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*Technical Specification*

## **Universal Mobile Telecommunications System (UMTS); Physical channels and mapping of transport channels onto physical channels (FDD) (3GPP TS 25.211 version 8.7.0 Release 8)**



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# 1 Scope

The present document describes the characteristics of the Layer 1 transport channels and physical channels in the FDD mode of UTRA. The main objectives of the document are to be a part of the full description of the UTRA Layer 1, and to serve as a basis for the drafting of the actual technical specification (TS).

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
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- [1] 3GPP TS 25.201: "Physical layer - general description".
- [2] 3GPP TS 25.211: "Physical channels and mapping of transport channels onto physical channels (FDD)".
- [3] 3GPP TS 25.212: "Multiplexing and channel coding (FDD)".
- [4] 3GPP TS 25.213: "Spreading and modulation (FDD)".
- [5] 3GPP TS 25.214: "Physical layer procedures (FDD)".
- [6] 3GPP TS 25.221: "Transport channels and physical channels (TDD)".
- [7] 3GPP TS 25.222: "Multiplexing and channel coding (TDD)".
- [8] 3GPP TS 25.223: "Spreading and modulation (TDD)".
- [9] 3GPP TS 25.224: "Physical layer procedures (TDD)".
- [10] 3GPP TS 25.215: "Physical layer - Measurements (FDD)".
- [11] 3GPP TS 25.301: "Radio Interface Protocol Architecture".
- [12] 3GPP TS 25.302: "Services Provided by the Physical Layer".
- [13] 3GPP TS 25.401: "UTRAN Overall Description".
- [14] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".
- [15] 3G TS 25.427: "UTRAN Overall Description :UTRA Iub/Iur Interface User Plane Protocol for DCH data streams".
- [16] 3GPP TS 25.435: "UTRAN Iub Interface User Plane Protocols for Common Transport Channel Data Streams".

## 3 Symbols and abbreviations

### 3.1 Symbols

$N_{data1}$	The number of data bits per downlink slot in Data1 field.
$N_{data2}$	The number of data bits per downlink slot in Data2 field. If the slot format does not contain a Data2 field, $N_{data2} = 0$ .

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

16QAM	16 Quadrature Amplitude Modulation
4PAM	4 Pulse-Amplitude Modulation
64QAM	64 Quadrature Amplitude Modulation
AI	Acquisition Indicator
AICH	Acquisition Indicator Channel
BCH	Broadcast Channel
CCPCH	Common Control Physical Channel
CCTrCH	Coded Composite Transport Channel
CPICH	Common Pilot Channel
CQI	Channel Quality Indicator
DCH	Dedicated Channel
DPCCH	Dedicated Physical Control Channel
DPCH	Dedicated Physical Channel
DPDCH	Dedicated Physical Data Channel
DTX	Discontinuous Transmission
E-AGCH	E-DCH Absolute Grant Channel
E-DCH	Enhanced Dedicated Channel
E-DPCCH	E-DCH Dedicated Physical Control Channel
E-DPDCH	E-DCH Dedicated Physical Data Channel
E-HICH	E-DCH Hybrid ARQ Indicator Channel
E-RGCH	E-DCH Relative Grant Channel
FACH	Forward Access Channel
FBI	Feedback Information
F-DPCH	Fractional Dedicated Physical Channel
FSW	Frame Synchronization Word
HS-DPCCH	Dedicated Physical Control Channel (uplink) for HS-DSCH
HS-DSCH	High Speed Downlink Shared Channel
HS-PDSCH	High Speed Physical Downlink Shared Channel
HS-SCCH	Shared Control Channel for HS-DSCH
ICH	Indicator Channel
MBSFN	MBMS over a Single Frequency Network
MICH	MBMS Indicator Channel
MIMO	Multiple Input Multiple Output
MUI	Mobile User Identifier
NI	MBMS Notification Indicator
PCH	Paging Channel
P-CCPCH	Primary Common Control Physical Channel
PICH	Page Indicator Channel
PRACH	Physical Random Access Channel
PSC	Primary Synchronisation Code
RACH	Random Access Channel
RNC	Radio Network Controller
S-CCPCH	Secondary Common Control Physical Channel
SCH	Synchronisation Channel
SF	Spreading Factor
SFN	System Frame Number
SSC	Secondary Synchronisation Code
STTD	Space Time Transmit Diversity

TFCI	Transport Format Combination Indicator
TSTD	Time Switched Transmit Diversity
TPC	Transmit Power Control
UE	User Equipment
UTRAN	UMTS Terrestrial Radio Access Network

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## 4 Services offered to higher layers

### 4.1 Transport channels

Transport channels are services offered by Layer 1 to the higher layers. General concepts about transport channels are described in [12].

