INTERNATIONAL STANDARD

ISO/IEC 19794-2

First edition 2005-09-15

Information technology — Biometric data interchange formats —

Part 2: Finger minutiae data

Technologies de l'information — Formats d'échange de données

iTeh STANDARD PREVIEW

Partie 2: Données du point caractéristique du doigt

(standards.iteh.ai)

<u>ISO/IEC 19794-2:2005</u> https://standards.iteh.ai/catalog/standards/sist/b09cebe3-8f5c-4a66-ac6c-00928ea42757/iso-iec-19794-2-2005



PDF disclaimer

This PDF file may contain embedded typefaces. In accordance with Adobe's licensing policy, this file may be printed or viewed but shall not be edited unless the typefaces which are embedded are licensed to and installed on the computer performing the editing. In downloading this file, parties accept therein the responsibility of not infringing Adobe's licensing policy. The ISO Central Secretariat accepts no liability in this area.

Adobe is a trademark of Adobe Systems Incorporated.

Details of the software products used to create this PDF file can be found in the General Info relative to the file; the PDF-creation parameters were optimized for printing. Every care has been taken to ensure that the file is suitable for use by ISO member bodies. In the unlikely event that a problem relating to it is found, please inform the Central Secretariat at the address given below.

iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>ISO/IEC 19794-2:2005</u> https://standards.iteh.ai/catalog/standards/sist/b09cebe3-8f5c-4a66-ac6c-00928ea42757/iso-iec-19794-2-2005

© ISO/IEC 2005

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.org
Web www.iso.org

Published in Switzerland

Contents Page

| Forewo | ord | ٠٧. |
|------------|--|-----------|
| Introdu | ıction | .vi |
| 1 | Scope | 1 |
| 2 | Conformance | 1 |
| 3 | Normative references | 1 |
| 4 | Terms and definitions | |
| 5 | Symbols and abbreviated terms | |
| _ | • | |
| 6 | Minutiae Extraction | |
| 6.1 6.2 | Principle | |
| 6.3 | Minutia Type | |
| 6.3.1 | Coordinate System | |
| 6.3.1 | Minutia Placement on a Ridge Ending (encoded as Valley Skeleton Bifurcation Point) | .0 7 |
| 6.3.2 | Minutia Placement on a Ridge Ending (encoded as valley Skeleton Bifurcation Point) | . / |
| 6.3.3 | Point) | 7 |
| 6.3.4 | Minutia Placement on a Pidga Skolaton Endnaint | . 1 7 |
| 6.3.5 | Minutia Placement on a Ridge Skeleton Endpoint Minutia Placement on Other Minutia Types | ٠, |
| 6.4 | Minutia Direction | υ. Ω |
| 6.4.1 | Minutia Direction | ο. Ω |
| 6.4.2 | Minutia Direction of a Ridge Ending (encoded as Valley Skeleton Bifurcation Point) | ٥. |
| 6.4.3 | Minutia Direction of a Ridge Bifurcation (encoded as Ridge Skeleton Bifurcation Point) | |
| 6.4.4 | Minutia Direction of a Ridge Skeleton End Point | و. ۵ |
| 6.5 | Core and Distandards at the art and distandards sist/b09cebe3-855c-4a66-ac6c- | و. ۵ |
| 6.6 | Minutia Direction of a Ridge Skeleton End Point Core and Delta Placement and Direction Minutia Type Matching 928ea42757/iso-iec-19794-2-2005 | . 9 10 |
| 6.7 | Encoding of multibyte quantities | 10 10 |
| | | |
| 7 | Finger Minutiae Record Format | |
| 7.1 | Introduction | |
| 7.2 | Record Organization | |
| 7.3 | Record Header | |
| 7.3.1 | Format Identifier | |
| 7.3.2 | Version Number | |
| 7.3.3 | Length of Record | |
| 7.3.4 | Capture Equipment Certifications | |
| 7.3.5 | Capture Device Type ID | |
| 7.3.6 | Size of Scanned Image in X direction | |
| 7.3.7 | Size of Scanned Image in Y direction | |
| 7.3.8 | X (horizontal) resolution | |
| | Y (vertical) resolution | |
| | Number Of Finger Views | |
| 7.3.11 | Reserved Byte | |
| 7.4 | Single Finger Record Format | |
| 7.4.1 | Finger Header | |
| 7.4.2 | Finger Minutiae Data | |
| 7.5 | Extended Data | |
| 7.5.1 | Common Extended Data Fields | |
| 7.5.2 | Ridge Count Data Format | |
| 7.5.3 | Core and Delta Data Format | |
| 7.5.4 | Zonal Quality Data | |
| 7.6 | Minutia Record Format Summary | 21 |

