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Standard Practice for Qualifications, Responsibilities, and Authority of Individuals and Institutions Providing Medical Direction of Emergency Medical Services[1]

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1. Scope

1.1 This practice covers the qualifications, responsibilities, and authority of individuals and institutions providing medical direction of emergency medical services.

1.2 This practice addresses the qualifications, authority, and responsibility of a Medical Director (off-line) and the relationship of the EMS (Emergency Medical Services) provider to this individual.

1.3 This practice also addresses components of on-line medical direction (direct medical control) including the qualifications and responsibilities of on-line medical physicians and the relationship of the prehospital provider to on-line medical direction.

1.4 This practice addresses the relationship of the on-line medical physician to the off-line Medical Director.

1.5 The authority for control of medical services at the scene of a medical emergency is addressed in this practice.

1.6 The requirements for a Communication Resource are also addressed within this practice.

2. Referenced Documents

2.1 *ASTM Standards:*

F 1031 Practice for Training the Emergency Medical Technician (Basic)[2]

F 1086 Guide for Structures and Responsibilities of Emergency Medical Services Systems Organizations[2]

3. Terminology

3.1 *Description of Terms Specific to This Practice*

3.2 *communication resource*—an entity responsible for implementation of direct medical control. (Also known as medical control resource.)

3.3 *delegated practice*—only physicians are licensed to practice medicine; prehospital providers must act only under the medical direction of a physician.

3.4 *direct medical control*—when a physician or authorized communication resource personnel, under the direction of a physician, provides immediate medical direction to prehospital providers in remote locations. (Also known as on-line medical direction.)

3.5 *emergency medical services system (EMSS)*—all components needed to provide comprehensive prehospital and hospital emergency care including, but not limited to; Medical Director, transport vehicles, trained personnel, access and dispatch, communications, and receiving medical facilities.

3.6 *intervener physicians*—a licensed M.D. or D.O., having not previously established a doctor/patient relationship with the emergency patient and willing to accept responsibility for a medical emergency scene, and can provide proof of a current Medical License.

3.7 *medical direction*—when a physician is identified to develop, implement, and evaluate all medical aspects of an EMS system. (*syn.* medical accountability.)

3.8 *medical director off-line*—a physician responsible for all aspects of an EMS system dealing with provision of medical care. (Also known as System Medical Director.)

3.9 *on-line medical physician*—a physician immediately available, when medically appropriate, for communication of medical direction to non-physician prehospital providers in remote locations.

3.10 *prehospital provider*—all personnel providing emergency medical care in a location remote from facilities capable of providing definitive medical care.

3.11 *protocols*—standards for EMS practice in a variety of situations within the EMS system.

3.12 *standing orders*—strictly defined written orders for actions, techniques, or drug administration when communication has not been established with an on-line physician.

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[1] This practice is under the jurisdiction of ASTM Committee F30 on Emergency Medical Services and is the direct responsibility of Subcommittee F30.03 on Organization/Management.

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[2] *Annual Book of ASTM Standards*, Vol 13.02.

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4. Significance and Use

4.1 Implementation of this practice will ensure that the EMS system has the authority, commensurate with the responsibility, to ensure adequate medical direction of all prehospital providers, as well as personnel and facilities that meet minimum criteria to implement medical direction of prehospital services.

4.1.1 The state will develop, recommend, and encourage use of a plan that would assure the standards outlined in this document can be implemented as appropriate at the local, regional, or state level (see Guide F 1086).

4.1.2 This practice is intended to describe and define responsibility for medical directions during transfers. It is not intended to determine the medical or legal, or both, appropriateness of transfers under the Consolidated Omnibus Budget Reconciliation Act and other similar federal and/or state laws.

5. Medical Director

5.1 *Position*—System Medical Director (Off-line Medical Director).

