



**SLOVENSKI STANDARD**  
**SIST ISO 6336-1:2008**

01-julij-2008

BUXca Yý U  
SIST ISO 6336-1:2002

---

nfU i b'bcg]bcgh]fUj bcncV\ ]b'dcýYj bcncV\ 'ncVb]\_cj '!%'XY.'Cgbcj Yži j UUbY  
]b'\_cYZVYbh

Calculation of load capacity of spur and helical gears - Part 1: Basic principles, introduction and general influence factors

Tragfähigkeitsberechnung von gerad- und schrägverzahnten Stirnrädern - Teil 1: Grundnorm, Einführung und allgemeine Einflussfaktoren

Calcul de la capacité de charge des engrenages cylindriques à dentures droite et hélicoïdale - Partie 1: Principes de base, introduction et facteurs généraux d'influence

**Ta slovenski standard je istoveten z: ISO 6336-1:2006**

---

**ICS:**

21.200      Gonila      Gears

**SIST ISO 6336-1:2008**      en,fr

**iTeh STANDARD PREVIEW**  
**(standards.iteh.ai)**

SIST ISO 6336-1:2008

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008>

Second edition  
2006-09-01

Corrected version  
2007-04-01

---

---

**Calculation of load capacity of spur and  
helical gears —**

Part 1:

**Basic principles, introduction and general  
influence factors**

iTeh STANDARD PREVIEW

*Calcul de la capacité de charge des engrenages cylindriques à  
dentures droite et hélicoïdale —*

*Partie 1: Principes de base, introduction et facteurs généraux  
d'influence* 6336-1:2008

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008>



Reference number  
ISO 6336-1:2006(E)

© ISO 2006

**PDF disclaimer**

This PDF file may contain embedded typefaces. In accordance with Adobe's licensing policy, this file may be printed or viewed but shall not be edited unless the typefaces which are embedded are licensed to and installed on the computer performing the editing. In downloading this file, parties accept therein the responsibility of not infringing Adobe's licensing policy. The ISO Central Secretariat accepts no liability in this area.

Adobe is a trademark of Adobe Systems Incorporated.

Details of the software products used to create this PDF file can be found in the General Info relative to the file; the PDF-creation parameters were optimized for printing. Every care has been taken to ensure that the file is suitable for use by ISO member bodies. In the unlikely event that a problem relating to it is found, please inform the Central Secretariat at the address given below.

**iTeh STANDARD PREVIEW**  
**(standards.iteh.ai)**

[SIST ISO 6336-1:2008](https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008)

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008>

© ISO 2006

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office  
Case postale 56 • CH-1211 Geneva 20  
Tel. + 41 22 749 01 11  
Fax + 41 22 749 09 47  
E-mail [copyright@iso.org](mailto:copyright@iso.org)  
Web [www.iso.org](http://www.iso.org)

Published in Switzerland

## Contents

Page

Foreword.....	vi
Introduction .....	vii
<b>1</b> <b>Scope .....</b>	<b>1</b>
<b>2</b> <b>Normative references .....</b>	<b>2</b>
<b>3</b> <b>Terms, definitions, symbols and abbreviated terms.....</b>	<b>2</b>
<b>4</b> <b>Basic principles .....</b>	<b>12</b>
<b>4.1</b> <b>Application .....</b>	<b>12</b>
4.1.1 Scuffing.....	12
4.1.2 Wear .....	12
4.1.3 Micropitting .....	12
4.1.4 Plastic yielding.....	12
4.1.5 Particular categories .....	12
4.1.6 Specific applications .....	12
4.1.7 Safety factors .....	13
4.1.8 Testing .....	15
4.1.9 Manufacturing tolerances .....	15
4.1.10 Implied accuracy.....	15
4.1.11 Other considerations.....	15
4.1.12 Influence factors .....	16
4.1.13 Numerical equations.....	18
4.1.14 Succession of factors in course of calculation.....	18
4.1.15 Determination of allowable values of gear deviations.....	18
<b>4.2</b> <b>Tangential load, torque and power.....</b>	<b>18</b>
4.2.1 Nominal tangential load, nominal torque and nominal power.....	18
4.2.2 Equivalent tangential load, equivalent torque and equivalent power.....	19
4.2.3 Maximum tangential load, maximum torque and maximum power.....	19
<b>5</b> <b>Application factor <math>K_A</math>.....</b>	<b>19</b>
<b>5.1</b> <b>Method A — Factor <math>K_{A-A}</math>.....</b>	<b>20</b>
<b>5.2</b> <b>Method B — Factor <math>K_{A-B}</math>.....</b>	<b>20</b>
<b>6</b> <b>Internal dynamic factor <math>K_V</math> .....</b>	<b>20</b>
<b>6.1</b> <b>Parameters affecting internal dynamic load and calculations.....</b>	<b>20</b>
6.1.1 Design .....	20
6.1.2 Manufacturing .....	21
6.1.3 Transmission perturbation.....	21
6.1.4 Dynamic response .....	21
6.1.5 Resonance.....	22
<b>6.2</b> <b>Principles and assumptions .....</b>	<b>22</b>
<b>6.3</b> <b>Methods for determination of dynamic factor .....</b>	<b>22</b>
6.3.1 Method A — Factor $K_{V-A}$ .....	22
6.3.2 Method B — Factor $K_{V-B}$ .....	23
6.3.3 Method C — Factor $K_{V-C}$ .....	23
<b>6.4</b> <b>Determination of dynamic factor using Method B: <math>K_{V-B}</math> .....</b>	<b>24</b>
6.4.1 Running speed ranges .....	24
6.4.2 Determination of resonance running speed (main resonance) of a gear pair <sup>3)</sup> .....	25
6.4.3 Dynamic factor in subcritical range ( $N \leq N_S$ ).....	27
6.4.4 Dynamic factor in main resonance range ( $N_S < N \leq 1,15N_S$ ).....	30

