



Edition 1.0 2017-12

INTERNATIONAL STANDARD

NORME INTERNATIONALE

Railway applications - Rolling stock - Onboard lithium-ion traction batteries

Applications ferroviaires – Matériel roulant – Batteries d'accumulateurs de traction embarquées au lithium-ion

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Railway applications e Rolling stock Aonboard lithium-ion traction batteries Applications ferroviaires – Matériel roulant – Batteries d'accumulateurs de traction embarquées au lithium-ion_{C 62928:2017}

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

COMMISSION ELECTROTECHNIQUE INTERNATIONALE

ICS 45.060.01

ISBN 978-2-8322-5019-8

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

RAILWAY APPLICATIONS – ROLLING STOCK – ONBOARD LITHIUM-ION TRACTION BATTERIES

FOREWORD

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International Standard IEC 62928 has been prepared by IEC technical committee 9: Electrical equipment and systems for railways.

The text of this standard is based on the following documents:

FDIS	Report on voting
9/2317/FDIS	9/2329/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

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INTRODUCTION

In the 90s the market started developing mainly portable lithium technology batteries. Existing standards for lithium-ion batteries currently focus on small portable batteries:

- IEC 61960-3:2017, Secondary cells and batteries containing alkaline or other non-acid electrolytes Secondary lithium cells and batteries for portable applications Part 3: Prismatic and cylindrical lithium secondary cells and batteries made from them
- IEC 62133 (all parts): Secondary cells and batteries containing alkaline or other non-acid electrolytes Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications.

These above mentioned documents do not cover large cells and batteries for industrial and railway applications, which are non-portable and weigh hundreds of kilograms.

TC 21 and SC 21A decided to start work on large capacity lithium cells and batteries:

- IEC 62619:2017, Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for secondary lithium cells and batteries for use in industrial applications,
- IEC 62620:2014, Secondary cells and batteries containing alkaline or other non-acid electrolytes Secondary lithium cells and batteries for use in industrial applications.

The documents are often generic and mention railway applications only as an example.

Therefore, this document is developed for specifying the requirements for railway traction applications.

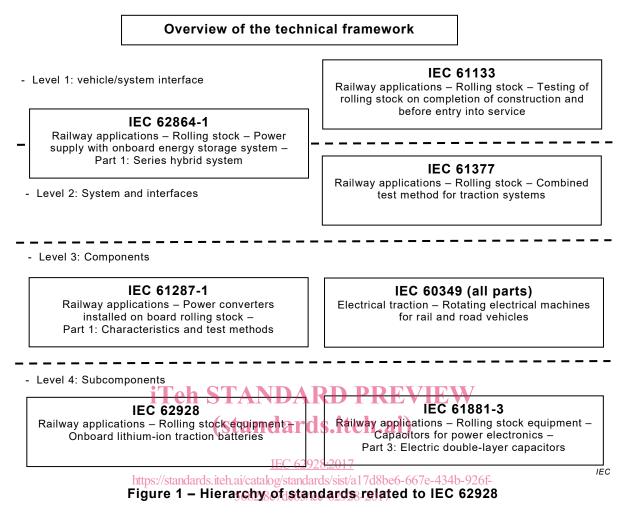
In addition, TC 9 has developed the following document:

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 IEC 62864-1:2016, Railway applications^{5/ie} Rolling² stock – Power supply with onboard energy storage system – Part 1: Series hybrid system

IEC 62864-1:2016 specifies the general requirements for the onboard energy storage system as a system level. The hierarchy of standards is shown in Figure 1 of IEC 62864-1:2016.

It is part of a series of standards, referring to each other. The hierarchy of the standards used in the railway specific area related to IEC 62928 is as follows:



The standards listed in Figure 1 are not exhaustive.

RAILWAY APPLICATIONS – ROLLING STOCK – ONBOARD LITHIUM-ION TRACTION BATTERIES

Scope 1

This document applies to onboard lithium-ion traction batteries for railway applications.

This document specifies the design, operation parameters, safety recommendations, data exchange, routine and type tests, as well as marking and designation.

Battery systems described in this document are used for the energy storage system (ESS) for the traction power of railway vehicles such as hybrid vehicles as defined in IEC 62864-1:2016. Auxiliary batteries to supply power only to the auxiliary equipment are excluded.

Subcomponents within the battery systems, e.g. battery management system (BMS) and battery thermal management system (BTMS), are also covered in this document.

Power conversion equipment (e.g. chopper, converter, etc.), inductors, capacitors and switchgear are excluded from the scope of this document.

