

Lawful Interception (LI); Handover interface for the lawful interception of telecommunications traffic

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Contents

Intellectual Property Rights	8
Foreword.....	8
Introduction	8
1 Scope	9
2 References	9
2.1 Normative references	10
2.2 Informative references	13
3 Definitions and abbreviations.....	14
3.1 Definitions	14
3.2 Abbreviations	17
4 General requirements	19
4.1 Basic principles for the Handover Interface	19
4.2 Legal requirements	19
4.3 Functional requirements	19
5 Overview of Handover Interface.....	20
5.1 Handover Interface port 1 (HI1).....	21
5.1.1 Manual interface	21
5.1.2 Electronic interface	21
5.2 Handover Interface port 2 (HI2).....	21
5.3 Handover Interface port 3 (HI3).....	22
6 Specific identifiers for LI	22
6.1 Lawful Interception IDentifier (LIID).....	22
6.2 Communication IDentifier (CID)	22
6.2.1 Network IDentifier (NID).....	23
6.2.2 Communication Identity Number (CIN) - optional	23
7 HI1: Interface port for administrative information.....	23
7.1 Information for the activation of lawful interception	23
7.2 LI notifications towards the LEMF	24
8 HI2: Interface port for Intercept Related Information	24
8.1 Data transmission protocols	24
8.1.1 Application for IRI (HI2 information).....	25
8.2 Types of IRI records.....	25
9 HI3: Interface port for Content of Communication.....	25
10 Performance and quality.....	26
10.1 Timing	26
10.2 Quality	26
11 Security aspects	26
11.1 Security properties.....	26
11.2 Security mechanisms	27
12 Quantitative aspects.....	27
Annex A (normative): Circuit switched network handover	28
A.1 Specific identifiers for LI	28
A.1.1 CC Link IDentifier (CCLID).....	28
A.1.2 Circuit switched LI correlation between CC and IRI	28
A.1.3 Usage of identifiers	28
A.2 HI1: interface port for administrative state	29

A.3	HI2: interface port for IRI	29
A.3.1	Definition of Intercept Related Information (IRI)	29
A.3.2	Structure of IRI records	30
A.3.2.1	Control information for HI2	30
A.3.2.2	Basic call information	30
A.3.2.3	Information on Supplementary Services, related to a call in progress	31
A.3.2.4	Information on non-call related Supplementary Services	31
A.3.3	Selection of parameters for IRI records	32
A.3.4	Coding of parameters in IRI records	35
A.3.5	Information content of the IRI record types	35
A.4	HI3: interface port for Content of Communication	35
A.4.1	Delivery of Content of Communication (CC)	35
A.4.1.1	Delivery of TETRA CC over circuit switched handover networks	36
A.4.2	Delivery of packetized Content of Communication (CC) (general)	36
A.4.2.1	Delivery of TETRA CC over packet switched handover networks	37
A.4.3	Control information for circuit switched Content of Communication (CC)	37
A.4.4	Exception handling	38
A.4.4.1	Failure of CC links	38
A.4.4.2	Fault reporting	38
A.4.5	Security requirements at the interface port HI3	38
A.4.5.1	LI access verification	39
A.4.5.2	Access protection	39
A.4.5.3	Authentication	39
A.5	LI procedures for circuit switched supplementary services	39
A.5.1	General	39
A.5.2	CC link Impact	42
A.5.3	IRI impact, general principle for sending IRI records	42
A.5.4	Multi party calls - general principles, options A, B	42
A.5.4.1	CC links for active and non-active calls (option A)	43
A.5.4.2	Reuse of CC links for active calls (option B)	43
A.5.5	Subscriber Controlled Input (SCI): Activation/Deactivation/Interrogation of services	44
A.6	Detailed procedures for circuit switched supplementary services	44
A.6.1	Advice of Charge services (AOC)	44
A.6.2	Call Waiting (CW)	44
A.6.2.1	Call Waiting (CW) at target: CC links	44
A.6.2.2	Call Waiting: IRI records	44
A.6.2.2.1	Target is served user	44
A.6.2.2.2	Other party is served user	44
A.6.3	Call Hold/Retrieve	45
A.6.3.1	CC links for active and non-active calls (option A)	45
A.6.3.2	Reuse of CC links for active calls (option B)	45
A.6.3.3	IRI records	45
A.6.3.3.1	Invocation of Call Hold or Retrieve by target	45
A.6.3.3.2	Invocation of Hold or Retrieve by other parties	45
A.6.4	Explicit Call Transfer (ECT)	45
A.6.4.1	Explicit Call Transfer (ECT), CC link	45
A.6.4.2	Explicit Call Transfer (ECT), IRI records	45
A.6.5	Calling Line Identification Presentation (CLIP) (IRI Records)	46
A.6.5.1	Call originated by target (other party is served user)	46
A.6.5.2	Call terminated at target (target is served user)	46
A.6.6	Calling Line Identification Restriction (CLIR)	46
A.6.7	Connected Line identification Presentation (COLP)	46
A.6.7.1	Call terminated at target (other party is served user)	46
A.6.7.2	Call originated by target (target is served user)	46
A.6.8	Connected Line identification Restriction (COLR)	46
A.6.9	Closed User Group (CUG)	46
A.6.10	Completion of Call to Busy Subscriber (CCBS)	46
A.6.11	CONference call, add-on (CONF)	47
A.6.11.1	CONFERENCE calls, add on: CC links	47
A.6.11.2	Conference calls: IRI records	47

