional –** the expression between the brackets is optional.
( )	**grouping –** groups the expressions between the parentheses.
-	**character range** – represents all characters between the left and right character operands inclusively.

1.3 Derived Data Types

This clause defines a derived data type that is represented as a string data type with special syntax. This specification uses string data type definitions that originate from two different sources. The UPnP Device Architecture defined **string** data type is used to define state variable and action argument **string** data types. The XML Schema namespace is used to define property xsd:string data types. The following definition applies to both string data types.

1.3.1 Comma Separated Value (CSV) Lists

The UPnP AV services use state variables, action arguments and properties that represent lists – or one-dimensional arrays – of values. The UPnP Device Architecture, Version 1.0 [DEVICE], does not provide for either an array type or a list type, so a list type is defined here. Lists MAY either be homogeneous (all values are the same type) or heterogeneous (values of different types are allowed). Lists MAY also consist of repeated occurrences of homogeneous or heterogeneous subsequences, all of which have the same syntax and semantics (same number of values, same value types and in the same order). The data type of a homogeneous list is **string** or xsd:string and denoted by CSV (*x*), where *x* is the type of the individual values. The data type of a heterogeneous list is also **string** or xsd:string and denoted by CSV (*x, y, z*), where *x*, *y* and *z* are the types of the individual values. If the number of values in the heterogeneous list is too large to show each type individually, that variable type is represented as CSV (heterogeneous), and the variable description includes additional information as to the expected sequence of values appearing in the list and their corresponding types. The data type of a repeated subsequence list is **string** or xsd:string and denoted by CSV ({*x*, *y*, *z*}), where *x*, *y* and *z* are the types of the individual values in the subsequence and the subsequence MAY be repeated zero or more times.

- A list is represented as a **string** type (for state variables and action arguments) or xsd:string type (for properties).
- Commas separate values within a list.
- Integer values are represented in CSVs with the same syntax as the integer data type specified in [DEVICE] (that is: optional leading sign, optional leading zeroes, numeric US-ASCII)
- Boolean values are represented in state variable and action argument CSVs as either "**0**" for false or "**1**" for true. These values are a subset of the defined **boolean** data type values specified in [DEVICE]: **0**, **false**, **no**, **1**, **true**, **yes**.
- Boolean values are represented in property CSVs as either "*0*" for false or "*1*" for true. These values are a subset of the defined Boolean data type values specified in [XML SCHEMA-2]: 0, false, 1, true.
- Escaping conventions for the comma and backslash characters are defined in Clause 1.2.2, "Strings Embedded in Other Strings".

- White space before, after, or interior to any numeric data type is not allowed.
- White space before, after, or interior to any other data type is part of the value.

Table 1-2 — CSV Examples

Type refinement of string	Value	Comments
CSV (**string**) or CSV (xsd:string)	“+artist,-date”	List of 2 property sort criteria.
CSV (**int**) or CSV (xsd:integer)	“1,-5,006,0,+7”	List of 5 integers.
CSV (**boolean**) or CSV (xsd:Boolean)	“0,1,1,0”	List of 4 booleans
CSV (**string**) or CSV (xsd:string)	“Smith\, Fred,Jones\, Davey”	List of 2 names, “Smith, Fred” and “Jones, Davey”
CSV (**i4**,**string**,**ui2**) or CSV (xsd:int, xsd:string, xsd:unsignedShort)	“-29837, string with leading blanks,0”	Note that the second value is “ string with leading blanks”
CSV (**i4**) or CSV (xsd:int)	“3, 4”	Illegal CSV. White space is not allowed as part of an integer value.
CSV (**string**) or CSV (xsd:string)	“,,”	List of 3 empty string values
CSV (heterogeneous)	“Alice,Marketing,5,Sue,R&D,21,Dave,Finance,7”	List of unspecified number of people and associated attributes. Each person is described by 3 elements: a name **string**, a department **string** and years-of-service **ui2** or a name xsd:string, a department xsd:string and years-of-service xsd:unsignedShort.

1.4 Management of XML Namespaces in Standardized DCPs

UPnP specifications make extensive use of XML namespaces. This allows separate DCPs, and even separate components of an individual DCP, to be designed independently and still avoid name collisions when they share XML documents. Every name in an XML document belongs to exactly one namespace. In documents, XML names appear in one of two forms: qualified or unqualified. An unqualified name (or no-colon-name) contains no colon (“:”) characters. An unqualified name belongs to the document’s default namespace. A qualified name is two no-colon-names separated by one colon character. The no-colon-name before the colon is the qualified name’s namespace prefix, the no-colon-name after the colon is the qualified name’s “local” name (meaning local to the namespace identified by the namespace prefix). Similarly, the unqualified name is a local name in the default namespace.

