



# SLOVENSKI STANDARD

## SIST ES 203 386 V1.1.1:2018

01-maj-2018

---

### Integrirana širokopasovna kabelska telekomunikacijska omrežja (CABLE) - Vgrajeni usmerjevalnik

Integrated broadband cable telecommunication networks (CABLE) - Embedded Router

## iTeh STANDARD PREVIEW (standards.iteh.ai)

Ta slovenski standard je istoveten z: **ETSI ES 203 386 V1.1.1 (2017-08)**

<https://standards.iteh.ai/catalog/standards/sist/3f867778-1118-4ec4-bc48-a630860c6e60/sist-es-203-386-v1-1-1-2018>

### ICS:

33.040.01	Telekomunikacijski sistemi na splošno	Telecommunication systems in general
35.200	Vmesniška in povezovalna oprema	Interface and interconnection equipment

**SIST ES 203 386 V1.1.1:2018**

**en**

**iTeh STANDARD PREVIEW**  
**(standards.iteh.ai)**

[SIST ES 203 386 V1.1.1:2018](https://standards.iteh.ai/catalog/standards/sist/3f867778-1118-4ec4-bc48-a630860c6e60/sist-es-203-386-v1-1-1-2018)

<https://standards.iteh.ai/catalog/standards/sist/3f867778-1118-4ec4-bc48-a630860c6e60/sist-es-203-386-v1-1-1-2018>

# ETSI ES 203 386 V1.1.1 (2017-08)



## **Integrated broadband cable telecommunication networks (CABLE); (Embedded Router)**

[SIST ES 203 386 V1.1.1:2018](https://standards.iteh.ai/catalog/standards/sist/3f867778-1118-4ec4-bc48-a630860c6e60/sist-es-203-386-v1-1-1-2018)

<https://standards.iteh.ai/catalog/standards/sist/3f867778-1118-4ec4-bc48-a630860c6e60/sist-es-203-386-v1-1-1-2018>

---

**Reference**DES/CABLE-00009

---

**Keywords**CABLE, DOCSIS, IPv4, IPv6, MODEM, router

---

**ETSI**

---

650 Route des Lucioles  
F-06921 Sophia Antipolis Cedex - FRANCE

---

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C  
Association à but non lucratif enregistrée à la  
Sous-Préfecture de Grasse (06) N° 7803/88

**iTeh STANDARD PREVIEW**  
**(standards.iteh.ai)**

---

**Important notice**

---

<https://standards.iteh.ai/catalog/standards/sist/3f867778-1118-4ec4-bc48-ad15810e001/sist-es-203-386-v1-1-1-2018>  
The present document can be downloaded from:  
<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommiteeSupportStaff.aspx>

---

**Copyright Notification**

---

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2017.

All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.

**3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

**oneM2M** logo is protected for the benefit of its Members.

**GSM®** and the GSM logo are trademarks registered and owned by the GSM Association.

# Contents

Intellectual Property Rights .....	7
Foreword.....	7
Modal verbs terminology.....	7
1 Scope .....	8
2 References .....	8
2.1 Normative references .....	8
2.2 Informative references.....	11
3 Definitions and abbreviations.....	12
3.1 Definitions.....	12
3.2 Abbreviations .....	14
4 Theory of operation.....	16
4.0 General .....	16
4.1 eDOCSIS eRouter and TR-069 architecture .....	18
4.2 eRouter device management.....	19
4.3 Service discovery .....	19
4.3.0 General.....	19
4.3.1 mDNS (multicast Domain Name System) .....	20
4.3.2 UPnP (Universal Plug and Play).....	21
4.4 CER-ID (Customer Edge Router - Identification).....	21
5 eRouter initialization.....	22
5.0 eRouter modes.....	22
5.1 Network Time Protocol.....	23
5.2 DNS proxy forwarding.....	24
6 IPv4 provisioning .....	24
6.0 General .....	24
6.1 DHCPv4 fields used by the eRouter.....	26
6.2 eRouter interface addressing using Link ID .....	27
6.3 Router DHCPv4 server Sub-element.....	28
6.3.1 DHCPv4 server function goals .....	28
6.3.2 DHCPv4 server function system description .....	28
6.3.3 DHCPv4 server function requirements.....	28
6.4 Operator-facing IPv4 address release behavior .....	30
6.5 Customer-facing IPv4 address release behavior.....	30
7 Operator-facing IPv6 provisioning.....	30
7.0 General .....	30
7.1 Obtain link-local address.....	31
7.2 Perform router discovery.....	31
7.3 Obtain IPv6 address and other configuration parameters.....	31
7.4 Use of T1 and T2 timers.....	34
7.5 Customer-facing IPv6 provisioning of CPE devices .....	34
7.5.0 Initial provisioning.....	34
7.5.1 Additional customer-facing IP interfaces enabled after initial provisioning.....	37
7.5.2 SLAAC requirements for eRouter .....	37
7.5.2.0 General.....	37
7.5.2.1 Local configuration of SLAAC options .....	37
7.5.3 DHCPv6 requirements for eRouter.....	37
7.5.3.0 General.....	37
7.5.3.1 Local configuration of DHCPv6 options.....	39
7.5.4 Prefix changes.....	39
7.6 Operator-facing IPv6 address release behavior .....	39
7.7 Customer-facing IPv6 address release behavior.....	39
7.8 CER-ID requirements.....	40