A.6.12	Three party service (3PTY) (conference)	47
A.6.12.1	CC links	47
A.6.12.2	Three party service, IRI records.....	47
A.6.13	Meet-Me Conference (MMC)	47
A.6.14	Direct Dialling In (DDI)	47
A.6.15	Multiple Subscriber Number (MSN).....	47
A.6.16	DIVersion services (DIV)	48
A.6.16.1	Call Diversion by target	48
A.6.16.1.1	Call Diversion by target, CC links	48
A.6.16.1.2	Call Diversion by target, IRI records	48
A.6.16.2	Forwarded call terminated at target	48
A.6.16.3	Call from target forwarded	49
A.6.17	Variants of call diversion services.....	49
A.6.18	Void.....	49
A.6.19	Malicious Call IDentification (MCID)	49
A.6.20	SUBaddressing (SUB).....	49
A.6.21	Terminal Portability (TP)	49
A.6.21.1	CC links	49
A.6.21.2	IRI records	49
A.6.21.2.1	Invocation of Terminal Portability by target.....	49
A.6.21.2.2	Invocation of Terminal Portability by other parties.....	49
A.6.22	User-to-User Signalling (UUS)	49
A.6.23	Abbreviated Address (AA).....	49
A.6.24	Fixed Destination Call (FDC)	50
A.6.25	Alarm Call (AC)/Wake-Up Service (WUS)	50
A.6.26	Incoming Call Barring (ICB).....	50
A.6.27	Outgoing Call Barring (OCB)	50
A.6.28	Completion of Calls on No Reply (CCNR).....	50
A.6.29	Reverse charging	50
A.6.30	Line hunting	50
A.6.31	Message Wait Indication (MWI).....	50
A.6.32	Name display	50
A.6.33	Tones, announcements	51
A.7	Void.....	51
A.8	GSM circuit switched technology annex.....	51
A.8.1	Functional architecture	51
A.8.2	Correlation of CC and IRI (see clause 6)	52
A.8.3	HI3 (delivery of CC)	52
A.8.4	HI2 (delivery of IRI)	52
A.9	TETRA technology annex.....	54
A.10	NGN PSTN/ISDN emulation and simulation services technology annex	54
A.10.1	Functional architecture	54
A.10.2	Correlation.....	54
A.10.3	HI2.....	54
A.10.4	HI3.....	54
Annex B (normative):	Packet switched network handover.....	55
B.1	Specific identifiers for LI	55
B.2	HI1: interface port for administrative state	55
B.3	HI2: interface port for IRI	55
B.3.1	Definition of Interception Related Information for packet switched.....	55
B.3.2	Exception handling	55
B.3.3	Security aspects	55
B.4	HI3: interface port for Content of Communication (CC).....	56
B.5	GPRS technology annex.....	56
B.5.1	Functional architecture	56