5.1.1 Each EMS system shall have an identifiable Medical Director who, after consultation with others involved and interested in the system, is responsible for the development, implementation, and evaluation of standards for provision of medical care within the system.

5.1.1.1 All prehospital providers (including EMT (Emergency Medical Technician) basics) shall be medically accountable for their actions and are responsible to the Medical Director of the EMS agency (local, regional, or state) that approves their continued participation.

5.1.1.2 All prehospital providers, with levels of certification above EMT basic, shall be responsible to an identifiable physician who directs their medical care activity.

5.1.2 The Medical Director shall be appointed by, and accountable to, the appropriate EMS agency in accordance with Guide F 1086.

5.2 *Requirements of a Medical Director*:

5.2.1 The medical aspects (see 5.3) of an emergency medical service system shall be managed by physicians who meet the following requirements:

5.2.1.1 Licensed physician, M.D. or D.O.

5.2.1.2 Experience in, and current knowledge of, emergency care of patients who are acutely ill or traumatized.

5.2.1.3 Knowledge of, and access to, local mass casualty plans.

5.2.1.4 Familiarity with Communication Resource operations where applicable, including communication with, and direction of, prehospital emergency units.

5.2.1.5 Active involvement in the training of prehospital personnel.

5.2.1.6 Active involvement in the medical audit, review, and critique of medical care provided by prehospital personnel.

5.2.1.7 Knowledge of the administrative and legislative process affecting the local, regional, and/or state prehospital EMS system.

5.2.1.8 Knowledge of laws and regulations affecting local, regional, and state EMS.

5.3 *Authority of a Medical Director Includes but is not Limited to*:

5.3.1 Establishing system-wide medical protocols (including standing orders) in consultation with appropriate specialists.

5.3.2 Recommending certification or decertification of nonphysician prehospital personnel to the appropriate certifying agencies.

5.3.2.1 Every system shall have an appropriate review and appeals mechanism, when decertification is recommended, to assure due process in accordance with law and established local policies. The Director shall promptly refer the case to the appeals mechanism for review, if requested.

5.3.3 Requiring education to the level of approved proficiency for personnel within the EMS system. This includes all prehospital personnel, EMTs at all levels, prehospital emergency care nurses, dispatchers, educational coordinators, and physician providers of on-line direction (see Practice F 1031).

5.3.4 Suspending a provider from medical care duties for due cause pending review and evaluation.

5.3.4.1 Because the prehospital provider operates under the license (delegated practice) or direction of the Medical Director, the director shall have ultimate authority to allow the prehospital provider to provide medical care within the prehospital phase of the EMS system.

5.3.4.2 Whenever a Medical Director makes a decision to suspend a provider from medical care duties, the process shall be prescribed by previously established criteria.

5.3.5 Establishing medical standards for dispatch procedures to assure that the appropriate EMS response unit(s) are dispatched to the medical emergency scene when requested, and the duty to evaluate the patient is fulfilled.

5.3.6 Establishing under what circumstances non-transport might occur.

5.3.6.1 All decisions by prehospital providers regarding non-transport shall be based on defined protocol or on-line communications.

5.3.6.2 Develop a procedure for record keeping when the reason for non-transport was the result of a patient's refusal, including the appropriate forms and review process.

5.3.7 Establishing under which circumstances a patient may be transported against his or her will; in accordance with state law including, procedure, appropriate forms, and review process.

5.3.8 Establishing criteria for level of care and type of transportation to be used in prehospital emergency care (that is, advanced life support versus basic life support, ground, air, or specialty unit transportation).

5.3.9 Establishing criteria for selection of patient destination.

5.3.10 Establishing educational and performance standards for Communication Resource personnel.

5.3.11 Establishing operational standards for Communication Resource.

5.3.12 Conducting effective system audit and quality assurance.

5.3.12.1 The Medical Director shall have access to all relevant EMS records needed to accomplish this task. These documents shall be considered quality assurance documents and shall be privileged and confidential information.

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