ISO/IEC 19794-2:2005(E)

| 8 | Finger Minutiae Card Format | .22 |
|----------------|---|-------------------|
| 8.1 | Normal Size Finger Minutiae Format | .22 |
| 8.2 | Compact Size Finger Minutiae Format | .22 |
| 8.3 | Number of Minutiae, Minutiae Ordering Sequence and Truncation | .23 |
| 8.3.1 | General Aspects | |
| 8.3.2 | Biometric matching algorithm parameters | .23 |
| 8.3.3 | Number of Minutiae | |
| 8.3.4 | Minutiae Order | |
| 8.4 | Usage of additional features for the card format | .26 |
| 8.4.1 | Data objects for additional features | |
| 8.4.2 | Indication of card capabilities | |
| | • | |
| 9 | CBEFF Format Owner and Format Types | .27 |
| | A (normative) Record Format Diagrams | |
| A.1 | Overall Record Format | |
| A.2 | Record Header | |
| A.3 | Single Finger View Minutiae Record | |
| A.4 | Finger Minutiae Data | |
| A.5 | Extended Data | .29 |
| Δηηρχ | B (normative) Fingerprint Image Quality Specifications | 30 |
| B.1 | SCOPE AND PURPOSE | |
| B.2 | FINGERPRINT SCANNERS | |
| B.2.1 | Geometric Image Accuracy | |
| B.2.2 | Modulation Transfer Function | |
| B.2.3 | Signal-to-Noise Ratio | |
| B.2.4 | Grav-Scale Range of Image Data | . 3 1 3 1 |
| B.2.5 | Gray-Scale Range of Image Data Gray-Scale Linearity I Ell STANDARD PREVIEW | .o. |
| B.2.6 | Output Gray Level Uniformity | .32 32 |
| B.3 | Output Gray Level Uniformity (Standards.iteh.ai) | .32 32 |
| B.3.1 | Geometric Image Accuracy | |
| B.3.2 | Modulation Transfer Function <u>ISO/IEC-19794-2-2005</u> | |
| - | | |
| | C (informative) Example Data Record hai/catalog/standards/sist/h09cehe3-8f5c-4a66-ac6c- | .34 |
| C.1 | Data | |
| C.2 | Example Data Format Diagrams | |
| C.3 | Raw Data for the Resulting Minutiae Record | .36 |
| Annov | D (informative) Handling of Finger Minutiae Card Formats | 27 |
| D.1 | Enrollment | .3 <i>1</i> 27 |
| D.1.1 | Number of minutiae | |
| D.1.1 D.1.2 | Number of required finger presentations | |
| D.1.2 D.2 | Matching | |
| D.2 D.2.1 | Matching conditions | |
| D.2.1 D.2.2 | | |
| D.2.2 D.2.3 | Threshold Value | |
| D.2.3 | Retry Counter | |
| D.3 | Security Aspects of Finger Minutiae Presentation to the Card | .39 |
| Diblia - | ıraphy | |
| DIDHOC | ITADITY | .4U |

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 19794-2 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 37, *Biometrics*: TANDARD PREVIEW

