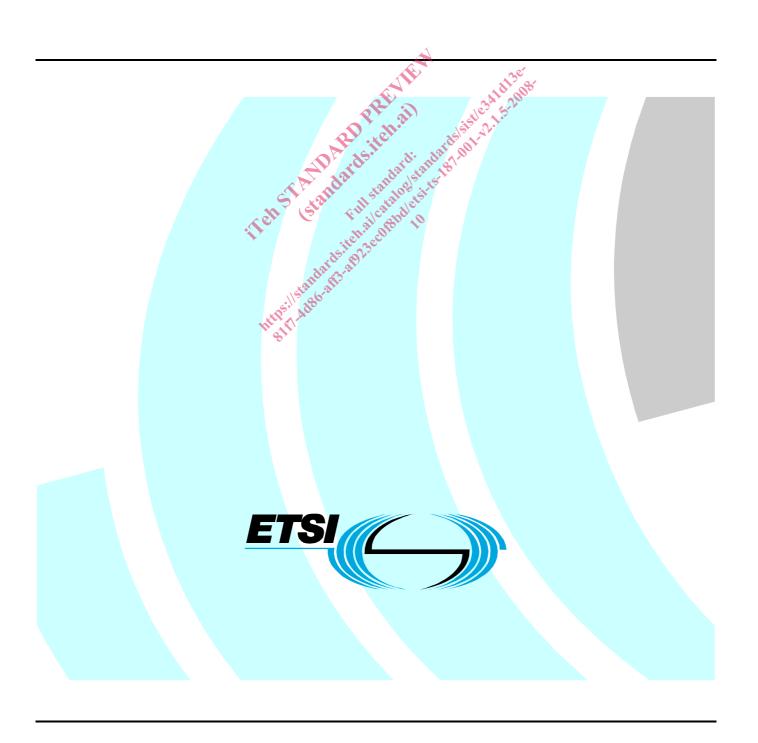
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Foreword

This Technical Specification (TS) has been produced by ETSI Technical Committee Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN).

Introduction

The TISPAN NGN R1 security is defined by the security requirements in the present document, while the architectural aspects and stage 2 implementations outline are covered in the Security Architecture for R1 (TS 187 003 [1]).

1 Scope

The present document defines the security requirements pertaining to TISPAN NGN Release 2. The present document holds requirements for the various NGN subsystems defined at a stage 1 level. The present document covers security requirements for both the NGN core network, and the NGN access network(s).

The main scope of the security requirements for the different subsystems are to identify requirement in the following main areas:

- Security Policies.
- Authentication, Authorization, Access Control and Accountability.
- Identity and Secure Registration.
- Communications and Data Security Requirements (including confidentiality, integrity aspects).
- Privacy.
- Key Management.
- NAT/Firewall Interworking.
- Availability and DoS protection.
- Assurance.
- Strength of Security Mechanisms.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific.

- For a specific reference, subsequent revisions do not apply.
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2.1 Normative references

The following referenced documents are indispensable for the application of the present document. For dated references, only the edition cited applies. For non-specific references, the latest edition of the referenced document (including any amendments) applies.

- [1] ETSI TS 187 003: "Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); NGN Security; Security Architecture".
- [2] ETSI TS 133 203: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); 3G security; Access security for IP-based services (3GPP TS 33.203)".
- [3] ETSI TS 133 210: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); 3G security; Network Domain Security (NDS); IP network layer security (3GPP TS 33.210)".
- [4] ETSI EG 202 238: "Telecommunications and Internet Protocol Harmonization Over Networks (TIPHON); Evaluation criteria for cryptographic algorithms".

