
**Information technology — Open Systems
Interconnection — The Directory: Protocol
Implementation Conformance Statement
(PICS) proforma for the Directory
Operational Binding Management Protocol**

iTeh STANDARD PREVIEW
*Technologies de l'information — Interconnexion de systèmes ouverts
(OSI) — L'annuaire: Proformes de déclaration de conformité de mise en
œuvre du protocole (PICS) pour le protocole de gestion de liaison
opérationnelle de l'annuaire*

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

International Standard ISO/IEC 13248-3 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*

Introduction

This Recommendation | International Standard has been produced to facilitate the interconnection of information processing systems to provide directory services. The set of all such systems, together with the directory information which they hold, can be viewed as an integrated whole, called the **Directory**. The information held by the Directory, collectively known as the Directory Information Base (DIB), is typically used to facilitate communication between, with or about objects such as application entities, people, terminals and distribution lists.

The Directory plays a significant role in Open Systems Interconnection, whose aim is to allow, with a minimum of technical agreement outside of the interconnection standards themselves, the interconnection of information processing systems:

- from different manufacturers;
- under different managements;
- of different levels of complexity; and
- of different ages.

To evaluate conformance of a particular implementation, it is necessary to have a statement of which capabilities and options have been implemented for a given OSI protocol. Such statement is called a Protocol Implementation Conformance Statement (PICS).

This Recommendation | International Standard provides the Protocol Implementation Conformance Statement (PICS) proforma for the Directory Operational Binding Protocol (DOP) specified in ITU-T Rec. X.500-Series (1993) | ISO/IEC 9594:1995. All references to the Directory Specifications, made throughout this Recommendation | International Standard, are to the second edition of those specifications (ITU-T Rec. X.500-Series (1993) | ISO/IEC 9495:1995).

Annex A specifies the PICS proforma for the Directory Operational Binding Management Protocol as defined in ITU-T Rec. X.500-Series | ISO/IEC 9594.

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INTERNATIONAL STANDARD

ITU-T RECOMMENDATION

**INFORMATION TECHNOLOGY – OPEN SYSTEMS INTERCONNECTION – THE
 DIRECTORY: PROTOCOL IMPLEMENTATION CONFORMANCE STATEMENT
 (PICS) PROFORMA FOR THE DIRECTORY OPERATIONAL BINDING
 MANAGEMENT PROTOCOL**

1 Scope

This Recommendation | International Standard provides the PICS proforma for the Directory Operational Binding Management Protocol (DOP) specified in ITU-T Rec. X.500-Series (1993) | ISO/IEC 9594:1995. This PICS proforma is in compliance with the relevant requirements, and in accordance with the relevant guidance for PICS proforma, given in ITU-T Rec. X.296 | ISO/IEC 9646-7.

The supplier of a DOP implementation that is claimed to conform to ITU-T Rec. X.500-Series | ISO/IEC 9594 is required to complete a copy of the PICS proforma provided in Annex A and is required to provide the information necessary to identify both the supplier and the implementation.

The scope of this Recommendation | International Standard is the specification of the conformance statements for a Directory System Agent (DSA).

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2 Normative references

The following Recommendations and International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. Members of IEC and ISO maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of currently valid ITU-T Recommendations.

2.1 Identical Recommendations | International Standards

- ITU-T Recommendation X.500 (1993) | ISO/IEC 9594-1:1995, *Information technology – Open Systems Interconnection – The Directory: Overview of concepts, models and services.*
- ITU-T Recommendation X.501 (1993) | ISO/IEC 9594-2:1995, *Information technology – Open Systems Interconnection – The Directory: Models.*
- ITU-T Recommendation X.509 (1993) | ISO/IEC 9594-8:1995, *Information technology – Open Systems Interconnection – The Directory: Authentication framework.*
- ITU-T Recommendation X.511 (1993) | ISO/IEC 9594-3:1995, *Information technology – Open Systems Interconnection – The Directory: Abstract service definition.*
- ITU-T Recommendation X.518 (1993) | ISO/IEC 9594-4:1995, *Information technology – Open Systems Interconnection – The Directory: Procedures for distributed operation.*
- ITU-T Recommendation X.519 (1993) | ISO/IEC 9594-5:1995, *Information technology – Open Systems Interconnection – The Directory: Protocol specifications.*
- ITU-T Recommendation X.520 (1993) | ISO/IEC 9594-6:1995, *Information technology – Open Systems Interconnection – The Directory: Selected attribute types.*
- ITU-T Recommendation X.521 (1993) | ISO/IEC 9594-7:1995, *Information technology – Open Systems Interconnection – The Directory: Selected object classes.*
- ITU-T Recommendation X.525 (1993) | ISO/IEC 9594-9:1995, *Information technology – Open Systems Interconnection – The Directory: Replication.*