ISO/IEC 19794 consists of the following parts, under the general title Information technology — Biometric data interchange formats:

- Part 1: Framework <u>ISO/IEC 19794-2:2005</u>
 - https://standards.iteh.ai/catalog/standards/sist/b09cebe3-8f5c-4a66-ac6c-
- Part 2: Finger minutiae data 00928ea42757/iso-iec-19794-2-2005
- Part 3: Finger pattern spectral data
- Part 4: Finger image data
- Part 5: Face image data
- Part 6: Iris image data

The following parts are under preparation:

- Part 7: Signature/sign behavioral data
- Part 8: Finger pattern skeletal data

Vascular image data, hand geometry silhouette data, and signature/sign processed dynamic data will from the subjects of future Parts 9, 10 and 11, respectively.

Introduction

In the interest of implementing interoperable biometric recognition systems, this part of ISO/IEC 19794 establishes a data interchange format for minutiae-based fingerprint capture and recognition equipment. Representation of fingerprint data using minutiae is a widely used technique in many application areas.

This part of ISO/IEC 19794 defines specifics of the extraction of key points (called *minutiae*) from fingerprint ridge patterns. Two types of data formats are then defined: one for general storage and transport, one for use in card-based systems; the card format has a standard and a compact expression.

iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>ISO/IEC 19794-2:2005</u> https://standards.iteh.ai/catalog/standards/sist/b09cebe3-8f5c-4a66-ac6c-00928ea42757/iso-iec-19794-2-2005

Information technology — Biometric data interchange formats —

Part 2:

Finger minutiae data

1 Scope

This part of ISO/IEC 19794 specifies a concept and data formats for representation of fingerprints using the fundamental notion of minutiae. It is generic, in that it may be applied and used in a wide range of application areas where automated fingerprint recognition is involved. This part of ISO/IEC 19794 contains definitions of relevant terms, a description of how minutiae shall be determined, data formats for containing the data for both general use and for use with cards, and conformance information. Guidelines and values for matching and decision parameters are provided in an informative annex.

2 Conformance

A system conforms to this part of ISO/IEC 19794 if it satisfies the mandatory requirements herein for extraction of minutiae from a fingerprint image as described in Clause 6 and the generation of a minutiae data format as described in Clause 7 (for general data interchange use) or Clause 8 (for use with cards). Since any finger minutiae extraction and matching algorithm supporting the described finger minutiae data interchange formats may be used, interoperability testing is of extreme importance, especially for environments in which components of different manufacturers interact. In ISO/IEC 19795 "Information technology - Biometric performance testing and reporting," test methodologies and performance testing of biometric data interchange formats are outlined. The application specific policies and relevant standards will determine the requirements for conformance testing and evaluation affecting levels of interoperability.

ISO/IEC 19794-2:2005

https://standards.iteh.ai/catalog/standards/sist/b09cebe3-8f5c-4a66-ac6c-

3 Normative references 00928ea42757/iso-iec-19794-2-2005

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 7816-11:2004, Identification cards — Integrated circuit cards — Part 11: Personal verification through biometric methods

ISO/IEC 19784-1:—¹⁾, Information technology — Biometric application programme interface — Part 1: BioAPI specification

ISO/IEC 19785-1:—¹⁾, Information technology — Common Biometric Exchange Formats Framework — Part 1: Data element specification

ISO/IEC 19785-2:—¹⁾, Information technology — Common Biometric Exchange Formats Framework — Part 2: Procedures of the operation of the Biometric Registration Authority

4 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

4.1

algorithm

a sequence of instructions that tell a biometric system how to solve a particular problem. An algorithm will have a finite number of steps and is typically used by the biometric engine (i.e., the biometric system software) to compute whether a biometric sample and template are a match.

¹⁾ To be published.

biometrics

[automated] recognition of [living] persons based on observation of behavioral and biological (anatomical and physiological) characteristics.