A transport channel is defined by how and with what characteristics data is transferred over the air interface. A general classification of transport channels is into two groups:

- Dedicated channels, using inherent addressing of UE;
- Common channels, using explicit addressing of UE if addressing is needed.

#### 4.1.1 Dedicated transport channels

There exists two types of dedicated transport channel, the Dedicated Channel (DCH) and the Enhanced Dedicated Channel (E-DCH).

##### 4.1.1.1 DCH - Dedicated Channel

The Dedicated Channel (DCH) is a downlink or uplink transport channel. The DCH is transmitted over the entire cell or over only a part of the cell using e.g. beam-forming antennas.

##### 4.1.1.2 E-DCH – Enhanced Dedicated Channel

The Enhanced Dedicated Channel (E-DCH) is an uplink transport channel in CELL DCH.

#### 4.1.2 Common transport channels

There are six types of common transport channels: BCH, FACH, PCH, RACH, HS-DSCH and E-DCH.

##### 4.1.2.1 BCH - Broadcast Channel

The Broadcast Channel (BCH) is a downlink transport channel that is used to broadcast system- and cell-specific information. The BCH is always transmitted over the entire cell and has a single transport format.

##### 4.1.2.2 FACH - Forward Access Channel

The Forward Access Channel (FACH) is a downlink transport channel. The FACH is transmitted over the entire cell. The FACH can be transmitted using power setting described in [16].

##### 4.1.2.3 PCH - Paging Channel

The Paging Channel (PCH) is a downlink transport channel. The PCH is always transmitted over the entire cell. The transmission of the PCH is associated with the transmission of physical-layer generated Paging Indicators, to support efficient sleep-mode procedures.

#### 4.1.2.4 RACH - Random Access Channel

The Random Access Channel (RACH) is an uplink transport channel. The RACH is always received from the entire cell. The RACH is characterized by a collision risk and by being transmitted using open loop power control.

#### 4.1.2.5 Void

#### 4.1.2.6 Void

#### 4.1.2.7 HS-DSCH – High Speed Downlink Shared Channel

The High Speed Downlink Shared Channel is a downlink transport channel shared by several UEs. The HS-DSCH can be associated with one downlink DPCH or F-DPCH, and one or several Shared Control Channels (HS-SCCH). The HS-DSCH is transmitted over the entire cell or over only part of the cell using e.g. beam-forming antennas.

#### 4.1.2.7A E-DCH - Enhanced Dedicated Channel

The Enhanced Dedicated Channel (E-DCH) is an uplink transport channel in CELL\_FACH state and IDLE mode.

## 4.2 Indicators

Indicators are means of fast low-level signalling entities which are transmitted without using information blocks sent over transport channels. The meaning of indicators is specific to the type of indicator.

The indicators defined in the current version of the specifications are: Acquisition Indicator (AI), Page Indicator (PI) and MBMS Notification Indicator (NI).

Indicators may be either boolean (two-valued) or three-valued. Their mapping to indicator channels is channel specific.

Indicators are transmitted on those physical channels that are indicator channels (ICH).

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## 5 Physical channels and physical signals

Physical channels are defined by a specific carrier frequency, scrambling code, channelization code (optional), time start & stop (giving a duration) and, on the uplink, relative phase ( $0$  or  $\pi/2$ ). The downlink E-HICH and E-RGCH are each further defined by a specific orthogonal signature sequence. Scrambling and channelization codes are specified in [4]. Time durations are defined by start and stop instants, measured in integer multiples of chips. Suitable multiples of chips also used in specification are:

Radio frame:	A radio frame is a processing duration which consists of 15 slots. The length of a radio frame corresponds to 38400 chips.
Slot:	A slot is a duration which consists of fields containing bits. The length of a slot corresponds to 2560 chips.
Sub-frame:	A sub-frame is the basic time interval for E-DCH and HS-DSCH transmission and E-DCH and HS-DSCH-related signalling at the physical layer. The length of a sub-frame corresponds to 3 slots (7680 chips).