6.4.5	Dynamic factor in supercritical range ( $N \geq 1,5$ )	30
6.4.6	Dynamic factor in intermediate range ( $1,15 < N < 1,5$ )	30
6.4.7	Resonance speed determination for less common gear designs	31
6.4.8	Calculation of reduced mass of gear pair with external teeth	33
6.5	Determination of dynamic factor using Method C: $K_{v-C}$	34
6.5.1	Graphical values of dynamic factor using Method C	35
6.5.2	Determination by calculation of dynamic factor using Method C	39
7	Face load factors $K_{H\beta}$ and $K_{F\beta}$	39
7.1	Gear tooth load distribution	39
7.2	General principles for determination of face load factors $K_{H\beta}$ and $K_{F\beta}$	40
7.2.1	Face load factor for contact stress $K_{H\beta}$	40
7.2.2	Face load factor for tooth root stress $K_{F\beta}$	40
7.3	Methods for determination of face load factor — Principles, assumptions	40
7.3.1	Method A — Factors $K_{H\beta-A}$ and $K_{F\beta-A}$	41
7.3.2	Method B — Factors $K_{H\beta-B}$ and $K_{F\beta-B}$	41
7.3.3	Method C — Factors $K_{H\beta-C}$ and $K_{F\beta-C}$	41
7.4	Determination of face load factor using Method B: $K_{H\beta-B}$	41
7.4.1	Number of calculation points	41
7.4.2	Definition of $K_{H\beta}$	41
7.4.3	Stiffness and elastic deformations	42
7.4.4	Static displacements	45
7.4.5	Assumptions	45
7.4.6	Computer program output	45
7.5	Determination of face load factor using Method C: $K_{H\beta-C}$	45
7.5.1	Effective equivalent misalignment $F_{\beta}$	47
7.5.2	Running-in allowance $y_{\beta}$ and running-in factor $\chi_{\beta}$	47
7.5.3	Mesh misalignment, $f_{ma}$	59
7.5.4	Component of mesh misalignment caused by case deformation, $f_{ca}$	61
7.5.5	Component of mesh misalignment caused by shaft displacement, $f_{be}$	62
7.6	Determination of face load factor for tooth root stress using Method B or C: $K_{F\beta}$	63
8	Transverse load factors $K_{H\alpha}$ and $K_{F\alpha}$	63
8.1	Transverse load distribution	63
8.2	Determination methods for transverse load factors — Principles and assumptions	63
8.2.1	Method A — Factors $K_{H\alpha-A}$ and $K_{F\alpha-A}$	63
8.2.2	Method B — Factors $K_{H\alpha-B}$ and $K_{F\alpha-B}$	64
8.3	Determination of transverse load factors using Method B — $K_{H\alpha-B}$ and $K_{F\alpha-B}$	64
8.3.1	Determination of transverse load factor by calculation	64
8.3.2	Transverse load factors from graphs	65
8.3.3	Limiting conditions for $K_{H\alpha}$	65
8.3.4	Limiting conditions for $K_{F\alpha}$	65
8.3.5	Running-in allowance $y_{\alpha}$	66
9	Tooth stiffness parameters $c'$ and $c_{\gamma}$	70
9.1	Stiffness influences	70
9.2	Determination methods for tooth stiffness parameters — Principles and assumptions	70
9.2.1	Method A — Tooth stiffness parameters $c'_A$ and $c_{\gamma-A}$	70
9.2.2	Method B — Tooth stiffness parameters $c'_B$ and $c_{\gamma-B}$	71
9.3	Determination of tooth stiffness parameters $c'$ and $c_{\gamma}$ according to Method B	71
9.3.1	Single stiffness, $c'$	72
9.3.2	Mesh stiffness, $c_{\gamma}$	74
Annex A (normative)	Additional methods for determination of $f_{sh}$ and $f_{ma}$	76