ITeh STANDARD PREVIEW

(standards.iteh.ai)

SIST ES 203 386 V1.1.1:2018

[https://standards.iteh.ai/catalog/standards/sist/3867778-1118-4ec4-bc48-](https://standards.iteh.ai/catalog/standards/sist/3867778-1118-4ec4-bc48-20160c6e60/sist-es-203-386-v1-1-1-2018)

[20160c6e60/sist-es-203-386-v1-1-1-2018](https://standards.iteh.ai/catalog/standards/sist/3867778-1118-4ec4-bc48-20160c6e60/sist-es-203-386-v1-1-1-2018)

8	IPv4 data forwarding and NATP operation.....	40
8.0	Applicability.....	40
8.1	Introduction.....	40
8.1.1	Assumptions.....	40
8.1.2	Overview.....	40
8.2	System description.....	41
8.3	IPv4 router.....	42
8.3.0	Overview.....	42
8.3.1	Dual IP protocol and Link ID enabled mode IPv4 routing.....	43
8.4	NAPT.....	44
8.4.0	General.....	44
8.4.1	Dynamically triggered NATP translation.....	44
8.4.2	Application Layer Gateways (ALGs).....	45
8.4.2.0	General.....	45
8.4.2.1	ICMP error message ALG.....	45
8.4.2.2	FTP ALG.....	45
8.4.3	Multicast NATP.....	45
8.5	ARP.....	45
8.6	IPv4 multicast.....	46
8.6.0	General.....	46
8.6.1	IGMP proxying.....	46
8.6.1.0	Operation.....	46
8.6.1.1	IPv4 group membership database.....	47
8.6.2	IPv4 multicast forwarding.....	47
8.6.3	IPv4 multicast forwarding example.....	48
8.7	IPv4/IPv6 co-existence technologies.....	49
8.7.0	General.....	49
8.7.1	Dual-stack Lite operation.....	49
8.7.2	Mapping of Address and Port (MAP).....	49
8.7.2.0	MAP transport modes.....	49
8.7.2.1	MAP-E or MAP-T configuration via DHCP.....	50
8.7.2.2	MAP-E or MAP-T configuration via TLV.....	50
8.7.3	Packet fragmentation.....	50
9	IPv6 data forwarding.....	51
9.0	Applicability.....	51
9.1	Introduction.....	51
9.1.1	Assumptions.....	51
9.1.2	Overview.....	51
9.2	System description.....	52
9.3	IPv6 multicast.....	53
9.3.0	General.....	53
9.3.1	MLD proxying.....	54
9.3.2	IPv6 group membership database.....	55
9.3.3	IPv6 multicast forwarding.....	55
9.3.4	IPv6 multicast forwarding example.....	55
10	Quality of Service.....	57
10.0	General requirements.....	57
10.1	Downstream Quality of Service operation.....	57
10.2	Upstream Quality of Service operation.....	57
11	eRouter management.....	57
11.0	General requirements.....	57
11.1	eRouter SNMP management interface requirements.....	58
11.2	eRouter TR-069 management interface requirements.....	58
11.2.0	General requirements.....	58
11.2.1	ACS discovery.....	58
11.2.1.1	eRouter TR-069 management server configuration file TLV encapsulation.....	58
11.2.1.2	TR-069 management server DHCP requirements.....	58
11.2.2	ACS selection.....	58
11.2.3	Dynamic ACS update.....	58
11.2.4	TR-069 CWMP control and credentials.....	58

