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Electromagnetic compatibility and Radio spectrum Matters (ERM) - Short Range Devices (SRD) using Ultra Wide Band technology (UWB) for communications purposes - Harmonized EN covering essential requirements of article 3.2 of the R&TTE Directive

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Harmonized European Standard (Telecommunications series)

**Electromagnetic compatibility and
Radio spectrum Matters (ERM);
Short Range Devices (SRD) using
Ultra Wide Band technology (UWB)
for communications purposes;
Harmonized EN covering essential requirements
of article 3.2 of the R&TTE Directive**

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Contents

Intellectual Property Rights	6
Foreword.....	6
Introduction	7
1 Scope	9
2 References	9
2.1 Normative references	10
2.2 Informative references.....	10
3 Definitions, symbols and abbreviations	11
3.1 Definitions	11
3.2 Symbols.....	12
3.3 Abbreviations	12
4 Technical requirements specification.....	13
4.1 Technical requirements	13
4.1.1 Operating bandwidth.....	13
4.1.1.1 Definition	13
4.1.1.2 Test procedure.....	13
4.1.1.3 Limit.....	13
4.1.1.4 Measurement uncertainty	14
4.1.2 Maximum value of mean power spectral density	14
4.1.2.1 Definition	14
4.1.2.2 Test procedure.....	14
4.1.2.3 Limit.....	14
4.1.2.4 Maximum allowable measurement uncertainty.....	15
4.1.3 Maximum value of peak power	15
4.1.3.1 Definition	15
4.1.3.2 Test procedure.....	15
4.1.3.3 Limit.....	15
4.1.3.4 Maximum allowable measurement uncertainty.....	16
4.1.4 Transmit Power Control.....	16
4.1.4.1 Definition	16
4.1.4.2 Test procedure.....	16
4.1.4.3 Limit.....	17
4.1.4.4 Maximum allowable measurement uncertainty.....	17
4.1.5 Receiver spurious emissions	17
4.1.5.1 Definition	17
4.1.5.2 Test procedure.....	17
4.1.5.3 Limit.....	17
4.1.5.4 Maximum allowable measurement uncertainty.....	18
4.1.6 Detect-And-Avoid (DAA)	18
4.1.6.1 Introduction	18
4.1.6.1.1 Applicable frequency ranges	18
4.1.6.1.2 DAA operational modes	18
4.1.6.2 Test procedure.....	19
4.1.6.3 Limit.....	19
4.1.6.4 Maximum allowable measurement uncertainty.....	19
4.1.7 Low Duty Cycle (LDC)	19
4.1.7.1 Definitions.....	19
4.1.7.2 Test procedure.....	19
4.1.7.3 Limits	19
4.1.8 Equivalent mitigation techniques.....	20
5 Essential radio test suites.....	20
5.1 Product information.....	20
5.2 Requirements for the test modulation.....	21