4.3

biometric

pertaining to the field of biometrics.

4.4

biometric data

data encoding a feature or features used in biometric verification.

4.5

biometric Information template

a constructed data object in a card containing information needed by the outside world for a verification process, see ISO/IEC 7816-11

4.6

biometric sample

information obtained from a biometric device, either directly or after further processing.

4.7

biometric system

an automated system capable of: iTeh STANDARD PREVIEW

- 1. capturing a biometric sample from an end user;
 2. extracting biometric data from that sample Standards.iteh.ai)
- 3. comparing the biometric data with that contained in one or more reference templates;
- 4. deciding how well they match; and
- 5. indicating whether or not an identification or verification of identity has been achieved

00928ea42757/iso-iec-19794-2-2005 4.8

capture

the process of taking a biometric sample from an end user.

4.9

cell

a rectangular region defined by a uniform and non-overlapping division of the image.

4.10

comparison

the process of comparing a biometric sample with a previously stored reference template or templates.

4.11

claimant

a person submitting a biometric sample for verification or identification while claiming a legitimate or false identity.

4.12

core

a core is the topmost point on the innermost recurving ridgeline of a fingerprint. Generally, the core is placed upon or within the innermost recurve of a loop.

4.13

database

any storage of biometric templates and related end user information.

delta

a delta is that point on a ridge at or nearest to the point of divergence of two type lines, and located at or directly in front of the point of divergence.

4.15

end user

a person who interacts with a biometric system to enroll or have his/her identity checked. Contrast with "User".

4.16

enrollment

the process of collecting biometric samples from a person and the subsequent preparation and storage of biometric reference templates representing that person's identity.

4.17

extraction

the process of converting a captured biometric sample into biometric data so that it can be compared to a reference template; sometimes called "characterization".

4.18

friction ridge

the ridges present on the skin of the fingers and toes, the palms and soles of the feet, which makes contact with an incident surface under normal touch. On the fingers, the unique patterns formed by the friction ridges make up fingerprints.

4.19

identification / identify STANDARD PREVIEW

the one-to-many process of comparing a submitted biometric sample against all of the biometric reference templates on file to determine whether it matches any of the templates and, if so, the identity of the enrollee whose template was matched. The biometric system using the one-to-many approach is seeking to find an identity amongst a database rather than verify a claimed identity. Contrast with 'Verification'.

4.20 https://standards.iteh.ai/catalog/standards/sist/b09cebe3-8f5c-4a66-ac6c-

00928ea42757/iso-iec-19794-2-2005

latent

a fingerprint collected from an intermediate surface, rather than directly via a live capture from the finger itself.

4.21

live capture

The process of capturing a biometric sample by an interaction between an end user and a biometric system.

4.22

live-scan print

a fingerprint image that is produced by scanning or imaging a live finger to generate an image of the friction ridges.

4.23

match / matching

the process of comparing a biometric sample against a previously stored template and scoring the level of similarity.

4.24

minutia (single) minutiae (pl)

friction ridge characteristics that are used to individualize a fingerprint. Minutiae occur at points where a single friction ridge deviates from an uninterrupted flow. Deviation may take the form of ending, bifurcation, or a more complicated "composite" type.

4.25

population

The set of end-users for the application.

record

the template and other information about the end-user (e.g. access permissions).

4.27

resolution

the number of pixels (picture elements) per unit distance in the image of the fingerprint.

4.28

ridge bifurcation

the minutia assigned to the location at which a friction ridge splits into two ridges or, alternatively, where two separate friction ridges combine into one.

4.29

ridge ending

the minutia assigned to the location at which a friction ridge terminates or, alternatively, begins. A ridge ending is defined as the bifurcation of the adjacent valley - the location at which a valley splits into two valleys or, alternatively, at which two separate valleys combine into one.

4.30

ridge skeleton endpoint

the minutia assigned to the location at which a ridge skeleton ends. A ridge skeleton endpoint is defined as the ending of the skeleton of a ridge.

