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**Framework for energy market communications –
Part 451-4: Settlement and reconciliation business process, contextual and
assembly models for European market**

**Cadre pour les communications pour le marché de l'énergie –
Partie 451-4: Processus métier de règlement des écarts et de réconciliation,
modèles contextuels et modèles d'assemblage pour le marché européen**



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FRAMEWORK FOR ENERGY MARKET COMMUNICATIONS –**Part 451-4: Settlement and reconciliation business process,
contextual and assembly models for European market**

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International Standard IEC 62325-451-4 has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

This second edition cancels and replaces the first edition published in 2014. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) Removal of the attributes “quantity” and “secondary quantity” of the class “Point”;
- b) Introduction of the class “Quantity” from IEC 62351-351 UML package, with the following attributes “quantity” as mandatory and “quality” as optional, and create two association 1..1 between the class “Quantity” and the class “Point” with the role “In_Quantity” and “Out_Quantity”.

- c) Introduction of the class “Reason” from IEC 62351-351 UML package, with the following attributes “code” as mandatory and “text” as optional, and create an association 0..* from the class “Reason” to the class “Point” with the role “Reason”.

This bilingual version (2019-07) corresponds to the monolingual English version, published in 2017-04.

The text of this International Standard is based on the following documents:

CDV	Report on voting
57/1737/CDV	57/1804/RVC

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

The French version of this standard has not been voted upon.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 62325 series, published under the general title *Framework for energy market communications*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under “<http://webstore.iec.ch>” in the data related to the specific document. At this date, the document will be

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- replaced by a revised edition, or
- amended.

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INTRODUCTION

This standard is one of the IEC 62325 series which define protocols for deregulated energy market communications.

The principal objective of the IEC 62325 series is to produce standards which facilitate the integration of market application software developed independently by different vendors into a market management system, between market management systems and market participant systems. This is accomplished by defining message exchanges to enable these applications or systems access to public data and exchange information independent of how such information is represented internally.

The common information model (CIM) specifies the basis for the semantics for this message exchange.

The European style market profile (ESMP) is based on different parts of the CIM IEC standard. The CIM is defined through a series of standards, i.e. IEC 62325-301, IEC 61970-301 and IEC 61968-11.

This document provides the settlement and reconciliation business process that can be used throughout a European style market. This standard was originally based upon the work of the European Transmission System Operators (ETSO) Task Force EDI (Electronic Data Interchange) and then on the work of the European Network of Transmission System Operators (ENTSO-E) Working Group EDI.

This document describes the settlement and reconciliation process for wholesale markets; it is brought to the attention of the reader that it is envisaged to initiate work on a combined reconciliation process for retail and wholesale markets.

<https://standards.iteh.ai/catalog/standards/sist/e52ef726-cbf3-4016-ab6b-659a503d51bc/iec-62325-451-4-2017>

FRAMEWORK FOR ENERGY MARKET COMMUNICATIONS –

Part 451-4: Settlement and reconciliation business process, contextual and assembly models for European market

1 Scope

Based on the European style market profile (ESMP) (IEC 62325-351), this part of IEC 62325-451 specifies a package for the settlement and reconciliation business process and the associated document contextual model, assembly model and XML schema for use within European style markets.

The relevant aggregate core components (ACCs) defined in IEC 62325-351 have been contextualised into aggregated business information entities (ABIEs) to satisfy the requirements of this business process. The contextualised ABIEs have been assembled into the relevant document contextual models. Related assembly models and XML schema for the exchange of information between market participants are automatically generated from the assembled document contextual models.

This part of IEC 62325 provides a uniform layout for the transmission of aggregated data in order to settle the electricity market. It is however not the purpose of this document to define the formula to be taken into account to settle or reconcile a market. The purpose of this document is only to enable the information exchange necessary to carry out the computation of settlement and reconciliation.

The settlement process or reconciliation process is the way to compute the final position of each market participant as well as its imbalance amounts.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC TS 61970-2, *Energy management system application program interface (EMS-API) – Part 2: Glossary*

IEC 62325-301, *Framework for energy market communications – Part 301: Common information model (CIM) extensions for markets*

IEC 62325-351, *Framework for energy market communications – Part 351: CIM European market model exchange profile*

IEC 62325-450:2013, *Framework for energy market communications – Part 450: Profile and context modelling rules*

IEC 62325-451-1, *Framework for energy market communications – Part 451-1: Acknowledgement business process and contextual model for CIM European market*

IEC 62325-451-2, *Framework for energy market communications – Part 451-2: Scheduling business process and contextual model for CIM European market*

IEC 62361-100, *Power systems management and associated information exchange – Interoperability in the long term – Part 100: CIM profiles to XML schema mapping*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC TS 61970-2 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>

NOTE Refer to IEC 60050, *International Electrotechnical Vocabulary*, for general glossary definitions.

3.1

aggregate business information entity

ABIE

collection of related pieces of business information that together convey a distinct business meaning in a specific Business Context

Note 1 to entry Expressed in modelling terms, this is the representation of an object class, independent of any specific business context.

