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Foreword

This final draft Harmonized European Standard (EN) has been produced by ETSI Technical Committee Mobile Standards Group (MSG), and is now submitted for the Vote phase of the ETSI standards EN Approval Procedure.

The present document has been produced by ETSI in response to mandates M/284 and M/406 issued from the European Commission under Directive 98/34/EC [i.1] as amended by Directive 98/48/EC [i.10].

The title and reference to the present document are intended to be included in the publication in the Official Journal of the European Union of titles and references of Harmonized Standard under the Directive 1999/5/EC [i.2].

See article 5.1 of Directive 1999/5/EC [i.2] for information on presumption of conformity and Harmonized Standards or parts thereof the references of which have been published in the Official Journal of the European Union.

The requirements relevant to Directive 1999/5/EC [i.2] are summarized in annex A.

The present document is part 13 of a multi-part deliverable. Full details of the entire series can be found in part 1 [5].

Proposed national transposition dates	
Date of latest announcement of this EN (doa):	3 months after ETSI publication
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	6 months after doa
Date of withdrawal of any conflicting National Standard (dow):	18 months after doa

Introduction

The present document is part of a set of standards developed by ETSI and is designed to fit in a modular structure to cover all radio and telecommunications terminal equipment within the scope of the R&TTE Directive [i.2]. The modular structure is shown in EG 201 399 [i.3].

1 Scope

The present document applies to the following radio equipment type:

- User Equipment for Evolved Universal Terrestrial Radio Access (E-UTRA).

This radio equipment type is capable of operating in all or any part of the frequency bands given in tables 1-1 and 1-2.

Table 1-1: E-UTRA UE operating bands

E-UTRA Band	Direction of transmission	E-UTRA operating bands
1	Transmit	1 920 MHz to 1 980 MHz
	Receive	2 110 MHz to 2 170 MHz
3	Transmit	1 710 MHz to 1 785 MHz
	Receive	1 805 MHz to 1 880 MHz
7	Transmit	2 500 MHz to 2 570 MHz
	Receive	2 620 MHz to 2 690 MHz
8	Transmit	880 MHz to 915 MHz
	Receive	925 MHz to 960 MHz
20	Transmit	832 MHz to 862 MHz
	Receive	791 MHz to 821 MHz
33	Transmit and Receive	1 900 MHz to 1 920 MHz
34	Transmit and Receive	2 010 MHz to 2 025 MHz
38	Transmit and Receive	2 570 MHz to 2 620 MHz
40	Transmit and Receive	2 300 MHz to 2 400 MHz
42	Transmit and Receive	3 400 MHz to 3 600 MHz
43	Transmit and Receive	3 600 MHz to 3 800 MHz

Table 1-2: E-UTRA UE Intra-band contiguous CA operating bands

E-UTRA CA Band	E-UTRA Band	Direction of transmission	E-UTRA operating bands
CA_1	1	Transmit	1 920 MHz to 1 980 MHz
		Receive	2 110 MHz to 2 170 MHz
CA_7	7	Transmit	2 500 MHz to 2 570 MHz
		Receive	2 620 MHz to 2 690 MHz
CA_38	38	Transmit and Receive	2 570 MHz to 2 620 MHz
CA_40	40	Transmit and Receive	2 300 MHz to 2 400 MHz

The present document covers requirements for E-UTRA FDD User Equipment from 3GPP Releases 8, 9 and 10.

NOTE 1: For Band 20:

- For user equipment designed to be mobile or nomadic, the requirements in the present document measured at the antenna port also show conformity to the corresponding requirement defined as TRP (total radiated power), as described in Commission Decision 2010/267/EU [i.7], ECC Decision (09)03 [i.8] and CEPT Report 30 [i.9].
- For user equipment designed to be fixed or installed, the present document does not address the requirements described in Commission Decision 2010/267/EU [i.7], ECC Decision (09)03 [i.8] and CEPT Report 30 [i.9].

The present document is intended to cover the provisions of Directive 1999/5/EC [i.2] (R&TTE Directive), article 3.2, which states that "..... radio equipment shall be so constructed that it effectively uses the spectrum allocated to terrestrial/space radio communications and orbital resources so as to avoid harmful interference".

In addition to the present document, other ENs that specify technical requirements in respect of essential requirements under other parts of article 3 of the R&TTE Directive [i.2] may apply to equipment within the scope of the present document.

NOTE 2: A list of such ENs is included on the web site <http://www.newapproach.org>.

2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

2.1 Normative references

The following referenced documents are necessary for the application of the present document.

