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Edition 1.0 2021-09

PUBLICLY AVAILABLE SPECIFICATION

PRE-STANDARD

Electric vehicle battery swap system –
Part 3: Particular safety and interoperability requirements for battery swap systems operating with removable RESS/battery systems

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CONTENTS

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FOREWORD

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IEC PAS 62840-3 has been processed by IEC technical committee 69: Electrical power/energy transfer systems for electrically propelled road vehicles industrial trucks.

The text of this PAS is based on the following document:	This PAS was approved for publication by the P-members of the committee concerned as indicated in the following document
Draft PAS	**Report on voting**
69/749/DPAS	69/772/RVDPAS

Following publication of this PAS, which is a pre-standard publication, the technical committee or subcommittee concerned may transform it into an International Standard.

This PAS shall remain valid for an initial maximum period of 2 years starting from the publication date. The validity may be extended for a single period up to a maximum of 2 years, at the end of which it shall be published as another type of normative document, or shall be withdrawn.

In this document, the following print types are used:

– requirements: in roman type;
– *test specifications: in italic type*;
– notes: in small roman type.

A list of all parts in the IEC 62840 series, published under the general title *Electric vehicle battery swap system,* can be found on the IEC website.

INTRODUCTION

The IEC 62840 series is published in separate parts according to the following structure:

IEC TS 62840-1: Electric vehicle battery swap system – Part 1: General and guidance

IEC 62840-2: Electric vehicle battery swap system – Part 2: Safety requirements

IEC PAS 62840-3: Electric vehicle battery swap system – Part 3: Particular safety and interoperability requirements for battery swap systems operating with removable RESS/battery systems

This document derives from IEC 61851-3 (all parts) and was established by IEC TC 69 WG10 as a referencing document to IEC TS 61851-3-1.

NOTE In this document, EV supply equipment configuration type F according to IEC TS 61851-3-1 for removable battery systems is named "battery swap station".

After moving of the document to IEC TC 69 WG13, IEC TC 69 decided to publish the document as PAS based on IEC TS 61851-3-3 as an intermediate specification, which responds to particular market needs according to 2.4.8 of ISO/IEC Directives, Part 1:2020, published prior to the development of a full International Standard.

For this reason, this document is to be used in conjunction with IEC 61851-3 (all parts).

By the upcoming revision of IEC 62840 (all parts), this document will be fully integrated into the IEC 62840 series.

ELECTRIC VEHICLE BATTERY SWAP SYSTEM –

Part 3: Particular safety and interoperability requirements for battery swap systems operating with removable RESS/battery systems

1 Scope

This document applies to battery swap systems for removable RESS of electric road vehicle when connected to the supply network, with a rated supply voltage up to 480 V AC or up to 400 V DC, for battery systems with a rated voltage up to 120 V DC.

NOTE 1 In the following countries, the acceptable nominal supply voltage is up to 600 V AC: CA, US.

This document applies to battery swap systems for removable RESS/EV where the removable RESS/EV is stored for the purpose of transfer power between the battery swap station and removable RESS/EV.

Requirements for bidirectional energy transfer DC to AC are under consideration and are not part of this document.

This document applies to:

- battery swap systems supplied from on-site storage systems (for example buffer batteries etc);
- manual, mechanically assisted and automatic systems;
- battery swap systems intended to supply removable battery systems having communication allowing to identify the battery system characteristics;
- battery swap systems intended to be installed at an altitude of up to 2 000 m.

The aspects covered in this document include:

- requirements for power transfer between the battery systems;
- additional requirements for communication;
- the connection to supply network.

Additional requirements may apply to special locations.

This document does not apply to:

- safety requirements for mechanical equipment covered by ISO 10218 (all parts);
- locking compartments systems providing AC socket-outlets for the use of manufacturer specific voltage converter units and manufacturer specific battery systems;
- safety aspects related to maintenance;
- electrical devices and components which are covered by their specific product standards;
- trolley buses, rail vehicles;
- any on-board equipment which is covered by ISO;
- EMC requirements for on-board equipment while connected to the supply, which are covered by IEC 61851-21-1.

