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Systems and software engineering — Systems and software Quality Requirements and Evaluation (SQuaRE) — Evaluation process

Ingénierie des systèmes et du logiciel — Exigences de qualité et évaluation des systèmes et du logiciel (SQuaRE) — Modèle de référence d'évaluation et guide

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electro technical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 25040 is part of the SQuaRE series of standards and was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 7, *Software and systems engineering*.

Introduction

As the use of information technology grows, the number of critical computer systems also grows. Such systems include, for example, security critical, life critical, economically critical and safety critical systems. The quality of software in these systems is particularly important because software faults can lead to serious consequences.

Evaluation is the systematic determination of the extent to which an entity meets its specified criteria. The evaluation of software product quality is vital to both the acquisition and development of software. The relative importance of the various characteristics of software quality depends on the intended usage or objectives of the system of which the software is a part; software products need to be evaluated to decide whether relevant quality characteristics meet the requirements of the system.

This document is part of the SQuaRE series of standards and contains general requirements for software product quality evaluation as well as clarifies the associated general concepts.

The general goal of creating the SQuaRE set of standards is to move to a logically organized, enriched and unified series covering two main processes: software quality requirements specification and software quality evaluation, supported by a software quality measurement process. The purpose of the SQuaRE set of standards is to assist those developing and acquiring software products with the specification and evaluation of quality requirements. It establishes criteria for the specification of software product quality requirements, their measurement, and evaluation. It includes a quality model for aligning customer definitions of quality with attributes of the development process. In addition, the series provides recommended measures of software product quality attributes that can be used by developers, acquirers, and evaluators.

SQuaRE provides

- terms and definitions,

- reference models,

- general guide,

- individual division guides, and

- standards for requirements specification, planning and management, measurement and evaluation purposes.

SQuaRE includes International Standards on quality model and measures, as well as on quality requirements and evaluation.

SQuaRE replaces the current ISO/IEC 9126 series and the ISO/IEC 14598 series.

This International Standard is intended to be used in conjunction with the other parts of the SQuaRE series of standards, and with the ISO/IEC 14598 series and the ISO/IEC 9126 series until superseded by the ISO/IEC 25000 series of standards.

The SQuaRE series of standards consists of the following divisions under the general title *Systems and software product Quality Requirements and Evaluation:*

- ISO/IEC 2500n - *Quality Management Division,*

- ISO/IEC 2501n - *Quality Model Division*,

- ISO/IEC 2502n - *Quality Measurement Division*,

- ISO/IEC 2503n - *Quality Requirements Division*, and

- ISO/IEC 2504n - *Quality Evaluation Division*.

Annex A provides an explanation on levels of evaluation, aspects to be considered when defining evaluation levels and suggestions on evaluation techniques to be applied according to the rank of evaluation level.

Annex B provides examples of evaluation methods.

Annex C provides a table showing relationships between some evaluation methods, possible cost rank and effectiveness per software quality characteristics.

Annex D provides relationships between the software product quality evaluation process reference model and the software and system life cycle processes.

Annex E provides an example template of an evaluation report.

Annex F provides the diagrams of inputs, outcomes, constraints and resources for each evaluation activity.

Figure 1 illustrates the organization of the SQuaRE series representing families of standards, further called Divisions.
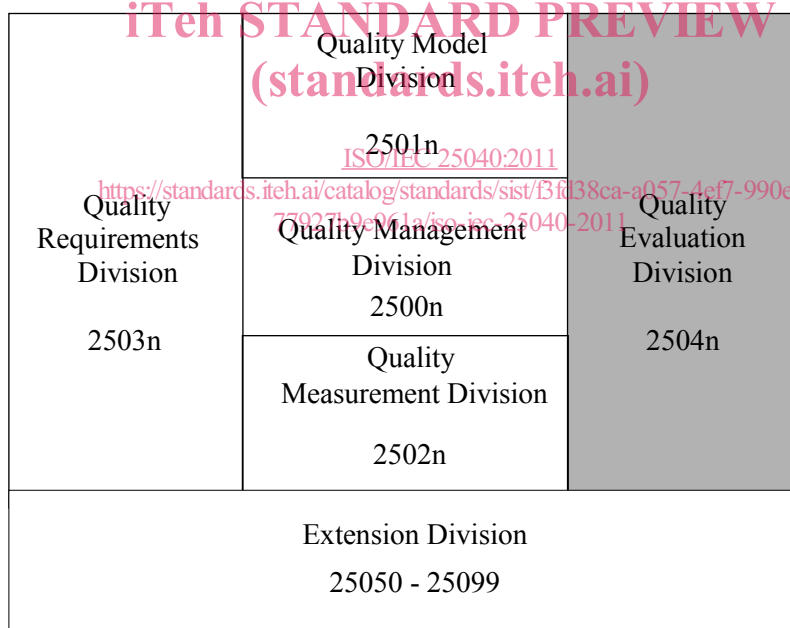
Figure 1 — Organization of the SQuaRE series of International Standards

The Divisions within the SQuaRE model are as follows.