- [1] ETSI TS 136 521-1 (V11.1.0) (07-2013): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Conformance testing (3GPP TS 36.521-1 version 11.1.0 Release 11)".
- [2] ETSI TS 136 508 (V11.1.1) (07-2013): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); Common test environments for User Equipment (UE) conformance testing (3GPP TS 36.508 version 11.1.0 Release 11)".
- [3] Void.
- [4] ETSI TS 136 101 (V10.11.0) (07-2013): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception (3GPP TS 36.101 version 10.11.0 Release 10)".
- [5] ETSI EN 301 908-1 (V6.2.1) (04-2013): "IMT cellular networks; Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive; Part 1: Introduction and common requirements".
- [6] Void.
- [7] ETSI EN 301 908-2 (V6.2.1) (06-2013): "IMT cellular networks; Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive; Part 2: CDMA Direct Spread (UTRA FDD) User Equipment (UE)".
- [8] IEC 60068-2-1 (2007): "Environmental testing - Part 2-1: Tests - Test A: Cold".
- [9] IEC 60068-2-2 (2007): "Environmental testing - Part 2-2: Tests - Test B: Dry heat".

2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations.
- [i.2] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [i.3] ETSI EG 201 399: "Electromagnetic compatibility and Radio spectrum Matters (ERM); A guide to the production of Harmonized Standards for application under the R&TTE Directive".
- [i.4] Void.
- [i.5] Recommendation ITU-R SM.329-12 (2012): "Unwanted emissions in the spurious domain".

- [i.6] ETSI TR 100 028 (all parts) (V1.4.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics".
- [i.7] Commission Decision 2010/267/EU of 6 May 2010 on harmonised technical conditions of use in the 790-862 MHz frequency band for terrestrial systems capable of providing electronic communications services in the European Union.
- [i.8] ECC Decision (09)03 of 30 October 2009 on harmonised conditions for mobile/fixed communications networks (MFCN) operating in the band 790 - 862 MHz .
- [i.9] CEPT Report 30 of 30 October 2009 to the European Commission in response to the Mandate on "The identification of common and minimal (least restrictive) technical conditions for 790 - 862 MHz for the digital dividend in the European Union".
- [i.10] Directive 98/48/EC of the European Parliament and of the Council of 20 July 1998 amending Directive 98/34/EC laying down a procedure for the provision of information in the field of technical standards and regulations.
- [i.11] ETSI TS 136 509 (V10.0.0) (10-2012): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); Special conformance testing functions for User Equipment (UE) (3GPP TS 36.509 version 10.0.0 Release 10)".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

aggregated Channel Bandwidth: RF bandwidth in which a UE transmits and receives multiple contiguously aggregated carriers

aggregated Transmission Bandwidth Configuration: number of resource block allocated within the aggregated channel bandwidth

carrier aggregation: aggregation of two or more component carriers in order to support wider transmission bandwidths

carrier aggregation band: set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements

carrier aggregation bandwidth class: class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE

Table 3.1-1: CA bandwidth classes and corresponding nominal guard bands

CA Bandwidth Class	Aggregated Transmission Bandwidth Configuration	Maximum number of CC	Nominal Guard Band BW_{GB}
A	$N_{RB,agg} \leq 100$	1	$0,05 BW_{Channel(1)}$
C	$100 < N_{RB,agg} \leq 200$	2	$0,05 \max(BW_{Channel(1)}, BW_{Channel(2)})$
NOTE: $BW_{Channel(1)}$ and $BW_{Channel(2)}$ are channel bandwidths of two E-UTRA component carriers according to table 5.6.1-1, TS 136 101 [4].			

carrier aggregation configuration: combination of CA operating band(s) and CA bandwidth class(es) supported by a UE