2.2 Informative references

The following referenced documents are not essential to the use of the present document but they assist the user with regard to a particular subject area. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- [i.1] ISO 15408-1: "Information technology Security techniques Evaluation criteria for IT security Part 1: Introduction and general model".
- [i.2] IEEE 802.1X: "Port Based Network Access Control".
- [i.3] ISO 15408-2: "Information technology Security techniques Evaluation criteria for IT security Part 2: Security functional components".
- [i.4] IETF RFC 3324: "Short Term Requirements for Network Asserted Identity".
- [i.5] IETF RFC 3325: "Private Extensions to the Session Initiation Protocol (SIP) for Asserted Identity within Trusted Networks"
- [i.6] ETSI ES 283 002. "Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); H.248 Profile for controlling Access and Residential Gateways".
- [i.7] ETSI TS 187 005: "Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); NGN Lawful Interception; Lawful interception functional entities, information flow and reference points".
- [i.8] ETSI TS 133 310: "Universal Mobile Telecommunications System (UMTS); Network domain security; Authentication framework (NDS/AF) (3GPP TS 33.310)".
- [i.9] ETSI TS 133 234: "Universal Mobile Telecommunications System (UMTS); 3G security; Wireless Local Area Network (WLAN) interworking security (3GPP TS 33.234)".
- [i.10] ISO 27000: "Information technology Security techniques Information security management systems Overview and vocabulary".
- [i.11] ETSI TR 187 011: "Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); NGN Security; Application of ISO-15408-2 requirements to ETSI standards guide, method and application with examples".
- [i.12] ETSI TR 187 010: "Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); NGN Security; Report on issues related to security in identity imanagement and their resolution in the NGN".

[i.13] ETSI TS 124 229: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); Internet Protocol (IP) multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); Stage 3 (3GPP TS 24.229)".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

anonymous communication: anonymous communication session is given when a user receiving a communication session cannot identify the originating user

trusted channel: means by which an NGN and a remote NGN/NGCN can communicate with necessary confidence to support the security policies of the NGN (from ISO 15408-1 [i.1])

trusted path: means by which a user and a NGN/NGCN can communicate with necessary confidence to support the security policies of the NGN/NGCN (from ISO 15408-1 [i.1])

trusted domain: in the context of one or more NGNs interconnected by the NNI as defined in TS 124 229 [i.13] clause 4.4 then trust is achieved by implementing one or more of the security mechanisms defined in TS 187 003 [1]

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3G 3rd Generation
3GPP 3rd Generation Partnership Project
AA Authentication & Authorization
ACR Anonymous Communications Rejection
AF Application Function
AGW Access Gateway

ALG Application Layer Gateway
AP Authentication Proxy
AS Application Server
CNG Customer Network Gateway
CPE Customer Premises Equipme

CPE Customer Premises Equipment
CPN Customer Premises Network
CSCF Call Session Control Function

DoS Denial-of-Service

EAP-AKA Extensible Authentication Protocol Method for UMTS Authentication and Key Agreement

HSS Home Subscriber Server

ID IDentity

IKEInternet Key ExchangeIMPUIMS PUblic user IDIMSIP Multimedia Subsystem

IP Internet Protocol

ISIM IMS Subscriber Identity Module

IT nformation Technology
MAC Message Authentication Code

MD Message Digest

NAF operator controlled Network Application Function

NASS Network Access SubSystem
NAT Network Address Translation
NDS Network Domain Security

NGCN Next Generation Corporate Network

NGN Next Generation Network

NICC Network Interoperability Consultative Committee

PAI Public Administration International
P-CSCF Proxy - Call Session Control Function
PES PSTN/ISDN Emulation Subsystem
RACS Resource Admission Control Subsystem

RGW Residential Gateway

S-CSCF Serving - Call Session Control Function

SEGF SEcurity Gateway Functions SIP Session Initiation Protocol

TISPAN Telecommunication and Internet converged Services and Protocols for Advanced Networking

TOE Security Functions
TS Technical Specification
TSF Target of Evaluation
UAS User Agent Server
UE User Equipment

UICC Universal Integrated Circuit Card

UMTS Universal Mobile Telecommunication System

4 Security Requirements

Security requirements described in clause 4 are identified by a symbolic security requirement identifier (e.g. R-SP-n) for quick reference and along with some textual description. The security requirements are listed without any implied preference or priority. It is pointed out that not all security requirements are mutually exclusive, but there is indeed some unavoidable overlap among them.

