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TECHNICAL SPECIFICATION



Telecontrol equipment and systems – and ard S Part 5-604: Conformance test cases for the IEC 60870-5-104 companion standard

Document Preview

IEC TS 60870-5-604:2016





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TECHNICAL SPECIFICATION



Telecontrol equipment and systems – 2000 Part 5-604: Conformance test cases for the IEC 60870-5-104 companion standard

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CONTENTS

FOREWORD	
INTRODUCTION	6
1 Scope	7
2 Normative references	7
3 Terms and definitions	8
4 Abbreviated terms	8
5 Conformance testing for IEC 60870-5-104	8
5.1 Overview and legend	8
5.2 Configuration parameters IEC 60870-5-104	10
5.3 Verification of IEC 60870-5-104 communication	10
5.4 Conformance test procedures	
5.5 Test results chart	
5.6 Test results of command transmission	
5.6.1 General	
5.6.2 Test results of single command transmission5.6.3 Test results of double command transmission	
5.6.4 Test results of regulating step command transmiss	
5.6.5 Test results of setpoint command transmission	
·	
Figure 1 – Test procedure	1.21)
Document Preview	
Table 1 – Run the Conformance Test Procedures for each of the	
configuration parameter values	10
Table 2 – Tests on transport provider level (1 of 5)	
Table 3 – Tests on data unit identifier	162856bde/lec-ts-608/0-5-6 16
Table 4 – Verification of ASDUs for process information in monitor of 18)	
Table 5 – Verification of ASDUs for process information in control	(normal) direction (1
of 8)	
Table 6 – Verification of ASDUs for system information in monitor	,
Table 7 – Verification of ASDUs for system information in control of 3)	
Table 8 – Verification of ASDUs for parameters in control (normal) direction <i>(1 of 2)</i> 46
Table 9 – Verification of ASDUs for file transfer (in monitor (normal direction) (1 of 4)	
Table 10 – Data unit identifier conformance test procedures (1 of	2)52
Table 11 – Information object address conformance test procedure	
Table 12 – Station initialisation function conformance test procedu	
Table 13 – Redundant link conformance test procedures (1 of 3)	· ,
Table 14 – Cyclic data transmission function conformance test pro	
Table 15 – Data acquisition through read function conformance te	
Table 16 – Acquisition of events function conformance test proced	
Table 17 – General interrogation function conformance test proce-	
Table 19 Clock synchronisation function conformance test proce	,

Table 19 - Command transmission function conformance test procedures (1 of 9)	74
Table 20 – Transmission of integrated totals (telecounting) function conformance test procedures (1 of 4)	8
Table 21 – Parameter loading function conformance test procedures	95
Table 22 – Test procedure function conformance test procedures	97
Table 23 - File transfer procedure function conformance test procedures (1 of 3)	98
Table 24 – Additional conformance test procedures	103
Table 25 – Negative conformance test procedures	105
Table 26 – PIXIT related conformance test procedures	107
Table 27 – Test results chart	108
Table 28 – Test results of single command transmission (1 of 3)	122
Table 29 – Test results of double command transmission (1 of 3)	125
Table 30 – Test results of regulating step command transmission (1 of 3)	128
Table 31 – Test results of setpoint command transmission (1 of 2)	131

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IEC TS 60870-5-604:2016

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TELECONTROL EQUIPMENT AND SYSTEMS -

Part 5-604: Conformance test cases for the IEC 60870-5-104 companion standard

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- the required support cannot be obtained for the publication of an International Standard, despite repeated efforts, or
- the subject is still under technical development or where, for any other reason, there is the future but no immediate possibility of an agreement on an International Standard.

Technical specifications are subject to review within three years of publication to decide whether they can be transformed into International Standards.

IEC 60870-5-604, which is a technical specification, has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

This second edition cancels and replaces the first edition published in 2007. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) Resolution of ambiguities between IEC 60870-5-104:2006 and IEC TS 60870-5-604:2016 (together with IEC 60870-5-104/AMD1);
- b) Refinement of some test cases to enhance operability between tested devices;
- c) Additional test cases (mainly negative test cases) added.

The text of this technical specification is based on the following documents:

Enquiry draft	Report on voting
57/1614/DTS	57/1683/RVC

Full information on the voting for the approval of this technical specification can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts of IEC 60870 series, published under the general title *Telecontrol equipment* and systems, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC website under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- transformed into an International standard,
- · reconfirmed,
- · withdrawn,
- · replaced by a revised edition, or
- amended.