4.31

skeleton

the single-pixel-wide representation of a fidge or valley obtained by successive symmetric thinning operations. The skeleton is also known as the medial axis. (Standards.iteh.ai)

4.32

swipe

ISO/IEC 19794-2:2005

a method of fingerprint collection where the finger is manually moved across a one-dimensional sensor to produce the two-dimensional image.

00928ea42757/iso-iec-19794-2-2005

4.33

template / reference template

data, which represents the biometric measurement of an enrollee, used by a biometric system for comparison against subsequently submitted biometric samples. NOTE - this term is not restricted to mean only data used in any particular recognition method, such as template matching.

4.34

typeline

the two innermost ridges that start parallel, diverge, and surround or tend to surround the pattern area.

4.35

user

the client to any biometric vendor. The user must be differentiated from the end user and is responsible for managing and implementing the biometric application rather than actually interacting with the biometric system.

4.36

valley

the area surrounding a friction ridge, which does not make contact with an incident surface under normal touch; the area of the finger between two friction ridges.

4.37

valley bifurcation

the point at which a valley splits into two valleys or, alternatively, where two separate valleys combine into one.

verification / verify

the process of comparing a submitted biometric sample against the biometric reference template of a single enrollee whose identity is being claimed, to determine whether it matches the enrollee's template. Contrast with 'Identification'.

5 Symbols and abbreviated terms

BIT Biometric Information Template

CBEFF Common Biometric Exchange Formats Framework

DO Data Object

FAR False Acceptance Rate
FRR False Rejection Rate
RCE Ridge Count Extraction
RFU Reserved for Future Use

6 Minutiae Extraction

6.1 to 6.7 define the placement of minutiae on the fingerprint. Compatible minutiae extraction is required for interoperability between different finger matchers for the purposes of matching an individual against a previously collected and stored finger record. The interoperability is based on defining the finger minutiae extraction rules, record formats and card formats that are common to many finger matchers for acceptable matching accuracy, while allowing for extended data to be attached for use with equipment that is compatible with it.

(standards.iteh.ai)

6.1 Principle

ISO/IEC 19794-2:2005

Establishment of a common feature-based representation must rest-on agreement on the fundamental notion for representing a fingerprint. Minutiae are points located at the places in the fingerprint image where friction ridges end or split into two ridges. Describing a fingerprint in terms of the location and direction of these ridge endings and bifurcations provides sufficient information to reliably determine whether two fingerprint records are from the same finger.

The specifications of minutia location and minutia direction described below accomplish this. See Figure 1 for an illustration of the definitions below.

6.2 Minutia Type

Each minutia has a "type" associated with it. There are two major types of minutiae: a "ridge skeleton end point" and a "ridge skeleton bifurcation point" or split point. There are other types of "points of interest" in the friction ridges that occur much less frequently and are more difficult to define precisely. More complex types of minutiae are usually a combination of the basic types defined above. Some points are neither a ridge ending nor a bifurcation. This standard therefore defines additionally a type named "other", which shall be used in such a way that the matching conditions specified in 6.6 apply. The "other" minutiae type shall not be used for minutiae that are ridge endings or ridge bifurcations.

Therefore, the following types are distinguished:

- ridge ending (also identifiable as a valley skeleton bifurcation point);
- ridge bifurcation
- other.

A ridge ending may — alternatively — be regarded as a valley bifurcation depending on the method to determine its position (see below). The format type of the biometric information template indicates the use of ridge endings or valley bifurcations.

6.3 Minutia Location

The minutia location is represented by its horizontal and vertical position. The minutiae determination strategy considered in this document relies on skeletons derived from a digital fingerprint image. The ridge skeleton is computed by thinning down the ridge area to single pixel wide lines. The valley skeleton is computed by thinning down the valley area to single pixel wide lines. If other methods are applied, they should approximate the skeleton method, i.e. location and angle of the minutia should be equivalent to the skeleton method.