5.3	Test conditions, power supply and ambient temperatures	21
5.3.1	Test conditions	21
5.3.2	Power sources	21
5.3.2.1	Power sources for stand-alone equipment	21
5.3.2.2	Power sources for plug-in radio devices	21
5.3.3	Normal test conditions	22
5.3.3.1	Normal temperature and humidity	22
5.3.3.2	Normal power source	22
5.3.3.2.1	Mains voltage	22
5.3.3.2.2	Lead-acid battery power sources used on vehicles	22
5.3.3.2.3	Other power sources	22
5.4	Choice of equipment for test suites	22
5.4.1	Choice of model	22
5.4.2	Presentation	22
5.4.3	Operating bandwidth	22
5.4.4	Test sites and general arrangements for radiated measurements	23
5.5	Testing of host connected equipment and plug-in radio devices	23
5.5.1	The use of a host or test fixture for testing plug-In radio devices	23
5.5.2	Testing of combinations	23
5.5.2.1	Alternative A: General approach for combinations	23
5.5.2.2	Alternative B: For host equipment with a plug-in radio device	23
5.5.2.3	Alternative C: For combined equipment with a plug-in radio device	24
5.6	Interpretation of the measurement results	24
5.6.1	Measurement uncertainty is equal to or less than maximum acceptable uncertainty	24
5.6.2	Measurement uncertainty is greater than maximum acceptable uncertainty	25
5.7	Other emissions	25
5.8	Test procedures for essential radio test suites	25
5.8.1	General	25
5.8.2	Maximum mean power spectral density	25
5.8.3	Maximum peak power	27
5.8.4	Operating bandwidth	27
5.8.5	Receiver spurious emissions	28
5.8.6	Low Duty Cycle	28
5.8.7	Test Procedure for the radiolocation systems DAA test	28
5.8.7.1	Introduction	28
5.8.7.2	Start-up test	30
5.8.7.2.1	Test without a radiolocation test signal during the <i>Minimum Initial Channel Availability</i> <i>Check Time, $T_{\text{avail_time_min}}$</i>	30
5.8.7.2.2	Tests with a radiolocation test signal at the beginning of the <i>Minimum Initial Channel</i> <i>Availability Check Time, $T_{\text{avail_time_min}}$</i>	31
5.8.7.2.3	Tests with radiolocation test signal at the end of the <i>Minimum Initial Channel Availability</i> <i>Check Time, $T_{\text{avail_time_min}}$</i>	33
5.8.7.3	In-operation test	35
5.8.7.3.1	In-operation test procedure	35
5.8.7.4	Test patterns for the radiolocation DAA test	36
5.8.8	Test Procedure for BWA systems in the band 3,4 GHz to 3,8 GHz	37
5.8.8.1	Introduction	37
5.8.8.1.1	UWB radio devices with and without victim service identification	37
5.8.8.2	Initial start-up test	37
5.8.8.2.1	Test without a BWA test signal during the <i>Minimum Initial Channel Availability Check</i> <i>Time, $T_{\text{avail_time_min}}$</i>	38
5.8.8.2.2	Tests with a BWA test signal at the beginning of the <i>Minimum Initial Channel Availability</i> <i>Check Time, $T_{\text{avail_time}}$</i>	38
5.8.8.2.3	Tests with a BWA test signal at the end of the <i>Minimum Initial Channel Availability Check</i> <i>Time, $T_{\text{avail_time}}$</i>	40
5.8.8.3	In-operation test	41
5.8.8.4	Test Patterns for BWA Testing	42
Annex A (normative):	HS Requirements and conformance Test specifications Table (HS-RTT)	44
Annex B (normative):	Radiated measurements	47

B.1	Test sites and general arrangements for measurements involving the use of radiated fields	47
B.1.1	Anechoic chamber	47
B.1.2	Anechoic chamber with a conductive ground plane	48
B.1.3	Test antenna	50
B.1.4	Substitution antenna	50
B.1.5	Measuring antenna	50
B.2	Guidance on the use of a radiation test site	50
B.2.1	Verification of the test site	50
B.2.2	Preparation of the EUT	51
B.2.3	Power supplies to the EUT	51
B.2.4	Range length	51
B.2.5	Site preparation	52
B.3	Coupling of signals	52
B.3.1	General	52
B.3.2	Data Signals	52
B.4	Standard test methods	52
B.4.1	Calibrated setup	52
B.4.2	Substitution method	53
B.5	Standard calibration method	54
Annex C (normative):	Conducted measurements for DAA testing	56
Annex D (informative):	Measurement antenna and preamplifier specifications	57
Annex E (normative):	Radiolocation services in the band 3,1 GHz to 3,4 GHz	58
Annex F (normative):	Broadband wireless access services in the band 3,4 GHz to 3,8 GHz	59
Annex G (normative):	Radiolocation services in the band 8,5 GHz to 9,0 GHz	60
Annex H (informative):	Calculation of peak limit for 3 MHz measurement bandwidth	61
Annex I (normative):	ICS Proforma for DAA radio device	63
I.1	Identification of the protocol	63
I.2	ICS proforma tables	63
I.2.1	Avoidance Modes	63
Annex J (informative):	Bibliography	64
Annex K (informative):	The EN title in the official languages	65
History	66

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Foreword

This Harmonized European Standard (Telecommunications series) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM), and is now submitted for the Public Enquiry phase of the ETSI standards Two-step Approval Procedure.

The present document has been produced by ETSI in response to a mandate from the European Commission issued under Council Directive 98/34/EC [i.2] (as amended) laying down a procedure for the provision of information in the field of technical standards and regulations.

The present document is intended to become a Harmonized Standard, the reference of which will be published in the Official Journal of the European Communities referencing the Directive 1999/5/EC [i.3] of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity ("the R&TTE Directive").

The present document is intended to cover the provisions of article 3.2 of Directive 1999/5/EC (R&TTE Directive) [i.3].

The present document does not apply to radio equipment for which a specific Harmonized EN applies as such. Harmonized EN may specify additional EN requirements relevant to the presumption of conformity under article 3.2 of the R&TTE Directive.

Technical specifications relevant to Directive 1999/5/EC [i.3] are given in annex A.