B.5.2	Correlation.....	57
B.5.2.1	Correlation of the present document IDs to GSM IDs.....	57
B.5.2.2	GPRS LI correlation between CC and IRI.....	57
B.5.3	HI2 (delivery of IRI)	57
B.5.4	HI3 (Delivery of CC)	58
Annex C (normative): HI2 Delivery mechanisms and procedures		59
C.1	ROSE.....	59
C.1.1	Architecture	59
C.1.2	ASE_HI procedures.....	59
C.1.2.1	Sending part	59
C.1.2.2	Receiving part	61
C.1.2.3	Data link management	61
C.1.2.3.1	Data link establishment	61
C.1.2.3.2	Data link release	62
C.1.2.4	Handling of unrecognized fields and parameters.....	62
C.1.3	Void.....	62
C.2	FTP.....	62
C.2.1	Introduction	62
C.2.2	Usage of the FTP.....	63
C.2.3	Profiles	64
C.2.4	File content	66
C.2.5	Exceptional procedures	66
C.2.6	Other considerations.....	67
Annex D (normative): Structure of data at the Handover Interface		68
D.1	Syntax definitions.....	68
D.2	Object tree	69
D.3	HI management operation	70
D.4	LI management notification	71
D.5	Intercept related information (HI2 PS and CS).....	73
D.6	User data packet transfer (HI3 CS).....	87
D.7	TETRA data transfer (HI3 interface)	88
D.8	Definition of the UUS1 content associated to the CC link.....	88
D.9	Content of Communication (HI3 GPRS)	90
Annex E (informative): Use of subaddress and calling party number to carry correlation information.....		91
E.1	Introduction	91
E.2	Subaddress options	91
E.3	Subaddress coding.....	91
E.3.1	BCD values	91
E.3.2	Field order and layout.....	92
E.4	Field coding.....	97
E.4.1	Direction.....	98
E.4.2	Coding of the Calling Party Number	98
E.5	Length of fields	99
Annex F (informative): GPRS HI3 interface		100
F.1	Functional architecture	100
F.2	Correlation.....	100

F.3	HI3 Delivery Content of Communication (CC)	100
F.3.1	GPRS LI correlation header	101
F.3.1.1	Introduction.....	101
F.3.1.2	Definition of GLIC header.....	101
F.3.1.3	Exceptional procedure	102
F.3.1.4	Other considerations	103
F.3.2	FTP.....	103
F.3.2.1	Introduction.....	103
F.3.2.2	Usage of the FTP	103
F.3.2.3	Profiles.....	105
F.3.2.4	Exceptional procedures.....	107
F.3.2.5	CC contents for FTP	107
F.3.2.5.1	Fields.....	107
F.3.2.5.2	Information Element syntax	109
F.3.2.6	Other considerations	111
Annex G (informative):	LEMF requirements - handling of unrecognized fields and parameters.....	112
Annex H (informative):	IP Multimedia Subsystem (IMS) handover.....	113
H.1	Specific identifiers for LI	113
H.1.1	Lawful interception identifier.....	114
H.1.2	Network identifier	114
H.1.3	Correlation number.....	114
H.1.4	IRI for IMS.....	115
H.1.4.1	Events and information	116
H.1.5	Correlation indications of IMS IRI with GSN CC at the LEMF	117
Annex I (informative):	Latest ASN.1 module versions	119
Annex J (informative):	Bibliography.....	120
Annex K (informative):	Change Request history.....	121
History		125

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Foreword

This Technical Specification (TS) has been produced by ETSI Technical Committee Lawful Interception (LI).

