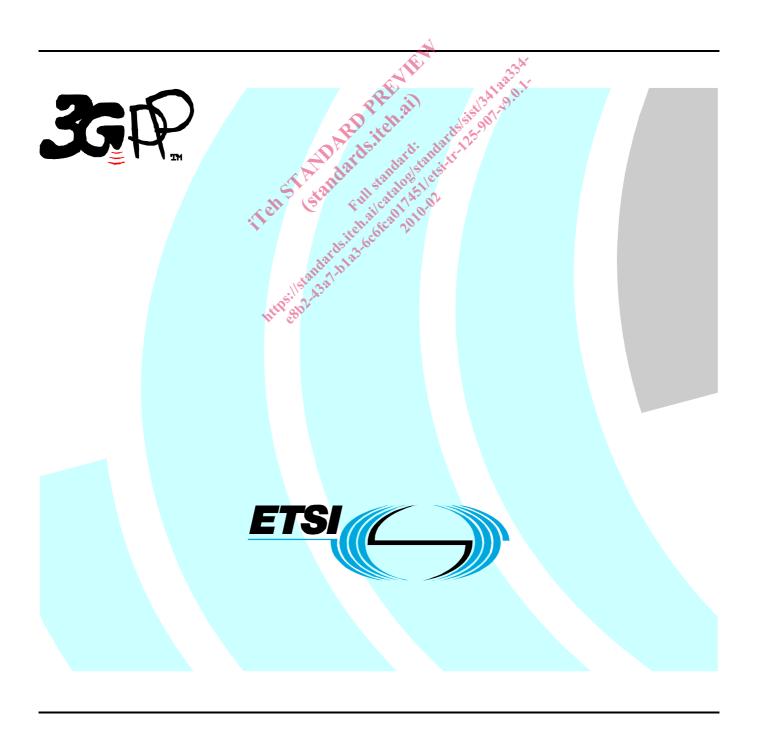
# ETSI TR 125 907 V9.0.1 (2010-02)

Technical Report

Universal Mobile Telecommunications System (UMTS); Evaluation of the inclusion of path loss based location technology in the UTRAN (3GPP TR 25.907 version 9.0.1 Release 9)



Reference
DTR/TSGR-0425907v901

Keywords
UMTS

### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

Individual copies of the present document can be downloaded from: <a href="http://www.etsi.org">http://www.etsi.org</a>

The present document may be made available in more than one electronic version or in print. In any case of existing or perceived difference in contents between such versions, the reference version is the Portable Document Format (PDF). In case of dispute, the reference shall be the printing on ETSI printers of the PDF version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="http://portal.etsi.org/tb/status/status.asp">http://portal.etsi.org/tb/status/status.asp</a></a>

If you find errors in the present document, please send your comment to one of the following services: http://portal.etsi.org/chaircor/ETSI\_support.asp

### **Copyright Notification**

No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2010. All rights reserved.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup>, **TIPHON**<sup>TM</sup>, the TIPHON logo and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.

**3GPP**<sup>™</sup> is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **LTE**<sup>™</sup> is a Trade Mark of ETSI currently being registered

for the benefit of its Members and of the 3GPP Organizational Partners. **GSM**® and the GSM logo are Trade Marks registered and owned by the GSM Association.

# Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for ETSI members and non-members, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (http://webapp.etsi.org/IPR/home.asp).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