Proposed national transposition dates	
Date of latest announcement of this EN (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	6 months after doa
Date of withdrawal of any conflicting National Standard (dow):	18 months after doa

Introduction

The present document is part of a set of standards developed by ETSI and is designed to fit in a modular structure to cover all radio and telecommunications terminal equipment within the scope of the R&TTE Directive. The modular structure is shown in EG 201 399 [i.1].

UWB Technologies

The present document provides a generic set of technical requirements covering many different types of UWB technologies used for short range communications. These technologies can be broken down into two groups:

- 1) Impulse based technologies; and
- 2) RF carrier based technologies.

The following clauses give a brief overview of these UWB technologies and their associated modulation techniques.

Impulse technology

Impulse derived UWB technology consists of a series of impulses created from a dc voltage step whose rise time can be modified to provide the maximum useful number of spectral emission frequencies. This derived impulse can then be suitably modified by the use of filters to locate the resulting waveform within a specific frequency spectrum range. This filter can be a stand alone filter or incorporated into an antenna design to reduce emissions outside the designated frequency spectrum.

Modulation techniques include pulse positioning in time, pulse suppression and other techniques to convey information. The transmitted energy is summed at the receiver to reproduce the transmitted pulse.

This technology is suitable for direct and non-direct line of sight communications, any reflected or time delayed emissions being suppressed by the receiver input circuits.

RF carrier based technology

RF carrier based UWB technology is based upon classical radio carrier technology suitably modulated by a baseband modulating process. The modulating process must produce a bandwidth in excess of 50 MHz to be defined as UWB.

Different modulating processes are used to transmit the data information to the receiver and can consist of a series of single hopping frequencies or multi-tone carriers.

This technology can be used for both direct and non-direct line of sight communications, any reflected or time delayed emissions being suppressed by the receiver input circuits.

Test and measurement limitations

The ERA report 2006-0713 [i.9] has shown that there are practical limitations on measurements of RF radiated emissions. The minimum radiated levels that can be practically measured in the lower GHz frequency range by using a radiated measurement setup with a horn antenna and pre-amplifier are typically in the range of about -70 dBm/MHz to -75 dBm/MHz (e.i.r.p) to have sufficient confidence in the measured result (i.e. UWB signal should be at least 6 dB above the noise floor of the spectrum analyser and the measurement is performed under far-field conditions at a one meter distance).

For equipment that have detachable antennas and provide a 50 ohm antenna port, conducted measurements can be made providing suitable antenna calibrations can be provided. In the present document, test suites for conducted measurements are only provided for DAA conformance measurements. All transmitter emissions of the radio device are expected to be measured using the radiated measurement setup.

For integrated antenna equipment, previous ETSI testing standards have allowed equipment modification to provide a 50 ohm test adaptor to be added to provide the necessary test port. However, UWB integral equipment and particularly impulse based technology does not use classical radio techniques and as such is unlikely to have matched 50 ohm antenna port impedances.

The present document therefore recognizes these difficulties and provides a series of test methods suitable for the different UWB technologies.

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1 Scope

The present document applies to transceivers, transmitters and receivers utilizing Ultra WideBand (UWB) technologies and used for short range communication purposes.

The present document applies to impulse, modified impulse and RF carrier based UWB communication technologies.

The present document applies to fixed (indoor only), mobile or portable applications, e.g.:

- stand-alone radio equipment with or without its own control provisions;
- plug-in radio devices intended for use with, or within, a variety of host systems, e.g. personal computers, hand-held terminals, etc.;
- plug-in radio devices intended for use within combined equipment, e.g. cable modems, set-top boxes, access points, etc.;
- combined equipment or a combination of a plug-in radio device and a specific type of host equipment;
- equipment for use in road and rail vehicles.

NOTE 1: As per the ECC/DEC/(06)04 [i.4] and Decision 2007/131/EC [i.5] and its amendment the UWB transmitter equipment conforming to this standard is not to be installed at a fixed outdoor location, for use in flying models, aircraft and other forms of aviation.

The present document applies to UWB equipment with an output connection used with a dedicated antenna or UWB equipment with an integral antenna.

These radio equipment types are capable of operating in all or part of the frequency bands given in Table 1.

Table 1: Radiocommunications frequency bands

Radiocommunications frequency bands	
Transmit	3,1 GHz to 4,8 GHz
Receive	3,1 GHz to 4,8 GHz
Transmit	6,0 GHz to 9 GHz
Receive	6,0 GHz to 9 GHz
NOTE: The UWB radio device can also operate outside of the radiocommunications frequency bands shown in the present table provided that the limits in clause 4.1.2.3, Table 3 are met.	