- **ISO/IEC 2500n - Quality Management Division**. The International Standards that form this division define all common models, terms and definitions referred to by all other standards from the SQuaRE series. Referring paths (guidance through SQuaRE documents) and high-level practical suggestions in applying proper standards to specific application cases offer help to all types of users. The division also provides requirements and guidance for a supporting function which is responsible for the management of software product requirements, specification and evaluation.

- **ISO/IEC 2501n - Quality Model Division**. The International Standard that forms this division presents detailed quality models for software, quality in use and data. Practical guidance on the use of the quality model is also provided.

- **ISO/IEC 2502n - Quality Measurement Division**. The International Standards that form this division include a software product quality measurement reference model, mathematical definitions of quality measures, and practical guidance for their application. This division presents internal measures of software quality, external measures of software quality and quality in use measures. Quality measure elements (QME) forming foundations for the latter measures are defined and presented.

- **ISO/IEC 2503n - Quality Requirements Division**. The International Standard that forms this division helps specifying quality requirements. These quality requirements can be used in the process of quality requirements, elicitation for a software product to be developed or as inputs for an evaluation process. The requirements definition process is mapped to technical processes defined in ISO/IEC 15288.

- **ISO/IEC 2504n - Quality Evaluation Division**. The International Standards that form this division provide requirements, recommendations and guidelines for software product evaluation, whether performed by independent evaluators, acquirers or developers. The support for documenting a measure as an evaluation module is also presented.

ISO/IEC 25050 to ISO/IEC 25099 are reserved to be used for SQuaRE extension International Standards and/or Technical Reports.

This International Standard is part of the 2504n series on quality evaluation division that currently consists of the following International Standards:

- **ISO/IEC 25040 - Evaluation process**: contains general requirements for specification and evaluation of software quality and clarifies the general concepts. Provides a process description for evaluating quality of software product and states the requirements for the application of this process. The evaluation process is the basis for software product quality evaluation for different purposes and approaches. Therefore, the process can be used for the evaluation of quality in use, external measure of software quality and internal measure of software quality and can be applied to evaluate the quality of pre-developed software or custom software during its development process. The software product quality evaluation can be conducted, for instance, by an acquirer, a developer organization, or an independent evaluator.

- **ISO/IEC 25041 - Evaluation guides for developers, acquirers and evaluators**: contains specific requirements and recommendations for developers, acquirers and evaluators.

- **ISO/IEC 25042 - Evaluation modules**: defines the structure and content of the documentation to be used to describe an evaluation module. These evaluation modules contain the specification of the quality model (i.e. characteristics, subcharacteristics and corresponding internal, external or quality in use measures), the associated data and information about the planned application of the model and the information about its actual application. Appropriate evaluation modules are selected for each evaluation. In some cases it may be necessary to develop new evaluation modules. Guidance for developing new evaluation modules is found in ISO/IEC 25042. This International Standard can also be used by organizations producing new evaluation modules.

- **ISO/IEC 25045 - Evaluation module for recoverability**: provides the specification to evaluate the subcharacteristic of recoverability defined under the characteristic of reliability of the quality model. It determines the external measures of software quality of resiliency and autonomic recovery index when the information system composed of one or more software products' execution transactions is subjected to a series of disturbances. A disturbance could be an operational fault (e.g. an abrupt shutdown of an OS process that brings down a system) or an event (e.g. a significant increase of users to the system).

ISO/IEC 25040 is a revised version and replaces the current ISO/IEC 14598-1.

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Systems and software engineering — Systems and software Quality Requirements and Evaluation (SQuaRE) — Evaluation process

1 Scope

This International Standard contains requirements and recommendations for the evaluation of software product quality and clarifies the general concepts. It provides a process description for evaluating software product quality and states the requirements for the application of this process. The evaluation process can be used for different purposes and approaches. The process can be used for the evaluation of the quality of pre-developed software, commercial-off-the-shelf software or custom software and can be used during or after the development process.

This International Standard establishes the relationship of the evaluation reference model to the SQuaRE documents as well as shows how each SQuaRE document should be used during the activities of the evaluation process.

It is intended for those responsible for software product evaluation and is appropriate for developers, acquirers and independent evaluators of software products. These three different approaches are detailed in ISO/IEC 14598-3, ISO/IEC 14598-4, and ISO/IEC 14598-5.

It is not intended for evaluation of other aspects of software products (such as functional requirements, process requirements, business requirements, etc.).