12	Security.....	59
13	eRouter tunnel management and configuration.....	59
13.1	GRE requirements .....	59
<b>Annex A (normative): SNMP MIB objects supported by the eRouter.....</b>		<b>60</b>
A.0	List of MIB objects .....	60
A.1	eRouter interface numbering .....	60
A.2	eRouter ifTable requirements .....	61
A.3	eRouter ipNetToPhysicalTable requirements.....	63
A.4	CLAB-GRE-MIB .....	63
A.5	CLAB-GW-MIB .....	63
<b>Annex B (normative): Configuration of eRouter operational parameters .....</b>		<b>65</b>
B.1	eRouter SNMP configuration.....	65
B.1.1	eRouter SNMP modes of operation.....	65
B.1.2	eRouter SNMP access control configuration.....	65
B.1.2.1	View-based Access Control Model (VACM) profile .....	65
B.1.3	SNMPv1v2c Coexistence Configuration.....	65
B.1.3.0	General.....	65
B.1.3.1	Mapping SNMPv1v2c Coexistence Configuration.....	65
B.1.3.1.0	Overview .....	65
B.1.3.1.1	snmpCommunityTable .....	66
B.1.3.1.2	snmpTargetAddrTable .....	66
B.1.3.1.3	snmpTargetAddrExtTable .....	67
B.1.3.1.4	vacmSecurityToGroupTable .....	67
B.1.3.1.5	vacmAccessTable.....	68
B.1.3.2	Mapping SNMPv3 access view configuration.....	68
B.1.3.2.0	Overview.....	68
B.1.3.2.1	vacmViewTreeFamilyTable.....	69
B.2	SNMP configuration of eRouter.....	69
B.3	eCM proxy mechanism for configuration of eRouter .....	70
B.4	eRouter configuration encodings.....	70
B.4.1	eRouter TLV processing .....	70
B.4.2	eRouter initialization mode encoding .....	70
B.4.3	TR-069 management server .....	71
B.4.3.0	General.....	71
B.4.3.1	EnableCWMP .....	71
B.4.3.2	URL .....	71
B.4.3.3	Username .....	71
B.4.3.4	Password.....	71
B.4.3.5	ConnectionRequestUsername .....	71
B.4.3.6	ConnectionRequestPassword.....	72
B.4.3.7	ACS override .....	72
B.4.4	eRouter initialization mode override .....	72
B.4.5	SNMPv1v2c coexistence configuration .....	72
B.4.5.0	General.....	72
B.4.5.1	SNMPv1v2c community name .....	72
B.4.5.2	SNMPv1v2c transport address access .....	73
B.4.5.2.0	General .....	73
B.4.5.2.1	SNMPv1v2c transport address .....	73
B.4.5.2.2	SNMPv1v2c transport address mask.....	73
B.4.5.3	SNMPv1v2c access view type .....	73
B.4.5.4	SNMPv1v2c access view name .....	73
B.4.6	SNMPv3 access view configuration.....	73
B.4.6.0	General.....	73

