



**VHF air-ground Digital Link (VDL) Mode 4 radio equipment;  
Technical characteristics and methods of measurement  
for ground-based equipment;  
Part 5: Harmonized EN covering the essential requirements of  
article 3.2 of the R&TTE Directive**

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**ETSI**

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

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## Foreword

This draft Harmonized European Standard (EN) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM), and is now submitted for the combined Public Enquiry and Vote phase of the ETSI standards EN Approval Procedure.

The present document has been produced by ETSI in response to mandate M/405 from the European Commission issued under Directive 98/34/EC [i.1] as amended by Directive 98/48/EC [i.2].

The title and reference to the present document are intended to be included in the publication in the Official Journal of the European Union of titles and references of Harmonized Standard under the Directive 1999/5/EC [i.3].

The requirements relevant to Directive 1999/5/EC [i.3] are summarized in annex A.

The present document provides the technical procedures and limits for compliance with article 3.2 of the R&TTE directive for the ground equipment only. The present document is part 5 of a multi-part deliverable covering the VHF air-ground Digital Link (VDL) Mode 4 radio equipment; Technical characteristics and methods of measurement for ground-based equipment, as identified below:

- Part 1: "EN for ground equipment"
- Part 2: "General description and data link layer";
- Part 3: "Additional broadcast aspects";
- Part 4: "Point-to-point functions";
- Part 5: " Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive "**.

<b>Proposed national transposition dates</b>	
Date of latest announcement of this EN (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	6 months after doa
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## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**may not**", "**need**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

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## Introduction

The present document is part of a set of standards developed by ETSI and is designed to fit in a modular structure to cover all radio and telecommunications terminal equipment within the scope of the R&TTE Directive [i.3]. The modular structure is shown in ETSI EG 201 399 [i.4].

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Full standard:  
<https://standards.iteh.ai/catalog/standards/sist/a63055c1-d8b7-4bbc-ab66-53092a7944a2/etsi-en-301-842-5-v1.1.1-2015-07>

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# 1 Scope

The present document applies to Very High Frequency (VHF) Digital Link (VDL) Mode 4 ground-based radio transmitters and receivers for air-ground communications operating in the VHF band, using Gaussian-filtered Frequency Shift Keying (GFSK) Modulation with 25 kHz channel spacing and capable of tuning to any of the 25 kHz channels from 112,000 MHz to 136,975 MHz as defined in ICAO VHF Digital Link (VDL) Standards and Recommended Practices (SARPs) [i.5].

Manufacturers should note that in future the tuning range for the ground transceivers may also cover any 25 kHz channel from 108,000 MHz to 111,975 MHz.

The present document is intended to cover the provisions of Directive 1999/5/EC [i.3] (R&TTE Directive), article 3.2, which states that "..... *radio equipment shall be so constructed that it effectively uses the spectrum allocated to terrestrial/space radio communications and orbital resources so as to avoid harmful interference*".

In addition to the present document, other ENs that specify technical requirements in respect of essential requirements under other parts of Article 3 of the R&TTE Directive [i.3] may apply to equipment within the scope of the present document.

NOTE: A list of such ENs is included on the web site <http://www.newapproach.org>.

Compliance with relevant aviation regulations may also be required before equipment within the scope of the present document can enter into service.

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## 2 References

### 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

- [1] ETSI EN 301 842-1 (V1.4.0) (12-2014): "VHF air-ground Digital Link (VDL) Mode 4 radio equipment; Technical characteristics and methods of measurement for ground-based equipment; Part 1: EN for ground equipment".
- [2] ETSI EN 300 113-1 (V1.7.1) (11-2011): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Land mobile service; Radio equipment intended for the transmission of data (and/or speech) using constant or non-constant envelope modulation and having an antenna connector; Part 1: Technical characteristics and methods of measurement".

