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Standard Guide for Selection of Geometric Conditions for Measurement of Reflection and Transmission Properties of Materials¹

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INTRODUCTION

This is a guide describing the selecting of geometric conditions of measurement of appearance attributes such as color, gloss, reflectance, opacity, and transmittance. It includes a selection of numerical scales for appearance attributes other than color.

In describing appearance, wavelength (or spectral) variability is primarily responsible for color, while geometric (or directional) selectivity is primarily responsible for gloss, luster, translucency, and like attributes. However, geometric conditions not only affect geometric variables such as gloss and transparency, but also affect color, diffuse reflectance, and transmittance. Likewise spectral conditions can affect the measurement of geometric attributes of appearance. Therefore both the spectral and geometric conditions of measurement must be identified in specifying an appearance attribute of a specimen.

This guide describes the selection of geometric conditions and as a consequence should help improve agreement in these measurements as well as providing useful guidance in resolving differences between spectral-type measurements that are related to geometry.

1. Scope

1.1 This guide is intended for use in selecting terminology, measurement scales, and instrumentation for describing or evaluating such appearance characteristics as glossiness, opacity, lightness, transparency, and haziness in terms of reflected or transmitted light. This guide does not consider the spectral variations responsible for color, but the geometric variables described herein can importantly affect instrumentally measured values of color. This guide is general in scope rather than specific as to instrument or material.

2. Referenced Documents

2.1 ASTM Standards:²

C346 Test Method for 45-deg Specular Gloss of Ceramic Materials

C347 Test Method for Reflectance, Reflectivity, and Coefficient of Scatter of White Porcelain Enamels³

¹ This guide is under the jurisdiction of ASTM Committee E12 on Color and Appearance and is the direct responsibility of Subcommittee E12.03 on Geometry. Current edition approved Jan. 10, 2003. Published March 2003. Originally approved in 1961. Last previous edition approved in 1996 as E179 – 96. DOI: 10.1520/E0179-96R03.

C523 Test Method for Light Reflectance of Acoustical Materials by the Integrating Sphere Reflectometer³

C584 Test Method for Specular Gloss of Glazed Ceramic Whitewares and Related Products

D523 Test Method for Specular Gloss

D1003 Test Method for Haze and Luminous Transmittance of Transparent Plastics

D1455 Test Method for 60° Specular Gloss of Emulsion Floor Polish

D1494 Test Method for Diffuse Light Transmission Factor of Reinforced Plastics Panels

D1746 Test Method for Transparency of Plastic Sheeting

D1834 Test Method for 20° Specular Gloss of Waxed Paper³

D4039 Test Method for Reflection Haze of High-Gloss Surfaces

D4061 Test Method for Retroreflectance of Horizontal Coatings

E97 Test Method for Directional Reflectance Factor, 45deg, 0-deg, of Opaque Specimens by Broad-Band Filter Reflectometry³

E167 Practice for Goniophotometry of Objects and Materials³

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ Withdrawn. The last approved version of this historical standard is referenced on www.astm.org.

E284 Terminology of Appearance

E429 Test Method for Measurement and Calculation of Reflecting Characteristics of Metallic Surfaces Using Integrating Sphere Instruments³

E430 Test Methods for Measurement of Gloss of High-Gloss Surfaces by Abridged Goniophotometry

E808 Practice for Describing Retroreflection

E809 Practice for Measuring Photometric Characteristics of Retroreflectors

 E810 Test Method for Coefficient of Retroreflection of Retroreflective Sheeting Utilizing the Coplanar Geometry
 E811 Practice for Measuring Colorimetric Characteristics of Retroreflectors Under Nighttime Conditions

E991 Practice for Color Measurement of Fluorescent Specimens Using the One-Monochromator Method

E1164 Practice for Obtaining Spectrometric Data for Object-Color Evaluation

E1767 Practice for Specifying the Geometries of Observation and Measurement to Characterize the Appearance of Materials

F768 Method for Specular Reflectance and Transmittance Measurements of Optically Flat-Coated and Non-Coated Specimens³

2.2 CIE Publications:⁴

CIE Publication No. 15.2 Colorimetry, second edition 1986

CIE Publication No. 17.4 International Lighting Vocabulary, fourth edition, 1987

CIE Publication No. 38 Radiometric and Photometric Characteristics of Materials and Their Measurement, 1977