High level Objectives

The NGN shall support a secure and trustworthy environment for customers, network operators and service providers to meet a set of comprehensive and fundamental security requirements.

Given the service requirements, the security objectives are to prevent masquerade, DoS, manipulation of data, fraud and misuse of the network, abuse of one type of network through interconnection from a less secure environment.

ISIM shall be hosted on a UICC. Use of the ISIM on UICC is the preferred solution for achieving the security requirements to access the NGN IMS features. This does not preclude existing solutions such as e.g. Digest Authentication to allow early legacy implementations. The ISIM may reside within the device itself, or be accessed remotely, via a local interface to the "device holding the UICC".

Security requirements for users, service providers (access, application) may vary. The NGN security architecture shall not be limited to a single security policy. Each of the security services (authentication, data integrity, replay detection, confidentiality, etc.) must have the capability to be used independently of the others, as far as possible. The selection of services should be based on policy.

Security mechanisms needs to provide capabilities to allow for extensibility for new security mechanism and protocols.

Security mechanisms should not introduce new DoS attacks. Some security mechanisms and algorithms require substantial processing or storage, in which case the security protocols should protect themselves as much as possible against flooding attacks that overwhelm an endpoint with such processing or storage. Satisfying the requirement for high availability implies being able to mitigate denial-of-service attacks.

4.1 Security Policy Requirements

A security policy defines the legitimate users of a system and what they are allowed to do. It states what information must be protected from which threats. In environments with heterogeneous user communities, multiple vendors' equipment, differing threat models, and uneven deployment of security functionality, assurance that security is functioning correctly is extremely difficult without enforceable policies.

(R-SP-1) The TISPAN NGN network shall be logically and physically divided into security domains allowing for separation of application (e.g. IMS) and transport (e.g. ADSL or UMTS). Also different operators of similar networks (e.g. IMS) shall be able to operate their own security policies.

- (R-SP- 2) Security mechanisms and other parameters beyond default security mechanisms shall be configurable. This shall be static for NNI interface and may be negotiated for UNI interfaces. The security mechanism negotiation shall have a certain minimum level to be defined by the security domain; e.g. avoid bidding-down attacks. Users shall be able to reject communications that do not conform to their minimum security policy.
- (R-SP- 3) The security mechanisms shall be partitioned such that the functions of authentication, data integrity, replay detection, and confidentiality may be implemented and selected independently of each other, insofar as this makes sense.
- (R-SP- 4) The UE shall always offer encryption algorithms for P-CSCF to be used for the session and the P-CSCF policy shall define whether to use encryption or not.
- (R-SP- 5) The UE and the P CSCF shall negotiate the integrity algorithm that shall be used for the session.
- (R-SP- 6) The policy of the HN shall be used to decide if an authentication shall take place for the registration of different IMPUs e.g. belonging to same or different service profiles.
- (R-SP-7) The security gateway functions (SEGF) shall be responsible for enforcing security policies for the interworking between networks.
- NOTE: The actual inter-security domain policy is not standardized and is left to the discretion of the roaming agreements of the operators.
- (R-SP- 8) SEGFs are responsible for security sensitive operations and shall offer capabilities for secure storage of long-term keys used for IKE authentication.