NOTE 2: A list of such ENs is included on the web site <http://www.newapproach.org>.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific.

- For a specific reference, subsequent revisions do not apply.
- Non-specific reference may be made only to a complete document or a part thereof and only in the following cases:
 - if it is accepted that it will be possible to use all future changes of the referenced document for the purposes of the referring document;
 - for informative references.

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NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are indispensable for the application of the present document. For dated references, only the edition cited applies. For non-specific references, the latest edition of the referenced document (including any amendments) applies.

- [1] ETSI TR 100 028 (V1.4.1) (all parts): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics".
- [2] ANSI C63.5 (2004): "American National Standard for Electromagnetic Compatibility-Radiated Emission Measurements in Electromagnetic Interference (EMI) Control-Calibration of Antennas (9 kHz to 40 GHz)".
- [3] ITU-R Recommendation SM 329-10 (2003): "Unwanted emissions in the spurious domain".
- [4] ETSI TS 102 321 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Normalized Site Attenuation (NSA) and validation of a fully lined anechoic chamber up to 40 GHz".
- [5] ETSI TS 102 754 (V1.2.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Short Range Devices (SRD); Technical characteristics of Detect-And-Avoid (DAA) mitigation techniques for SRD equipment using Ultra Wideband (UWB) technology".

2.2 Informative references

The following referenced documents are not essential to the use of the present document but they assist the user with regard to a particular subject area. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- [i.1] ETSI EG 201 399 (V2.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); A guide to the production of candidate Harmonized Standards for application under the R&TTE Directive".
- [i.2] Directive 1998/34/EC as amended by 1998/48/EC the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations.
- [i.3] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [i.4] CEPT ECC/DEC/(06)04 of 24 March 2006 amended 6 July 2007 at Constanza on the harmonized conditions for devices using Ultra-Wideband (UWB) technology in bands below 10.6 GHz.
- [i.5] Decision 2007/131/EC: commission decision of 21 February 2007 on allowing the use of the radio spectrum for equipment using ultra-wideband technology in a harmonised manner in the Community (notified under document number C(2007) 522).
- [i.6] ITU-R Recommendation SM.1754 (2006): "Measurement techniques of ultra-wideband transmissions".
- [i.7] ETSI TR 102 273 (V1.2.1) (all parts): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Improvement of radiated methods of measurement (using test sites) and evaluation of the corresponding measurement uncertainties".
- [i.8] ETSI TR 102 070-2 (V1.1.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Guide to the application of harmonized standards to multi-radio and combined radio and non-radio equipment; Part 2: Effective use of the radio frequency spectrum".
- [i.9] ERA Report 2006-0713: "Conducted and radiated measurements for low level UWB emissions".

- [i.10] ECC Report 120 (March 2008): "ECC Report on Technical requirements for UWB DAA (Detect and avoid) devices to ensure the protection of radiolocation in the bands 3.1-3.4 GHz and 8.5-9 GHz and BWA terminals in the band 3.4 - 4.2 GHz".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

avoidance level: maximum amplitude to which the UWB transmit power is set for the relevant protection zone

combined equipment: any combination of non-radio equipment and a plug-in radio device that would not offer full functionality without the radio device

default avoidance bandwidth: portion of the victim service bandwidth to be protected if no enhanced service bandwidth identification mechanisms are implemented in the DAA enabled devices

detect and avoid time: time duration between a change of the external RF environmental conditions and adaptation of the corresponding UWB operational parameters

detection probability: probability that the DAA enabled UWB radio device reacts appropriately to a signal detection threshold crossing within the detect and avoid time

dedicated antenna: removable antenna supplied and tested with the radio equipment, designed as an indispensable part of the equipment

effective radiated power (e.r.p): product of the power supplied to the antenna and its gain relative to a half-wave dipole in a given direction (RR 1.162)

equivalent isotropically radiated power (e.i.r.p): product of the power supplied to the antenna and the antenna gain in a given direction relative to an isotropic antenna (absolute or isotropic gain) (RR 1.161)

gating: transmission that is intermittent or of a low duty cycle referring to the use of burst transmissions where a transmitter is switched on and off for selected time intervals

hopping: spread spectrum technique whereby individual radio links are continually switched from one subchannel to another

host: host equipment is any equipment which has complete user functionality when not connected to the radio equipment part and to which the radio equipment part provides additional functionality and to which connection is necessary for the radio equipment part to offer functionality