The ASN.1 module is also available as an electronic attachment to the original document from the ETSI site (see for more details clause D.2).

Introduction

References within the main body of the present document are made if applicable to the 3GPP specification number with in square brackets the reference number as listed in clause 2. In clause 2 "References" the corresponding ETSI specification number is indicated with a reference to the 3GPP specification number. 3GPP specifications are available faster than the equivalent ETSI specifications.

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1 Scope

The present document is step 3 of a three-step approach to describe a generic Handover Interface (HI) for the provision of lawful interception from a Network Operator, an Access Provider or a Service Provider (NWO/AP/SvP) to the Law Enforcement Agencies (LEAs). The provision of lawful interception is a requirement of national law, which is usually mandatory for the operation of any telecommunication service.

Step 1 contains the requirements for lawful interception from a users (LEAs) point of view and is published in TS 101 331 [1].

Step 2 describes the derived network functions and the general architecture (or functional model) and is published in ES 201 158 [2].

The present document specifies:

- the **generic flow of information** as well as the procedures and information elements, which are applicable to any future telecommunication network or service;
- the network/service specific protocols relating to the provision of lawful interception at the Handover Interface (HI), for the following networks/services:
 - switched circuit; and
 - packet data.

The technologies covered in the present document are: GSM, TETRA, GPRS, ISDN, PSTN, fixed NGN (including PSTN/ISDN emulation) and fixed IMS PSTN simulation.

NOTE: As new networks and/or services are developed, the present document will be expanded as the relevant standards become available.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific.

- For a specific reference, subsequent revisions do not apply.
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NOTE 1: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

NOTE 2: The numbering of the references is kept in line with the numbering as used in version 1.1.1, version 2.1.1 and version 3.1.1 of ES 201 671, and used in previous versions of the present document.

NOTE 3: Periodically TS 101 671 is published as ES 201 671. A reference made in other ETSI deliverables to the latest version of the TS version reflects the latest stable content from ETSI/TC LI.

2.1 Normative references

The following referenced documents are indispensable for the application of the present document. For dated references, only the edition cited applies. For non-specific references, the latest edition of the referenced document (including any amendments) applies.

- [1] ETSI TS 101 331: "Lawful Interception (LI); Requirements of Law Enforcement Agencies".
- [2] ETSI ES 201 158: "Telecommunications security; Lawful Interception (LI); Requirements for network functions".
- [3] ETSI ETR 330: "Security Techniques Advisory Group (STAG); A guide to legislative and regulatory environment".
- [4] Void.
- [5] ETSI EN 300 356 (all parts): "Integrated Services Digital Network (ISDN); Signalling System No.7 (SS7); ISDN User Part (ISUP) version 4 for the international interface".
- [6] ETSI EN 300 403-1 (V1.3.2): "Integrated Services Digital Network (ISDN); Digital Subscriber Signalling System No. one (DSS1) protocol; Signalling network layer for circuit-mode basic call control; Part 1: Protocol specification [ITU-T Recommendation Q.931 (1993), modified]".

NOTE: Reference [6] is specific, because the format of the sub parameters "dSS1-Format, DSS1-parameters-codeset-0", "DSS1-SS-parameters-codeset-0" and "UUS1-Content" are defined by V1.3.2.

- [7] Void.
- [8] Void.
- [9] Void.
- [10] ETSI EN 300 061-1: "Integrated Services Digital Network (ISDN); Subaddressing (SUB) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".
- [11] Void.
- [12] Void.
- [13] Void.
- [14] ETSI EN 300 097-1 (V1.2.4): "Integrated Services Digital Network (ISDN); Connected Line Identification Presentation (COLP) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

NOTE: Reference [14] is specific, because several parameter format definitions point to V1.2.4.

