

## SLOVENSKI STANDARD oSIST prEN ISO 14708-5:2019

01-marec-2019

Vsadki (implantati) za kirurgijo - Aktivni medicinski pripomočki za vsaditev - 5. del: Naprave za podporo cirkulacije (ISO/DIS 14708-5:2019)

Implants for surgery - Active implantable medical devices - Part 5: Circulatory support devices (ISO/DIS 14708-5:2019)

Chirurgische Implantate - Aktive implantierbare medizinische Geräte - Teil 5: Besondere Anforderungen an Kreislaufunterstützungssysteme (ISO/DIS 14708-5:2019)

(standards.iteh.ai) Implants chirurgicaux - Dispositifs médicaux implantables actifs - Partie 5: Appareils annexes circulatoires (ISO/DIS 14708-5:2019) 14708-5:2020

https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-

Ta slovenski standard je istoveten z: prEN ISO 14708-5-2020

ICS:

11.040.40 Implantanti za kirurgijo,

protetiko in ortetiko

Implants for surgery, prosthetics and orthotics

oSIST prEN ISO 14708-5:2019 en,fr,de

oSIST prEN ISO 14708-5:2019

# iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>kSIST FprEN ISO 14708-5:2020</u> https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020

# DRAFT INTERNATIONAL STANDARD ISO/DIS 14708-5

ISO/TC **150**/SC **6** Secretariat: **ANSI** 

Voting begins on: Voting terminates on:

2019-01-08 2019-04-02

## Implants for surgery — Active implantable medical devices —

### Part 5:

## Circulatory support devices

Implants chirurgicaux — Dispositifs médicaux implantables actifs — Partie 5: Appareils annexes circulatoires

ICS: 11.040.40

## iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>kSIST FprEN ISO 14708-5:2020</u> https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020

THIS DOCUMENT IS A DRAFT CIRCULATED FOR COMMENT AND APPROVAL. IT IS THEREFORE SUBJECT TO CHANGE AND MAY NOT BE REFERRED TO AS AN INTERNATIONAL STANDARD UNTIL PUBLISHED AS SUCH.

IN ADDITION TO THEIR EVALUATION AS BEING ACCEPTABLE FOR INDUSTRIAL, TECHNOLOGICAL, COMMERCIAL AND USER PURPOSES, DRAFT INTERNATIONAL STANDARDS MAY ON OCCASION HAVE TO BE CONSIDERED IN THE LIGHT OF THEIR POTENTIAL TO BECOME STANDARDS TO WHICH REFERENCE MAY BE MADE IN NATIONAL REGULATIONS.

RECIPIENTS OF THIS DRAFT ARE INVITED TO SUBMIT, WITH THEIR COMMENTS, NOTIFICATION OF ANY RELEVANT PATENT RIGHTS OF WHICH THEY ARE AWARE AND TO PROVIDE SUPPORTING DOCUMENTATION.

This document is circulated as received from the committee secretariat.

## ISO/CEN PARALLEL PROCESSING



Reference number ISO/DIS 14708-5:2019(E)

# iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>kSIST FprEN ISO 14708-5:2020</u> https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020



#### **COPYRIGHT PROTECTED DOCUMENT**

© ISO 2019

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office CP 401 • Ch. de Blandonnet 8 CH-1214 Vernier, Geneva Phone: +41 22 749 01 11 Fax: +41 22 749 09 47 Email: copyright@iso.org Website: www.iso.org