2 Conformance

Evaluation of software product quality conforms to this International Standard if it complies with the requirements of Clause 6.

3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

There are no normative references in this document.

4 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

4.1
acquirer
individual or organization that acquires or procures a system, software product or software service from a supplier

NOTE   Adapted from ISO/IEC 12207:2008.

4.2
analysis model
algorithm or calculation combining one or more base and/or derived measures with associated decision criteria

4.3
attribute
inherent property or characteristic of an entity that can be distinguished quantitatively or qualitatively by human or automated means

NOTE 1   Adapted from ISO/IEC 15939:2007.

NOTE 2   ISO 9000 distinguishes two types of attributes: a permanent characteristic existing inherently in something; and an assigned characteristic of a product, process or system (e.g. the price of a product, the owner of a product). The assigned characteristic is not an inherent quality characteristic of that product, process or system.

4.4
attribute for quality measure
attribute that relates to software product itself, to the use of the software product or to its development process

NOTE   Attributes for quality measure are used in order to obtain quality measure elements.

4.5
base measure
measure defined in terms of an attribute and the method for quantifying it

NOTE 1   A base measure is functionally independent of other measures.

NOTE 2   Adapted from the *International Vocabulary of Basic and General Terms in Metrology*, 1993.

[ISO/IEC 15939:2007]

4.6
commercial-off-the-shelf software product
software product defined by a market-driven need, commercially available, and whose fitness for use has been demonstrated by a broad spectrum of commercial users

4.7
context of use
users, tasks, equipment (hardware, software and materials), and the physical and social environments in which a product is used

[ISO 9241-11:1998]

4.8
custom software
software product developed for a specific application from a user requirements specification

4.9
data
collection of values assigned to base measures, derived measures and/or indicators

[ISO/IEC 15939:2007]

4.10
decision criteria
thresholds, targets, or patterns used to determine the need for action or further investigation, or to describe the level of confidence in a given result.

[ISO/IEC 15939:2007]

4.11
derived measure
measure that is defined as a function of two or more values of base measures

[ISO/IEC 15939:2007]

NOTE 1   Adapted from the *International Vocabulary of Basic and General Terms in Metrology*, 1993.

NOTE 2   A transformation of a base measure using a mathematical function can also be considered as a derived measure.

4.12
developer
individual or organization that performs development activities (including requirements analysis, design, testing through acceptance) during the software life-cycle process

NOTE   Adapted from the definition in ISO/IEC 12207:2008.

4.13
division of standards
division forms a family of standards serving complementary purposes

4.14
end user
individual person who ultimately benefits from the outcomes of the system

NOTE   The end user can be a regular operator of the software product or a casual user such as a member of the public.

4.15
entity
object that is to be characterized by measuring its attributes

EXAMPLE   An object can be a process, product, project, or resource.

[ISO/IEC 15939:2007]

4.16
evaluation
systematic determination of the extent to which an entity meets its specified criteria

[ISO/IEC 12207:2008]

4.17
evaluation coverage
degree to which the evaluation covers the specified software product quality requirements

4.18
evaluation level
rigour to be applied during the evaluation that defines the depth or thoroughness of the evaluation in terms of evaluation techniques to be applied and evaluation results to be achieved

4.19
evaluation method
procedure describing actions to be performed by the evaluator in order to obtain results for the specified measurement applied to the specified product components or on the product as a whole

4.20
evaluation module
package of evaluation technology for measuring software quality characteristics, subcharacteristics or attributes

NOTE   The package includes evaluation methods and techniques, input to be evaluated, data to be measured and collected and supporting procedures and tools.

4.21
evaluation records
documented objective evidence of all activities performed and of all results achieved within the evaluation process

4.22
evaluation requester
person or organization that requests an evaluation

4.23
evaluation tool
instrument that can be used during evaluation to collect data, to perform interpretation of data or to automate part of the evaluation

NOTE   Examples of such tools are source code analysers to compute code metrics, CASE tools to produce formalized models, test environments to run the executable programs, checklists to collect inspection data or spreadsheets to produce syntheses of measures.

4.24
evaluation stringency
degree required for the software product quality characteristics and subcharacteristics to fulfil the expected use criticality of the software product

4.25
evaluator
individual or organization that performs an evaluation

4.26
failure
termination of the ability of a product to perform a required function or its inability to perform within previously specified limits

NOTE   Adapted from IEEE 610.12-1990.

4.27
fault
incorrect step, process or data definition in a computer program

[IEEE 610.12-1990]

4.28
functional requirement
requirement that specifies a function that a system or system component must be able to perform

[IEEE 610.12-1990]

NOTE   The software quality characteristic "functionality" can be used to specify or evaluate the suitability, accuracy, interoperability, security and compliance of a function.