4.2 Authentication, Authorization, Access Control and Accountability Requirements

General Access authentication

- (R-AA-1) Access to NGN networks, services, and applications shall be provided for authorized users only.
- (R-AA- 2) NGN R1 and R2 IMS authentication shall support early deployment scenarios (with support for legacy equipments), although it is optional for operators to deploy such scenarios.
- (R-AA- 3) In non-early deployment scenarios, IMS authentication shall be independent from access authentication.
- (R-AA- 4) An ISIM shall be used to access any IMS service, however, exceptions may be allowed for emergency calls and early deployment scenarios.
- (R-AA- 5) ISIM based Authentication between the IMS-subscriber and the network shall comply to the authentication part of Access Security for IP-based services TS 133 203 [2].
- (R-AA- 6) ISIM based Re-authentication of an IMS-subscriber shall comply to the authentication part of Access Security for IP-based services TS 133 203 [2].
- (R-AA-7) It shall be possible to prevent the use of a particular ISIM to access NGN networks and services and it should be possible to revoke a specific ISIM.
- (R-AA- 8) NGN relevant ISIM specific information shall be protected against unauthorized access or alteration.
- (R-AA-9) User authentication may either be hardware-based (for 3GPP UE: ISIM; i.e. proof by possession of a physical token) or be software-based (i.e. proof by knowledge of some secret information).

Early Deployments

(R-AA- 10) User Authentication to the NGN IMS using SIP Digest mechanisms shall be supported as an early deployment scenario.

- (R-AA- 11) Where both Digest and ISIM solutions are deployed by an NGN IMS operator, that operator shall determine the authentication mechanism (SIP Digest or ISIM-based) on a per-user basis. The authentication mechanism shall be enforced according to both the subscription information in the user's service profile and the specific policies of the NGN IMS operator. Where a terminal supports the ISIM solution and the network operator supports both ISIM and early deployment solutions, ISIM solution shall be used.
- (R-AA- 12) Transmitted passwords shall be sufficiently protected; e.g. by encryption or other techniques.
- (R-AA- 13) For the special early deployment scenarios (see note 1), where IMS authentication is linked to access authentication, it shall be possible to gain access to IMS services after an authentication procedure. This authentication provides simultaneous access to the access network and IMS services.
- NOTE 1: The two special early deployment scenarios are (also referred to as NASS Bundled authentication):
 - (A). IMS authentication is linked to access line authentication (no nomadicity).
 - (B). IMS authentication is linked to access authentication for IP Connectivity (limited nomadicity can be provided).
- NOTE 2: Access authentication may result in IMS services being tied to the access point (line) or to the current IP Connectivity (device). In the latter case limited nomadicity may be available. No IMS specific authentication is therefore required from the CPE/Terminal to gain access to IMS services.
- (R-AA- 14) The NGN subsystems shall be able to be able to define and enforce policy with respect to validity of user authorization.

Ut Interface

- (R-AA- 15) Mutual authentication shall be supported between the UE and the AS before providing authorization.
- (R-AA- 16) It should also be possible to support an Authentication Proxy based architecture.
- NOTE 1: The purpose of the AP is to separate the authentication procedure and the AS specific application logic to different logical entities.
- (R-AA- 17) Mutual authentication shall be supported between the UE and the AP.
- (R-AA- 18) The AP shall decide whether a particular subscriber (i.e. the UE), is authorized to access a particular AS.
- (R-AA- 19) If an AP is used, the AS shall only authorize the access request to the requested resource.
- NOTE 2: The AS does not need to explicitly authenticate the user.

NASS

- (R-AA- 20) Mutual authentication should be supported between the CPE and the NASS during access network level registration.
- (R-AA- 21) The access network shall be able to authenticate and authorize the access subscriber.
- (R-AA- 22) Authentication and authorization to the Access Network is controlled by the operator of the Access Network.
- (R-AA- 23) The attributes required for authentication of a user by the access network maybe provided by the network operator to whom the user has a NGN IMS subscription.
- (R-AA- 24) NASS shall support both the use explicit (e.g. PPP or IEEE 802.1x [i.2]) and/or implicit line authentication (e.g. MAC address authentication or line authentication) of the users/subscribers. In the case of the implicit access authentication, it shall rely only on an implicit authentication through physical or logic identity on the layer 2 (L2) transport layer.
- (R-AA- 25) In case the CNG is a routing modem and the Customer Premises Network (CPN) is a private IP realm, authentication shall be initiated from the CNG.