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INTRODUCTION

This part of IEC 60870, which is a technical specification, describes test cases for conformance testing of telecontrol equipment or systems using the IEC 60870-5 companion standard 104 based on the IEC 60870-5-104 companion standard and IEC 60870-5-6, Guidelines for conformance testing for the IEC 60870-5 companion standards.

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IEC TS 60870-5-604:2016

TELECONTROL EQUIPMENT AND SYSTEMS -

Part 5-604: Conformance test cases for the IEC 60870-5-104 companion standard

1 Scope

This part of IEC 60870, which is a technical specification—which is part of the IEC 60870-5 series, describes test cases for conformance testing of telecontrol equipment, Substation Automation Systems (SAS) and telecontrol systems, including front-end functions of SCADA, using the IEC 60870-5-104 companion standard and IEC 60870-5-6, Guidelines for conformance testing for the IEC 60870-5 companion standards.

The use of this part of IEC 60870 facilitates interoperability by providing a standard method of testing protocol implementations, but it does not guarantee interoperability of devices. It is expected that using this specification during testing will minimize the risk of non-interoperability.

The goal of this part of IEC 60870 is to enable unambiguous and standardised evaluation of IEC 60870-5 companion standard protocol implementations. The guidelines and conditions for the testing environment are described in IEC 60870-5-6. The detailed test cases per companion standard, containing among others mandatory and optional mandatory test cases per Basic Application Function, ASDU and transmission procedure, will become available as a technical specification. Other functionality may need additional test cases but this is outside the scope of this part of IEC 60870. For proper testing, it is recommended to define these additional test cases. This document is such a Technical Specification for the mentioned companion standard.

This part of IEC 60870 deals mainly with communication conformance testing; therefore other requirements, such as safety or EMC are not covered. These requirements are covered by other standards (if applicable) and the proof of compliance for these topics is done according to these standards.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.¹

IEC 60870-5-4:1993, Telecontrol equipment and systems – Part 5: Transmission protocols – Section 4: Definition and coding of application information elements

IEC 60870-5-5:1995, Telecontrol equipment and systems – Part 5: Transmission protocols – Section 5: Basic application functions

The base standard always takes precedence. In case of ambiguity between this technical specification and the base standards (IEC 60870-5-1 to IEC 60870-5-5, IEC 60870-5-104), this part of IEC 60870 needs to be clarified or amended.

When testing, negative behaviour is not described in the base standard, the behaviour described in this document prevails and should be observed.

The conformance statement produced after testing indicates any lack of conformance to either the test plan or the base standard.

IEC 60870-5-6:2006, Telecontrol equipment and systems – Part 5-6: Guidelines for conformance testing for the IEC 60870-5 companion standards

IEC 60870-5-101:2003, Telecontrol equipment and systems – Part 5-101: Transmission protocols – Companion standard for basic telecontrol tasks

IEC 60870-5-104:2006, Telecontrol equipment and systems – Part 5-104: Transmission protocols – Network access for IEC 60870-5-101 using standard transport profiles

IETF RFC2200, Internet Official Protocol Standards

3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60870-5-6 apply.

4 Abbreviated terms

For the purposes of this document, the abbreviations given in IEC 60870-5-6 apply.

5 Conformance testing for IEC 60870-5-104

5.1 Overview and legend ITeh Standards

An overview of tests is given in Tables 1 to 26. Procedural and functional testing must shall always start with the Station Initialisation function and proceeds with the next Basic Application Functions. The procedure in each test case must shall be followed, which means that the DUT is able to function as described in the specific test case.

The test procedures in Tables 1 through 11 must shall be carried out with no errors detected during testing of all the Basic Application Functions in Tables 12 through 26. These tests are preferably automatically performed by the used test platform.

In addition to the performance criteria listed in the test procedures, 5.3 lists the protocol specifications that <u>must</u> shall be verified automatically by the testing software or verified manually by review of the test history log after execution of the test procedures. The verification <u>must</u> shall result in no errors detected during the complete test procedure.

This test plan has a direct reference to the PICS and possibly a PIXIT. Without a reference to a PICS or PIXIT this test plan is obsolete.

Test case numbering syntax is subclause number + table number + test case number.

Test cases are mandatory depending on the description in the column 'Required'. The following situations are possible:

- M = Mandatory test case regardless if enabled in the PICS/PIXIT, not only in one situation but during execution of all the tests as in the PICS and/or PIXIT
- PICS, x.x = M and atory test case if the functionality is enabled in the PICS (by marking the applicable check box), with a reference to the section number of the PICS (x.x);

NOTE PICS 9.x always refers to 60870-5-104:2006, Clause 9.

PIXIT = Mandatory test case if the functionality is enabled/described in the PIXIT.

Verification of these test cases by the user/owner of the PIXIT is required before the test is started.