channel bandwidth: RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell

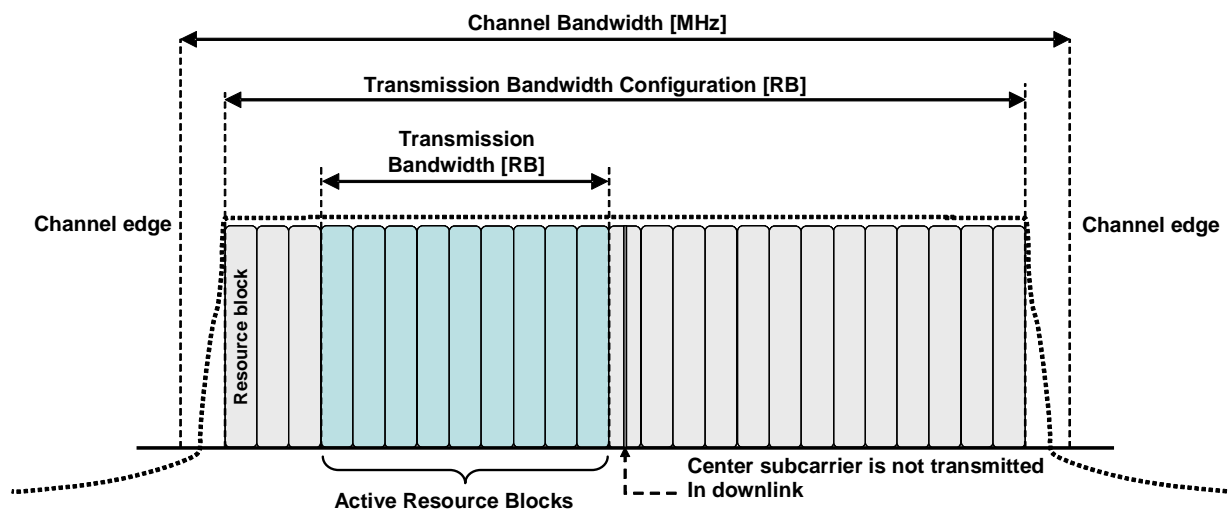


Figure 3.1-1: Definition of Channel Bandwidth and Transmission Bandwidth Configuration for one E-UTRA carrier

NOTE: The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

channel bandwidth for Carrier Aggregation: RF bandwidth aggregated from more than one E-UTRA RF carriers with the transmission bandwidth configured in the uplink or downlink of different cells

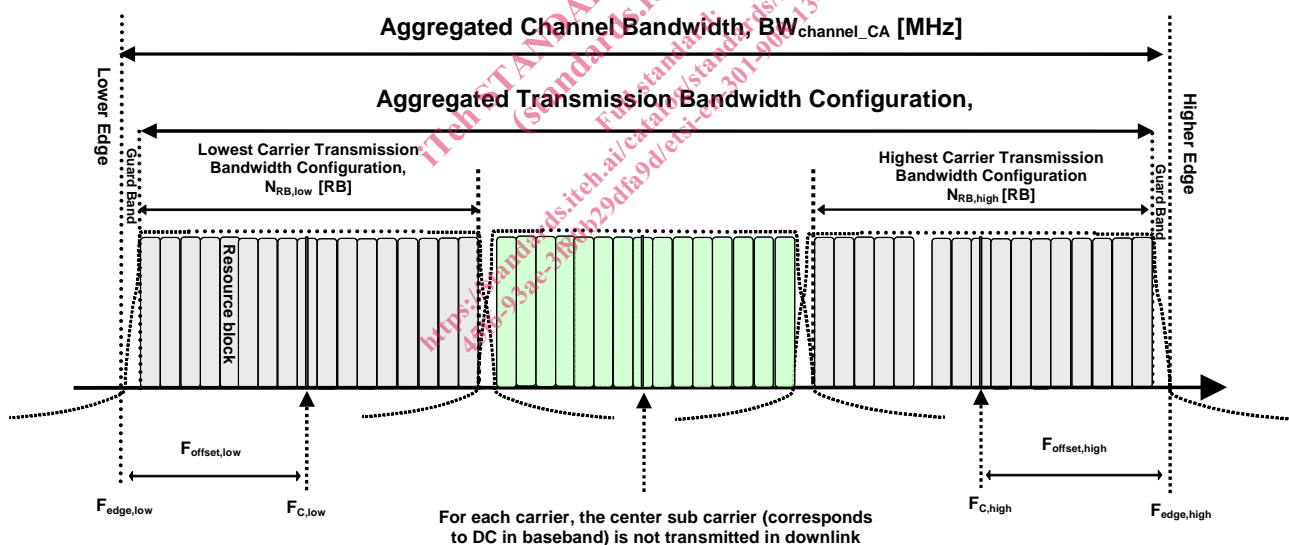


Figure 3.1-2: Definition of Aggregated channel bandwidth and aggregated channel bandwidth edges for more than one E-UTRA carrier

channel edge: lowest and highest frequency of the carrier, separated by the channel bandwidth

contiguous carriers: set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block

inter-band carrier aggregation: carrier aggregation of component carriers in different operating bands

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

intra-band contiguous carrier aggregation: contiguous carriers aggregated in the same operating band

intra-band non-contiguous carrier aggregation: non-contiguous carriers aggregated in the same operating band

Maximum Output Power (MOP): mean power level per carrier of UE measured at the antenna connector in a specified reference condition

mean power: when applied to E-UTRA transmission this is the power measured in the operating system bandwidth of the carrier

NOTE: The period of measurement is assumed to be at least one subframe (1 ms) unless otherwise stated.

occupied bandwidth: width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage $\beta/2$ of the total mean power of a given emission

operating band: frequency range (paired or unpaired) that is defined with a specific set of technical requirements, in which E-UTRA operates

NOTE: Operating bands for E-UTRA are designated with Arabic numerals, while the corresponding operating bands for UTRA are designated with Roman numerals.

