



SLOVENSKI STANDARD

SIST EN 9121:2008

01-junij-2008

5 YfcbUj h_U!`G]ghYa]j cXYb^U_U_cj cghj!`CWb^Yj Ub^Yž_]`gYi dcfUV^UnU
X]gfh]Vi hYf^Y`g_`UX]y b]_YfbUdcX^Uj]`GC` - \$\$%&\$\$L

Aerospace series - Quality management systems - Assessment applicable to stockist distributors (based on ISO 9001:2000)

Luft- und Raumfahrt - Qualitätsmanagementsysteme - Audit für Händler und Lagerhalter (basiert auf ISO 9001:2000)

Série aérospatiale - Systèmes de management de la qualité - Évaluation applicable aux distributeurs stockistes (basé sur ISO 9001:2000)

<https://standards.iteh.ai/catalog/standards/sist/7198dabd-10b8-43f0-9ca9-6b869963e499/sist-en-9121-2008>

Ta slovenski standard je istoveten z: **EN 9121:2005**

ICS:

03.120.10	Vodenje in zagotavljanje kakovosti	Quality management and quality assurance
49.020	Letala in vesoljska vozila na splošno	Aircraft and space vehicles in general

SIST EN 9121:2008

en,fr,de

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ICS 03.120.10; 49.020

English Version

Aerospace series - Quality management systems - Assessment applicable to stockist distributors (based on ISO 9001:2000)

Série aérospatiale - Systèmes de management de la
qualité - Évaluation applicable aux distributeurs stockistes
(basé sur ISO 9001:2000)

Luft- und Raumfahrt - Qualitätsmanagementsystems -
Audit für Händler und Lagerhalter (basiert auf ISO
9001:2000)

This European Standard was approved by CEN on 28 October 2005.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the Central Secretariat has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Slovakia, Slovenia, Spain, Sweden, Switzerland and United Kingdom.

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EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

Management Centre: rue de Stassart, 36 B-1050 Brussels

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Foreword

This European Standard (EN 9121:2005) has been prepared by the European Association of Aerospace Manufacturers - Standardization (AECMA-STAN).

After enquiries and votes carried out in accordance with the rules of this Association, this Standard has received the approval of the National Associations and the Official Services of the member countries of AECMA, prior to its presentation to CEN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by June 2006, and conflicting national standards shall be withdrawn at the latest by June 2006.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

In December 1998, the Aerospace Industry has established the International Aerospace Quality Group (IAQG) with the purpose of achieving significant improvements in quality and reductions in cost throughout the value stream.

This organization, with representation from Aerospace companies in Americas, Asia and Europe and sponsored by SAE, SJAC and AECMA has agreed to take responsibility for the technical contents of this standard.

This standard was reviewed by the Domain Technical Coordinator of AECMA-STAN's Quality Domain.

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Slovakia, Slovenia, Spain, Sweden, Switzerland and the United Kingdom.

1 Purpose

The purpose of this standard is to define the content and the presentation of the Assessment Report of the EN 9120 (based on ISO 9001:2000).

2 Quality System Assessment Report content

The Assessment Report is made up of:

- Page 5 (*required*)
General Assessment Information
- Page 6 (*required*)
Assessment Conclusions
- Page 7 (*optional*)
General Organization Information
- Page 8 (*required*)
Assessment Result Summary
- Page 9 (*required*)
Quality System Scoring
- Page 10
Corrective Action Request (when required)
- Page 11
List of Recommendations/Observations/Comments
- Annex
STOCKIST DISTRIBUTORS – Quality System Questionnaire associated to the International Quality System Standard EN 9120 - Section 1 (based on ISO 9001:2000)
- Annex
Documents regarding the company:
 - Organization charts,
 - Copies of agreements and certifications ...