### **Foreword**

This Technical Report (TR) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

Partnersh

Ports using their 3C

Les to the corresponding

A SI identities can be found un

A SI id The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

# Contents

Intelle	ectual Property Rights	2
Forew	vord	2
Forew	vord	5
1	Scope	6
2	References	
3	Definitions, symbols and abbreviations	
3.1	Definitions	
3.2	Symbols	
3.3	Abbreviations	
4	Overview	7
5	Feasibility of path-loss technologies for location on UMTS	
5.1	RF pattern matching	
6	Evaluated performance of path-loss technologies on OMTS	7
6.1	General	7
6.2	RF pattern matching technologies on UM1S	8
7	Network Architecture for Path-loss technologies on UMTS	8
7.1	RF pattern matching technologies on UMTS	8
8	Summary comparison of path-loss technologies with currently standardized location technologies on UMTS	
9	Anticipated requirements for the standardization of path-loss technologies in 3GPP	8
9.1	RF pattern matching technologies on UMTS	8
9.1.1	Modifications to TS 25.331 [5] (RRC Protocol Specification) and TS 25.453 [6] include:	9
9.1.2	Anticipated Change Requests	
10	Conclusions	9
10.1	RF pattern matching technologies	9
Anne	x A (Informative): RF pattern matching	11
<b>A</b> .1	Overview	
A.2	Feasibility of RF pattern matching technologies for location on UMTS	11
A.2.1 A.2.1.	General description of RF pattern matching technologies	
A.2.1. A.2.1.		
A.2.1.	1.2.4 Round Trip Time (RTT) ([25.215 [7] clause5.2.8])	12
A.2.1.	1.2.5 UE Rx-Tx time difference ([25.215 [7] clause 5.1.10])	13
A.2.1.	2 Air interface ramifications on RF pattern matching technologies	13
A.2.1.		
A.2.1.	2.2 Confirmation of UE neutrality with RF pattern matching technologies	13
A.3	Evaluated performance of RF pattern matching technologies on UMTS	13
A.3.1	Simulation methodology	
A.3.1.	<del> </del>	
A.3.1.	Network model	13
A.3.1.	3 Location methods	14
A.3.1.	4 Error models	14

A.3.2	CellID-RTT method	16
A.3.3	RF pattern matching method	16
A.3.3.1	Simulation tool inputs	17
A.3.3.2	Detailed simulation flow	17
A.3.4	Propagation models	18
A.3.4.1	Hata propagation model	18
A.3.4.2	COST231 propagation model	
A.3.5	Cramer-Rao lower bound formulation	18
A.3.5.1	RSS measurement model	18
A.3.5.2	RSS location algorithm – relative signal strength	20
A.3.5.3	Derivation of the Fisher Information Matrix and Cramer Rao Lower Bound for path loss	
	measurement	21
A.3.5.4	Derivation of Fisher Information Matrix for RTT measurement	22
A.3.5.5	Information matrix for RTT measurement from a directional sector	23
A.3.5.6	The Fisher Information Matrix and the CRLB for RTT measurement + path loss measurement	24
A.5 N	etwork architecture for RF pattern matching technologies on UMTS	25
A.5.1	UMTS architecture for RF pattern matching technology	
A.6 E	valuated performance of RF pattern matching technologies on UMTS	25
A.6.1	RF pattern matching accuracy evaluation	
A.6.1.1	Simulation results for evaluation scenarios	
A.6.1.1 A.6.1.1.1	Dance when simulation	20
A.6.1.2.1	Dense urban simulation.	28
A.6.1.2.1 A.6.1.2.2	Pural cimulation	30
A.6.1.2.3		30
A.6.2.1	Additional independent simulations TalaCommunication Systems (TCS)	21
A.6.2.1.1	Overview	31
A.6.2.1.2	Simulation results	32
A.6.2.2	Additional independent simulations AT&T	32
A.6.2.2.1	Overview	32
A.6.2.2.2	Overview  Additional independent simulations  AT&T  Overview  Simulation results  Overview  Simulation results	33
A.7 A	nticipated requirements for the standardization of RF pattern matching technologies in 3GPP	
A.7.1	Modifications to TS 25.331 [5] (RRC Protocol Specification) include:	
A.7.1 A.7.2	Anticipated Change Requests	
A.7.2	Anticipated Change Requests	34
A.8 C	onclusions (RF pattern matching)	34
Annex I	State of the state	35
History	S V V	36
LUSIOLV.		

### **Foreword**

This Technical Report has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- ange continuation i.e. techn.

  . only changes have been incl.

  . only changes have been incl.

