

INTERNATIONAL STANDARD

NORME INTERNATIONALE

**Railway applications – Communication, signalling and processing systems –
Safety related communication in transmission systems**

**Applications ferroviaires – Systèmes de signalisation, de télécommunication et
de traitement – Communication de sécurité dans les systèmes de transmission**



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IEC 62280

Edition 1.0 2014-02

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INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION
ELECTROTECHNIQUE
INTERNATIONALE

PRICE CODE
CODE PRIX

XB

ICS 45.060

ISBN 978-2-8322-1383-4

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

RAILWAY APPLICATIONS – COMMUNICATION, SIGNALLING AND PROCESSING SYSTEMS – SAFETY RELATED COMMUNICATION IN TRANSMISSION SYSTEMS

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International Standard IEC 62280 has been prepared by IEC technical committee 9: Electrical equipment and systems for railways.

This standard is based on EN 50159.

This standard cancels and replaces IEC 62280-1 (2002) and IEC 62280-2 (2002). See Annex E.

The text of this standard is based on the following documents:

FDIS	Report on voting
9/1866A/FDIS	9/1885/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

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INTRODUCTION

If a safety related electronic system involves the transfer of information between different locations, the transmission system then forms an integral part of the safety related system, this includes that the end to end communication is safe in accordance with IEC 62425.

The transmission system considered in this standard, which serves the transfer of information between different locations, has in general no particular preconditions to satisfy. It is from the safety point of view not trusted, or not fully trusted.

The standard is dedicated to the requirements to be taken into account for the communication of safety related information over such transmission systems.

Although the RAM aspects are not considered in this standard it is recommended to keep in mind that they are a major aspect of the global safety.

The safety requirements depend on the characteristics of the transmission system. In order to reduce the complexity of the approach to demonstrate the safety of the system, transmission systems have been classified into three categories:

- Category 1 consists of systems which are under the control of the designer and fixed during their lifetime.
- Category 2 consists of systems which are partly unknown or not fixed, however unauthorised access can be excluded.
- Category 3 consists of systems which are not under the control of the designer, and where unauthorised access has to be considered.

The first category was previously covered by IEC 62280-1:2002, the others by IEC 62280-2:2002.

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When safety related communication systems, which have been approved according to the previous standards, are subject of maintenance and/or extensions, informative Annex E can be used for traceability purposes of (sub)clauses of this standard with the (sub)clauses of the former series.

RAILWAY APPLICATIONS – COMMUNICATION, SIGNALLING AND PROCESSING SYSTEMS – SAFETY RELATED COMMUNICATION IN TRANSMISSION SYSTEMS

1 Scope

This International Standard is applicable to safety related electronic systems using for digital communication purposes a transmission system which was not necessarily designed for safety related applications and which is

- under the control of the designer and fixed during the lifetime, or
- partly unknown or not fixed, however unauthorised access can be excluded, or
- not under the control of the designer, and also unauthorised access has to be considered.

Both safety related equipment and non-safety related equipment can be connected to the transmission system.

This International Standard gives the basic requirements needed to achieve safety related communication between safety related equipment connected to the transmission system.

This International Standard is applicable to the safety requirement specification of the safety related equipment connected to the transmission system in order to obtain the allocated safety integrity requirements.

Safety requirements are generally implemented in the safety related equipment, designed according to IEC 62425. In certain cases these requirements may be implemented in other equipment of the transmission system, as long as there is control by safety measures to meet the allocated safety integrity requirements.

The safety requirement specification is a precondition of the safety case of a safety related electronic system for which the required evidence is defined in IEC 62425. Evidence of safety management and quality management has to be taken from IEC 62425. The communication related requirements for evidence of functional and technical safety are the subject of this standard.

This International Standard is not applicable to existing systems, which had already been accepted prior to the release of this standard.

This International Standard does not specify

- the transmission system,
- equipment connected to the transmission system,
- solutions (e.g. for interoperability),
- which kind of data are safety related and which are not.

A safety related equipment connected through an open transmission system can be subjected to many different IT security threats, against which an overall program has to be defined, encompassing management, technical and operational aspects.

In this International Standard however, as far as IT security is concerned, only intentional attacks by means of messages to safety related applications are considered.

This International Standard does not cover general IT security issues and in particular it does not cover IT security issues concerning

- ensuring confidentiality of safety related information,
- preventing overloading of the transmission system.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 62278 (all parts), *Railway applications – Specification and demonstration of reliability, availability, maintainability and safety (RAMS)*

IEC 62425:2007, *Railway applications – Communication, signalling and processing systems – Safety related electronic systems for signalling*

3 Terms, definitions and abbreviations

3.1 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1.1

absolute time stamp

time stamp referenced to a global time which is common for a group of entities using a transmission system

3.1.2

access protection

processes designed to prevent unauthorised access to read or to alter information, either within user safety related systems or within the transmission system

3.1.3

additional data

data which is not of any use to the ultimate user processes, but is used for control, availability, and safety purposes

3.1.4

authentic message

message in which information is known to have originated from the stated source

3.1.5

authenticity

state in which information is valid and known to have originated from the stated source

3.1.6

closed transmission system

fixed number or fixed maximum number of participants linked by a transmission system with well known and fixed properties, and where the risk of unauthorised access is considered negligible

3.1.7

communication

transfer of information between applications

3.1.8

confidentiality

property that information is not made available to unauthorised entities

3.1.9

corrupted message

type of message error in which a data corruption occurs

3.1.10

cryptographic techniques

producing output data, calculated by an algorithm using input data and a key as a parameter

Note 1 to entry: By knowing the output data, it is impossible within a reasonable time to calculate the input data without knowledge of the key. It is also impossible within a reasonable time to derive the key from the output data, even if the input data are known.