output power: mean power of one carrier of the UE, delivered to a load with resistance equal to the nominal load impedance of the transmitter

reference bandwidth: bandwidth in which an emission level is specified

resource block: physical resource consisting of a number of symbols in the time domain and a number of consecutive subcarriers spanning 180 kHz in the frequency domain

transmission bandwidth: bandwidth of an instantaneous transmission from a UE or BS, measured in Resource Block units

NOTE: See figure 3.1-1.

transmission bandwidth configuration: highest transmission bandwidth allowed for uplink or downlink in a given channel bandwidth, measured in Resource Block units

NOTE: See figure 3.1-1.

transmit diversity: transmit diversity is based on space-frequency block coding techniques complemented with frequency-shift time diversity when four transmit antennas is used

3.2 Symbols

For the purposes of the present document, the following symbols apply:

ΔF_{OOB}	Δ Frequency of Out-of-band emission
BW_{Channel}	Channel bandwidth
$BW_{\text{Channel_CA}}$	Aggregated channel bandwidth, expressed in MHz
BW_{GB}	Virtual guard band to facilitate transmitter (receiver) filtering above/below edge CCs
$BW_{\text{Interferer}}$	Channel Bandwidth of the interferer
E_{RS}	Transmitted energy per RE for reference symbols during the useful part of the symbol, i.e. excluding the cyclic prefix, (average power normalized to the subcarrier spacing) at the eNode B transmit antenna connector
\hat{E}_s	The received energy per RE during the useful part of the symbol, i.e. excluding the cyclic prefix, averaged across the allocated RB(s) (average power within the allocated RB(s)), divided by the number of RE within this allocation and normalized to the subcarrier spacing) at the UE antenna connector
F	Frequency
$F_{\text{Interferer (offset)}}$	Frequency offset of the interferer
$F_{\text{Interferer}}$	Frequency of the interferer
F_{Ioffset}	Frequency offset of the interferer

F_C	Frequency of the carrier centre frequency
F_{CA_low}	The centre frequency of the <i>lowest carrier</i> , expressed in MHz
F_{CA_high}	The centre frequency of the <i>highest carrier</i> , expressed in MHz
F_{DL_low}	The lowest frequency of the downlink operating band
F_{DL_high}	The highest frequency of the downlink operating band
F_{UL_low}	The lowest frequency of the uplink operating band
F_{UL_high}	The highest frequency of the uplink operating band
F_{edge_low}	The <i>lower edge</i> of aggregated channel bandwidth, expressed in MHz
F_{edge_high}	The <i>higher edge</i> of aggregated channel bandwidth, expressed in MHz
I_o	The power spectral density of the total input signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalized to the subcarrier spacing) at the UE antenna connector, including the own-cell downlink signal or the power spectral density of the total input signal at the UE antenna connector (power averaged over the useful part of the symbols within a given bandwidth and normalized to the said bandwidth), including the own-cell downlink signal
I_{or}	The total transmitted power spectral density of the own-cell downlink signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalized to the subcarrier spacing) at the eNode B transmit antenna connector
\hat{I}_{or}	The total received power spectral density of the own-cell downlink signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalized to the subcarrier spacing) at the UE antenna connector
I_{ot}	The received power spectral density of the total noise and interference for a certain RE (average power obtained within the RE and normalized to the subcarrier spacing) as measured at the UE antenna connector
N_{cp}	Cyclic prefix length
N_{DL}	Downlink EARFCN
N_{oc}	The power spectral density of a white noise source (average power per RE normalized to the subcarrier spacing), simulating interference from cells that are not defined in a test procedure, as measured at the UE antenna connector
N_{oc1}	The power spectral density of a white noise source (average power per RE normalized to the subcarrier spacing), simulating interference in non-CRS symbols in ABS subframe from cells that are not defined in a test procedure, as measured at the UE antenna connector
N_{oc2}	The power spectral density of a white noise source (average power per RE normalized to the subcarrier spacing), simulating interference in CRS symbols in ABS subframe from all cells that are not defined in a test procedure, as measured at the UE antenna connector
N_{oc3}	The power spectral density of a white noise source (average power per RE normalised to the subcarrier spacing), simulating interference in non-ABS subframe from cells that are not defined in a test procedure, as measured at the UE antenna connector
$N_{Offs-DL}$	Offset used for calculating downlink EARFCN
$N_{Offs-UL}$	Offset used for calculating uplink EARFCN
N_{RB}	Transmission bandwidth configuration, expressed in units of resource blocks
N_{RB_agg}	Aggregated Transmission Bandwidth Configuration The number of the aggregated RBs within the fully allocated Aggregated Channel bandwidth
N_{RB_alloc}	Total number of simultaneously transmitted resource blocks in Aggregated Channel Bandwidth configuration
N_{UL}	Uplink EARFCN
P	Number of cell-specific antenna ports
p	Antenna port number
$P_{Interferer}$	Modulated mean power of the interferer