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Primerjava svetovnih varnostnih standardov za dvigala (lifte) - 1. del: Električna dvigala (lifti)

Comparison of worldwide lift safety standards -- Part 1: Electric lifts (elevators)

Comparaison des normes mondiales de sécurité des ascenseurs -- Partie 1: Ascenseurs électriques (standards.iteh.ai)

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TECHNICAL REPORT

ISO/TR 11071-1

> First edition 1990-12-01

Comparison of worldwide lift safety standards -

Part 1: Electric lifts (elevators)

iTeh STANDARD PREVIEW

Comparaison des normes mondiales de sécurité des ascenseurs — Partie 1: Ascenseurs électriques

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The main task of ISO technical committees is to prepare International Standards. In exceptional circumstances a technical committee may propose the publication of a Technical Report of one of the following types:

type 1, when the required support cannot be obtained for the publication of an International Standard, despite repeated efforts;

type 2, when the subject is still under technical development or where for any Teh S other reason there is the future but not immediate possibility of an agreement on an International Standard;

> \$1.2 type 3 when a technical committee has collected data of a different kind from that which is normally published as an International Standard ("state of the art", for example) O/TR 11071-1:1997

https://standards.itel ni/cata Reports of types 1 and 2 are subject to review within three years of publication, 1009 decides whether they can be transformed into International Standards. Technical Reports of type 3 do not necessarily have to be reviewed until the data they provide are considered to be no longer valid or useful.

> ISO/TR 11071-1, which is a Technical Report of type 3, was prepared by Technical Committee ISO/TC 178, Lifts, escalators, passenger conveyors.

> ISO/TR 11071 consists of the following parts, under the general title Comparison of worldwide lift safety standards:

- Part 1: Electric lifts (elevators)
- Part 2: Hydraulic lifts.

ISO/TR 11071-1: 1990 (E)

Introduction

At the 1981 plenary meeting of ISO/TC 178, work was begun on a comparison of CEN standard EN 81/1 with the American, Canadian, and USSR safety codes. In 1983, Working Group 4 was officially formed to carry out the task of preparing a cross reference between the relevant sections of these standards and to analyze the differences on selected subjects. The goal at that time was to prepare a technical report which would provide reference information to assist national committees when reviewing and revising individual standards which may initiate a gradual convergence of the technical requirements. In 1984, the study was expanded to include the CMEA safety standard.

The content of this report is based on the information provided by the WG 4 members. The information which could not be obtained on the CMEA standard at the time of publication is noted in the report by a "?" in some of the tables.

PREVIEW

This report is intended to aid standards writers in developing their safety requirements, and to help standards users understand the basis for the requirements as they are applied throughout the world.

This report is not intended to replace existing safety standards. Conclusions are arrived at in some cases, but only where there is unanimity amongst the various experts. In other cases, the reasons for the divergent views are expressed.

This report must be read in conjunction with the various safety standards, as it was often necessary to summarize the requirements for the sake of clarifying the comparisons. Further, the information contained in this report does not necessarily represent the opinions of the standards writing organizations responsible for the development of the safety standards which are being compared, and they should be consulted regarding interpretations of their requirements (see Annex B).

ISO 11071-1: 1990 (E)

Comparison of worldwide lift safety standards -

Part 1:

Flectric lifts (elevators)

1 Scope

This Technical Report consists of a comparison of the requirements of selected topics as covered by the following worldwide safety standards (excluding regional or national deviations):

- a) CEN -- European Standard EN81: Part 1. Lifts O/TR 11 CSA standards and in the English translation of and Service Lifts [Edition/1985: las presented standards USSR5 Code 1 e02 These 2 terms are used interin BS5655: Part 1:1986 (excluding 1 national //sist-iso-changeably in this report.