- [15] Void.
- [16] ETSI EN 300 130-1: "Integrated Services Digital Network (ISDN); Malicious Call Identification (MCID) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".
- [17] Void.
- [18] Void.
- [19] ETSI EN 300 185-1: "Integrated Services Digital Network (ISDN); Conference call, add-on (CONF) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

[20] ETSI EN 300 188-1: "Integrated Services Digital Network (ISDN); Three-Party (3PTY) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

[21] ETSI EN 300 207-1 (V1.2.5): "Integrated Services Digital Network (ISDN); Diversion supplementary services; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

NOTE: Reference [21] is specific, because the format of the sub parameters for "DSS1-SS-Invoke-Components" is defined by V1.2.5. In addition several parameter format definitions point to V1.2.5.

[22] Void.

[23] ETSI EN 300 286-1: "Integrated Services Digital Network (ISDN); User-to-User Signalling (UUS) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

[24] Void.

[25] ETSI EN 300 369-1 (V1.2.4): "Integrated Services Digital Network (ISDN); Explicit Call Transfer (ECT) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

NOTE: Reference [25] is specific, because the format of the sub parameters for "DSS1-SS-Invoke-Components" is defined by V1.2.4.

[26] Void.

[27] Void.

[28] Void.

[29] ETSI EN 300 196-1 (V1.3.2): "Integrated Services Digital Network (ISDN); Generic functional protocol for the support of supplementary services; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".

NOTE: Reference [29] is specific, because several parameter format definitions point to V1.3.2.

[30] Void.

[31] ITU-T Recommendation Q.850 (1998): "Usage of cause and location in the Digital Subscriber Signalling System No. 1 and the Signalling System No. 7 ISDN User Part".

NOTE: Reference [31] is specific, because several parameter format definitions point to version 1988.

[32] ETSI TS 100 974: "Digital cellular telecommunications system (Phase 2+) (GSM); Mobile Application Part (MAP) specification (GSM 09.02)".

[33] ITU-T Recommendation X.680: "Information technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation".

[34] ITU-T Recommendation X.690: "Information technology - ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)".

[35] ITU-T Recommendation X.880: "Information technology - Remote Operations: Concepts, model and notation".

[36] Void.

[37] ITU-T Recommendation X.882: "Information technology - Remote Operations: OSI realizations - Remote Operations Service Element (ROSE) protocol specification".

[38] Void.

- [39] ETSI EN 300 122-1: "Integrated Services Digital Network (ISDN); Generic keypad protocol for the support of supplementary services; Digital Subscriber Signalling System No. one (DSS1) protocol; Part 1: Protocol specification".
- [40] ETSI EN 300 392-1: "Terrestrial Trunked Radio (TETRA); Voice plus Data (V+D); Part 1: General network design".
- [41] ETSI TS 124 008: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); Mobile radio interface Layer 3 specification; Core network protocols; Stage 3 (3GPP TS 24.008).
- [42] ETSI TS 101 509: "Digital cellular telecommunications system (Phase 2+) (GSM); Lawful Interception; Stage 2 (3GPP TS 03.33)".
- [43] ETSI TS 100 927: "Digital cellular telecommunications system (Phase 2+); Numbering, addressing and identification (3GPP TS 03.03)".
- [44] Void.
- [45] ETSI TS 101 347: "Digital cellular telecommunications system (Phase 2+); General Packet Radio Service (GPRS); GPRS Tunnelling Protocol (GTP) across the Gn and Gp Interface (3GPP TS 09.60)".
- [46] IETF RFC 959: "File Transfer Protocol".
- [47] Void.
- [48] ITU-T Recommendation Q.763: "Signalling System No.7 - ISDN User Part formats and codes".
- [49] ETSI TS 101 393: "Digital cellular telecommunications system (Phase 2+); General Packet Radio Service (GPRS); GPRS Charging (3GPP TS 12.15)".
- [50] Void.
- [51] IETF RFC 791: "Internet Protocol".
- [52] IETF RFC 793: "Transmission Control Protocol".
- [53] Void.
- [54] ETSI EN 300 089: "Integrated Services Digital Network (ISDN); Calling Line Identification Presentation (CLIP) supplementary service; Service description".
- [55] ETSI TS 100 940: "Digital cellular telecommunications system (Phase 2+); Mobile radio interface layer 3 specification (3GPP TS 04.08)".
- [56] ITU-T Recommendation Q.931: "ISDN user-network interface layer 3 specification for basic call control".
- [57] ETSI TS 101 109: "Digital cellular telecommunications system (Phase 2+); Universal Geographical Area Description (GAD) (3GPP TS 03.32)".
- [58] ITU-T Recommendation E.164: "The international public telecommunication numbering plan".
- [59] IETF RFC 3261: "SIP: Session Initiation Protocol".
- [60] ETSI TS 129 060: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); General Packet Radio Service (GPRS); GPRS Tunnelling Protocol (GTP) across the Gn and Gp interface (3GPP TS 29.060)".
- [61] ETSI TS 133 108: "Universal Mobile Telecommunications System (UMTS); 3G security; Handover interface for Lawful Interception (LI) (3GPP TS 33.108)".
- [62] ETSI TS 125 431: "Universal Mobile Telecommunications System (UMTS); UTRAN Iub interface layer 1 (3GPP TS 25.431)".