General requirements for onboard ESS are described in IEC 62864-1:2016.

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This document specifies the lithium-ion battery technology but does not prevent the use of battery technologies other than lithium-ion technology for application as traction batteries.

A hybrid energy storage system, 56 which uses two 800 more energy storage technologies combined, e.g. a traction battery and double layer capacitors, is not covered in this document. However, if different technologies of energy storage systems are used on the same railway vehicle and managed independently, each independent energy storage system is covered by its own document.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050-482:2004, International Electrotechnical Vocabulary - Part 482: Primary and secondary cells and batteries

IEC 60050-811:2017, International Electrotechnical Vocabulary – Chapter 811: Electric traction

IEC 60051 (all parts), Direct acting indicating analogue electrical measuring instruments and their accessories

IEC 60077-1, Railway applications – Electric equipment for rolling stock – Part 1: General service conditions and general rules

IEC 60077-5, Railway applications – Electric equipment for rolling stock – Part 5: Electrotechnical components – Rules for HV fuses

IEC 60529, Degrees of protection provided by enclosures (IP Code)

IEC 60571, Railway applications – Electronic equipment used on rolling stock

IEC 60850, Railway applications – Supply voltages of traction systems

IEC 61373, Railway applications – Rolling stock equipment – Shock and vibration tests

IEC 61991, Railway applications – Rolling stock – Protective provisions against electrical hazards

- 10 -

IEC 62236-3-2, Railway applications – Electromagnetic compatibility – Part 3-2: Rolling stock – Apparatus

IEC 62278:2002, Railway applications – Specification and demonstration of reliability, availability, maintainability and safety (RAMS)

IEC 62279, Railway applications – Communications, signalling and processing systems – Software for railway control and protection systems

IEC 62497-1, Railway application – Insulation coordination – Part 1: Basic requirements – Clearances and creepage distances for all electrical and electronic equipment

IEC 62498-1:2010, Railway applications – Environmental conditions for equipment – Part 1: Equipment on board rolling stock standards.iteh.ai)

IEC 62619:2017, Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements secondary lithium cells and batteries for use in industrial applications 5682t8c7de65/jec-62928-2017

IEC 62620:2014, Secondary cells and batteries containing alkaline or other non-acid electrolytes – Secondary lithium cells and batteries for use in industrial applications

IEC 62864-1:2016, Railway applications – Rolling stock – Power supply with onboard energy storage system – Part 1: Series hybrid system

ISO/IEC Guide 51: 2014, Safety aspects – Guidelines for their inclusion in standards

ISO 7010, Graphical symbols – Safety colours and safety signs – Registered safety signs

3 Terms, definitions and abbreviated terms

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60050-482, IEC 60050-811 and ISO/IEC Guide 51, as well as the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at http://www.electropedia.org/
- ISO Online browsing platform: available at http://www.iso.org/obp

3.1.1 charge retention capacity retention ability of battery system to retain capacity on open circuit under specified conditions

Note 1 to entry: See also self-discharge.

[SOURCE: IEC 60050-482:2004, 482-03-35, modified – "a cell or battery" has been changed to "battery system"]

3.1.2

self-discharge

phenomenon by which a cell or battery system loses energy in other ways than by discharge into an external circuit

Note 1 to entry: See also charge retention.

[SOURCE: IEC 60050-482:2004, 482-03-27, modified – "battery" has been changed to "battery system".]

3.1.3

final voltage

end-of-discharge voltage

specified closed circuit voltage at which a discharge of a cell or battery system is terminated

[SOURCE: IEC 62620:2014, 3.3 modified – "battery" has been changed to "battery system".]

3.1.4

nominal voltage

Note 1 to entry: The cell and/ or battery system manufacturer may provide the nominal voltage.

Note 2 to entry: The nominal voltage of a battery system of n series connected cells is equal to n times the nominal voltage of a single cell.

[SOURCE: IEC 62620:2014, 3.4, modified – "identify a cell" has been changed to "identify the voltage of a cell" and "battery" is changed to "battery system".]

3.1.5 rated capacity

capacity value of a cell or battery system determined under specified conditions and declared by the manufacturer

Note 1 to entry: The rated capacity is the quantity of electricity C_n Ah (ampere-hours) declared by the manufacturer which a single cell or battery can deliver during a *n* h period when charging, storing and discharging under the conditions specified in 6.3.1 of IEC 62620:2014. *n* is 5 for an E, M and H discharge rate type cell or battery.