2.2 Paired Recommendations | International Standards equivalent in technical content

- ITU-T Recommendation X.290 (1995), *OSI conformance testing methodology and framework for protocol Recommendations for ITU-T applications – General concepts*.
ISO/IEC 9646-1:1994, *Information technology – Open Systems Interconnection – Conformance testing methodology and framework – Part 1: General concepts*.
- ITU-T Recommendation X.296 (1995), *OSI conformance testing methodology and framework for protocol Recommendations for ITU-T applications – Implementation conformance statements*.
ISO/IEC 9646-7:1995, *Information technology – Open Systems Interconnection – Conformance testing methodology and framework – Part 7: Implementation Conformance Statements*.

3 Definitions

For the purposes of this Recommendation | International Standard, the following definitions apply.

3.1 Directory definitions

This Recommendation | International Standard uses terms defined in ITU-T Rec. X.500-Series | ISO/IEC 9594, specifically ITU-T X.525 | ISO/IEC 9594-9.

3.2 Conformance definitions

The following terms are defined in ITU-T Rec. X.290 | ISO/IEC 9646-1:

- a) Protocol Implementation Conformance Statement (PICS);
- b) PICS proforma;
- c) conformance; <https://standards.iteh.ai/catalog/standards/sist/e9751b78-88a3-4d67-bf69-d66973fa82e8/iso-icc-13248-3-1998>
- d) mandatory requirement;
- e) optional requirement;
- f) conditional requirement.

3.3 Basic Directory Conformance definitions

The following terms are defined in this Recommendation | International Standard:

3.3.1 centralized DSA: A DSA that is not capable of holding knowledge information about other DSAs. Such a DSA is not capable of returning referrals.

3.3.2 cooperating DSA: A DSA that is capable of holding knowledge references. Such a DSA is capable of referrals, and may also be a chaining DSA.

3.3.3 chaining DSA: A cooperating DSA that is capable of invoking chained operations, functioning as a DSP invoker. A chaining DSA is also a cooperating DSA.

3.3.4 security level: Security levels shall be declared for peer entity authentication, originator authentication and results authentication, respectively.

- a) For originator authentication, there are five security levels which are "none", "simple without password", "simple with unprotected password", "simple with protected password" and "strong".
- b) For peer entity authentication, there are three security levels which are "none", "simple with distinguished name" and "strong".
- c) For results authentication, there are two security levels which are "none" and "strong".

4 Abbreviations

For the purposes of this Protocol Implementation Conformance Statement, the following abbreviations apply:

ACI	Access Control Information
CCITT	International Telegraph & Telephone Consultative Committee
DIB	Directory Information Base
DISP	Directory Information Shadowing Protocol
DOP	Directory Operational Binding Management Protocol
DSA	Directory System Agent
DSE	DSA Specific Entry
IEC	International Electrotechnical Commission
ISO	International Organization for Standardization
ITU	International Telecommunication Union
ITU-T	International Telecommunication Union – Telecommunication Standardization Sector
IUT	Implementation Under Test
PDU	Protocol Data Unit
PICS	Protocol Implementation Conformance Statement
RDN	Relative Distinguished Name
RTSE	Reliable Transfer Service Element
SDSE	Shadowed DSA Specific Entry

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5 Conventions

This Recommendation | International Standard refers exclusively to the second edition of the Directory Specifications listed in Clause 2.

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6 Conformance

A conforming PICS proforma shall be technically equivalent to ITU-T Rec. X.500-Series | ISO/IEC 9594 and shall preserve the numbering and ordering of the items in ITU-T Rec. X.500-Series (1993) | ISO/IEC 9594:1995.

A PICS which conforms to this Recommendation | International Standard shall:

- a) describe an implementation which conforms to the ITU-T Rec. X.500-Series | ISO/IEC 9594;
- b) be a conforming PICS proforma, which has been completed in accordance with the instructions for completion given in A.2;
- c) include information necessary to uniquely identify both the supplier and the implementation.

Annex A¹⁾

**Directory Operational Binding Management Protocol –
Protocol Implementation Conformance Statement (PICS) proforma
(This annex forms an integral part of this Recommendation | International Standard)**

A.1 Identification of the ICS proforma corrigenda

The supplier of the PICS proforma shall identify any corrigenda (i.e. Technical Corrigenda or equivalent) to the published proforma that have been applied. Suppliers of the proforma should modify the proforma, or attach relevant additional pages in order to apply the corrigenda, and then record the application of the corrigenda in the table below.