B.4.6.1	SNMPv3 access view name .....	74
B.4.6.2	SNMPv3 access view subtree .....	74
B.4.6.3	SNMPv3 access view mask .....	74
B.4.6.4	SNMPv3 access view type .....	74
B.4.7	Vendor specific information .....	75
B.4.7.0	General .....	75
B.4.7.1	Vendor ID encoding .....	75
B.4.8	SNMP MIB object .....	75
B.4.9	Topology mode encoding .....	75
B.4.10	Router Advertisement (RA) transmission interval .....	76
B.4.11	IP multicast configuration server .....	76
B.4.12	Link ID control .....	76
B.5	SNMP soft reset .....	76
B.6	Provisioning and operational event messages .....	77
<b>Annex C (normative):</b>	<b>eRouter initialization mode control interactions .....</b>	<b>80</b>
C.1	Valid interactions .....	80
C.2	Invalid Cases .....	82
<b>Annex D (normative):</b>	<b>TR-069 managed objects requirements .....</b>	<b>83</b>
D.0	General .....	83
D.1	Profiles from TR-181 .....	83
D.2	Extensions to TR-181 profiles .....	84
D.3	Management interface protocol requirements for GRE .....	85
<b>Annex E (normative):</b>	<b>Example: Routing with Link ID .....</b>	<b>87</b>
E.0	Addressing scheme .....	87
E.1	IP MIB route example .....	88
<b>Annex F (normative):</b>	<b>Section categorizing [49] simple security recommendations .....</b>	<b>89</b>
F.1	Summary of simple security requirements .....	89
F.2	Critical recommendations .....	89
F.3	Important recommendations .....	91
F.4	BCP recommendations .....	92
F.5	Other recommendations .....	94
F.6	Recommendations in conflict with MSO needs .....	95
<b>Annex G (normative):</b>	<b>eRouter GRE tunnelling architecture .....</b>	<b>96</b>
G.0	Architecture and interfaces .....	96
G.1	Use case for data traffic flow for both private and public SSIDs .....	97
G.1.0	Overview .....	97
G.1.1	Private network outbound from the LAN .....	98
G.1.2	Private network inbound from the WAN .....	98
G.1.3	Community Wi-Fi user outbound via public SSID .....	98
G.1.4	Community Wi-Fi user inbound via public SSID .....	98
<b>Annex H (informative):</b>	<b>Bibliography .....</b>	<b>99</b>
<b>Annex I (informative):</b>	<b>Change history .....</b>	<b>100</b>
History .....		101



# 1 Scope

The present document defines a core set of features that enable multiple subscriber devices to gain access to operator provided high-speed data service using DOCSIS. This core set of features allows for both IPv4- and IPv6-enabled devices to gain connectivity to the Internet.

The eRouter is specified as an Embedded Service/Application Functional Entity (eSAFE) device that is implemented in conjunction with a DOCSIS cable modem device.

The core set of features defined in the present document includes the ability to provision multiple CPE devices, a description of how to forward data to and from CPE devices, and also the ability to forward IP Multicast traffic to CPE devices and among CPE devices.

# 2 References

## 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <https://docbox.etsi.org/Reference/>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

- [1] CableLabs DHCP Options Registry Specification, CL-SP-CANN-DHCP-Reg-I13-160317, March 17, 2016, Cable Television Laboratories, Inc.  
<https://standards.ietf.org/catalog/standards/sist/3f867778-1118-4ec4-bc48-c0b0e0c0c0c0/cable-labs-dhcp-options-registry-specification-cl-sp-cann-dhcp-reg-i13-160317/>
- [2] CableLabs Generic Route Encapsulation MIB, CLAB-GRE-MIB.

NOTE: Available at <http://www.cablelabs.com/MIBs/common/>.

- [3] Cablelabs Wi-Fi Gateway MIB, CLAB-GW-MIB.

NOTE: Available at <http://www.cablelabs.com/MIBs/common/>.

- [4] eDOCSIS™ Specification, CM-SP-eDOCSIS-I28-150305, March 5, 2015, Cable Television Laboratories, Inc.
- [5] Universal Plug and Play Architecture Version 1.1, September 12, 2011.
- [6] DOCSIS MAC and Upper Layer Protocol Interface Specification, CM-SP-MULPIv3.0-I29-151210, December 10, 2015, Cable Television Laboratories, Inc.
- [7] DOCSIS Operations Support System Interface Specification, CM-SP-OSSIV3.0-I28-151210, December 10, 2015, Cable Television Laboratories, Inc.
- [8] IETF RFC 792: "Internet Control Message Protocol", J. Postel, September 1981.
- [9] IETF RFC 826: "An Ethernet Address Resolution Protocol", David C. Plummer, November 1982.
- [10] IETF RFC 1122: "Requirements for Internet Hosts - Communication Layers", R. Braden, October, 1989.
- [11] IETF RFC 1157: "Simple Network Management Protocol (SNMP)", J.D. Case, M. Fedor, M.L. Schoffstall, J. Davin, Simple Network Management Protocol (SNMP), May 1990.
- [12] IETF RFC 1812: "Requirements for IP Version 4 Routers", F. Baker, June 1995.