 Appendix)]
- b) ASME -- ASME/ANSI A17.1 Safety Code for Elevators and Escalators (Edition 1987 including the A17.1a-1988 and A17.1b-1989 addenda)
- c) CSA -- CSA Standard CAN3-B44 Safety Code for Elevators (Edition 1985, including Supplement 1-1987)
- d) USSR -- USSR Elevator Design and Safe Operation Code (Edition NEDRA, 1971 as presented in English version NEDRA 1972)
- e) CMEA -- Elevator Safety Regulations of the Council for Mutual Economic Assistance

This report applies to electric traction lifts only, although some sections may also be applicable for positive drive lifts and other lifts suspended by rope or chain.

It should be noted that in addition to the above listed standards, lifts must conform to the requirements of other standards covering mechanical, structural, and electrical equipment.

2 Terminology

2.1 Lifts and elevators

2.1.1 The term lift as used in the CEN standard (and in USSR Code, as written in the Russian language) is referred to as elevator in ASME and CSA standards and in the English translation of USSR Code: 02 These terms are used inter-

2.1.2 For the purposes of this report, unless otherwise specified, the term *passenger lift* and *freight lift* correspond to the following terms used in other standards:

_	Correspond to terms used in the following standards*			
this report	CEN	ASME	CSA	USSR
Passenger lift	Lift except non-commer- cial vehicle lift			Passenger Passenger freight elevator
Freight Lift**	Non-commer- cial vehicle lift with instructed users**	Freig eleva		Attendant operated freight elevator

*See the definitions in the applicable standards
**This term is used only to enable comparisons
to be made later in this report. It does not
indicate recognition of the term "freight lift"
by CEN

2.2 Electrical safety devices and electrical protective devices

Terms used in this report	Correspond to terms used in the following standards:				
tiris report	CEN	ASME	CSA	USSR	
Electrical safety device	El ectrical safety device	Electrical protective device		Electrical protective device	

3 Basis for lift safety standards development (basic assumptions)

3.1 Historical background

- 3.1.1 All lift safety standards assume certain things as being true, without proving them as such, and stipulate safety rules that are based on these assumptions.
- 3.1.2 No standard, however, clearly spells out the assumptions used. The CEN committee analyzed its standard and summarized in the document CEN/TC10/GT1 N144E (see Annex C) the assumptions that, in the opinion of the committee, were used in the CEN standard.
- 3.1.3 The CEN assumptions were compared with assumptions implicitly built into other safety standards. It has been indicated that:
- a) Some assumptions apparently used in the CEN standard were not listed in the document referred to in CEN/TC10/GT1 N144E;

2.3 Safety gear and safeties

The term *safety gear* as used in the CEN standard is referred to as *safeties* in ASME and CSA standards. The corresponding term in the English translation of the USSR Code is safety gears indeed (safeties). The first two are used interchangeably ist-iso-tr-110 in this report.

2.4 Other terms

The following is a list of additional terminology where there is a difference between the English version of the CEN and USSR standards and the ASME and CSA standards:

CEN	ASME & CSA	<u>USSR</u>
Anti-rebound device	Compensating rope tie down	Compensating rope tension device
Docking operat	ion Truck zone operation	Freight loading level
Fixings	Fastenings	Fastenings
Landing door	Hoistway (or landing) door	Hoistway door
Mains	Main power supply	Power source
Well	Hoistway	Hoistway
Progressive saf		Gradual retardation safety gear

standards.ib)e Some assumptions used in other standards EN standard differ from those in CEN/TC10/GT1 N144E; E and CSA and

- c) Some things assumed in all standards as being true have been proven as being false, such as the possibility of overspeeding in the up direction as a result of failures not presently anticipated in existing standards.
- 3.1.4 Using CEN/TC10/GT1 N144E as a model, the following list of assumptions has been developed which could be used as a basis for future work on safety standards.

3.2 General

- **3.2.1** Listed in 3.3 through 3.13 (except as noted) are those things specific to lifts that are assumed as true, although not yet proven or demonstrated as such, including:
- a) Functioning and reliability of lift components;
- b) Human behavior and endurance; and
- c) Acceptable level of safety and safety margins.