For each test case the test results need to shall be marked in the appropriate column of the test result chart in 5.5 and 5.6. Each test case can either pass the test (Passed), fail the test (Failed), not applicable, when the configuration value is not supported by the device (N.A.), or the test case was not performed (Empty). Ideally, there should be no empty boxes when testing is complete.

For testing reverse direction, the same test procedures apply in the opposite direction (replace "Controlling" with "Controlled" and vice versa), except for COT44-47 which are only defined in Monitor direction (only a controlled station is allowed to send these COT).

The test tables are divided into 5 subclauses:

- Subclause 5.2 Configuration parameters IEC 60870-5-104
- Subclause 5.3 Verification of IEC 60870-5-104 communication
- Subclause 5.4 Conformance test procedures
- Subclause 5.5 Test result chart
- Subclause 5.6 Test results of command transmission

The procedure to perform all the mandatory test cases, according to the PID, is shown in Figure 1.

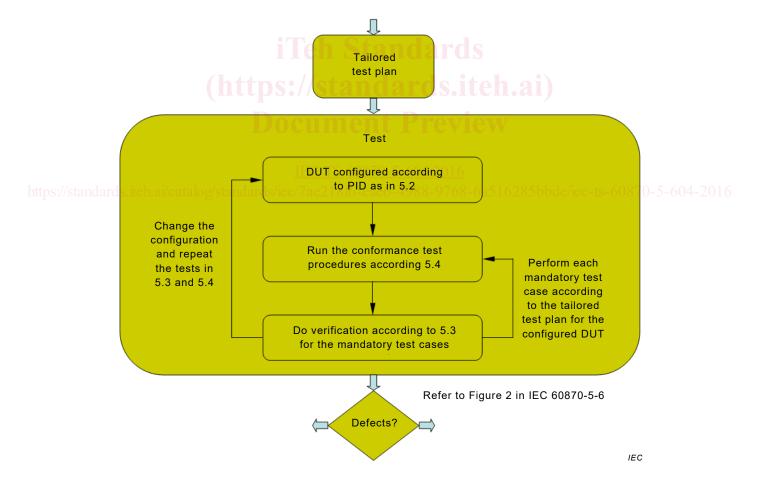


Figure 1 - Test procedure

5.2 Configuration parameters IEC 60870-5-104

Since IEC 60870-5-104 contains a number of configuration parameters affecting protocol behaviour, the conformance test procedures in 5.4 and verification in 5.3 must shall be performed at least once for each supported value of the parameters listed in Table 1. Basically the DUT must shall be tested if the functionality in 5.3 and 5.4 behaviour is correct for the configuration(s) in Table 1.

Table 1 – Run the Conformance Test Procedures for each of the following supported configuration parameter values

		2 4		
No.	Test	Description Description	Reference	Required
5.2.1.1	System definition	Controlling station test (Master)		PICS, 9.1
5.2.1.2		Controlled station test (Slave)		PICS, 9.1
5.2.1.50	5.2.1.50 Frame length	Maximum length L (control direction)	IEC 60870-5-101:2003, 6.2	PICS, 9.4
5.2.1.51		Maximum length L (monitor direction)	IEC 60870-5-101:2003, 6.2	PICS, 9.4
5.2.1.70	5.2.1.70 COMMON ADDRESS of ASDU	Two (2) octets for Common Address of ASDU (CASDU)	IEC 60870-5-101:2003, 7.2.4	PICS, 9.5
5.2.1.80	5.2.1.80 INFORMATION OBJECT ADDRESS	Three (3) octets for Information Object Address (structured or unstructured) IEC 60870-5-101:2003, 7.2.5 PICS, 9.5	IEC 60870-5-101:2003, 7.2.5	PICS, 9.5
5.2.1.90	5.2.1.90 CAUSE OF TRANSMISSION	Two (2) octets for COT field (2nd octet is Originator address)	IEC 60870-5-101:2003, 7.2.3	PICS, 9.5

5.3 Verification of IEC 60870-5-104 communication

test history log after execution of the test procedures. Every test case describes functionality that has passed the test if the functionality as in the This subclause lists the protocol specifications that must shall be verified automatically by the testing software or verified manually by review of the the description column was shown to be correct. Correct means: the functionality-must shall be checked either automatically or manually, and also be checked by the test engineer in a human readable format log-file. For example to test the IV qualifier of some information elements, the ASDU containing this element, must shall be sent with the IV=1. Every test case marked "Passed", has to be verifiable during testing and archived in logiles for post assessment.

To identify if a test case is mandatory, it is necessary to read 5.1 carefully.