[SOURCE: IEC 60050-482:2004, 482-03-15, modified – "battery" has been changed to "battery system" and Note 1 to entry has been added.]

3.1.6 state of charge SOC

remaining capacity to be discharged, normally expressed as a percentage of full capacity by selected expression as defined in Annex A of IEC 62864-1:2016

[SOURCE: IEC 62864-1:2016, 3.1.13, modified – "as expressed in relevant standards" has been changed to "by selected expression as defined in Annex A of IEC 62864-1:2016" and Note 1 to entry has been deleted.]

3.1.7 cell

secondary lithium cell

secondary cell where electrical energy is derived from the insertion/extraction reactions of lithium-ions or oxidation/reduction reaction of lithium between the negative electrode and the positive electrode

Note 1 to entry: The cell typically has an electrolyte that consists of a lithium salt and organic solvent compound in liquid, gel or solid form and has a metal or a laminate film casing. It is not ready for use in an application because it is not yet fitted with its final housing, terminal arrangement and electronic control device.

[SOURCE: IEC 62620:2014, 3.6]

3.1.8

cell block

group of cells connected together in parallel configuration with or without protective devices (e.g. fuse or PTC) and monitoring circuitry

Note 1 to entry: It is not ready for use in an application because it is not yet fitted with its final housing, terminal arrangement and electronic control device.

ISOURCE: IEC 6262012014, S7TANDARD PREVIEW

3.1.9

(standards.iteh.ai)

battery pack/module

energy storage device, which is comprised of one or more cells electrically connected

Note 1 to entry: In this document a module is same as a battery pack 7

Note 2 to entry: It incorporates a protective housing and be provided with terminals or other interconnection arrangement.

Note 3 to entry: It includes at least monitoring circuitry, which provides information (e.g. cell voltage, temperature) to a battery system.

Note 4 to entry: It may include a protective device and control circuitry.

[SOURCE: IEC 62620:2014, 3.9, modified – "/module" has been added in the term and "or module" has been deleted in the definition. Note 1 to entry has been divided into Note 2 to entry to Note 4 to entry and Note 1 to entry has been added.]

3.1.10

battery branch

group of battery packs/modules connected together either in a series and/or parallel configuration, which has the voltage equal to that of the battery system and is the smallest electrically isolatable subsystem

Note 1 to entry: Electrical isolation is done by means of disconnecting devices, e.g. contactors, switchgears, circuit breakers, etc.

Note 2 to entry: A battery branch may be contained in a single enclosure or multiple enclosures.

3.1.11

battery system

system which incorporates one or more cells, modules or battery packs including battery management system and thermal management system as well as disconnecting and/ or isolating devices, e.g. contactors, disconnectors, fuses, etc.

Note 1 to entry: Refer to Figure 2, Figure 3 and Figure A.1 to Figure A.4.

[SOURCE: IEC 62620:2014, 3.10, modified – Definition after "battery packs" has been changed. Note 1 to entry has been replaced.]

3.1.12

hybrid vehicle

vehicle that can store energy in an onboard ESS and is driven by using the stored energy as well as electric power from a generator or overhead lines

[SOURCE: IEC 62864-1:2016, 3.1.14]

3.1.13

safety

freedom from risk which is not tolerable

[SOURCE: ISO/IEC Guide 51:2014, 3.14]

3.1.14

hazard potential source of harm

[SOURCE: IEC 60050-903:2013, 903-01-02, modified – Note 1 to entry, Note 2 to entry and Note 3 to entry have been deleted.] ANDARD PREVIEW

3.1.15 intended use

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use in accordance with information provided with a product or system, or, in the absence of such information, by generally understood patterns of usage

https://standards.iteh.ai/catalog/standards/sist/a17d8be6-667e-434b-926f-

Note 1 to entry: Intended use can include load profile agreed between the integrator and the battery system manufacturer.

[SOURCE: ISO/IEC Guide 51:2014, 3.6, modified – Note 1 to entry has been added.]

3.1.16

leakage visible escape of liquid electrolyte

[SOURCE: IEC 62619:2017, 3.13]

3.1.17

venting

release of excessive internal pressure from a cell, module, battery pack, or battery system in a manner intended by design to preclude rupture or explosion

[SOURCE: IEC 62619:2017, 3.14]

3.1.18

rupture

mechanical failure of a cell container or battery case induced by an internal or external cause, resulting in exposure or spillage but not ejection of materials

[SOURCE: IEC 62619:2017, 3.15]