3.2.2 Where the probability of an occurrence is considered highly unlikely, it is considered as not happening.

- Where an occurrence proves that an 3.2.3 assumption is false, it does not necessarily prove that all other assumptions are false.
- 3.2.4 The assumptions should be subject to periodic review by standards writing organizations to ensure their continuing validity - considering accident statistics, as well as such things as changes in technologies, public expectations (e.g. product liability), and human behavior.

3.3 Assumption 1 -- safe operation assured to 125% of rated load

Safe operation of lifts is assured for loads ranging from 0 to 100% of the rated load. In addition, in the case of passenger lifts (see 2.1.2), safe operation is also assured for an overload of 25%, however, it is not necessary to be able to raise ds. ite (noustrial truck Class C) this overload nor to achieve normal operation (rated load performance).

The USSR standard permits a greater car-areato-load ratio under the following conditions (see (1) an electrical device must USSR 4.5.17): automatically prevent the motor from starting; (2) "cab over loaded" light signal must be provided in the car; (3) all lift components must be designed for static "full" rated load; and (4) safeties, buffers, and guides must be designed for "full" dynamic load.

However, the assumed average weight of a passenger differs: 75kg (CEN), 72.5kg (CSA), 80kg (USSR), while in ASME it is not specified (prior to A17.1a-1985, the assumed weight for purposes of computing the maximum number of passengers which could be safely transported in an emergency was 68 kg).

Furthermore, the rated load to car platform area ratio is different for freight lifts (see definitions in 2.1.2).

CEN (non-commercial vehicle with instructed users)

200kg/m²

ASME/CSA (general freight Class A) (motor vehicle Class B)

244/240 kg/m² 146/145 kg/m² 244/240 kg/m²

USSR

No spec

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Rationale for Assumptionc9d1a079df56/sist-iso-tr-11071-1-1997 3.3.1

3.3.1.1 All safety standards limit the car area in relation to its rated capacity (load and/or number of persons) in order to minimize the probability of However, it is reinadvertent overloading. cognized that the possibility of an overloading of up to 25% still exists on passenger lifts. To eliminate any hazard for passengers, safe operation must be assured, but not necessarily normal operation.

3.3.1.2 In the case of freight lifts (see 2.1.2), no overloading is anticipated. It is assumed that designated attendants and freight handlers will adhere to instructions posted in cars and will not overload them.

Assumption 1 as applied in current 3.3.2 standards

3.3.2.1 The ratio of the rated load to the car platform area for passenger lifts is equal $(\pm 5\%)$ in all standards for the range of 320 to 4000 kg, and in that respect, universality of the assumption is achieved.

- Lift components that are normally 3.3.2.2 designed to withstand, without permanent damage, overloads greater than 25% (such as ropes, guides, sheaves, buffers, disconnect switches) are not considered in this comparison.
- 3.3.2.3 Table 3.3.2.3 shows some of the safety rules for lift components or features (as applicable to passenger lifts) which do not always take into account the case of car overload of 25%.

3.4 Assumption 2 -- failure of electrical safety devices

The possibility of a failure of an electrical safety device complying with the requirement(s) of a lift safety standard is not taken into consideration.

Since national safety rules for lifts may be based on different assumptions (some are listed below), universality of Assumption 2 may be questioned.

Table 3.3.2.3
Comparison of Components' Ratings
(Percentage of Rated Load)

Component	CEN	ASME	CSA	USSR	CMEA
Rope traction	Dynamic: 125% (9-Notes)	Dynamic: 125% (208.2)	Dynamic: 125% (3.10.2.2)	Dynamic: 110% (7.3.10) Static: (200%) (7.3.9b)	Dynamic: 110% (3.3) Static: (200%) (3.3)
Mechanical brake alone from rated speed	125% (12.4.2.1)	* (208.8)	125% (3.10.8.2)	110% (7.3.10)	110% (4.4.4)
Safety gear**	10 0% eh S (9.8.1.1)	T125% DA (205.3) Standard	R 125% RE' (3.7.4.1) Is.iteh.ai)	7.3.10)	?