Requirements for battery swap systems using protective measures as covered by 410 of IEC 60364-4-41:2005 other than double or reinforced insulation are under consideration.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60038, *IEC standard voltages*

IEC 60529:1989, *Degrees of protection provided by enclosures (IP Code)*
IEC 60529:1989/AMD1:1999
IEC 60529:1989/AMD2:2013

IEC TS 61851-3-1:—, *Electric vehicles conductive power supply system – Part 3-1: Particular requirements for EV supply equipment where protection relies on double or reinforced insulation – AC and DC conductive power supply systems*[1]

IEC TS 61851-3-2: —, *Electric vehicles conductive power supply system – Part 3-2 Particular requirements for EV supply equipment where protection relies on double or reinforced insulation – Portable and mobile DRI EV supply equipment*[2]

IEC TS 61851-3-4: —, *Electric vehicles conductive power supply system – Part 3-4 Particular requirements for EV supply equipment where protection relies on double or reinforced insulation – General definitions and requirements for CANopen communication*[3]

IEC TS 61851-3-5: —, *Electric vehicles conductive power supply system – Part 3-5 Particular requirements for EV supply equipment where protection relies on double or reinforced insulation – Pre-defined communication parameters and general application objects*[4]

IEC TS 61851-3-6: —, *Electric vehicles conductive power supply system – Part 3-6: Particular requirements for EV supply equipment where protection relies on double or reinforced insulation – Voltage converter unit communication*[5]

IEC TS 61851-3-7: —, *Electric vehicles conductive power supply system – Part 3-7: Particular requirements for EV supply equipment where protection relies on double or reinforced insulation – Battery system communication*[6]

IEC TS 62196-4:—, *Plugs, socket-outlets, vehicle connectors and vehicles inlet – Conductive charging of electric vehicles – Part 4: Dimensional compatibility and interchangeability requirements for DC pin and contact-tube accessories for class II or class III applications*[7]

IEC TS 62840-1:2016, *Electric vehicle battery swap system – Part 1: General and guidance*

IEC 62840-2:2016, *Electric vehicle battery swap system – Part 2: Safety requirements*

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[1] Under preparation. Stage at the time of publication: IEC ADTS 61851-3-1:2021.

[2] Under preparation. Stage at the time of publication: IEC ADTS 61851-3-2:2021.

[3] Under preparation. Stage at the time of publication: IEC RPUB 61851-3-4:2021.

[4] Under preparation. Stage at the time of publication: IEC RPUB 61851-3-5:2021.

[5] Under preparation. Stage at the time of publication: IEC RPUB 61851-3-6:2021.

[6] Under preparation. Stage at the time of publication: IEC RPUB 61851-3-7:2021.

[7] Under preparation. Stage at the time of publication: IEC BPUB TS 62196-4:2021.

IEC TS 63066:2017, *Low-voltage docking connectors for removable energy storage units*

ISO 10218-1:2011, *Robots and robotic devices – Safety requirements for industrial robots – Part 1: Robots*

ISO 10218-2:2011, *Robots and robotic devices – Safety requirements for industrial robots – Part 2: Robot systems and integration*

ISO 19353:2019, *Safety of machinery – Fire prevention and fire protection*

EN 14470 (all parts), *Fire safety storage cabinets*

EN 50604-1:2016, *Secondary lithium batteries for light EV (electric vehicle) applications – Part 1: General safety requirements and test methods*
EN 50604-1:2016/AMD1:2021

3 Terms and definitions

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at http://www.electropedia.org/
- ISO Online browsing platform: available at http://www.iso.org/obp

3.1
battery swap system
battery swap station and supporting systems

[SOURCE: IEC TS 62840-1:2016, 3.2]

3.2
battery swap station
BSS
facility that provides a removable battery swap service for EVs and client

Note 1 to entry: In the context of this document, BSS is representing the DRI EV supply equipment type F according to IEC TS 61851-3-1.

