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**Biological evaluation of medical
devices —**

Part 12:
**Sample preparation and reference
materials**

iTeh STANDARD PREVIEW
Évaluation biologique des dispositifs médicaux —
(standards.iteh.ai) Partie 12: Préparation des échantillons et matériaux de référence

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 10993-12 was prepared by Technical Committee ISO/TC 194, *Biological evaluation of medical devices*.

This second edition cancels and replaces the first edition (ISO 10993-12:1996), which has been technically revised.

ISO 10993 consists of the following parts, under the general title *Biological evaluation of medical devices*:

- *Part 1: Evaluation and testing*
- *Part 2: Animal welfare requirements*
- *Part 3: Tests for genotoxicity, carcinogenicity and reproductive toxicity*
- *Part 4: Selection of tests for interactions with blood*
- *Part 5: Tests for in vitro cytotoxicity*
- *Part 6: Tests for local effects after implantation*
- *Part 7: Ethylene oxide sterilization residuals*
- *Part 8: Selection and qualification of reference materials for biological tests*
- *Part 9: Framework for identification and quantification of potential degradation products*
- *Part 10: Tests for irritation and delayed-type hypersensitivity*
- *Part 11: Tests for systemic toxicity*
- *Part 12: Sample preparation and reference materials*
- *Part 13: Identification and quantification of degradation products from polymeric medical devices*
- *Part 14: Identification and quantification of degradation products from ceramics*

- *Part 15: Identification and quantification of degradation products from metals and alloys*
- *Part 16: Toxicokinetic study design for degradation products and leachables*
- *Part 17: Establishment of allowable limits for leachable substances*

Future parts will deal with other relevant aspects of biological testing.

This corrected version of ISO 10993-12:2002 incorporates a correction in 10.3.4, in which a note clarifies use of other media in some countries.

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Introduction

This part of ISO 10993 specifies methods of sample preparation and the selection of reference materials in the biological evaluation of medical devices. Because ISO 10993 describes many different biological assay systems, the individual parts should be consulted to ascertain if these recommendations are appropriate for specific test systems.

Sample preparation methods should be appropriate for both the biological evaluation methods and the materials being evaluated. Each biological test method requires the selection of materials, extraction solvents and conditions.

This part of ISO 10993 is based on existing national and international specifications, regulations and standards wherever possible. It is periodically reviewed and revised.

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Biological evaluation of medical devices —

Part 12:

Sample preparation and reference materials

1 Scope

This part of ISO 10993 specifies requirements and gives guidance on the procedures to be followed in the preparation of samples and the selection of reference materials for medical devices testing in biological systems in accordance with one or more parts of the ISO 10993 series.

Specifically, this part of ISO 10993 addresses:

- test material selection;
- selection of representative portions from a device;
- test sample preparation;
- experimental controls;
- selection of and requirements for reference materials; and
- preparation of extracts.

The applicability of this part of ISO 10993 to absorbable materials, materials that polymerize *in situ*, tissue-engineered medical products and materials of biological origin should be carefully evaluated.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 10993-1:1997, *Biological evaluation of medical devices — Part 1: Evaluation and testing*

ISO 14971, *Medical devices — Application of risk management to medical devices*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1

accelerated extraction

extraction that provides a measure of the hazard potential of the device or material using conditions that shorten the time for leaching of the substances into the medium

NOTE 1 Examples of accelerated extraction conditions are elevated temperature, agitation, changing medium, etc.

NOTE 2 Accelerated extraction will not result in a chemical change in the substances being extracted.

3.2

blank

extraction medium not containing the test material, retained in a vessel identical to that which holds the test material and subjected to identical conditions to which the test material is subjected during its extraction

NOTE The purpose of the blank is to evaluate possible confounding effects due to the extraction vessel, vehicle and extraction process.

3.3

certified reference material

CRM

reference material, accompanied by a certificate, one or more of whose property values are certified by a procedure which establishes its traceability to an accurate realization of the unit in which the property values are expressed, and for which each certified value is accompanied by an uncertainty at a stated level of confidence

[ISO Guide 30]

NOTE Standard Reference Material (SRM) is a trademark of the National Institute of Standards and Technology, Gaithersburg, MD, USA.