^{*} Holding capacity for 125%. There is no requirement in ASME for deceleration from any speed at any load.

* CEN and USSR safety gear is tested in free-fall, ASME and CSA in overspeed with 100% rated load. https://standards.iteh.ai/catalog/standards/sist/97594349-1e02-4ba6-a2d8-

c9d1a079df56/sist-iso-tr-11071-1-1997

3.4.1 Rationale for Assumption 2

Reliability and safety performance of lift components designated as electric safety devices is assured if designed in accordance with rules contained in a given lift safety standard. However, the design rules may be based on different assumptions.

3.4.2 Assumption 2 as applied in current standards

Most methods of assuring performance reliability of electrical safety devices are similar in present standards. There are, however, differences and inconsistencies, as detailed in section 12.

Section 12.1.3 deals in particular with discrepancies in assumptions implied in requirements for design of electrical safety devices.

3.5 Assumption 3 -- failure of mechanical devices

- a) With the exception of items listed below, a mechanical device built and maintained according to good practice and the requirements of a standard comprising of safety rules for lifts, is assumed not to deteriorate to the point of creating hazards before the failure is detected. (Note: National practices and safety rules may be different, such as safety factors. See Assumption 10.)
- b) The possibility of the following mechanical failures shall be taken into consideration:
 - 1) rupture of car suspension means.
 - 2) uncontrolled motion of the lift due to:
 - a) loss of traction while the car, loaded in accordance with Assumption 1, is descending, ascending, or stationary;

- b) brake failure with car descending, ascending, or stationary;
- c) failure of machine components such as shafts, gearing and bearings with the car descending, ascending, or stationary.
- 3) rupture and slackening of any connecting means such as safety related auxiliary ropes, chains and belts where the safety of normal lift operation or the operation of a safety related standby component is dependent on such connections.
- c) The possibility of a car or counterweight striking a buffer at a speed higher than the buffer's rating is not taken into consideration.
- d) The possibility of a simultaneous failure of a mechanical device listed above and another mechanical device provided to ensure safe operation of a lift, should the first failure occur, is not taken into consideration.

and USSR rules imply that safety gear must be able to stop, or at least slow down, a free falling car.

- 3.5.2.2 All standards imply that protection in the case of loss of traction of a stationary or descending car must be provided. CEN requires the safety gear to be rated for 100% of rated load, while traction and the brake are to be rated for 125%.
- **3.5.2.3** No standard addresses a loss of traction while the car is ascending.
- 3.5.2.4 No standard assumes a failure of the brake while the car is ascending. CEN alone assumes failure of mechanical components of a brake and requires redundancy for such components only (see also 11.1.3).

3.5.1 Rationale for Assumption & TANDAR

- 3.5.1.1 Although recent accident records do not rus. 11ch. 21 support the assumption in 3.5(b)(1), most safety standards (including those studied in the preparation of this report) still assume that the risk of suspension means failure, in particular wire ropes, exists.
- 3.5.1.2 The list of possible mechanical failures in 3.5(b)(2) is compiled on the basis of records of recent accidents, which indicate that the assumptions related to the reliability of certain mechanical components need continual review and revision where necessary. In addition, the list intends to resolve inconsistencies in assumptions used in existing standards.
- 3.5.1.3 With the assumption in 3.5 (b)(3) it is recognized that the listed components could deteriorate to the point of creating a direct or potential hazard (by making a safety related standby component inoperative) before the deterioration is detected.