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77927b9e961a/iso-iec-25040-2011

4.29
implied needs
needs that may not have been stated but are actual needs

NOTE   Some implied needs only become evident when the software product is used in particular conditions.

EXAMPLE   Implied needs include: needs not stated but implied by other stated needs and needs not stated because they are considered to be evident or obvious.

4.30
independent evaluator
individual or organization that performs an evaluation independently from developers and acquirers

NOTE   The individual or organization acting as developer or acquirer for the target system to be evaluated cannot become the independent evaluator for the system. The independent evaluator can be an organization. Independent evaluators can belong to the same organization as the developers as long as they are independent from developers and acquirers.

4.31
indicator
measure that provides an estimate or evaluation of specified attributes derived from a model with respect to defined information needs

[ISO/IEC 15939:2007]

NOTE   In ISO/IEC 14598-1 this definition was "a measure that can be used to estimate or predict another measure".

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4.32
information need
insight necessary to manage objectives, goals, risks, and problems

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[ISO/IEC 15939:2007]

4.33
information product
one or more indicators and their associated interpretations that address an information need

EXAMPLE   A comparison of a measured defect rate to planned defect rate along with an assessment of whether or not the difference indicates a problem.

[ISO/IEC 15939:2007]

4.34
information system needs
needs that can be specified as quality requirements by external measures and sometimes by internal measures

4.35
intermediate software product
product of the software development process that is used as input to another stage of the software development process

EXAMPLE   Intermediate software products can include static and dynamic models, other documents and source code.

4.36
intermediate software product needs
needs that can be specified as quality requirements by internal measures

4.37
maintainer
individual or organization that performs maintenance activities

NOTE   Adapted from ISO/IEC 12207:2008.

4.38
measure, noun
variable to which a value is assigned as the result of measurement

NOTE 1   The term "measures" is used to refer collectively to base measures, derived measures, and indicators.

NOTE 2   Adapted from ISO/IEC 14598-1:1999.

4.39
measure, verb
make a measurement

[ISO/IEC 14598-1:1999]

4.40
measurement
set of operations having the object of determining a value of a measure

[ISO/IEC 15939:2007]

NOTE 1   Adapted from the *International Vocabulary of Basic and General Terms in Metrology*, 1993.

NOTE 2   Measurement can include assigning a qualitative category such as the language of a source program (ADA, C, COBOL, etc.).

4.41
measurement function
algorithm or calculation performed to combine two or more base measures

[ISO/IEC 15939:2007]

4.42
measurement method
logical sequence of operations, described generically, used in quantifying an attribute with respect to a specified scale

[ISO/IEC 15939:2007]

NOTE   Adapted from the *International Vocabulary of Basic and General Terms in Metrology*, 1993.

4.43
measurement procedure
set of operations, described specifically, used in the performance of a particular measurement according to a given method

[ISO/IEC 15939:2007]

NOTE   Adapted from the *International Vocabulary of Basic and General Terms in Metrology*, 1993.

4.44
measurement process
process for establishing, planning, performing and evaluating software measurement within an overall project or organizational measurement structure

NOTE   Adapted from ISO/IEC 15939:2007.

4.45
observation
instance of applying a measurement procedure to produce a value for a base measure

[ISO/IEC 15939:2007]

4.46
operator
individual or organization that operates the system

NOTE   Adapted from ISO/IEC 12207:2008.

4.47
process
system of activities, which uses resources to transform inputs into outputs

NOTE   Adapted from ISO 9000:2005.

4.48
quality in use (measure)
the extent to which a product used by specific users meets the users' needs to achieve specific goals with effectiveness, productivity, safety and satisfaction in specific contexts of use

4.49
quality measure elements
measure, which is either a base measure or a derived measure, that is used for constructing software quality measures

NOTE   The software quality characteristic or subcharacteristic of the entity is derived afterwards by calculating a software quality measure.

4.50
quality model
defined set of characteristics, and of relationships between them, which provides a framework for specifying quality requirements and evaluating quality

4.51
rating
action of mapping the measured value to the appropriate rating level and used to determine the rating level associated with the software product for a specific quality characteristic

4.52
rating level
scale point on an ordinal scale, which is used to categorize a measurement scale

NOTE 1   The rating level enables the software product to be classified (rated) in accordance with the stated or implied needs.

NOTE 2   Appropriate rating levels may be associated with the different views of quality, i.e. users', managers' or developers'.

4.53
requirements
expression of a perceived need that something be accomplished or realized

NOTE   The requirements can be specified as part of a contract, or specified by the development organization, as when a product is developed for unspecified users, such as consumer software, or the requirements can be more general, as when a user evaluates products for comparison and selection purpose.