<b>Annex B</b> (informative) <b>Guide values for crowning and end relief of teeth of cylindrical gears</b> .....	<b>79</b>
<b>Annex C</b> (informative) <b>Guide values for <math>K_{H\beta-C}</math> for crowned teeth of cylindrical gears</b> .....	<b>82</b>
<b>Annex D</b> (informative) <b>Derivations and explanatory notes</b> .....	<b>85</b>
<b>Annex E</b> (informative) <b>Analytical determination of load distribution</b> .....	<b>89</b>
<b>Bibliography</b> .....	<b>109</b>

**iTeh STANDARD PREVIEW**  
**(standards.iteh.ai)**

[SIST ISO 6336-1:2008](https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008)

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008>

## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 6336-1 was prepared by Technical Committee ISO/TC 60, *Gears*, Subcommittee SC 2, *Gear capacity calculation*.

This second edition cancels and replaces the first edition (ISO 6336-1:1996), Clauses 6, 7 and 9 of which have been technically revised. It also incorporates the Amendments ISO 6336-1:1996/Cor.1:1998 and ISO 6336-1:1996/Cor.2:1999.

ISO 6336 consists of the following parts, under the general title *Calculation of load capacity of spur and helical gears*:

- *Part 1: Basic principles, introduction and general influence factors*
- *Part 2: Calculation of surface durability (pitting)*
- *Part 3: Calculation of tooth bending strength*
- *Part 5: Strength and quality of materials*
- *Part 6: Calculation of service life under variable load*

This corrected version incorporates the following corrections:

- the lines in Figure 17 have been corrected;
- Figure 19 has been replaced with the correct figure for the wheel blank factor;
- in Equation (90),  $C_R$  has been inserted;
- missing parentheses have been added in Equation (B.2);
- the cross-reference following Equation (D.9) has been changed to correspond to that Equation.



## Introduction

This and the other parts of ISO 6336 provide a coherent system of procedures for the calculation of the load capacity of cylindrical involute gears with external or internal teeth. ISO 6336 is designed to facilitate the application of future knowledge and developments, also the exchange of information gained from experience.

Design considerations to prevent fractures emanating from stress raisers in the tooth flank, tip chipping and failures of the gear blank through the web or hub will need to be analyzed by general machine design methods.

Several methods for the calculation of load capacity, as well as for the calculation of various factors, are permitted (see 4.1.12). The directions in ISO 6336 are thus complex, but also flexible.

Included in the formulae are the major factors which are presently known to affect gear tooth pitting and fractures at the root fillet. The formulae are in a form that will permit the addition of new factors to reflect knowledge gained in the future.

## iTeh STANDARD PREVIEW (standards.iteh.ai)

[SIST ISO 6336-1:2008](https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008)

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008>

**iTeh STANDARD PREVIEW**  
**(standards.iteh.ai)**

SIST ISO 6336-1:2008

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b-559fdd55e793/sist-iso-6336-1-2008>

# Calculation of load capacity of spur and helical gears —

## Part 1: Basic principles, introduction and general influence factors

### 1 Scope

This part of ISO 6336 presents the basic principles of, an introduction to, and the general influence factors for, the calculation of the load capacity of spur and helical gears. Together with ISO 6336-2, ISO 6336-3, ISO 6336-5 and ISO 6336-6, it provides a method by which different gear designs can be compared. It is not intended to assure the performance of assembled drive gear systems. It is not intended for use by the general engineering public. Instead, it is intended for use by the experienced gear designer who is capable of selecting reasonable values for the factors in these formulae based on knowledge of similar designs and awareness of the effects of the items discussed.

The formulae in ISO 6336 are intended to establish a uniformly acceptable method for calculating the pitting resistance and bending strength capacity of cylindrical gears with straight or helical involute teeth.