  . i.e. techn. the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

<Editor's note: Text in this section is cited from the original text in 'Annex A.1 Overview'>

Path-loss technologies cover a broad scope of specific location technologies, including: RSSI Trilateration technologies, certain Enhanced Cell-ID technologies, and RF pattern matching technologies. For the purposes of this Study Item, individual technology groups to be evaluated will be treated independently as Annexes to the TR.

In this TR, Annex. A should:

- Describe pattern matching and outline it's benefits and challenges.
- Illustrate the required messaging to support RF pattern matching technologies, as well as the projected performance improvements associated with additional messaging/measurement support.
- Confirm the performance capability of RF pattern matching Technology on the UMTS air-interface, over all environments. Both in terms of accuracy and location result latency:
  - Dense Urban
  - In-Building
  - Rural
- Illustrate the Standardized architecture for RF pattern matching technologies as related to the UMTS and future air interfaces.
- Provide an outline of anticipated standardization requirements for improved performance and interoperability of RF pattern matching technologies.
- Provide a conclusion based on the information contained herein and a recommendation to the 3GPP regarding standardization of RF pattern matching Technologies within the RAN.

# 2 References

- [1] Weiss, A., "On The Accuracy of A Cellular Location System Based on RSS Measurements," *IEEE Transactions on Vehicular Technology*, vol. 52, pp. 1508 1518, Nov 2003.
- [2] Catovic, A. and Sahinoglu, Z., "The Cramer–Rao Bounds of Hybrid TOA/RSS and TDOA/RSS Location Estimation Schemes," *IEEE Communications Letters*, vol. 8, pp. 626 8, Oct 2004.
- [3] 3GPP TR 25.942: "Universal Mobile Telecommunications System (UMTS); Radio Frequency (RF) system scenarios
- [4] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [5] 3GPP TS 25.331: "Radio Resource Control (RRC); Protocol specification".
- [6] 3GPP TS 25.453: "UTRAN Iupc interface Positioning Calculation Application Part (PCAP) signalling".
- [7] 3GPP TS 25.215: "Physical layer; Measurements (FDD)".

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [4] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [4].

# 3.2 Symbols

For the purposes of the present document, the following symbols apply:

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

### 4 Overview

<Editor's note: Text in this section is cited from the original text in 'Annex A.1>

Performance in field deployments and trials of Path-loss technologies indicates potential benefits, both in terms of location accuracy and latency, in including some of these technologies in the Standards. Individual Path-loss technologies will be evaluated thoroughly and objectively in this TR to assess which, if any, of these are sufficiently promising so as to justify further consideration by the 3GPP.

# Feasibility of path-loss technologies for location on UMTS

# 5.1 RF pattern matching

<Editor's note: Text in this section is cited from the original text in Annex A.2.1 and A.2.1.1>

pattern matching technologies represent a family of Path Loss based technologies that rely on matching the RF environment (as experienced by the UE) to the known characteristics of the larger RF System in which the UE is operating. Information from the UE, including measurements of neighbour cell signal strengths, time delay and other network parameters form the basis of the RF environment to be compared to the established System RF Database. The intent of this approach is to mitigate the negative impacts of anomalies within the RF environment that challenge the accuracy of trilateration technologies (e.g. multipath and reflection).

The RF pattern matching positioning method is based on measurements made by the UE and Node B. The essential measurement set required for this method is currently defined in [25.215] and necessary for the basic mobility functionality and hence this method will work with existing mobiles without any modification.

# 6 Evaluated performance of path-loss technologies on UMTS

### 6.1 General

<Editor's note: Text in this section is cited from the original text in 'Annex A.8, detailed simulation methodology and results are presented in Annex A >

- RF pattern matching provides a significant improvement in performance to Cell-ID with RTT
  - Average simulated improvement was 47.3%
  - Highest simulated improvement was 259%

## 6.2 RF pattern matching technologies on UMTS

See Annex A.6.