### 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations and of rules on Information Society services.
- [i.2] Directive 98/48/EC of the European Parliament and of the Council of 20 July 1998 amending Directive 98/34/EC laying down a procedure for the provision of information in the field of technical standards and regulations.
- [i.3] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [i.4] ETSI EG 201 399: "Electromagnetic compatibility and Radio spectrum Matters (ERM); A guide to the production of Harmonized Standards for application under the R&TTE Directive".
- [i.5] ICAO Annex 10 to the Convention on International Civil Aviation: "Aeronautical Telecommunications, Volume III: Communication Systems, Part I: Digital Data Communication Systems, Chapter 6", inc. Amendment 88-A (applicable from 14/11/2013).
- [i.6] ISO/IEC 7498-1 (1994): "Information technology - Open Systems Interconnection - Basic Reference Model: The Basic Model".
- [i.7] ISO/IEC 10731 (1994): "Information technology - Open Systems Interconnection - Basic Reference Model - Conventions for the definition of OSI services".
- [i.8] ETSI TR 100 028 (V1.4.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics".
- [i.9] ETSI TR 100 028-2 (V1.4.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics Part 2".
- [i.10] ETSI EN 301 842 (all parts): "VHF air-ground Digital Link (VDL) Mode 4 radio equipment; Technical characteristics and methods of measurement for ground-based equipment".

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## 3 Definitions and abbreviations

### 3.1 Definitions

#### 3.1.1 Basic reference model definitions

The present document is based on the concepts developed in the open systems interconnect basic reference model and makes use of the following terms defined in ISO/IEC 7498-1 [i.6]:

- layer,
- sublayer,
- entity,
- service,
- service access point,
- service data unit,
- physical layer,
- data link layer.



### 3.1.2 Service conventions definitions

The present document makes use of the following terms defined in ISO/IEC 10731 [i.7]:

- service provider,
- service user,
- service primitive,
- request,
- indication,
- confirm.

### 3.1.3 General definitions

For the purposes of the present document, the terms and definitions given in the R&TTE Directive [i.3] and the following apply:

**adjacent channel power:** amount of the modulated RF signal power which falls within a given adjacent channel

NOTE: Adjacent channel power includes discrete spurious, signal sidebands, and noise density (including phase noise) at the transmitter output.

**Automatic Dependent Surveillance-Broadcast (ADS-B):** surveillance application transmitting parameters, such as position, track and ground speed, via a broadcast mode data link for use by any air and ground users requiring it

NOTE: ADS-B is a surveillance service based on aircraft self-determination of position/velocity/time and automatic, periodic or random, broadcast of this information along with auxiliary data such as aircraft identity (ID), communications control parameters, etc. ADS-B is intended to support multiple high-level applications and associated services such as cockpit display of traffic information, traffic alert and collision avoidance functionality, enhanced traffic management in the air and on the ground, search and rescue support and others.

**Bit Error Rate (BER):** ratio between the number of erroneous bits received and the total number of bits received

**data rate:** maximum amount of data that can be transmitted in a specified amount of time, typically expressed as bits per second

NOTE: The nominal data rate for VDL Mode 4 is 19 200 bits/s.

**environmental profile:** range of environmental conditions under which equipment within the scope of the present document is required to comply with the provisions of the present document

**ground base station:** aeronautical station equipment, in the aeronautical mobile service, for use with an external antenna and intended for use at a fixed location

**integral antenna equipment:** radio communications equipment with an antenna integrated into the equipment without the use of an external connector and considered to be part of the equipment

NOTE: An integral antenna may be internal or external to the equipment. In equipment of this type, a 50  $\Omega$  RF connection point is provided for test purposes.

**non-integral antenna equipment:** radio communications equipment with a connector intended for connection to an antenna

**reference signal level:** signal level used in the receiver performance specifications except otherwise stated

**station:** VDL Mode 4 Specific Services (VSS)-capable entity

NOTE: A station may be either a mobile station or a ground station. A station is a physical entity that transmits and receives bursts over the RF interface (either A/G or A/A) and comprises, at a minimum: a physical layer, media access control sublayer, and a unique VSS address. A station which is also a DLS station has the same address.