- [63] ITU-T Recommendation Q.731.3: "Stage 3 description for number identification supplementary services using Signalling System No. 7: Calling line identification presentation (CLIP)".
- [64] ITU-T Recommendation Q.951.1: "Stage 3 description for number identification supplementary services using DSS 1: Direct-dialling-in (DDI)".
- [65] ITU-T Recommendation Q.951.3: "Stage 3 description for number identification supplementary services using DSS 1: Calling line identification presentation".
- [66] ETSI EN 300 092 (all parts): "Integrated Services Digital Network (ISDN); Calling Line Identification Presentation (CLIP) supplementary service; Digital Subscriber Signalling System No. one (DSS1) protocol".
- [67] ISO 3166-1: "Codes for the representation of names of countries and their subdivisions - Part 1: Country codes".
- [68] IETF RFC 3966: "The tel URI for Telephone Numbers".
- [69] ETSI TS 101 909-20-1: "Digital Broadband Cable Access to the Public Telecommunications Network; IP Multimedia Time Critical Services; Part 20: Lawful Interception; Sub-part 1: CMS based Voice Telephony Services".
- [70] ETSI TS 123 228: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); IP Multimedia Subsystem (IMS); Stage 2 (3GPP TS 23.228)".
- [71] ETSI TS 133 107: "Universal Mobile Telecommunications System (UMTS); 3G security; Lawful Interception architecture and functions (3GPP TS 33.107)".
- [72] ETSI EN 301 040: "Terrestrial Trunked Radio (TETRA); Security; Lawful Interception (LI) interface".
- [73] ETSI TS 100 392-3-6: "Terrestrial Trunked Radio (TETRA); Voice plus Data (V+D); Part 3: Interworking at the Inter-System Interface (ISI); Sub-part 6: Speech format implementation for circuit mode transmission".
- [74] ETSI TS 100 392-3-7: "Terrestrial Trunked Radio (TETRA); Voice plus Data (V+D); Part 3: Interworking at the Inter-System Interface (ISI); Sub-part 7: Speech Format Implementation for Packet Mode Transmission".
- [75] Void.
- [76] ETSI TS 123 003: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); Numbering, addressing and identification (3GPP TS 23.003)".
- [77] ETSI TS 123 060: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); General Packet Radio Service (GPRS); Service description; Stage 2 (3GPP TS 23.060)".

2.2 Informative references

- [i.1] ISO 9798 (all parts): "Information technology - Security techniques - Entity authentication".
- [i.2] Void.
- [i.3] ETSI TS 187 005: "Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); NGN Lawful Interception; Lawful interception functional entities, information flow and reference points".
- [i.4] ETSI TR 102 503: "Lawful Interception (LI); ASN.1 Object Identifiers in Lawful Interception Specifications".