Published in Switzerland

Coi	ntents		Page
Fore	word		vi
Intro	oduction	L	vii
1	Scope		1
2	-	ative references	
3		s and definitions	
4	Symb	ols and abbreviations	7
5	Gener	ral requirements for ACTIVE IMPLANTABLE MEDICAL DEVICES	7
6	Requi	rements for particular ACTIVE IMPLANTABLE MEDICAL DEVICES	7
	6.101	Intended clinical use/indications	7
	6.102	System description	
		6.102.1 General	
		6.102.2 System configuration	9
	( 102	6.102.3 System performance and operating limits	9
	6.103	Design analysis	9
	(104	6.103.1 Human factors analysis	
		Risk analysis	
	6.105	Human factors	11
	6.106	In vitro design evaluation and system performance testing	11
		6.106.1 UDJective	11
		6.106.2 System characterization 6.106.3 Subsystem component testing ten.al	11 1.1
	6 107	Electromagnetic compatibility	14
	6.100	Materials qualifications: FprEN-180-14708-5-2020 Biocompatibilitys: itch: ai/eatalog/standards/sist/30ca1e65-84a5-47df-97a2-	19
	6.107	Dynamic haemolysis 2b043ac/ksist-foren-iso-14708-5-2020	19
	6 111	Environmental testing	19
		In vivo evaluation	
	0.112	6.112.1 Objective	
		6.112.2 Definition of success or FAILURE	
		6.112.3 Test articles	
		6.112.4 Test system	
		6.112.5 Test equipment	
		6.112.6 Preoperative animal care	
		6.112.7 Implant procedure	
		6.112.8 Special instructions for early termination	
		6.112.9 Postoperative care	
		6.112.10	
		Anticoagulation	
		6.112.11	
		Adverse events	22
		6.112.12	
		System performance	22
		6.112.13	
		Measurement of physiological parameters	
		6.112.14	
		Clinical pathology	
		6.112.15	
		Necropsy and device retrieval	
		6.112.16	
		Macroscopic examination	
		6.112.17	
		Histological examination	

	6.112.18	
	Explanted device analysis	
	6.112.19  Data analysis	
	6.113 Reliability	24
	6.113.1 Clinical evaluation	
7	General arrangement of the packaging	25
8	General markings for active implantable medical devices	25
9	Markings on the sales packaging	25
10	Construction of the SALES PACKAGING	25
11	Markings on the sterile pack	25
12	Construction of the non-reusable pack	25
13	Markings on the active implantable medical device 13.101 Visual indications	<b>25</b>
14	Protection from unintentional biological effects being caused by the ACTIVE IMPLANTABLE MEDICAL DEVICE	<b>2</b> 5
15	Protection from HARM to the patient or user caused by external physical feature the ACTIVE IMPLANTABLE MEDICAL DEVICE	
16	Protection from HARM to the patient caused by electricity	26
17	Protection from HARM to the patient caused by heat PREVIEW	26
18	Protection from ionizing radiation released or emitted from the active implantamedical device	ıble 26
19	Protection from unintended effects caused by the ACTIVE IMPLANTABLE MEDICAL 19.101 Power supplytos://standards.itely.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2	
	19.101.18d2312b043ae/keist-fpren-iso-14708-5-2020  Power supply redundancy	
	19.101.2Power supply management	
20	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from damage caused by external defibrillators	27
21	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from changes caused by electrical fields applied directly to the patient	27
22	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from changes caused by miscellaneous medical treatments	27
23	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from mechanical forces	27
24	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from damage caused by electrostatic discharge	
25	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from damage caused by atmospheric pressure changes	27
26		
	Protection of the ACTIVE IMPLANTABLE MEDICAL DEVICE from damage caused by temperature changes	27
27	Protection of the active implantable medical device from electromagnetic non-	
	ionizing radiation	
	27.101 General 27.102 Test conditions	
	27.102.1	
	Acceptance criteria	28