The formal name of a namespace is a URI. The namespace prefix used in an XML document is *not* the name of the namespace. The namespace name is, or should be, globally unique. It has a single definition that is accessible to anyone who uses the namespace. It has the same meaning anywhere that it is used, both inside and outside XML documents. The namespace prefix, however, in formal XML usage, is defined only in an XML document. It must be locally unique to the document. Any valid XML no-colon-name may be used. And, in formal XML usage, no two XML documents are ever required to use the same namespace prefix to refer to the same namespace. The creation and use of the namespace prefix was standardized by the W3C XML Committee in [XML-NMSP] strictly as a convenient local shorthand replacement for the full URI name of a namespace in individual documents.

All AV object properties are represented in XML by element and attribute names, therefore, all property names belong to an XML namespace.

For the same reason that namespace prefixes are convenient in XML documents, it is convenient in specification text to refer to namespaces using a namespace prefix. Therefore, this specification declares a “standard” prefix for all XML namespaces used herein. In addition, this specification expands the scope where these prefixes have meaning, beyond a single XML document, to all of its text, XML examples, and certain string-valued properties. This expansion of scope *does not* supercede XML rules for usage in documents, it only augments and complements them in important contexts that are out-of-scope for the XML specifications. For example, action arguments which refer to CDS properties, such as the *SearchCriteria* argument of the *Search()* action or the *Filter* argument of the *Browse()* action, MUST use the predefined namespace prefixes when referring to CDS properties (“upnp:”, “dc:”, etc).

All of the namespaces used in this specification are listed in the Tables “Namespace Definitions” and “Schema-related Information”. For each such namespace, Table 1-3, “Namespace Definitions” gives a brief description of it, its name (a URI) and its defined “standard” prefix name. Some namespaces included in these tables are not directly used or referenced in this document. They are included for completeness to accommodate those situations where this specification is used in conjunction with other UPnP specifications to construct a complete system of devices and services. For example, since the Scheduled Recording Service depends on and refers to the Content Directory Service, the predefined “srs:” namespace prefix is included. The individual specifications in such collections all use the same standard prefix. The standard prefixes are also used in Table 1-4, “Schema-related Information”, to cross-reference additional namespace information. This second table includes each namespace’s valid XML document root element(s) (if any), its schema file name, versioning information (to be discussed in more detail below), and a link to the entry in Clause 1.6, “References” for its associated schema.

The normative definitions for these namespaces are the documents referenced in Table 1-3. The schemas are designed to support these definitions for both human understanding and as test tools. However, limitations of the XML Schema language itself make it difficult for the UPnP-defined schemas to accurately represent all details of the namespace definitions. As a result, the schemas will validate many XML documents that are not valid according to the specifications.

The Working Committee expects to continue refining these schemas after specification release to reduce the number of documents that are validated by the schemas while violating the specifications, but the schemas will still be informative, supporting documents. Some schemas might become normative in future versions of the specifications.

Table 1-3 — Namespace Definitions

Standard Name-space Prefix	Namespace Name	Namespace Description	Normative Definition Document Reference
AV Working Committee defined namespaces			
av	urn:schemas-upnp-org:av:av	Common data types for use in AV schemas	[AV-XSD]
avs	urn:schemas-upnp-org:av:avs	Common structures for use in AV schemas	[AVS-XSD]
avdt	urn:schemas-upnp-org:av:avdt	Datastructure Template	[AVDT]
avt-event	urn:schemas-upnp-org:metadata-1-0/AVT/	Evented *LastChange* state variable for AVTransport	[AVT]
cds-event	urn:schemas-upnp-org:av:cds-event	Evented *LastChange* state variable for ContentDirectory	[CDS]
didl-lite	urn:schemas-upnp-org:metadata-1-0/DIDL-Lite/	Structure and metadata for ContentDirectory	[CDS]
rcs-event	urn:schemas-upnp-org:metadata-1-0/RCS/	Evented *LastChange* state variable for RenderingControl	[RCS]
srs	urn:schemas-upnp-org:av:srs	Metadata and structure for ScheduledRecording	[SRS]
srs-event	urn:schemas-upnp-org:av:srs-event	Evented *LastChange* state variable for ScheduledRecording	[SRS]
upnp	urn:schemas-upnp-org:metadata-1-0/upnp/	Metadata for ContentDirectory	[CDS]
Externally defined namespaces			
dc	http://purl.org/dc/elements/1.1/	Dublin Core	[DC-TERMS]
xsd	http://www.w3.org/2001/XMLSchema	XML Schema Language 1.0	[XML SCHEMA-1] [XML SCHEMA-2]
xsi	http://www.w3.org/2001/XMLSchema-instance	XML Schema Instance Document schema	Clauses 2.6 & 3.2.7 of [XML SCHEMA-1]
xml	http://www.w3.org/XML/1998/namespace	The “xml:” Namespace	[XML-NS]