3.4

exaggerated extraction

any extraction that is intended to result in a greater amount of a chemical constituent being released as compared to the amount generated under simulated-use conditions

NOTE Exaggerated extraction is not intended to result in a chemical change of the material or the substances being extracted (see 10.3).

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3.5

experimental control

substance with well characterized responses which is used in a specific test system to assist in evaluating whether the test system has responded in a reproducible and appropriate manner

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3.6

extract

liquid that results from extraction of test material or control

3.7

homogeneous

property of a material and its relationship to a biological endpoint such that it is of uniform structure or composition to consistently render or not a specific biological response

NOTE The reference material is said to be homogeneous if the biological response to a specific test is found to lie within the specified uncertainty limits of the test, irrespective of the batch or lot of material from which the test sample is removed.

3.8

negative control

any well characterized material, which when tested by a specific procedure, demonstrates the suitability of the procedure to yield a reproducible, appropriately negative, non-reactive or minimal response in the test system

NOTE In practice, negative controls include blanks, vehicles/solvents and reference materials.

3.9

positive control

any well characterized material, which when evaluated by a specific test method, demonstrates the suitability of the test system to yield a reproducible, appropriately positive or reactive response in the test system

3.10**reference material****RM**

material with one or more property values that are sufficiently reproducible and well established to enable use of the material or substance for the calibration of an apparatus, the assessment of a measurement method, or for the assignment of values to materials

[ISO Guide 30]

NOTE For the purposes of this part of ISO 10993, a reference material is any well characterized material or substance, which when tested by the procedure described, demonstrates the suitability of the procedure to yield a reproducible, predictable response. The response may be negative or positive.

3.11**simulated-use extraction**

extraction of a test material or sample with an appropriate medium and under conditions that simulate product use, for the purpose of evaluating its potential hazard to the patient or user during its routine clinical use

3.12**stability of property values**

ability of a material, when stored under specified conditions, to maintain a specific stated biological response, within specified limits, for a specific period of time

[ISO Guide 30]

3.13**test material**

material, device, device portion, or component thereof subject to biological testing

3.14**test sample**

test material or extract subject to biological testing

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4 Experimental controls

Experimental controls shall be used in biological evaluations to validate a test procedure and/or to compare the results between materials. Depending on the biological test, negative controls, blanks and/or positive controls shall be used as is appropriate to the test.

NOTE The same type of control may be applicable to different tests and may allow cross-reference to other established materials and test methods. Additional guidance on the selection of experimental controls is given in Annex A. Use of positive controls for *in vivo* testing may be affected by animal welfare regulations.

5 Reference materials**5.1 General**

Reference materials (RMs) are established by individual laboratories. The extent of chemical, physical and biological characterization is determined by the individual laboratory. Commercially available articles may be used as RMs.

Certified Reference Materials (CRMs) are selected for their high purity, critical characteristics, suitability for the intended purpose and general availability. The critical chemical, physical and biological characteristics shall be determined by collaborative testing in three or more laboratories, and made available to the investigator by the distributor.

NOTE It is desirable for users to obtain a commitment from suppliers of RMs or CRMs that these materials will be available to the user for at least 5 years. A second, but less desirable, option is for the source of the RM or CRM to publish an "open formulation" for the material, i.e. publication of the source materials and details of the processing needed to insure uniform batches of the RM.

5.2 Certification of RMs for biological safety testing

5.2.1 Qualification of an RM is a procedure that establishes the numerical or qualitative value of the biological response of the material under test conditions specified, ensuring reproducibility of the response within and/or between laboratories. The range of biological responses associated with the material shall be established through laboratory tests.

5.2.2 Suppliers of RMs certify the materials. The supplier determines the extent of chemical and physical characterization that is performed. The individual laboratories that use the RMs identify the biological characterization necessary to qualify an RM for a specific test or procedure. Commercially available materials may be used as RMs providing they are certified and qualified.