### oSIST prEN ISO 14708-5:2019

### ISO/DIS 14708-5:2019(E)

	27.102.2	
	Test configuration and setup	
	27.102.3 Operating functions, modes and settings	
	27.102.4	
	Patient physiological simulation	
	27.102.5	
	Immunity test levels	
	27.103 RISK MANAGEMENT FILE and test report file documentation	
	27.104 Protection from static magnetic fields of flux density up to 50 mT	
	27.105 Protection from AC magnetic fields in the range of 1 kHz to 140 kHz.	
	27.106 Protection from proximity fields due to RF wireless communications equipment	
28	Accompanying documentation	
	28.101 Instructions for use	
	28.102 Patient ID card	
	28.103 Wireless documentation	33
Anne	x AA (informative) Relationship between the fundamental principles in ISO/	
	TR 14283[6] and the clauses of this document	34
Anne	x BB (informative) Rationale	52
Anne	x CC (informative) Pre-clinical in vitro / in silico evaluation	58
Anne	x DD (informative) Active implantable medical device hazards, associated failure	
	modes, and evaluation methods	62
Anno	x ZA (informative) Relationship between this European standard and the General	
Anne	Safety and Performance Requirements of Regulation (EU) 2017/745 aimed to be	
	covered	64
Biblic	ography kSIST FprEN ISO 14708-5:2020	73
	1 // 1 1 1.1 1/ 1 1 1/ 100 1 65 04 5 471007 0	_

https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020

#### Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="www.iso.org/directives">www.iso.org/directives</a>).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see <a href="https://www.iso.org/iso/foreword.html">www.iso.org/iso/foreword.html</a>. (standards.iteh.ai)

This document was prepared by Technical Committee ISO/TC 150, *Implants for surgery*, Subcommittee SC 6, *Active implants*. <a href="https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-">https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-</a>

This second edition cancels and replaces the first edition (ISO-14708-5:2010), which has been technically revised.

The main changes compared to the previous edition are as follows:

alignment to the revised ISO 14708-1:2014

A list of all parts in the ISO 14708 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a>.

#### Introduction

This document specifies requirements for SAFETY and performance of active implantable CIRCULATORY SUPPORT DEVICES. It amends and supplements ISO 14708-1:2014, hereinafter referred to as ISO 14708-1. The requirements of this document take priority over those of ISO 14708-1.

Heart failure (HF) is a major public health problem. It is estimated that worldwide more than 5 million people die per year due to heart failure. In addition, it accounts for a large portion of health care expenditure and rehospitalisation (AHA [24]). CIRCULATORY SUPPORT DEVICES are needed for promoting myocardial recovery following acute heart failure as well as long-term support until eventual transplantation or permanent therapy. CIRCULATORY SUPPORT DEVICES may be fully implanted, partially implanted, or delivered by percutaneous approach. The growth of heart failure is expected to increase with the aging population (Koelling TM et al,[19]).

The requirements of this document supplement or modify those of ISO 14708-1, *Implants for surgery* — *Active implantable medical devices* — *Part 1: General requirements for safety, marking and for information to be provided by the manufacturer.* 

Figures or tables that are additional to those of Part 1 are numbered starting from 101; additional annexes are lettered AA, BB, etc.

In this document, terms printed in small capital letters are used as defined in <u>Clause 3</u>. Where a defined term is used as a qualifier in another term, it is not printed in small capital letters unless the concept thus qualified is also defined.

Information is also provided in Annex AA that explains the relationship between ISO/TR 14283, Implants for surgery — Essential principles of safety and performance, ISO 14708-1 and this document.

<u>kSIST FprEN ISO 14708-5:2020</u> https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020 oSIST prEN ISO 14708-5:2019

# iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>kSIST FprEN ISO 14708-5:2020</u> https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020

## Implants for surgery — Active implantable medical devices —

#### Part 5:

## **Circulatory support devices**

#### 1 Scope

This document specifies requirements for SAFETY and performance of active implantable CIRCULATORY SUPPORT DEVICES, including type tests, animal studies and clinical evaluation requirements.

NOTE The device that is commonly referred to as an active implantable medical device can in fact be a single device, a combination of devices, or a combination of a device or devices and one or more accessories. Not all of these parts are required to be either partially or totally implantable, but there is a need to specify main requirements of non-implantable parts and accessories if they could affect the SAFETY or performance of the implantable device.