3.5.2 Assumption 3 as applied in current standards

3.5.2.1 CEN (9.8.1.1) clearly assumes failure of suspension means, while ASME (205), CSA (3.7)

- 3.5.2.5 No standard assumes a failure of any of the listed machine components while the car is ascending.
- 3.5.2.6 Standards differ significantly in regard to the rupture or slackening of connecting means. Only CEN seems to be consistent in adopting this assumption. Some standards are inconsistent, e.g. ASME [209.2d(2)] and CSA (3.11.2.4c) anticipate failure of tapes, chains or ropes operating normal terminal stopping devices but they do not anticipate failure of an overspeed governor rope. Only CEN (9.9.11.3) and USSR (5.1.27h) assume the possibility of governor rope failure.
- 3.5.2.7 All standards have adopted the assumption that the possibility of a car or counterweight striking buffers at a speed higher than the buffer's rating is not taken into consideration.
- 3.5.2.8 All standards have adopted the assumption that the possibility of a simultaneous failure of a mechanical device mentioned in Assumption 3 and another mechanical device provided to ensure safe operation of a lift, should the first failure occur, is not taken into consideration.

3.6 Assumption 4 - imprudent act by users

A user may in certain cases make one imprudent act, intentionally made to circumvent the safety function of a lift component without using special tools. However, it is assumed that:

- a) two imprudent acts by users will not take place simultaneously; and
- b) an imprudent user's act and the failure of the backup component designed to prevent the safety hazard resulting from such imprudent acts will not take place simultaneously (e.g. a user manipulating an interlock and a safety circuit failure).

3.6.1 Assumption 4 as applied in current standards

It would appear that most existing codes are based on this assumption.

devices during servicing

If a safety device, inaccessible to users, is deliberately neutralized in the course of servicing work, the safe operation of the lift is no longer assured.

3.7.1 Rationale for Assumption 5

If a mechanic, while servicing a lift, neutralizes or circumvents a safety device (e.g. bypassing door interlocks using a jumper cable or readjusting overspeed governor) safe lift operation cannot be assured.

While it is assumed that lifts will be designed to facilitate ease of servicing work and that service mechanics will be equipped with adequate instructions, tools and expertise to safely service lifts, it is recognized that "fail-safe" service work can never be assured solely by the design of a lift.

3.7.2 Assumption 5 as applied in existing standards

Most standards are based on this assumption.

3.8 Assumption 6 - car speed linked to frequency of mains

An alternating current lift motor, connected directly to its mains having constant voltage and frequency, will not allow the lift to reach a speed in excess of 115 % of its rated speed while the motor's connections with the power supply are maintained.

3.8.1 Rationale for Assumption 6

This assumption is based on the inherent feature of an AC squirrel cage motor whose speed is determined by the number of poles of its winding and frequency of its supply. The rotating speed of the motor may vary up to + 15% from its synchronous speed, while it is operating as a motor or generator.

3.8.2 Assumption 6 as applied in current standards

CEN uses this assumption [9.9.11.1(a)], permitting iTeh STANDAR governor overspeed switches to operate at the same speed at which the governor itself trips. 3.7 Assumption 5 - neutralization of safety dards CSAC also Luses this assumption (3.8.4.1.1), permitting governors without an overspeed switch on lifts powered by a squirrel cage motor. Other codes, however, do not consider this assumption to be false.

3.9 Assumption 7 - horizontal forces exerted by a person

One person can exert either of the following horizontal forces at a surface perpendicular to the plane at which the person stands:

- a) static force 300 N
- b) force resulting from impact 1000 N

Static forces of short time duration may be exerted by the simultaneous deliberate acts of several people located immediately adjacent to each other at every 300 mm interval along the width of a surface.

3.9.1 Rationale for Assumption 7

It is assumed that a person leaning against a vertical surface will exert these forces at that surface. It is further assumed that more than one person can exert this force on a surface

Table 3.9.2 Assumption 7 as applied in current standards

Assumption	CEN	ASME	CSA	USSR	CMEA
Static force					-
Landing Doors	300 N (7.2.3)	1110 N [110.11e(7)]	2500 N (2.11.10.4.7)	No spec.	No spec.
Car Enclosure	300 N (8.3.2.1)	334 N (204.1c)	330 N (3.6.1.3)	No spec.	No spec.
Impact	No spec.	No spec.	5000 N (2.11.10.5)	No spec.	No spec.
Force distribution	No spec.	No spec.	No spec.	No spec.	No spec.