3. Terminology

- 3.1 Definitions:
- 3.1.1 *flux (radiant)*, Φ —the time rate of flow of radiant energy; radiant power (Terminology E284).
- 3.1.2 *incident flux*, Φ_i —flux incident on the specimen at a specified illumination angle and aperture angle.
- 3.1.3 reflected flux, Φ_r —flux reflected from the specimen at a specified viewing angle and aperature angle.
- 3.1.4 reference reflected flux, $\Phi_{r,r}$ —flux reflected from a reference standard of reflectance, illuminated and viewed in the same manner as the specimen under consideration.
- 3.1.5 transmitted flux, Φ_t —flux transmitted through the specimen at a specified viewing angle and field angle.
- 3.1.6 *reflectance*, ρ —ratio of the reflected flux to the incident flux defined as $\rho = \Phi_i / \Phi_i$.
- 3.1.7 reflectance factor, R—ratio of the reflected flux to the reference reflected flux defined as $R = \Phi_r / \Phi_{r,r}$.
- 3.1.8 transmittance, τ —ratio of the transmitted flux to the incident flux defined as $\tau = \Phi / \Phi_i$.
- 3.1.8.1 *Discussion*—A companion term, transmittance factor, is not normally used in the measurement of appearance attributes.
- 3.1.9 For other definitions see Terminology E284 and CIE Publication Nos. 17.4 and 38.

4. Summary of Guide

- 4.1 When light impinges upon a material, several phenomena can occur. Part of the light may be reflected, part may be transmitted, and part may be absorbed. This guide deals with the reflected and transmitted light and the selection of geometric conditions for its measurement.
- 4.2 An idealization of the light reflected and transmitted by a material is shown in Fig. 1. Fig. 2 illustrates luminance distributions more like those actually encountered in practice.

5. Types of Measurement Scales

- 5.1 *Type of Scale*—The terms defined in 3.1.6-3.1.8 to may be further identified by a preceding adjective, such as specular, regular, diffuse, total, or directional, thereby identifying the basis for the measurement scale. The significance of each of these adjectives is as follows:
- 5.1.1 regular—indicates that only light that has been reflected or transmitted without scattering or diffusion is included for measurement. When a specimen scatters or diffuses the incident light on reflection or transmission, the values obtained will depend on the angular size of the illuminator and receiver used in the measurement.
- 5.1.2 specular—indicates that only the light that is mirror-reflected is included for measurement. The CIE prefers the modifier regular instead of specular although specular reflectance is recognized. Specular has also sometimes been used to refer to regular transmittance. This is a misnomer because specular refers to a mirror.
- 5.1.3 *diffuse*—indicates that only the light reflected or transmitted in directions other than the specular or regular direction is included in the measurement.

Note 1—The differences between the concepts of regular and diffuse components of reflection and transmission are shown in Table 1.

- 5.1.4 *total*—indicates that the light reflected or transmitted in all directions is included for measurement.
- 5.1.5 *directional*—indicates that the light reflected or transmitted in specified directions only is included for measurement. Directional values depend on the illumination and viewing angles and refer to light reflected or transmitted in directions that differ moderately from the centroid direction or axis of the beam.

6. Geometric Directions of Incidence and Viewing

6.1 Geometric directions may be identified by preceding the adjective with the angular directions, by including a detailed

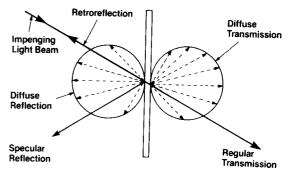
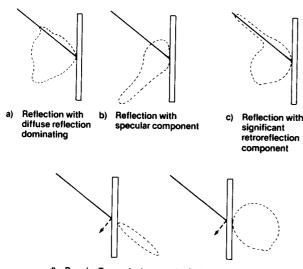


FIG. 1 Idealizations of Reflection and Transmission Phenomena, Showing Components

⁴ Information on how to obtain CIE documents should be requested from the U.S. National Committee, CIE, c/o Radiometric Physics Division, National Institute of Standards and Technology, Bldg. 220, Room B-306, Gaithersburg, MD 20899.