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ASSESSMENT REPORT Standards 9120/9121		<i>Assessing company logo</i>	
GENERAL ASSESSMENT INFORMATION			
1 Distributor organization & Work Address			
Company Name:		Tel Number:	
Subsidiary of:		Fax Number:	
Organization Identification:		e-mail:	
Assessed Site(s) Address(es):		CAGE code:	
Headquarter:		Assessment Representative & Title:	
Warehouse(s):		Quality Manager Representative & Title:	
Main activities:			
Product Types or Codes:			
2 ISO Registration			
<input type="checkbox"/> ISO Registered		Registrar Name:	
<input type="checkbox"/> ISO Standard / Revision		Expiration Date (If applicable):	
<input type="checkbox"/> Aerospace Standard / Revision			
3 Assessment Team			
Lead Assessor Name:		Other Assessor Team Members:	
<input type="checkbox"/> Certified Auditor – Type & No.			
<input type="checkbox"/> Qualified Auditor			
4 Assessment Dates:			
SIST EN 9121:2008			
5 Assessment Scope			
http://standards.iteh.ai/catalog/standards/sist/7198dabd-10b8-43f0-9ca9-9121			
<input type="checkbox"/> Total facility assessed		<input type="checkbox"/> Initial assessment	
<input type="checkbox"/> Partial facility assessed		<input type="checkbox"/> All 9120 elements assessed	
<input type="checkbox"/> Other:		<input type="checkbox"/> Partial 9120 elements assessed	
<input type="checkbox"/> Activity assessed:		Elements not assessed:	
6 Assessment Disposition			
<input type="checkbox"/> Conforming		7 Scoring	
<input type="checkbox"/> Conforming with minor (mi) corrective action		Scoring result:	
<input type="checkbox"/> Nonconforming with Major (Ma) corrective action			
8 Assessment Approval			
Assessing Company	Date	Lead Assessor Name	Signature

Distribution Agreement

This Assessment Report is the property of the assessed Organization and the assessing Company. Distribution to other companies or individuals is authorized only after written agreement of the assessed Organization and of the assessing Company.

To that end, a signature below by an Authorized Representative of the assessing company indicates that this report may be copied by the organization for other customers.

If copied, the report must be disclosed in full including findings and any corrective actions.

Authorized Representative

Assessing Company Name _____ Signature _____ Date _____

ASSESSMENT REPORT	<i>Assessing company logo</i>
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ASSESSMENT CONCLUSIONS
(To be completed in English)

General comments about the organization, distributed products and sources, traceability and the quality system of the assessed organization:

Strong points:

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Weak points- Improvement Opportunities:

ASSESSMENT REPORT			<i>Assessing company logo</i>	
GENERAL ORGANIZATION INFORMATION				
1 Legal and Financial Aspects				
<input type="checkbox"/> Date of Formation: <input type="checkbox"/> Legal Status: <input type="checkbox"/> Capital: <input type="checkbox"/> Other Data:				
	Third Prior Financial Year ()	Second Prior Financial Year ()	First Prior Financial Year ()	Current Financial Year ()
Sales	iTeh STANDARD PREVIEW			
Earnings	(standards.iteh.ai)			
Earnings used for Re- Investment	SIST EN 9121:2008			
Workforce				
2 Turnover breakdown and main Customers				
Activities	Main Customers		Sales Percentage	
Aircraft, Space and Defence Industry				
Other Activity (be specific)				
3 Clearances or Approvals granted by Authorities				
Name of the Authority	Types and References		End of Validity (date)	

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ASSESSMENT RESULT SUMMARY

Organization:					
Elements* (EN 9120-9121)	Result				Observation / Corrective Action Request Number (Ma/mi)
	S	Ma	mi	N/A	
4 - Quality Management System					
4.1 General requirements					
4.2 Documentation requirements					
5 - Management responsibility					
5.1 Management commitment					
5.2 Customer focus					
5.3 Quality policy					
5.4 Planning					
5.5 Responsibility, authority and communication					
5.6 Management review					
6 - Resource management					
6.1 Provision of resources					
6.2 Human resources					
6.3 Infrastructure					
6.4 Work environment					
7 - Product realization					
7.1 Planning of product realization					<i>Non applicable</i>
7.2 Customer-related processes					
7.3 Design and development					<i>Non applicable</i>
7.4 Purchasing					
7.5 Production and service provision					<i>7.5.2 Non applicable</i>
7.6 Control of monitoring and measuring devices					
8 - Measurement, analysis and improvement					
8.1 General					
8.2 Monitoring and measurement					
8.3 Control of nonconforming product					
8.4 Analysis of data					
8.5 Improvement					
Assessed Organization:					Assessing Company:
Rep's name:	Results				Lead Assessor Name:
Signature:					Signature:

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* For each element, cross results of assessment: "S" for Satisfactory, "Ma" for major corrective action, "mi" for minor or "N/A" for non applicable

ASSESSMENT SCORING						(Member logo)	
Organization:			Result				
	SCORING CHART Standards 9120/9121	Major CAR or minor CAR on Key requirement		Minor CAR on non Key requirement		NO CAR	RESULT
		Multiple findings	Single finding	Multiple findings	Single finding		
4	Quality management system (Max. 80)						
4.1	General requirements	0	5	20	30	40	
4.2	Documentation requirements	0	5	20	30	40	
5	Management responsibility (Max. 80)						
5.1	Management commitment						
5.2	Customer focus	0	5	10	15	20	
5.3	Quality policy						
5.4	Planning	0	5	10	15	20	
5.5	Responsibility, authority and communication	0	5	15	15	20	
5.6	Management review	0	5	10	15	20	
6	Resource Management (Max. 80)						
6.1	Provision of resources						
6.2	Human resources	0	5	10	20	30	
6.3	Infrastructure	0	10	25	40	50	
6.4	Work environment						
7	Product realization (Max. 480)						
7.1	Planning of product realization	Not required					
7.2	Customer-related processes	0	10	20	40	60	
7.3	Design and development	Not required					
7.4	Purchasing	0	5	30	40	100	
7.5	Production and service provision	Not required					
7.5.1	Control of production and service provision	0	5	30	60	80	
7.5.2	Validation of processes for production and service provision	Not required					
7.5.3	Identification and traceability	0	5	40	50	100	
7.5.4	Customer property	0	5	10	15	20	
7.5.5	Preservation of product	0	5	20	40	100	
7.6	Control of monitoring and measuring devices	0	5	10	15	20	
8	Measurement, analysis and improvement (Max. 280)						
8.1	General	0	5	10	15	20	
8.2	Monitoring and measurement						
8.2.1	Customer satisfaction	0	5	10	15	20	
8.2.2	Internal audit	0	5	10	15	20	
8.2.3	Monitoring and measurement of processes	0	5	20	25	30	
8.2.4	Monitoring and measurement of product	0	5	15	15	20	
8.2.5	Evidence of conformance – Certificate of conformity	0	5	N/A	N/A	100	
8.3	Control of nonconforming product	0	5	20	25	30	
8.4	Analysis of Data	0	5	10	15	20	
8.5	Improvement	0	5	10	15	20	
						Total Points Possible	
						Total Points Achieved	
						Score (pt achieved/pts × 100)	
The assessed Organization agrees on the Quality System scoring and Corrective Action requests							
Organization Representative:			Signature:		Date:		

CORRECTIVE ACTION REQUEST (C.A.R.)		<i>Assessing company logo</i>	
Organization:		Identification C.A.R. No.:	
Site:		Date issued:	
Reference Standard:		Referenced Standard Element concerned:	
Criticality Ma / mi	Non-Conformance Description		
Assessor Name:		Assessor Signature:	
Assessed Organization to complete the Corrective Action Request with root cause analysis, corrective action and planned completion date of corrective action, and return to the assessing Company by due date.			Due date:
Action No.:	Root Cause: <p style="text-align: center; color: red;"> ITIH STANDARD PREVIEW (standards.itech.ai) SIST EN 9121:2008 https://standards.itech.ai/catalog/standards/sist/7198dabd-10b8-43f0-9ca9-6b869963e499/sist-en-9121-2008 </p>		
Action No.:	Corrective Action:	Planned completion date of Corrective Action:	
Organization Representative Name:		Signature:	Current date:
Verification of the implementation of the completed Corrective Action by the Assessed Organization			
Organization Representative Name:		Signature:	Current date:
Verification of the implementation of the completed Corrective Action to be filled out by the Assessing Company			
Verification date:	Accepted: Yes <input type="checkbox"/> No <input type="checkbox"/>	Assessor Name:	Assessor Signature:

List of Recommendations/Observations/Comments	<i>Assessing company logo</i>
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Organization: Site:	Audit report number: Issued date:
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Item Number	Section	Description
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Lead Assessor Name:	Signature:
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