The tests that are specified in document are type tests and are to be carried out on a sample of a device to assess device behavioural responses and are not intended to be used for the routine testing of manufactured products the STANDARD PREVIEW

Included within the scope of this documentare(S.iteh.ai)

- VENTRICULAR ASSIST DEVICES (VAD), left or right heart support;
- TOTAL ARTIFICIATE HEARTS (STAIN); catalog/standards/sist/30ca1e65-84a5-47df-97a2-8d2312b043ae/ksist-fpren-iso-14708-5-2020
- BIVENTRICULAR ASSIST DEVICES (biVAD);
- percutaneous assist devices;
- paediatric assist devices.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

This clause of ISO 14708-1 applies except as follows:

Additional references

IEC 60601-1, Medical electrical equipment — Part 1: General requirements for basic safety and essential performance

IEC 60601-1-2, Medical electrical equipment — Part 1-2: General requirements for basic safety and essential performance — Collateral standard: Electromagnetic compatibility — Requirements and tests

IEC 60601-1-6, Medical electrical equipment — Part 1-6: General requirements for basic safety and essential performance – Collateral standard: Usability

IEC 62304, Medical device software — Software life cycle processes

IEC 62366-1, Medical devices — Part 1: Application of usability engineering to medical devices

ISO 5840-1, Cardiovascular implants — Cardiac valve prostheses — Part 1: General requirements

ISO 5840-2, Cardiovascular implants — Cardiac valve prostheses — Part 2: Surgically implanted heart valve substitute

ISO 5840-3, Cardiovascular implants — Cardiac valve prostheses — Part 3: Heart valve substitutes implanted by transcatheter techniques

ISO 10993-1, Biological evaluation of medical devices — Part 1: Evaluation and testing within a risk management process

ISO 14708-1, Implants for surgery — Active implantable medical devices — Part 1: General requirements for safety, marking and for information to be provided by the manufacturer

ISO 14971, Medical devices — Application of risk management to medical devices

#### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 14708-1 and ISO 14971 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at <a href="http://www.electropedia.org/">http://www.electropedia.org/</a>

#### 3.101

#### accessory device

(standards.iteh.ai)

separate part of a circulatory support system that is not essential to the primary function of the circulatory support system <a href="https://ksistage.ncb/ksist

https://standards.iteh.ai/catalog/standards/sist/30ca1e65-84a5-47df-97a2-

Note 1 to entry: Examples are programming units, monitoring units and alternative Power source units.

#### 3.102

#### artificial (VAD) pulse rate

inverse of one period of a repeating sequence of speed changes intended to produce time variance in PUMP OUTPUT, in units of cycles per minute (applicable to ROTARY PUMPS)

#### 3.103

#### artificial valve

#### prosthetic valve

component of the circulatory support system that directs the unidirectional flow of the blood into and out of the pump

#### 3.104

#### atrial cuff

connector between the right or left atrial ring after resection of the natural ventricle and the inlet of the right or left blood pump in TOTAL ARTIFICIAL HEART replacement

#### 3.105

biVAD

#### biventricular assist device

configuration in which two VADs are used to support both ventricles respectively

#### 3.106

#### cavitation

sudden formation and collapse of low pressure bubbles in the blood by means of mechanical forces

#### 3.107

#### circulatory support device

electromechanical device that is used to partially or completely replace the left and/or right ventricular function of a failing heart

#### 3.108

#### clinical study

evaluation of a device in humans

#### 3.109

#### conduit

component of the circulatory support system that connects the pump to the patient's circulation

#### 3.110

#### controller

component of the circulatory support system that contains the logic, circuitry and/or software to control the driving mechanism that enables the system to perform its primary function

#### 3.111

#### diastolic pressure

arithmetic average of minimum pressures in a pulsatile pressure waveform over a sufficient number of cycles to filter out cyclic variation

#### 3.112

#### display

component of the circulatory support system that allows data pertaining to the operation of the system to be observed

## (standards.iteh.ai)

#### 3.113

#### dp/dt

time derivative of pressure giving the rate of change of pressure with respect to time

Note 1 to entry: dp/dt is expressed in millimetres of mercury per second, mmHg/s (kiloPascal per second [kPa/s] in SI units).

#### 3.114

#### dO/dt

time derivative of flow giving the rate of change of flow with respect to time

Note 1 to entry: dQ/dt is expressed in units of litres per minute per second.

#### 3.115

#### driveline

tube and/or cable that connects a driver or energy source to the pump

EXAMPLE The tube that connects a pneumatic console to a pneumatically driven pump.