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d) Regular Transmission e) Diffuse Transmission
 FIG. 2 Representations of Actual Reflection and Transmission
 Phenomena with Mixtures of Components

TABLE 1 Differences Between Concepts of Regular (Specular) and Diffuse Components of Reflection and Transmission

Measurement	Geometric Distribution of Light	Structural Elements Responsible	Resulting Appearance Characteristic When Component Dominates
Reflectance:			
Specular component	reflected only in direction of mirror reflection	smoothness of surface or skin of specimen	glossiness or shininess
Diffuse component	distributed in all directions	pigment granules and cavities within specimen, surface roughness	lightness (expressed on black-gray-white scale)
Transmittance:			
Regular component	a continuation of the incident beam	clear homogeneous medium with plane, parallel faces	clearness or transparency
Diffuse component	distributed in all directions	scattering and refracting particles of a nonopaque specimen, surface roughness	translucency, turbidity, or haziness

geometric description, or by placing after the symbols a subscript that represents the measurement condition.

Note 2—This guide is concerned with bidirectional or hemispherical measurement systems. For gonophotometric methods, see Practice E167. For methods of specifying the geometry of measurements, see Practice E1767.

- 6.2 illumination and viewing angles—the angles of illumination and viewing are identified as follows (see Fig. 3):
- 6.2.1 illumination angle, θ_i —the angle between the incident-beam axis and the normal (perpendicular) to the surface of the specimen (the specimen normal).
- 6.2.2 viewing angle for reflection, θ_r —angle between the surface normal and the axis of the receiver.
- 6.2.3 viewing angle for transmission, θ_t —angle between the axis of the transmitted beam and the axis of the receiver.

- 6.3 aperture angles—the angles subtended at a point on the specimen by the maximum dimension of the apparent illuminator and receiver. They are a necessary part of the geometric specification because the finite size of every practical illuminator limits collimation.
- 6.4 azimuthal angle, η —the angle between the plane containing the illuminator axis and the specimen normal and the plane containing the receiver axis and the specimen normal. Unless an azimuthal angle is specified, the illuminator axis, the specimen normal, and the receiver axis are taken to be in the same plane.
- 6.5 rotation angle, ε—the angle indicating the orientation of the test specimen when it is rotated in its own plane. The orientation of the specimen is considered to be part of the specimen description in this guide (see 10.2.7).
- 6.6 Complete geometric specifications are necessary for measuring such geometrically dependent factors as gloss, transparency, and haze. For ideally specular or ideally regular or diffuse reflection or transmission, specification of only the directions of illumination and view is usually adequate.

7. Measured Quantities

- 7.1 The following quantities, defined and described in more detail in the Illuminating and Viewing Conditions section of Practice E1164 and in CIE Publication No. 15.2, are those most commonly measured by spectrophotometry and tristimulus (filter) colorimetry for the assessment of color and related appearance attributes.
- 7.1.1 45°/normal (45/0) and normal/45° (0/45) reflectance factor—for the 45/0 condition, the specimen is illuminated by one or more beams at an angle of 45° from the specimen normal to the specimen surface. The angle between the direction of viewing and the specimen normal should not exceed 10°. For the 0/45 condition, these requirements are interchanged. Suitable restrictions on the angles of illumination and viewing and on the aperture angles should be observed.
- 7.1.2 total/normal (t/0) or diffuse/normal (d/0) and normal/total (0/t) or normal/diffuse (0/d) reflectance factor—for the t/0 or d/0 conditions, the specimen is illuminated diffusely, for example by an integrating sphere. The angle between the normal to the specimen surface and the direction of viewing should not exceed 10° . If all specularly reflected light is included in the measurements, the condition is t/0; if all specularly reflected light is excluded, the condition is d/0. For the 0/t or 0/d conditions, the requirements for illumination and viewing are interchanged. Suitable restrictions on the aperture angles and the nature of the integrating sphere must be observed.
- 7.1.3 regular transmittance of fully transparent specimens—the specimen is illuminated with an illumination angle not exceeding 5°. The requirements for illumination and viewing may be interchanged. Suitable restrictions on the aperture angles should be observed.
- 7.1.4 normal/total (0/t) or normal/diffuse (0/d) and total/ normal (t/0) or diffuse/normal (d/0) transmittance of translucent, diffusing, or hazy specimens—for the 0/t or 0/d conditions, the specimen is illuminated at an angle of less than 5° from the normal to its surface. The transmitted flux is collected by an integrating sphere, with the specimen placed flush