3.1.11

cyclic redundancy check

cyclic code, used to protect messages from the influence of data corruption

3.1.12

data

part of a message which represents some information

Note 1 to entry: See also definitions 3.1.64: user data, 3.1.3: additional data and 3.1.42: redundant data.

3.1.13

data corruption

alteration of data

3.1.14

defence

measure incorporated in the design of a safety related communication system to counter particular threats

3.1.15

delayed message

type of message error in which a message is received at a time later than intended

3.1.16

deleted message

type of message error in which a message is removed from the message stream

3.1.17

double time stamp

case when two entities exchange and compare their time stamps. In this case the time stamps in the entities are independent of each other

3.1.18

error

deviation from the intended design which could result in unintended system behaviour or failure

3.1.19

failure

deviation from the specified performance of a system

Note 1 to entry: A failure is the consequence of a fault or an error in the system.

3.1.20**fault**

abnormal condition that could lead to an error in a system

Note 1 to entry: A fault can be random or systematic.

3.1.21**feedback message**

response from a receiver to the sender, via a return channel

3.1.22**hacker**

person trying deliberately to bypass access protection

3.1.23**hazard**

condition that can lead to an accident

3.1.24**hazard analysis**

process of identifying hazards and analysing their causes, and the derivation of requirements to limit the likelihood and consequences of hazards to an acceptable level

3.1.25**implicit data**

additional data that is not transmitted but is known to the sender and receiver

3.1.26**information**

representation of the state or events of a process, in a form understood by the process

3.1.27**inserted message**

type of message error in which an additional message is implanted in the message stream

3.1.28**integrity**

state in which information is complete and not altered

3.1.29**manipulation detection code**

function of the whole message without secret key

Note 1 to entry: In contrast to a MAC there is no secret key involved. By the whole message is meant also any implicit data of the message which is not sent to the transmission system. MDC is often based on a hash function.

3.1.30**masqueraded message**

type of inserted message in which a non-authentic message is designed to appear to be authentic

3.1.31**message**

information which is transmitted from a sender (data source) to one or more receivers (data sink)

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3.1.32

message authentication code

cryptographic function of the whole message and a secret or public key

Note 1 to entry: By the whole message is meant also any implicit data of the message which is not sent to the transmission system.

3.1.33

message enciphering

transformation of bits by using a cryptographic technique within a message, in accordance with an algorithm controlled by keys, to render casual reading of data more difficult. Does not provide protection against data corruption

3.1.34

message errors

set of all possible message failure modes which can lead to potentially dangerous situations, or to reduction in system availability. There can be a number of causes of each type of error

3.1.35

message integrity

message in which information is complete and not altered

3.1.36

message stream

ordered set of messages

3.1.37

non-cryptographic safety code

redundant data based on non-cryptographic functions included in a safety related message to permit data corruption to be detected by the safety related transmission function

3.1.38

open transmission system

transmission system with an unknown number of participants, having unknown, variable and non-trusted properties, used for unknown telecommunication services and having the potential for unauthorised access

3.1.39

public network

network with unknown users, especially not under control of the railways

3.1.40

random failure

failure that occurs randomly in time

3.1.41

redundancy check

type of check that a predefined relationship exists between redundant data and user data within a message, to prove message integrity

3.1.42

redundant data

additional data, derived, by a safety related transmission function, from the user data

3.1.43

relative time stamp

time stamp referenced to the local clock of an entity. In general there is no relationship to clocks of other entities

3.1.44**repeated message**

type of message error in which a single message is received more than once

3.1.45**re-sequenced message**

type of message error in which the order of messages in the message stream is changed

3.1.46**safe fall back state**

safe state of a safety related equipment or system as a deviation from the fault-free state and as a result of a safety reaction leading to a reduced functionality of safety related functions, possibly also of non-safety related functions

3.1.47**safety**

freedom from unacceptable levels of risk

3.1.48**safety case**

documented demonstration that the product (e.g. system/sub-system/equipment) complies with the specified safety requirements

3.1.49**safety code**

redundant data included in a safety related message to permit data corruptions to be detected by the safety related transmission function

3.1.50**safety integrity level**

number which indicates the required degree of confidence that a system will meet its specified safety functions with respect to systematic failures

3.1.51**safety reaction**

safety related protection taken by the safety process in response to an event (such as a failure of the transmission system), which may lead to a safe fall back state of the equipment

3.1.52**safety related**

carries responsibility for safety

3.1.53**safety related transmission function**

function incorporated in the safety related equipment to ensure authenticity, integrity, timeliness and sequence of data

3.1.54**sequence number**

additional data field containing a number that changes in a predefined way from message to message

3.1.55**source and destination identifier**

identifier which is assigned to each entity. This identifier can be a name, number or arbitrary bit pattern. This identifier will be used for the safety related communication. Usually the identifier is added to the user data