Table 1-4 — Schema-related Information

Standard Name-space Prefix	Relative URI and File Name [a] • Form 1, Form 2, Form3	Valid Root Element(s)	Schema Reference
AV Working Committee Defined Namespaces			
av	av-v*n-yyyymmdd*.xsd av-vn.xsd av.xsd	*n/a*	[AV-XSD]
avs	avs-v*n-yyyymmdd*.xsd avs-vn.xsd avs.xsd	<Capabilities> <Features> <stateVariableValuePairs>	[AVS-XSD]
avdt	avdt-v*n-yyyymmdd*.xsd avdt-vn.xsd avdt.xsd	<AVDT>	[AVDT]
avt-event	avt-event-v*n-yyyymmdd*.xsd avt-event-vn.xsd avt-event.xsd	<Event>	[AVT-EVENT-XSD]
cds-event	cds-event-v*n-yyyymmdd*.xsd cds-event-vn.xsd cds-event.xsd	<StateEvent>	[CDS-EVENT-XSD]
didl-lite	didl-lite-v*n-yyyymmdd*.xsd didl-lite-vn.xsd didl-lite.xsd	<DIDL-Lite>	[DIDL-LITE-XSD]
rcs-event	rcs-event-v*n-yyyymmdd*.xsd rcs-event-vn.xsd rcs-event.xsd	<Event>	[RCS-EVENT-XSD]
srs	srs-v*n-yyyymmdd*.xsd srs-vn.xsd srs.xsd	<srs>	[SRS-XSD]
srs-event	srs-event-v*n-yyyymmdd*.xsd srs-event-vn.xsd srs-event.xsd	<StateEvent>	[SRS-EVENT-XSD]
upnp	upnp-v*n-yyyymmdd*.xsd upnp-vn.xsd upnp.xsd	*n/a*	[UPNP-XSD]
Externally Defined Namespaces			
dc	*Absolute URL*: http://dublincore.org/schemas/xmls/simpledc20021212.xsd		[DC-XSD]
xsd	*n/a*	<schema>	[XMLSCHEMA-XSD]
xsi	*n/a*		*n/a*
xml	*n/a*		[XML-XSD]

[a] Absolute URIs are generated by prefixing the relative URIs with "http://www.upnp.org/schemas/av/".

1.4.1 Namespace Prefix Requirements

There are many occurrences in this specification of string data types that contain XML names (property names). These XML names in strings will not be processed under namespace-aware conditions. Therefore, all occurrences in instance documents of XML names in strings

MUST use the standard namespace prefixes as declared in Table 1-3. In order to properly process the XML documents described herein, control points and devices MUST use namespace-aware XML processors [XML-NMSP] for both reading and writing. As allowed by [XML-NMSP], the namespace prefixes used in an instance document are at the sole discretion of the document creator. Therefore, the declared prefix for a namespace in a document MAY be different from the standard prefix. All devices MUST be able to correctly process any valid XML instance document, even when it uses a non-standard prefix for ordinary XML names. However, it is strongly RECOMMENDED that all devices use these standard prefixes for all instance documents to avoid confusion on the part of both human and machine readers. These standard prefixes are used in all descriptive text and all XML examples in this and related UPnP specifications. Also, each individual specification may assume a default namespace for its descriptive text. In that case, names from that namespace may appear with no prefix.

The assumed default namespace, if any, for each UPnP AV specification is given in Table 1-5, “Default Namespaces for the AV Specifications”.

Note: all UPnP AV schemas declare attributes to be “unqualified”, so namespace prefixes are never used with AV Working Committee defined attribute names.

Table 1-5 — Default Namespaces for the AV Specifications

AV Specification Name	Default Namespace Prefix
AVTransport	avt-event
ConnectionManager	*n/a*
ContentDirectory	didl-lite
MediaRenderer	*n/a*
MediaServer	*n/a*
RenderingControl	rcs-event
ScheduledRecording	srs

1.4.2 Namespace Names, Namespace Versioning and Schema Versioning

The UPnP AV service specifications define several data structures (such as state variables and action arguments) whose format is an XML instance document that must comply with one or more specific XML namespaces. Each namespace is uniquely identified by an assigned namespace name. The namespaces that are defined by the AV Working Committee MUST be named by a URN. See Table 1-3, “Namespace Definitions” for a current list of namespace names. Additionally, each namespace corresponds to an XML schema document that provides a machine-readable representation of the associated namespace to enable automated validation of the XML (state variable or action parameter) instance documents.

Within an XML schema and XML instance document, the name of each corresponding namespace appears as the value of an `xmlns` attribute within the root element. Each `xmlns` attribute also includes a namespace prefix that is associated with that namespace in order to disambiguate (a.k.a. qualify) element and attribute names that are defined within different namespaces. The schemas that correspond to the listed namespaces are identified by URI values that are listed in the `schemaLocation` attribute also within the root element. (See Clause 1.4.3 “Namespace Usage Examples”)

In order to enable both forward and backward compatibility, namespace names are permanently assigned and MUST NOT change even when a new version of a specification changes the definition of a namespace. However, all changes to a namespace definition MUST be backward-compatible. In other words, the updated definition of a namespace MUST NOT invalidate any XML documents that comply with an earlier definition of that same namespace. This means, for example, that a namespace MUST NOT be changed so that a new element or attribute is required. Although namespace names MUST NOT change, namespaces still have version numbers that reflect a specific set of definitional changes.