- [13] IETF RFC 1918: "Address Allocation for Private Internets", Y. Rekhter, B. Moskowitz, D. Karrenberg, G. J. de Groot, E. Lear, February 1996.
- [14] IETF RFC 2131: "Dynamic Host Configuration Protocol", R. Droms, March 1997.
- [15] IETF RFC 2132: "DHCP Options and BOOTP Vendor Extensions", S. Alexander, R. Droms, March 1997.
- [16] IETF RFC 2710: "Multicast Listener Discovery (MLD) for IPv6", S. Deering, W. Fenner, B. Haberman, October 1999.
- [17] IETF RFC 2784: "Generic Routing Encapsulation (GRE)", D. Farinacci, T. Li, S. Hanks, D. Meyer, P. Traina, March 2000.
- [18] IETF RFC 2827: "Network Ingress Filtering: Defeating Denial of Service Attacks which employ IP Source Address Spoofing", P. Ferguson, D. Senie, May 2000.
- [19] IETF RFC 2863: "The Interfaces Group MIB", K. McCloghrie, F. Kastenholz, June 2000.
- [20] IETF RFC 2890: "Key and Sequence Number Extensions to GRE", G. Dommety, September 2000.
- [21] IETF RFC 3022: "Traditional IP Network Address Translator (Traditional NAT)", P. Srisuresh, K. Egevang, January 2001.
- [22] IETF RFC 3315: "Dynamic Host Configuration Protocol for IPv6 (DHCPv6)", R. Droms, Ed., J. Bound, B. Volz, T. Lemon, C. Perkins, M. Carney, July 2003.
- [23] IETF RFC 3376: "Internet Group Management Protocol, Version 3", B. Cain, S. Deering, I. Kouvelas, B. Fenner, A. Thyagarajan, October 2002.
- [24] IETF RFC 3412: "Message Processing and Dispatching for the Simple Network Management Protocol (SNMP)", J. Case, D. Harrington, R. Presuhn, B. Wijnen, December 2002.
- [25] IETF RFC 3413: "Simple Network Management Protocol (SNMP) Applications", D. Levi, P. Meyer, B. Stewart, December 2002.
- [26] IETF RFC 3415: "View-based Access Control Model (VACM) for the Simple Network Management Protocol (SNMP)", B. Wijnen, R. Presuhn, K. McCloghrie, December 2002.
- [27] IETF RFC 3417: "Transport Mappings for the Simple Network Management Protocol (SNMP)", R. Presuhn, December 2002.
- [28] IETF RFC 3419: "Textual Conventions for Transport Addresses, M. Daniels", J. Schoenwaelder, December 2002.
- [29] IETF RFC 3584: "Coexistence between Version 1, Version 2, and Version 3 of the Internet-standard Network Management Framework", R. Frye, D. Levi, S. Routhier, B. Wijnen, August 2003.
- [30] IETF RFC 3633: "IPv6 Prefix Options for Dynamic Host Configuration Protocol (DHCP) version 6", O. Troan, R. Droms, December 2003.
- [31] IETF RFC 3646: "DNS Configuration options for Dynamic Host Configuration Protocol for IPv6 (DHCPv6)", R. Droms, December 2003.
- [32] IETF RFC 3736: "Stateless Dynamic Host Configuration Protocol (DHCP) Service for IPv6", R. Droms, April 2004.
- [33] IETF RFC 3810: "Multicast Listener Discovery Version 2 (MLDv2) for IPv6", R. Vida, Ed., L. Costa, Ed., June 2004.
- [34] IETF RFC 4075: "Simple Network Time Protocol (SNTP) Configuration Option for DHCPv6", V. Kalusivalingam, Cisco Systems, May 2005.