The default time duration for a physical channel is continuous from the instant when it is started to the instant when it is stopped. Physical channels that are not continuous will be explicitly described.

Transport channels are described (in more abstract higher layer models of the physical layer) as being capable of being mapped to physical channels. Within the physical layer itself the exact mapping is from a composite coded transport channel (CCTrCH) to the data part of a physical channel. In addition to data parts there also exist channel control parts and physical signals.

## 5.1 Physical signals

Physical signals are entities with the same basic on-air attributes as physical channels but do not have transport channels or indicators mapped to them. Physical signals may be associated with physical channels in order to support the function of physical channels.

## 5.2 Uplink physical channels

### 5.2.1 Dedicated uplink physical channels

There are five types of uplink dedicated physical channels, the uplink Dedicated Physical Data Channel (uplink DPDCH), the uplink Dedicated Physical Control Channel (uplink DPCCH), the uplink E-DCH Dedicated Physical Data Channel (uplink E-DPDCH), the uplink E-DCH Dedicated Physical Control Channel (uplink E-DPCCH) and the uplink Dedicated Control Channel associated with HS-DSCH transmission (uplink HS-DPCCH).

The DPDCH, the DPCCH, the E-DPDCH, the E-DPCCH and the HS-DPCCH are I/Q code multiplexed (see [4]).

#### 5.2.1.1 DPCCH and DPDCH

The uplink DPDCH is used to carry the DCH transport channel. There may be zero, one, or several uplink DPDCHs on each radio link.

The uplink DPCCH is used to carry control information generated at Layer 1. The Layer 1 control information consists of known pilot bits to support channel estimation for coherent detection, transmit power-control (TPC) commands, feedback information (FBI), and an optional transport-format combination indicator (TFCI). The transport-format combination indicator informs the receiver about the instantaneous transport format combination of the transport channels mapped to the simultaneously transmitted uplink DPDCH radio frame. There is one and only one uplink DPCCH on each radio link.

Figure 1 shows the frame structure of the uplink DPDCH and the uplink DPCCH. Each radio frame of length 10 ms is split into 5 subframes, each of 3 slots, each of length  $T_{slot} = 2560$  chips, corresponding to one power-control period. The DPDCH and DPCCH are always frame aligned with each other.