#### 3.116

#### durability

ability of an item to perform a required function under given conditions of use and maintenance, until a limiting state is reached

Note 1 to entry: A limiting state of an item should be characterized by the end of the useful life, unsuitability for any economic or technological reasons, or other relevant factors.

#### 3.117

#### DUT

device under test

#### 3.118

#### ejection/fill

E/F

ratio between the ejection time period and the filling time period of the blood pump cycle

Note 1 to entry: E/F is identical to S/D (systolic/diastolic) when related to the natural heart.

#### 3.119

#### extracorporeal component

component or subsystem of the circulatory support system that is kept external to the patient (outside of the body)

#### 3.120

#### failure

termination of the ability of an item to perform a required function

Note 1 to entry: After failure, the item has a fault.

Note 2 to entry: "FAILURE" is an event, as distinguished from "FAULT", which is a state.

Note 3 to entry: This concept as defined does not apply to items consisting of software only.

#### 3.121

#### fault

state of an item characterized by inability to perform a required function, excluding the inability during preventive maintenance or other planned actions, or due to lack of external resources

Note 1 to entry: A fault is often the result of a failure of the item itself, but might exist without prior failure.

(standards.iteh.ai)

#### 3.122

#### fully implantable

implanted circulatory support system with no skin penetrations (i.e. PERCUTANEOUS LEAD)

### **3.123** 8d2312b043ae/ksist-fpren-iso-14708-5-2020

#### LABELLING/MARKING

any written, printed, electronic information, or graphical matter affixed to a medical device or any of its containers or wrappers, or accompanying the medical device related to identification, technical description and use, but excluding shipping documents

#### 3.124

#### **LVAD**

#### left ventricular assist device

circulatory support device that is intended to be used to support the left ventricle

#### 3.125

#### peak flow

maximum flow rate during ejection of blood from a pump into the host circulatory system

#### 3.126

#### peak pressure

maximum pressure generated by the circulatory support system

#### 3.127

#### percutaneous lead

LEAD (electrical or otherwise) that crosses the patient's skin to connect implantable parts of a circulatory support system to extracorporeal parts of the system

#### 3.128

#### power source

source of energy (battery, mains)

#### 3.129

#### pulsatile flow

characteristic of the output of a pump where the flow is time-dependent

#### 3.130

#### pulse pressure

difference between the systolic and DIASTOLIC PRESSURE readings.

Note 1 to entry: It represents the force that the heart generates each time it contracts.

#### 3.131

#### pump fill

filling phase of a VOLUME DISPLACEMENT pump

Note 1 to entry: Diastole is used to describe only the filling phase of the host's native ventricle(s).

#### 3.132

#### pump output

performance measure for a circulatory support system indicating the volume of blood pumped into the host circulatory system per minute

Note 1 to entry: The PUMP OUTPUT is expressed in litres per minute or its equivalent in other units.

#### 3.133

#### pump rotor speed

rotational speed of rotor expressed in revolutions per minute (RPM)

Note 1 to entry: This definition is only applicable to ROTARY PUMPS. (Standards.iteh.ai)

#### 3.134

#### pump stroke volume

performance measure for a circulatory support system indicating the volume pumped into the host circulatory system per beat by a pump with PULSATILE FLOW.

Note 1 to entry: The pump stroke volume is expressed in millilitres.

#### 3.135

#### pump volume

volumetric capacity of the pump

#### 3.136

#### pump displacement/

#### volume displacement

pump that imparts its pumping action by changing the volume of the pumping chamber

EXAMPLE By displacement of a diaphragm or pusher plate.

#### 3.137

#### reliability

probability that an item can perform a required function under given conditions for a given time interval (t1,t2) for a specified confidence level

Note 1 to entry: It is generally assumed that the item is in a state to perform this required function at the beginning of the time interval.

Note 2 to entry: The term "RELIABILITY" is also used to denote the RELIABILITY performance quantified by this probability [7].

#### 3.138

#### remote access device

device that will allow information from the system to be accessed from a remote location