5.2.3 Certification of an RM is a procedure that establishes the numerical or qualitative value of the biological response of the material under the specified test conditions. This process serves to validate the testing of the material for that particular response and results in the issuance of a certificate. The biological response of the material shall be established through interlaboratory tests.

6 Use of RMs as experimental controls

6.1 RMs or CRMs shall be used in biological tests as control materials to demonstrate the suitability of a procedure to yield a reproducible response, such as either positive and/or negative. Any material used in this way shall be characterized with each biological test procedure for which the use of the material is desired. A material characterized and then certified for one reference test method or response, e.g. delayed-type hypersensitivity, shall not be used as an RM for another, e.g. cytotoxicity, without additional validation.

Use of an RM facilitates the comparability of the response between laboratories and assists in assessing reproducibility of test performance within individual laboratories. For comparison of the biological response, it is desirable to use RMs having a range of responses, e.g. minimum, intermediate or severe.

6.2 RMs used as experimental controls shall meet the established quality assurance procedures of the manufacturer and test laboratory. They shall be identified as to source, manufacturer, grad, and type. RMs are processed in accordance with Clause 8.

6.3 When RMs are used as experimental controls, they shall be in the same material class as the test sample, i.e. polymer, ceramic, metal, colloid, etc. However, pure chemicals may be used as experimental controls for mechanistically based test procedures, e.g. genotoxicity and immune delayed-type hypersensitivity assays.

7 Test material selection

7.1 Testing shall be performed on the final product, or representative samples from the final products, or materials processed in the same manner as the final product (see ISO 10993-1).

7.2 The same test material selection procedure applies when an extract is required.

8 Test sample and RM preparation

8.1 Test samples and RMs shall be handled with care to prevent contamination. Any residues from the manufacturing processes shall be considered to be integral to the device, device portion or component.

NOTE For additional guidance on preparation, see Annex B.

8.1.1 Test samples from sterilized devices and RMs shall be handled aseptically if appropriate to the test procedure.

8.1.2 Test samples from a device which is normally supplied non-sterile, but which requires sterilization prior to use, shall be sterilized by the method recommended by the manufacturer and handled aseptically if appropriate to the test procedure.

8.1.3 If test samples are cleaned prior to sterilization, the influence of the cleaning process and cleaning agent shall be considered in the selection and handling of the test sample.

8.2 If sterile test samples are required for the test procedure, the effect of the sterilization or resterilization process on the test sample and RMs shall be considered.

8.3 When test samples and RMs need to be cut into pieces as described in 10.3.2.2, the influence of previously unexposed surfaces, e.g. lumens or cut surfaces, shall be considered. Tools used for cutting medical devices into representative portions for testing shall be clean to prevent contamination.

9 Selection of representative portions from a device

9.1 If a device cannot be tested as a whole, each individual material in the final product shall be represented proportionally in the test sample.

9.1.1 The test sample of devices with surface coatings shall include both coating material and the substrate.

9.1.2 The test sample shall include a representative portion of the joint and/or seal if adhesives, radio frequency (RF) seals, or solvent seals are used in the manufacture of a portion of the device which contacts patients.

9.2 Composite materials shall be tested as finished materials.

9.3 When different materials are present in a single device, the potential for synergies and interactions shall be considered in the choice of test sample.

9.4 The test sample shall be chosen to maximize the exposure of the test system to the components of a device that are known to have a potential for a biological response.

10 Preparation of extracts of samples

10.1 General

If extracts of the device are required for a test procedure, the extraction media and conditions of extraction used shall be appropriate to the nature and use of the final product and to the purpose of the test, e.g. hazard identification, risk estimation, or risk assessment. The physicochemical properties of the device materials, leachable substances, or residues shall be considered when choosing the extraction conditions.

NOTE For additional guidance on the extraction of samples, see Annex C.

10.2 Containers for extraction

10.2.1 The extraction shall be performed in clean, chemically inert, closed containers with minimum headspace.

10.2.2 To ensure that the extraction vessels do not adulterate the extract of the test materials, the extraction vessels shall be

- a) borosilicate glass tubes with caps having an inert liner [e.g. poly(tetrafluoroethylene)],
- b) other inert extraction vessels as required for specific materials and/or extraction procedures.