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Table 3.10.2
Assumption 8 as applied in current standards

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Assumption	CEN	c9d1a079df56/sist- ASME	iso-tr-11071-1-199 CSA	USSR	CMEA
Average retard	lation*				
Safety gear	1 g (9.8.4)	1 g (205.8b)	1 g (3.7.9.2)	1 g (4.9.1)	?
Buffers	1 g (10.4.3.3)	1 g (201.4b)	1 g (3.3.5.2)	No spec.	?
Maximum reta	rdation				
Safety gear duration	No spec.	No spec.	No spec.	2.5 g 0.04 s (4.7.5)	2.5 g 0.04 s (3.3)
Buffers duration	2.5 g 0.04 s (10.4.3.3)	2.5 g 0.04 s (201.4b)	2.5 g 0.04 s (3.3.5.2)	2.5 g 0.04 s (4.0.1)	No spec.

Average retardation levels exceeding 1 g can occur with a lightly loaded lift during safety or buffer application

Note: $1 g = 9.81 \text{ m/s}^2$

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simultaneously. Only by relating a force to the width of a surface on which it can be exerted, can a realistic design requirement be obtained.

3.9.2 Assumption 7 as applied in current standards

See Table 3.9.2.

3.10 Assumption 8 - retardation

A person is capable of withstanding an average vertical retardation of 1g (9,81 m/s²) and higher transient retardations.

3.10.1 Rationale for assumption 8

The retardation which can be withstood without injury varies from person to person. Historically, the values used in the standards (see table 3.10.2) have not been shown to be unsafe for a vast majority of people.

phrase "maximum upward travel" which includes the counterweight on its fully compressed buffer. plus any additional movement to take into account the jump of the car upon counterweight buffer engagement. CEN defines the distance from the position of the car with the counterweight on its fully compressed buffer, plus 0.035 V2. Wording of the USSR code implies a similar requirement. After these distances are taken into account, the ASME code requires an additional 1.07 m, CEN 1 m, and USSR 0.75 m. All of these requirements apply only to a specific area of the car roof intended to be used by persons performing maintenance or inspection.

Requirements for clearances from equipment on the tops of cars vary significantly between the standards (Table A1, item 1.3).

The top car clearances, according to CEN, are measured from the position of the car when the counterweight is on its fully compressed buffer, while in ASME the clearances are measured with the car at the top car landing.

3.10.2 Assumption 8 as applied in current dand means are provided to limit the jump of the car standards

See Table 3.10.2.

iTeh STANDAR4.1.4 Jump of car. Both CEN and ASME allow a reduction in the top of car clearance where upon counterweight buffer engagement (Table A1, item 1.5a). CEN, however, requires that the SIST ISO/TR 11 clearance be increased by a value equal to the https://standards.iteh.ai/catalog/standardspossible4 travel02 of bthe 2 compensating sheave c9d1a079df56/sist-iso-(tehsioning pulley) plus 1/500 of the car travel (or at least 0.2 m) to take rope-stretch into account. ASME does not include this provision. The other standards do not cover this situation.