[SOURCE: IEC TS 62840-1:2016, 3.4 modified – Addition of Note 1]

3.3
supporting system
system which serves the battery swap station

[SOURCE: IEC TS 62840-1:2016, 3.3]

3.4
battery pack
energy storage device that includes cells or cell assemblies normally connected with cell electronics, power supply circuits and overcurrent shut-off device, including electrical interconnections, interfaces for external systems

Note 1 to entry: See Clause A.2 of ISO 12405-4:2018 for further explanations.

Note 2 to entry: Examples of external systems are cooling, voltage class B, auxiliary voltage class A and communication

[SOURCE: ISO 12405-4:2018, 3.2]

3.5
battery swap equipment
swap equipment
equipment used for mounting/unmounting removable battery system to/from EVs

Note 1 to entry: The battery transferring function may be integrated in the battery swap equipment

[SOURCE: IEC TS 62840-1:2016, 3.13, modified – SBS is replaced by removable battery system.]

3.6
handling system
equipment that provides the function of moving, positioning or otherwise manipulating removable battery systems

Note 1 to entry: Handling system could be a part of BSS or external to the BSS.

3.7
battery control unit
BCU
electronic device that controls, manages, detects or calculates electric and thermal functions of the battery system and that provides communication between the battery system and other vehicle controllers

Note 1 to entry: See also Annex A for further explanation.

[SOURCE: ISO 12405-4:2018, 3.1]

3.8
battery management system
BMS

local energy management system (EMS unit) for the battery system, protecting the battery system from damage, monitoring and increasing the lifetime, and maintaining the functional state

Note 1 to entry: BMS and BCU (according to ISO 12405 all parts) do not have the same functions.

[SOURCE: IEC TS 61851-3-4, 3.7]

3.9
removable battery system
removable RESS
RBS
battery system/RESS that can be moved/removed from an EV by hand (portable RESS) or with the assistance of an installation/device (mobile RESS)

3.10
RESS coupler
means enabling the connection of RESS to an EV or a DRI EV supply equipment

3.11
double or reinforced insulated EV supply equipment
DRI EV supply equipment
EV supply equipment in which protection against electric shock relies on double insulation or reinforced insulation, there being no provision for protective earthing or reliance upon installation conditions

[SOURCE: IEC TS 61851-3-1:—, 3.1.1]

3.12
EV supply system
complete system including the DRI EV supply equipment and the EV/RESS functions that are required to transfer power between the fixed installation or supply network and the EV/RESS

[SOURCE: IEC TS 61851-3-1:—, 3.1.2]

3.13
charging
all functions necessary to condition voltage and/or current provided by the AC or DC supply network to assure the supply of electric energy to the RESS

[SOURCE: IEC 61851-1:2017, 3.1.8]

3.14
voltage converter
set of equipment to convert one type of electric current to another type different in nature, voltage and/or frequency

[SOURCE: IEC 60050-811:2017, 811-19-01, modified – The word "voltage" has been added to the term, and the words "static or rotating" has been deleted from the definition.]

3.15
voltage converter unit
VCU
voltage converter with local EMS and communication interface

[SOURCE: IEC TS 61851-3-1:—, 3.1.8]

3.16
DC power circuit
circuit for DC conductive power transfer

[SOURCE: IEC TS 61851-3-1:—, 3.1.11]

3.17
gateway
functional unit that connects two networks with different network architectures and protocols

[SOURCE: IEC 60050-732:1998, 732-01-17, modified – The words "computer networks" has been replaced by "networks" in the definition, and Note 1 and 2 have been deleted.]

3.18
energy management system
EMS
system consisting of active and passive devices for controlling the power transfer

[SOURCE: IEC TS 61851-3-1:—, 3.3.3]

3.19
active device
device connected to DC power circuit, AUX circuit and CAN circuit

[SOURCE: IEC TS 61851-3-1:—, 3.3.4]