Identification of corrigenda applied to this PICS proforma	ITU-T X.585 (1997) ISO/IEC 13248-3:1998 Corr: Corr: Corr: Corr:
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A.2 Instructions

A.2.1 Purpose and structure of the proforma

The purpose of this PICS proforma is to provide suppliers of implementations of ITU-T Rec. X.500-Series (1993) | ISO/IEC 9594:1995 with consistent means of stating which capabilities have been implemented.

The proforma is in the form of a questionnaire and consists of a set of items. An item is provided for each capability for which an implementation choice is allowed. Items are also provided for mandatory capabilities for which no implementation choice is allowed. Each item includes an item number, item description, a status value specifying the support requirement, and room for a support answer to be provided by the supplier.

This subclause provides general information and instructions for completion of the proforma.

Subclause A.3 is for the identification of the implementation.

Subclause A.4 is for identifying the protocol within ITU-T Rec. X.500-Series | ISO/IEC 9594.

Subclause A.5 is for the identification of the Technical Corrigenda to the protocol.

Subclause A.6 contains tables in which the supplier specifies details of the implementation options chosen.

A.2.2 Symbols, terms, and abbreviations

A.2.2.1 Introduction

Notations have been introduced in order to reduce the size of the tables in the PICS proforma. These have allowed the use of multi-column layout where the columns are headed 'Status' and 'Support'. Definitions of each are given below. Additionally, the following definitions apply:

A.2.2.1.1 (PICS) item: A row in the PICS proforma table.

¹⁾ Copyright release for ICS proforma

Users of this Recommendation | International Standard may freely reproduce this ICS proforma in this annex so that it can be used for its intended purpose and may further publish the completed ICS.

A.2.2.1.2 (PICS) question: The question to be answered in the intersection of a PICS item and either a support column (i.e. "Is this item supported in the context applying to this table and column?") or supported value column (i.e. "What values are supported for this item in the context applying to this table and column?") in a PICS proforma table.

A.2.2.1.3 status (value): An allowed entry in the status column for an item in a PICS proforma table.

A.2.2.1.4 (support) answer: An allowed entry in the support or supported values columns for an item in a PICS, in answer to a PICS question.

A.2.2.2 Prerequisite notation

If a predicate applies to a whole table, a prerequisite line may be specified in front of the table to which it applies. A prerequisite line takes the form:

Prerequisite: <Predicate>

The meaning of such a line is that if <predicate> is True, then the table applies, else it is not applicable.

A.2.2.3 Item reference numbers

Each line within the PICS proforma is numbered at the left-hand edge of the line. This numbering is included as a means of uniquely identifying all possible implementation details within the PICS proforma. This referencing is used both inside the PICS proforma, and for references from other test specification documents.

The means of referencing individual responses is done by the following sequence:

- a reference to the smallest enclosing the relevant item;
- a solidus character, '/';
- the reference number of the row in which the response appears;
- if, and only if, more than one response occurs in the row identified by the reference number, then each possible entry is implicitly labeled a, b, c, etc., from left to right, and this letter is appended to the sequence.

An example of the use of this notation would be A.6.2.3.1.1/1, which refers to the support for credentials in a DirectoryBind protocol data unit.

A.2.2.4 Status column

This column indicates the level of support required for conformance to this Recommendation | International Standard.

The values are as follows:

- m The capability is required to be implemented in conformance with the related specification
- o The capability may be implemented and if it is implemented it is required to conform to the related specification
- c The requirement on the capability depends on the selection of other optional or conditional items
- i The capability is outside the scope of this PICS and hence irrelevant and not subject to conformance testing
- In the given context it is impossible to use this capability

Nested conditionals are denoted by nested numbering (e.g. 1, 1.1, 1.1.1, etc.) of the item descriptions in the tables. A table may have zero, one or more levels of nesting. The status of a leading item is specified by its status entry, as defined above. The status of a subordinate (that is nested) item is specified as follows: if the superior item is supported, the status of the subordinate item is determined by its status column entry and applicable predicate, if any. If the superior item is not supported, the subordinate item is not applicable, independent of its status column entry.

A.2.2.5 Support column

This column shall be completed by the supplier or implementor, to indicate the level of implementation of each item. An item is not considered implemented simply because a default value has been defined by the standard. In order for an Implementation Under Test (IUT) to claim a protocol element is implemented, it must have the ability, where appropriate, to generate, receive, and perform the appropriate action.