Spaces and clearances

4.1 Historical background

- 4.1.1 The comparison of requirements in present standards for spaces and clearances is in Annex A, Table A1. The following are comments on the discrepancies between the requirements.
- 4.1.2 Guided travel of car. While CEN qualifies the length of "guided travel of car" (Table A1, item 1.1), other standards use performance language to specifiy that the car shoes shall not leave their auides.
- 4.1.3 Free height above car roof. Requirements for the free height above the car roof are expressed differently in each standard, but the end results are similar. The ASME code uses the
- 4.1.5 Refuge space. There are major differences in the requirements for the size and location of the refuge space on the car top (Table A1, item 3). While ASME requires that one face of the rectangular block be located on the car roof. CEN and CSA appear to permit the location of this imaginary block anywhere above the car top equipment. A CEN interpretation indicates that the projection of the block on the car roof must include the working surface specified in CEN paragraph 8.13.1. In CSA, it must encompass the centerline of the car or the centerline of the guide rails.
- 4.1.6 Bottom runby. There is no requirement for a bottom runby (table A1, item 4 in CEN or CMEA, while the maximum car and counterweight runbys are specified in ASME, CSA, and USSR. Bottom car runby is defined as "the distance between the car buffer striker plate and the

striking surface of the car buffer when the car floor is level with the bottom terminal landing." Bottom counterweight runby is defined as "the distance between the counterweight buffer striker plate and the striking surface of the counterweight buffer when the car floor is level with the top terminal landing."

- 4.1.7 Pit clearance. The minimum pit clearance (Table A1, item 5.1) varies from 0.5 m (CEN and CMEA) to 0.75 m (USSR).
- 4.1.8 Well-to-entrance-side clearances. For well to entrance-car-side clearances (Table A1, item 6), there are no major discrepancies between the standards, although there are minor differences. Some standards permit cars without doors, and there are also minor differences in the requirements here.
- Horizontal well clearances. 4.1.9 standards specify various minimum horizontal well clearances (Table A1, item 7) between the car counterweight, and well enclosure, recognizing the risk for passengers and equipment if the running clearances are not maintained. CEN has omitted most of the requirements except for the car-to-counterweight (item 7.2) and the car-to-car_{ISO/TR} i (item 7.6) clearances. hOnly standards limits the standards/sist/97594349-1e02-4ba6-a2d8car-to-well-enclosure and solution to the cartain and solution and sol maximum car-to-counterweight clearances (items 7.1 and 7.2).
- 4.1.10 Machine room clearances. There are differences in the requirements for the machine room clearances. Within each standard, the clearances also vary depending on the type of equipment that is located in specific parts of the machine room.

4.2 Observations and suggestions by individual experts

The requirements for spaces and clearances in the standards are significantly different in respect to the concept (why a requirement is needed) and the quantity (how much is needed). This is an obvious result of the lack of basic assumptions in respect to the acceptable clearances or spaces that should be based on specified minimum safety level for passengers (clearances around the car entrance),

lift mechanics (car top, well, pit, and machine room), or equipment and indirectly passengers (e.g. well-roof-to-car-guide-shoe clearances).

- The need for various horizontal well 4.2.2 clearances (Table A1, item 7) should be The requirements could be re-examined. replaced with a simple performance requirement that the movement of the car or counterweight shall not be obstructed considering their relative displacement caused by wear, tear, deflection expected by elevator use, or by the design of their guiding means.
- 4.2.3 One expert noted that all of the standards require enough space on the car top to safely accommodate only one person. This assumption, however, is not stated.
- 4.2.4 While there are differences in hoistway running clearances, refuge spaces, etc. between the various standards, there is no evidence to support any contention that these are deficient in providing safety. Further, there would be no sound reason to propose a reduction to present numerical values without inviting resistance by field employees and possible government intervention.oo

4.3 Point agreed upon

- 4.3.1 If reduction in the car top clearances is permitted on lifts with tie-down compensation, the possibility of the compensating pulley (sheave) movement and the rope stretch should be taken into account.
- 4.3.2 For consistency with car top refuge space requirements, all standards should specify requirements for pit refuge spaces (Table A1, item 5.3), that is presently covered only in CEN.
- 4.3.3 Regardless of clearances specified, prudent designers must also consider construction tolerances, effects of loading, and wear to assure that the movement of the car and counterweight are not affected.
- 4.3.4 Refuge spaces are intended to provide adequate space on top of or beneath the elevator car for a person when the car is at the extreme limit of travel.