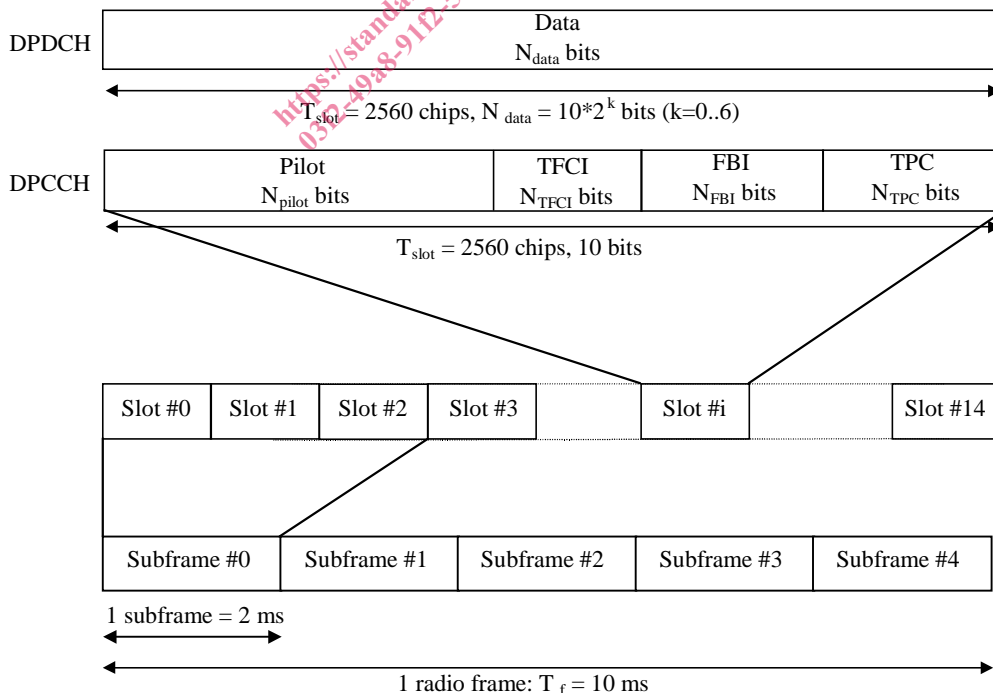


Figure 1: Frame structure for uplink DPDCH/DPCCH

The parameter  $k$  in figure 1 determines the number of bits per uplink DPDCH slot. It is related to the spreading factor SF of the DPDCH as  $SF = 256/2^k$ . The DPDCH spreading factor may range from 256 down to 4. The spreading factor of the uplink DPCCH is always equal to 256, i.e. there are 10 bits per uplink DPCCH slot.

The exact number of bits of the uplink DPDCH and the different uplink DPCCH fields ( $N_{\text{pilot}}$ ,  $N_{\text{TFCI}}$ ,  $N_{\text{FBI}}$ , and  $N_{\text{TPC}}$ ) is given by table 1 and table 2. What slot format to use is configured by higher layers and can also be reconfigured by higher layers.

The channel bit and symbol rates given in table 1 and table 2 are the rates immediately before spreading. The pilot patterns are given in table 3 and table 4, the TPC bit pattern is given in table 5.

The FBI bits are used to support techniques requiring feedback from the UE to the UTRAN Access Point for operation of closed loop mode transmit diversity. The use of the FBI bits is described in detail in [5].

**Table 1: DPDCH fields**

Slot Format #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/Frame	Bits/Slot	$N_{\text{data}}$
0	15	15	256	150	10	10
1	30	30	128	300	20	20
2	60	60	64	600	40	40
3	120	120	32	1200	80	80
4	240	240	16	2400	160	160
5	480	480	8	4800	320	320
6	960	960	4	9600	640	640

There are two types of uplink dedicated physical channels; those that include TFCI (e.g. for several simultaneous services) and those that do not include TFCI (e.g. for fixed-rate services). These types are reflected by the duplicated rows of table 2. It is the UTRAN that determines if a TFCI should be transmitted and it is mandatory for all UEs to support the use of TFCI in the uplink. The mapping of TFCI bits onto slots is described in [3].

In compressed mode, DPCCH slot formats with TFCI fields are changed. There are two possible compressed slot formats for each normal slot format. They are labelled A and B and the selection between them is dependent on the number of slots that are transmitted in each frame in compressed mode.

If UL\_DTX\_Active is TRUE (see [5]), the number of transmitted slots per radio frame may be less than the number shown in Table 2.

**Table 2: DPCCH fields**

Slot Form at #i	Channel Bit Rate (kbps)	Channel Symbol Rate (ksps)	SF	Bits/Frame	Bits/Slot	$N_{\text{pilot}}$	$N_{\text{TPC}}$	$N_{\text{TFCI}}$	$N_{\text{FBI}}$	Transmitted slots per radio frame
0	15	15	256	150	10	6	2	2	0	15
0A	15	15	256	150	10	5	2	3	0	10-14
0B	15	15	256	150	10	4	2	4	0	8-9
1	15	15	256	150	10	8	2	0	0	8-15
2	15	15	256	150	10	5	2	2	1	15
2A	15	15	256	150	10	4	2	3	1	10-14
2B	15	15	256	150	10	3	2	4	1	8-9
3	15	15	256	150	10	7	2	0	1	8-15
4	15	15	256	150	10	6	4	0	0	8-15

The pilot bit patterns are described in table 3 and table 4. The shadowed column part of pilot bit pattern is defined as FSW and FSWs can be used to confirm frame synchronization. (The value of the pilot bit pattern other than FSWs shall be "1".)