Table 2 – Tests on transport provider level (1 of 5)

No.	Test	Description	Reference	Required
5.3.2.1	IP FRAME	IP Header, IP Fragment Re-assembling	IETF RFC2200	Σ
5.3.2.2		Source Address, Destination address	IETF RFC2200	M
5.3.2.3	TCP FRAME	TCP Header, TCP Control field (specifically ACK, RST, SYN, FIN), TCP Sequencing	IETF RFC2200	Σ
5.3.2.4		[The server (controlled station) uses the] port number 2404 [(confirmed by IANA) in all cases, both for the listening port and established connections. The client (controlling station) is free to use ephemeral port number, e.g. as allocated by the client's TCP/IP implementation]	IEC 60870-5-104:2006, 5.4 [MOM WG03 15 June 2001 4.2.2.4] (Especially marked because it is not yet in the standard!)	Σ
5.3.2.5		Actively <i>opening</i> a new TCP connection starts with a TCP frame containing (SYN) from the node that takes the initiative to establish the TCP connection. This is answered by the other node with (SYN, ACK), which in turn is answered by the initiating node with (ACK). Thereinafter the TCP connection is established	IETF RFC2200 IEC 60870-5-104:2006, 7.1	Σ
5.3.2.6		Actively <i>closing</i> an established TCP connection starts with a TCP frame containing (FIN) from the node that takes the initiative to close the TCP connection. This is answered by the other node (ACK) followed by a TCP frame from this same other node containing also (FIN). This in turn is answered by the initiating node with (ACK). Thereinafter the TCP connection is closed. It can be accepted if a node combines an (ACK) and a (FIN) in a single TCP frame in reply to a TCP frame with a (FIN).	IETF RFC2200 IEC 60870-5-104:2006, 7.1, Figure 19	Σ
5.3.2.7		TCP Data stream on an established TCP connection contains APDU's. APDU's are correctly assembled when divided over multiple TCP frames During the test no problems should be detected on TCP/IP level	IETF RFC2200	N
5.3.2.10	CS104 APDU	Start character of APDU: 68 _H	IEC 60870-5-104:2006, Clause 5	M
5.3.2.11	rkame LAYOUT	Configured number of octets L as the maximum number of Data octets (ASDU + Control field) in APDU: The maximum length of APDU for both directions is 253. It is a fixed system parameter.	IEC 60870-5-104:2006, Clause 5	PICS, 9.4 M
5.3.2.12		4-octet Control field	IEC 60870-5-104:2006, Clause 5	M

Table 2 (2 of 5)

Required	Σ	Σ	Σ	V	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	Σ	
Reference	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	IEC 60870-5-104:2006, Clause 5	0000 FOF 1 0E000 OL
Description	Control field octet 1 bit 1 (LSB) = 0	Control field octets 1-2, bit 216 contain end sequence number N(S) range 0Maximum value 32767	Control field octet 3 bit 1 (bit 17) = 0	Control field octets 3-4, bit 1832 contain Receive sequence number N(R) range 0maximum value 32767	I-format frame contains exactly one ASDU	Control field octet 1, bit 1-2 have value 01 _B	Control field octets 1-2, bit 316 all contain value 0	Control field octet 3 bit 1 (bit 17) = 0	Control field octets 3-4, bit 1832 contain Receive sequence number N(R) range 0maximum value 32767	S-frame APDU only contains a single APCI field	Control field octet 1, bit 1-2 have value 11 _B	Control field octet 1, bit 3 used for control function STARTDT Activation	Control field octet 1, bit 4 used for control function STARTDT Confirmation	Control field octet 1, bit 5 used for control function STOPDT Activation	Control field octet 1, bit 6 used for control function STOPDT Confirmation	Control field octet 1, bit 7 used for control function TESTFR Activation	Control field octet 1, bit 8 used for control function TESTFR Confirmation	Control field bit 38 contains exactly one active (bit with value 1) Control function (TESTFR, STARTDT, STOPDT, either Activation or Confirmation) per Uframe	Control field octets 2-4, bit 932 all contain value 0	
Test	CS104 I-FORMAT APDU Information transfer frame					CS104 S-FORMAT APDU Numbered Supervisory	function frame				CS104 U-FORMAT APDU Unnumbered Control function	frame								
No.	5.3.2.20	5.3.2.21	5.3.2.22	5.3.2.23	5.3.2.24	5.3.2.25	5.3.2.26	5.3.2.27	5.3.2.28	5.3.2.29	5.3.2.30	5.3.2.31	5.3.2.32	5.3.2.33	5.3.2.34	5.3.2.35	5.3.2.36	5.3.2.37	5.3.2.38	0