ISO 6336 includes procedures based on testing and theoretical studies such as those of Hirt [1], Strasser [2] and Brossmann [3]. The results of rating calculations made by following this method are in good agreement with previously accepted gear calculations methods (see References [4] to [8]) for normal working pressure angles up to 25° and reference helix angles up to 25°.

For larger pressure angles and larger helix angles, the trends of products  $Y_F Y_S Y_\beta$  and, respectively,  $Z_H Z_\epsilon Z_\beta$  are not the same as those of some earlier methods. The user of ISO 6336 is cautioned that when the methods in ISO 6336 are used for other helix angles and pressure angles, the calculated results will need to be confirmed by experience.

The formulae in ISO 6336 are not applicable when any of the following conditions exist:

- spur or helical gears with transverse contact ratios less than 1,0;
- spur or helical gears with transverse contact ratios greater than 2,5;
- interference between tooth tips and root fillets;
- teeth are pointed;
- backlash is zero.

The rating formulae in ISO 6336 are not applicable to other types of gear tooth deterioration such as plastic yielding, scuffing, case crushing, welding and wear, and are not applicable under vibratory conditions where there may be an unpredictable profile breakdown. The bending strength formulae are applicable to fractures at the tooth fillet, but are not applicable to fractures on the tooth working surfaces, failure of the gear rim, or failures of the gear blank through web and hub. ISO 6336 does not apply to teeth finished by forging or sintering. It is not applicable to gears which have a poor contact pattern.

The procedures in ISO 6336 provide rating formulae for the calculation of load capacity, based on pitting and tooth root breakage. At pitch line velocities below 1 m/s the gear load capacity is often limited by abrasive wear (see other literature for information on the calculation for this).

## 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 53:1998, *Cylindrical gears for general and heavy engineering — Standard basic rack tooth profile*

ISO 1122-1:1998, *Vocabulary of gear terms — Part 1: Definitions related to geometry*

ISO 1328-1:1995, *Cylindrical gears — ISO system of accuracy — Part 1: Definitions and allowable values of deviations relevant to corresponding flanks of gear teeth*

ISO 4287:1997, *Geometrical Product Specifications (GPS) — Surface texture: Profile method — Terms, definitions and surface texture parameters*

ISO 4288:1996, *Geometrical Product Specifications (GPS) — Surface texture: Profile method — Rules and procedures for the assessment of surface texture*

ISO 6336-2, *Calculation of load capacity of spur and helical gears — Part 2: Calculation of surface durability (pitting)*

ISO 6336-3, *Calculation of load capacity of spur and helical gears — Part 3: Calculation of tooth bending strength*

ISO 6336-5, *Calculation of load capacity of spur and helical gears — Part 5: Strength and quality of materials*

ISO 6336-6, *Calculation of load capacity of spur and helical gears — Part 6: Calculation of service life under variable load*

SIST ISO 6336-1:2008

<https://standards.iteh.ai/catalog/standards/sist/4547576c-cd19-49fc-b42b->

## 3 Terms, definitions, symbols and abbreviated terms

For the purposes of this document, the terms, definitions, symbols and abbreviated terms given in ISO 1122-1 and the following symbols apply.

NOTE Symbols are based on, and are extensions of, the symbols given in ISO 701 and ISO 1328-1. Only symbols for quantities used for the calculation of the particular factors treated in ISO 6336 are given, together with the preferred units.

Table 1 — Symbols used in ISO 6336-1, ISO 6336-2, ISO 6336-3 and ISO 6336-5

Symbol	Description	Unit
<b>Principal symbols and abbreviations</b>		
A, B, C, D, E	points on path of contact (pinion root to pinion tip, regardless of whether pinion or wheel drives, only for geometrical considerations)	—
$a$	centre distance <sup>a</sup>	mm
$\alpha$	pressure angle (without subscript, at reference cylinder)	°
$B$	total face width of double helical gear including gap width	mm
$b$	face width	mm
$\beta$	helix angle (without subscript, at reference cylinder)	°
$C$	constant, coefficient	—
	relief of tooth flank	µm
$c$	constant	—
$\gamma$	auxiliary angle	°
$D$	diameter (design)	mm
$d$	diameter (without subscript, reference diameter)	mm
$\delta$	deflection	µm
$E$	modulus of elasticity	N/mm <sup>2</sup>
Eh	material designation for case-hardened wrought steel	—
Eht	case depth, see ISO 6336-5	mm
$e$	auxiliary quantity	—
$\varepsilon$	contact ratio, overlap ratio, relative eccentricity (see Clause 7)	—
$\zeta$	roll angle	°
$F$	composite and cumulative deviations	µm
	force or load	N
$f$	deviation, tooth deformation	µm
$G$	shear modulus	N/mm <sup>2</sup>
GG	material designation for grey cast iron	—
GGG	material designation for nodular cast iron (perlitic, bainitic, ferritic structure)	—
GTS	material designation for black malleable cast iron (perlitic structure)	—
$g$	path of contact	mm
$g$	temperature	°C
HB	Brinell hardness	—
HRC	Rockwell hardness (C scale)	—
HR 30N	Rockwell hardness (30 N scale) (see ISO 6336-5)	—
HV	Vickers hardness	—
HV 1	Vickers hardness at load $F = 9,81$ N (see ISO 6336-5)	—
HV 10	Vickers hardness at load $F = 98,10$ N (see ISO 6336-5)	—
$h$	tooth depth (without subscript, root circle to tip circle)	mm
$\eta$	effective dynamic viscosity of the oil wedge at the mean temperature of wedge	mPa s
IF	material designation for flame or induction hardened wrought special steel	—
$i$	transmission ratio	—
	bin	—
$J$	Jominy hardenability (see ISO 6336-5)	—
$K$	constant, factors concerning tooth load	—