6.3.1 Coordinate System

The coordinate system used to express the minutiae of a fingerprint shall be a Cartesian coordinate system. Points shall be represented by their X and Y coordinates. The origin of the coordinate system shall be the upper left corner of the original image with X increasing to the right and Y increasing downward. Note that this is in agreement with most imaging and image processing use. When viewed on the finger, X increases from right to left as shown in Figure 1. All X and Y values are non-negative.

The X and Y coordinates of the minutiae shall be in pixel units, with the spatial resolution of a pixel given in the "X Resolution" and "Y Resolution" fields of the format. X and Y resolutions are stated separately.

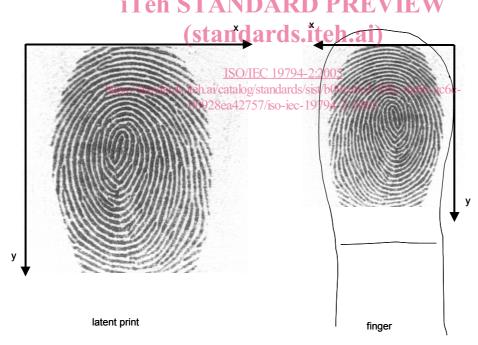


Figure 1 - Coordinate system

For the finger minutiae record format, the resolution of the coordinate system is specified in the record header, see 7.3.8 and 7.3.9. For the finger minutiae card format, the resolution of the X and Y coordinates of the minutia shall be in metric units. The granularity is one bit per one hundredth of a millimeter in the normal format and one tenth of a millimeter in the compact format:

1 unit = 10^{-2} mm (normal format) or 10^{-1} mm (compact format).

6.3.2 Minutia Placement on a Ridge Ending (encoded as Valley Skeleton Bifurcation Point)

The minutia for a ridge ending shall be defined as the point of forking of the medial skeleton of the valley area immediately in front of the ridge ending. If the valley area were thinned down to a single-pixel-wide skeleton, the point where the three legs intersect is the location of the minutia. In simpler terms, the point where the valley Y's, or (equivalently) where the three legs of the thinned valley area intersect (see Figure 2).

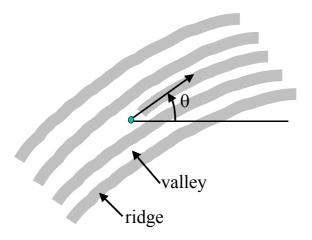


Figure 2 - Location and direction of a ridge ending (encoded as valley skeleton bifurcation point)

6.3.3 Minutia Placement on a Ridge Bifurcation (encoded as a Ridge Skeleton Bifurcation Point) (standards.iteh.ai)

The minutia for a ridge bifurcation shall be defined as the point of forking of the medial skeleton of the ridge. If the ridges were thinned down to a single-pixel-wide skeleton, the point where the three legs intersect is the location of the minutia. In simpler terms, the point where the ridge "Y"'s, or (equivalently) where the three legs of the thinned ridge intersect (see Figure 3):andards/sist/b09cebe3-8f5c-4a66-ac6c-

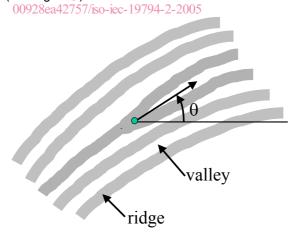


Figure 3 - Location and direction of a ridge bifurcation (encoded as ridge skeleton bifurcation point)

6.3.4 Minutia Placement on a Ridge Skeleton Endpoint

The minutia for a ridge skeleton endpoint shall be defined as the center point of the ending ridge. If the ridges in the digital fingerprint image were thinned down to a single-pixel-wide skeleton, the position of the minutia would be the coordinates of the skeleton point with only one neighbor pixel belonging to the skeleton (see Figure 4).