[SOURCE: ISO/TS 15000-5:2014, 3.1]

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3.2

aggregate core component

ACC

collection of related pieces of business information that together convey a distinct business meaning, independent of any specific Business Context

[SOURCE: ISO/TS 15000-5:2014, 3.2]

3.3

application program interface

API

set of public functions provided by an executable application component for use by other executable application components

3.4

assembly model

model that prepares information in a business context for assembly into electronic documents for data interchange

3.5

based on

IsBasedOn

use of an artefact that has been restricted according to the requirements of a specific business context

[SOURCE: IEC 62325-450:2013, 3.4]

3.6

business context

formal description of a specific business circumstance as identified by the values of a set of context categories, allowing different business circumstances to be uniquely distinguished

[SOURCE: UN/Cefact, Unified Context Methodology Technical Specification]

3.7 information model

representation of concepts, relationships, constraints, rules, and operations to specify data semantics for a chosen domain of discourse

Note 1 to entry It can provide shareable, stable, and organized structure of information requirements for the domain context.

3.8 market management system MMS

computer system comprised of a software platform providing basic support services and a set of applications providing the functionality needed for the effective management of the electricity market

Note 1 to entry These software systems in an electricity market may include support for capacity allocation, scheduling energy, ancillary or other services, real-time operations and settlements.

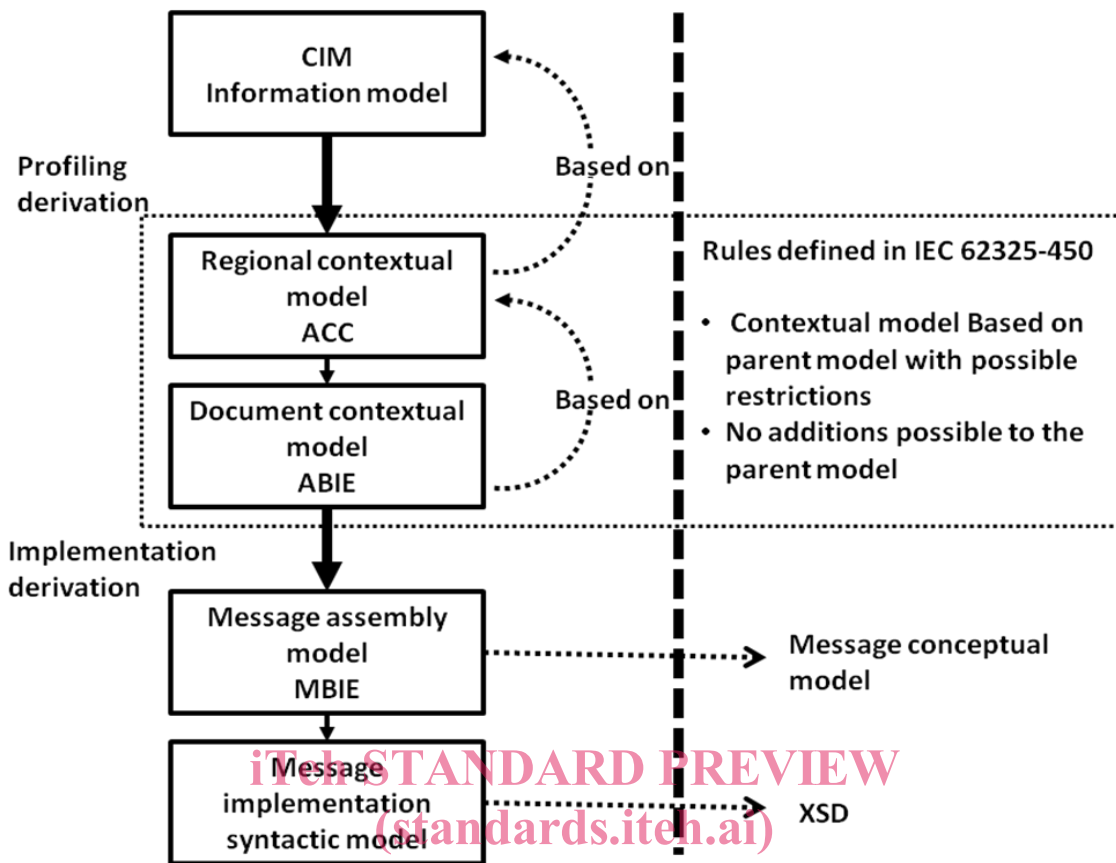
3.9 message business information entity MBIE

aggregation of a set of ABIEs that respects a define set of assembly rules

4 Document contextual model and message assembly model basic concepts

4.1 Overview

IEC 62325-450 defines a set of CIM profiles that follows a layered modelling framework as outlined in Figure 1 going from the common information model (CIM, IEC 61968-11, IEC 61970-301 and IEC 62325-301), to different regional contextual models and their subsequent contextualized documents for information exchange; the final step being the message specifications for information interchange.