Table 1 (continued)

Symbol	Description	Unit
$L$	lengths (design)	mm
$l$	bearing span	mm
$\Gamma$	parameter on the line of action	—
$M$	moment of a force	Nm
	mean stress ratio	—
ME	symbols identifying material and heat-treatment requirements (see ISO 6336-5)	—
MQ		—
ML		—
$m$	module	mm
	mass	kg
$\mu$	coefficient of friction	—
$N$	number, exponent, resonance ratio	—
NT	material designation for nitrided wrought steel, nitriding steel	—
NV	material designation for through-hardened wrought steel, nitrided, nitrocarburized	—
$n$	rotational speed	$s^{-1}$ or $min^{-1}$
	number of load cycles	
$\nu$	Poisson's ratio	—
	kinematic viscosity of the oil	$mm^2/s$
$P$	transmitted power	kW
$p$	pitch	mm
	number of planet gears	—
	slope of the Woehler-damage line	—
$q$	auxiliary factor	—
	flexibility of pair of meshing teeth (see Clause 9)	$(mm \cdot \mu m)/N$
	material allowance for finish machining (see ISO 6336-3)	mm
$r$	radius (without subscript, reference radius)	mm
$\rho$	radius of curvature	mm
	density (for steel, $\rho = 7,83 \times 10^{-6}$ )	$kg/mm^3$
$S$	safety factor	—
St	material designation for normalized base steel ( $\sigma_B < 800 N/mm^2$ )	—
$s$	tooth thickness, distance between mid-plane of pinion and the middle of the bearing span	mm
$\sigma$	normal stress	$N/mm^2$
$T$	torque	Nm
	tolerance	$\mu m$
$\tau$	shear stress	$N/mm^2$
	angular pitch	mm
$u$	gear ratio ( $z_2 / z_1 \geq 1^a$ )	—
$U$	Miner sum	—
$V$	material designation for through-hardened wrought special steel, alloy or carbon ( $\sigma_B \geq 800 N/mm^2$ )	—
$v$	tangential velocity (without subscript, at the reference circle = tangential velocity at pitch circle)	m/s
$w$	specific load (per unit face width, $F_t / b$ )	$N/mm$
$\psi$	auxiliary angle	°
$x$	profile shift coefficient	—

Table 1 (continued)

Symbol	Description	Unit
$\chi$	running-in factor	—
$Y$	factor related to tooth root stress	—
$y$	running-in allowance (only with subscript $\alpha$ or $\beta$ )	$\mu\text{m}$
$Z$	factor related to contact stress	—
$z$	number of teeth <sup>a</sup>	—
$\omega$	angular velocity	rad/s
<b>Subscripts to symbols</b>		
—	reference values (without subscript)	
A	application external shock loads	
a	addendum tooth tip	
ann	annulus gear	
$\alpha$	transverse contact profile	
b	base circle face width	
be	bearing	
$\beta$	helix face width crowning	
C	pitch point profile and helix modification	
ca	case	
cal	calculated	
co	contact pattern	
$\gamma$	total (total value)	
D	speed transformation reducing or increasing	
dyn	dynamic	
$\Delta$	rough specimen	
E	elasticity of material resonance	
e	outer limit of single pair tooth contact	
eff	effective value, real stress	
$\varepsilon$	contact ratio	
F	tooth root stress	
f	tooth root, dedendum	
G	geometry	
H	Hertzian stress (contact stress)	
i	internal bin number	
k	values related to the notched test piece	