# 7 Network Architecture for Path-loss technologies on UMTS

# 7.1 RF pattern matching technologies on UMTS

<Editor's note: Diagram in this section is cited from the original text in 'Annex A.5.1>

- Architecture shown is the currently approved 3GPP LCS architecture (no architecture changes are needed for RF pattern matching)>

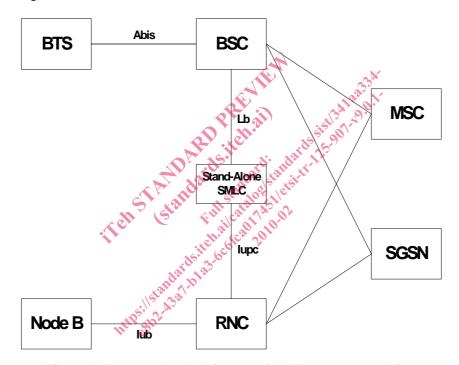


Figure A.5.1: Overlay Architecture for RF pattern matching

8 Summary comparison of path-loss technologies with currently standardized location technologies on UMTS

Refer to Clauses A.3 and 10.1.

- 9 Anticipated requirements for the standardization of path-loss technologies in 3GPP
- 9.1 RF pattern matching technologies on UMTS

<Editor's note: Diagram in this section is cited from the original text in 'Annex A.7>

### 9.1.1 Modifications to TS 25.331 [5] (RRC Protocol Specification) and TS 25.453 [6] include:

The changes anticipated for this specification include the definition/addition of RF pattern matching to the UE Positioning description section (8.6.7.19) and the inclusion of RF pattern matching in the defined UE positioning procedures. Additionally, a PCAP group for "RF pattern matching" will be required in TS 25.453.

#### 9.1.2 Anticipated Change Requests

Inter-RAT

The ability to leverage Inter-RAT measurements in an overlay network will provide significant potential improvements in location accuracy for RF pattern matching. It is anticipated that these measurements will be requested as an optional parameter (at least for use in emergency service locations).

- IPDL

IPDL offers similar advantages to RF pattern matching to those that it gives to other location technologies (e.g. OTDOA). To the extent that this capability is pursued for those technologies, it is intended that it will be used to benefit RF pattern matching as well.

Absolute Ec (Sector TX Power)

As RF pattern matching is a path-loss, based location technology, absolute Ec will allow for better definition of the local UE environment and improved location accuracy. It is assumed that this measurement will be requested in the UE positioning report for RF pattern matching.

- Round Trip Time (RTT)

Given the dynamic power management scenarios that are being used in the UTRAN, The measure of RTT has good potential to improve the accuracy of any path-loss based location echnology. Access to RTT as an optional parameter has great benefit to RF pattern matching, as well as ECID and should be and it is anticipated that this measure will be requested as an optional parameter.

### Conclusions ( ) 10

<Editor's note: Text in this section is cited from the original text in 'A.8'>

#### RF pattern matching technologies 10.1

This section is reiterated in Annex A.8 and provides detailed information on the potential benefits, as well as the implications, of the inclusion of RF pattern matching in the UTRAN. As a result of the evaluation contained herein, it can be shown that:

- RF pattern matching provides a significant improvement in performance to Cell-ID with RTT
  - o Average simulated improvement was 47.3%
  - o Highest simulated improvement was 259%
- RF pattern matching operates with limited impact on the network or UE
  - o No network hardware requirements
  - o No UE modifications
- Anticipated Changes have benefit for other location methods
  - o IPDL Also needed for OTDOA

### o RTT - Also benefits Cell-ID, OTDOA and UTDOA

There are growing market segments for location services that require both location accuracy and user transparency (Government Surveillance and Lawful Intercept); these services cannot be addressed with location technologies which require UE support or modification (A-GPS, GNSS, OTDOA). Additionally, Emergency Service applications require a level of location accuracy which has not been met with Cell-ID and RTT. The potential benefits of RF pattern matching and and the relative ease with which this location method can be adopted in the UTRAN would indicate that it is appropriate that the technology be included in the UTRAN in support of the services noted above, as well as for cooperatve deployment with satellite-based systems (A-GPS, GNSS, etc.) in support of "Hybrid" location technology for Location Based Services (LBS).

ITON STANDARDS HELD BLAND BARBARD BARB