IEC

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Figure 1 – IEC 62325-450 modelling framework
<https://standards.iteh.ai/catalog/standards/sist/c52ef/26-c615-4016-ab6b-659a503d51bc/iec-62325-451-4-2017>

The regional contextual models are the basic core components that are necessary to build electronic documents for information interchange. This is defined in the European style market contextual model (IEC 62325-351). These core components are also termed aggregate core components (ACCs).

A document contextual model is based upon a specific business requirements specification and is constructed from the contextualisation of the ACCs that can be found in the European style market contextual model. The contextualised ACCs at this stage are termed aggregate business information entities (ABIEs). These ABIEs are the constructs that are assembled together into a specific electronic document to satisfy the information requirements outlined in the business requirements specification. The transformation from an ACC to an ABIE shall respect the rules defined in IEC 62325-450.

Once a document contextual model has been built that satisfactorily meets the business requirements, a message assembly model can be automatically generated from it.

XML schema may then be automatically generated from the message assembly model. If necessary, specific mapping can take place at this stage to transform the CIM class names and attribute names into legacy market names.

4.2 European style market package structure

Figure 2 describes the main package structure of the European style market profile (ESMP).

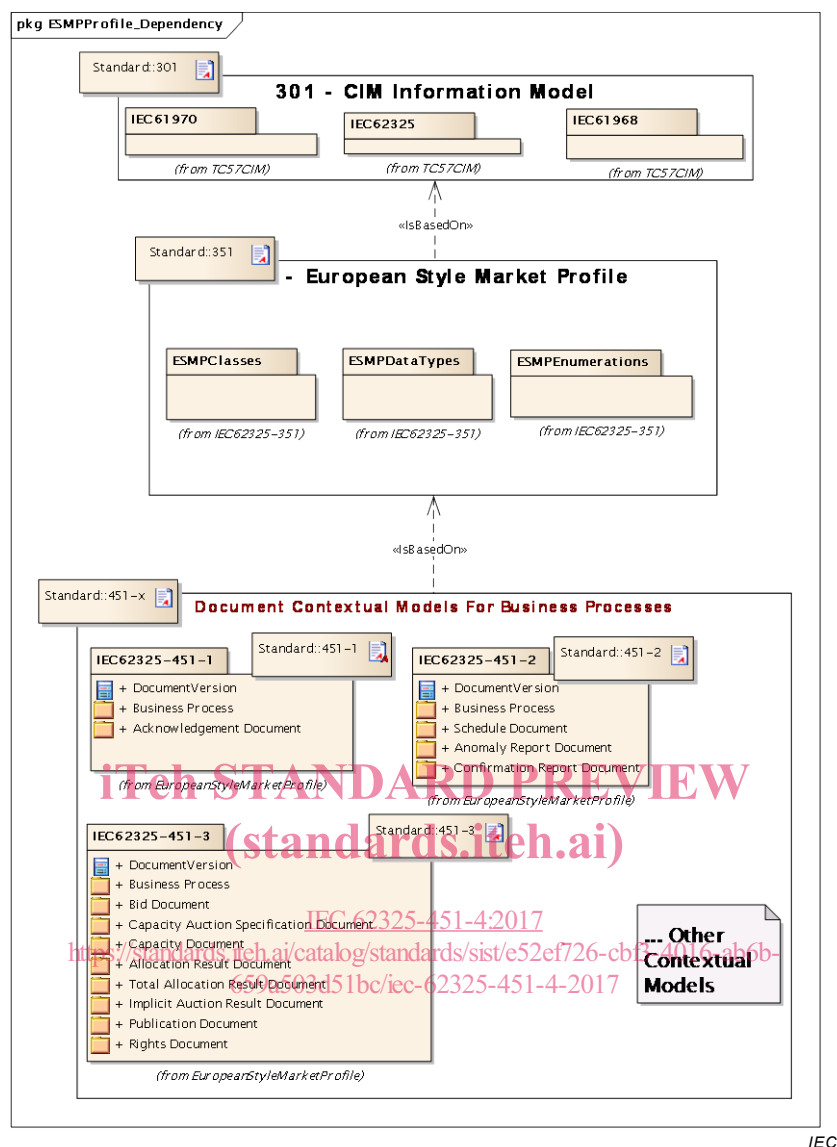


Figure 2 – Overview of European style market profile dependency

For each business process, a business process package is described in an IEC 62325-451-x (x from 1 to n) standard. A business process package contains:

- The document contextual model (ABIE) and the automatically generated message assembly model (MBIE) for each electronic document required to enable the completion of the business process. Each document is a sub contextual model derived by restriction from the European style market profile.
- The XML schema of the business document that is automatically generated from the message assembly model.

The European style market profile (ESMP), as defined in IEC 62325-351, provides the core components permitted for use in an IEC 62325-451-x standard as all ABIEs shall be “based on” the IEC 62325-351 core components:

- **ESMPClasses:** Defining all the semi-contextual classes of the European style market profile derived by restriction from the CIM model.
- **ESMPDataTypes:** Defining all the core datatypes used within the ESMP classes.