impulse: pulse whose width is determined by its dc step risetime and whose maximum amplitude is determined by its dc step value

in operation channel availability check time: minimum time the UWB radio device spends searching for victim signals during normal operation, Parameter: $T_{in\ op\ avail}$

integral antenna: permanent fixed antenna, which may be built-in, designed as an indispensable part of the equipment

maximum avoidance power level: UWB transmit power assuring the equivalent protection of the victim service

minimum avoidance bandwidth: portion of the victim service bandwidth requiring protection

minimum initial channel availability check time: minimum time the UWB radio device spends searching for victim signals after power on, Parameter: $T_{avail, Time}$

narrowband: See test in clause 5.8.5.

Non-Interference mode operation (NIM): operational mode that allows the use of the radio spectrum on a non-interference basis without active mitigation techniques

plug-in radio device: radio equipment module intended to be used with or within host, combined or multi-radio equipment, using their control functions and power supply

pulse: short transient signal whose time duration is nominally the reciprocal of its -10 dB bandwidth

rf carrier: fixed radio frequency prior to modulation

signal detection threshold: amplitude of the victim signal which defines the transition between adjacent protection zones, Parameter: D_{thresh}

NOTE: The threshold level is defined to be the signal level at the receiver front end of the UWB DAA radio device and assuming a 0 dBi receive antenna.

signal detection threshold set: set of amplitudes of the victim signal which defines the transition between adjacent protection zones

stand-alone radio equipment: equipment that is intended primarily as communications equipment and that is normally used on a stand-alone basis

victim signal: signal(s) of the service to be detected and protected by the DAA mitigation technique

wideband: emission whose occupied bandwidth is greater than the test equipment measurement bandwidth

3.2 Symbols

For the purposes of the present document, the following symbols apply:

Ω	ohm
λ	wavelength
D	detection threshold
dB	decibel
dBi	gain in decibels relative to an isotropic antenna
dBm	gain in decibels relative to one milliwatt
f	frequency
f_H	highest frequency of the power envelope
f_L	lowest frequency of the power envelope
I	Isolation in dB
P	Power in dBm
R	Distance
$T_{\text{avail_time_min}}$	Minimum initial channel availability check time
T_{avoid}	Detect and avoid time

NOTE: Actual Detect and Avoid time of a DUT, can be negative.

$T_{\text{avoid_max}}$	Maximum allowed Detect and avoid time
T	time

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AC	Alternating Current
ATT	ATTenuator/ATTenuation
BPSK	Binary Phase Shift Keying
BWA	Broadband Wireless Access
CEPT	European Conference of Postal and Telecommunications Administrations
CON	Conformance test results
CPC	Cognitive Pilot Channels
DAA	Detect And Avoid

dc	direct current
e.i.r.p.	equivalent isotropically radiated power
e.r.p.	effective radiated power
ECC	Electronic Communications Committee
EIRP	Equivalent Isotropically Radiated Power
EUT	Equipment Under Test
FDD	Frequency Division Duplex
FH	Frequency Hopping
FMCW	Frequency Modulated Continuous Wave
FSK	Frequency Shift Keying
HS	Harmonized Standard
ICS	Implementation Conformance Statement
LDC	Low Duty Cycle
LFM	Linear Frequency Modulation
LNA	Low Noise Amplifier
NIM	Non Interference Mode
OFDM	Orthogonal Frequency Division Multiplexing
OFDMA	Orthogonal Frequency Division Multiple Access
PRF	Pulse Repetition Frequency
R&TTE	Radio and Telecommunications Terminal Equipment
RBW	Resolution BandWidth
RF	Radio Frequency
RMS	Root Mean Square
RR	Radio Regulations
Rx	Receiver
SNR	Signal to Noise Ratio
SRD	Short Range Device
TDD	Time Division Duplex
TPC	Transmit Power Control
Tx	Transmitter
UWB	Ultra WideBand
VBW	Video BandWidth
VSWR	Voltage Standing Wave Ratio

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4 Technical requirements specification

4.1 Technical requirements

4.1.1 Operating bandwidth

4.1.1.1 Definition

The width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a percentage of 5 % of the total mean power of a given emission.

For the purposes of the present document the measurements are made at the -13 dB points.

4.1.1.2 Test procedure

This test shall be performed using a radiated test procedure (see clause 5.4.4 and annex B).

4.1.1.3 Limit

The operating bandwidth shall be greater than 50 MHz (at -10 dB relative to the maximum spectral power density).