**Table 3: Pilot bit patterns for uplink DPCCH with  $N_{\text{pilot}} = 3, 4, 5$  and 6**

Bit #	$N_{\text{pilot}} = 3$			$N_{\text{pilot}} = 4$				$N_{\text{pilot}} = 5$					$N_{\text{pilot}} = 6$					
	0	1	2	0	1	2	3	0	1	2	3	4	0	1	2	3	4	5
Slot #0	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0
1	0	0	1	1	0	0	1	0	0	1	1	0	1	0	0	1	1	0
2	0	1	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1
3	0	0	1	1	0	0	1	0	0	1	0	0	1	0	0	1	0	0
4	1	0	1	1	1	0	1	1	0	1	0	1	1	1	0	1	0	1
5	1	1	1	1	1	1	1	1	1	1	1	0	1	1	1	1	1	0
6	1	1	1	1	1	1	1	1	1	1	0	0	1	1	1	1	0	0
7	1	0	1	1	1	0	1	1	0	1	0	0	1	1	0	1	0	0
8	0	1	1	1	0	1	1	0	1	1	1	0	1	0	1	1	1	0
9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
10	0	1	1	1	0	1	1	0	1	1	0	1	1	0	1	1	0	1
11	1	0	1	1	1	0	1	1	0	1	1	1	1	1	0	1	1	1
12	1	0	1	1	1	0	1	1	0	1	0	0	1	1	0	1	0	0
13	0	0	1	1	0	0	1	0	0	1	1	1	1	0	0	1	1	1
14	0	0	1	1	0	0	1	0	0	1	1	1	1	0	0	1	1	1

**Table 4: Pilot bit patterns for uplink DPCCH with  $N_{\text{pilot}} = 7$  and 8**

Bit #	$N_{\text{pilot}} = 7$							$N_{\text{pilot}} = 8$							
	0	1	2	3	4	5	6	0	1	2	3	4	5	6	7
Slot #0	1	1	1	1	1	0	1	1	1	1	1	1	1	1	0
1	1	0	0	1	1	0	1	1	0	1	0	1	1	1	0
2	1	0	1	1	0	1	1	1	0	1	1	1	0	1	1
3	1	0	0	1	0	0	1	1	0	1	0	1	0	1	0
4	1	1	0	1	0	1	1	1	1	1	0	1	0	1	1
5	1	1	1	1	1	0	1	1	1	1	1	1	1	1	0
6	1	1	1	1	0	0	1	1	1	1	1	1	0	1	0
7	1	1	0	1	0	0	1	1	1	1	0	1	0	1	0
8	1	0	1	1	1	0	1	1	0	1	1	1	1	1	0
9	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
10	1	0	1	1	0	1	1	1	0	1	1	1	0	1	1
11	1	1	0	1	1	1	1	1	1	1	0	1	1	1	1
12	1	1	0	1	0	0	1	1	1	1	0	1	0	1	0
13	1	0	0	1	1	1	1	1	0	1	0	1	1	1	1
14	1	0	0	1	1	1	1	1	0	1	0	1	1	1	1

The relationship between the TPC bit pattern and transmitter power control command is presented in table 5.

**Table 5: TPC Bit Pattern**

TPC Bit Pattern		Transmitter power control command
$N_{\text{TPC}} = 2$	$N_{\text{TPC}} = 4$	
11	1111	1
00	0000	0

Multi-code operation is possible for the uplink dedicated physical channels. When multi-code transmission is used, several parallel DPDCH are transmitted using different channelization codes, see [4]. However, there is only one DPCCH per radio link.

A period of uplink DPCCH transmission prior to the start of the uplink DPDCH transmission (uplink DPCCH power control preamble) shall be used for initialisation of a DCH. The length of the power control preamble is a higher layer parameter,  $N_{\text{pcp}}$ , signalled by the network [5]. The UL DPCCH shall take the same slot format in the power control preamble as afterwards, as given in table 2. When  $N_{\text{pcp}} > 0$  the pilot patterns of table 3 and table 4 shall be used. The timing of the power control preamble is described in [5], subclause 4.3.2.3. The TFCI field is filled with "0" bits.