- [35] IETF RFC 4191: "Default Router Preferences and More-Specific Routes", R. Draves, D. Thaler, November 2005.
- [36] IETF RFC 4242: "Information Refresh Time Option for Dynamic Host Configuration Protocol for IPv6 (DHCPv6)", S. Venaas, T. Chown, B. Volz, November 2005.
- [37] IETF RFC 4291: "IP Version 6 Addressing Architecture", R. Hinden, S. Deering, February 2006.
- [38] IETF RFC 4292: "IP Forwarding Table MIB", B. Haberman, April 2006.
- [39] IETF RFC 4293: "Management Information Base for the Internet Protocol (IP)", S. Routhier, (Editor), Bill Fenner, Brian Haberman, Dave Thaler, April 2006.
- [40] IETF RFC 4361: "Node-specific Client Identifiers for Dynamic Host Configuration Protocol Version Four (DHCPv4)", T. Lemon, B. Sommerfeld, February 2006.
- [41] IETF RFC 4443: "Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification", A. Conta, S. Deering, M. Gupta, Ed., March 2006.
- [42] IETF RFC 4861: "Neighbor Discovery for IP Version 6 (IPv6)", T. Narten, E. Nordmark, W. Simpson, H. Soliman, September 2007.
- [43] IETF RFC 4862: "IPv6 Stateless Address Autoconfiguration", S. Thomson, T. Narten, T. Jinmei, September 2007.
- [44] IETF RFC 4884: "Extended ICMP to Support Multi-Part Messages", R. Bonica, D. Gan, D. Tappan, C. Pignataro, April 2007.
- [45] IETF RFC 5389: "Session Traversal Utilities for NAT (STUN)", J. Rosenberg, R. Mahy, P. Matthews, D. Wing, October 2008.
- [46] IETF RFC 5905: "Network Time Protocol, version 4: Protocol and Algorithms Specification", D. Mills, U. Delaware, J. Martin, Ed., J. Burbank, W. Kasch, June 2010.
- [47] IETF RFC 5908: "Network Time Protocol (NTP) Server Options for DHCPv6", R. Gayroud, B. Lourdelet, June 2010.
- [48] IETF RFC 5942: "IPv6 Subnet Model: The Relationship Between Links and Subnet Prefixes", H. Singh, W. Beebee, E. Nordmark, July 2010.
- [49] IETF RFC 6092: "Recommended Simple Security Capabilities in Customer Premises Equipment (CPE) for Providing Residential IPv6 Internet Service", J. Woodyatt, Ed., January 2011.
- [50] IETF RFC 6106: "IPv6 Router Advertisement Options for DNS Configuration", November 2010.
- [51] IETF RFC 6333: "Dual-Stack Lite Broadband Deployments Following IPv4 Exhaustion", A. Durand, R. Droms, J. Woodyatt, Y. Lee, August 2011.
- [52] IETF RFC 6634: "Dynamic Host-Configuration Protocol for IPv6 (DHCPv6) Option for Dual-Stack Lite", D. Hankins, T. Mrugalski, August 2011.
- [53] IETF RFC 6540: "IPv6 Support Required for All IP-Capable Nodes", W. George, C. Donley, C. Liljenstolpe, L. Howard, April 2012.
- [54] IETF RFC 6644: "Rebind Capability in DHCPv6 Reconfigure Messages", D. Evans, R. Droms, S. Jiang, July 2012.
- [55] IETF RFC 6762: "Multicast DNS, S. Cheshire, M. Krochmal", Apple Inc., February 2013.
- [56] IETF RFC 7083: "Modification to Default Values of SOL-MAX-RT and INF-MAX-RT", R. Droms", November 2013.
- [57] IETF RFC 7084: "Basic Requirements for IPv6 Customer Edge Routers", H. Singh, W. Beebee, C. Donley, B. Stark, November 2013.

- [58] IETF RFC 7597: "Mapping of Address and Port With Encapsulation (MAP-E)", O. Troan, W. Dec, X. Li, C. Bao, S. Matsushima, T. Murakami, T. Taylor, July 2015.
- [59] IETF RFC 7598: "DHCPv6 Options for Configuration of Software Address and Port-Mapped Clients", T. Mrugalski, O. Troan, I. Farrer, S. Perreault, W. Dec, C. Bao, L. Yeh, X. Deng, July 2015.
- [60] IETF RFC 7599: "Mapping of Address and Port Using Translation (MAP-T)", X. Li, C. Bao, W. Dec, O. Troan, S. Matsushima, T. Murakami, July 2015.
- [61] DOCSIS Security Specification, CM-SP-SECv3.0-I15-130808, August 8, 2013, Cable Television Laboratories, Inc.
- [62] TR-069: "CPE WAN Management Protocol v1", Issue 1 Amendment 5, November 2013, Broadband Forum Technical Report.
- [63] TR-181: "Device Data Model for TR-069", Issue 2 Amendment 8, November 2013, Broadband Forum Technical Report.
- [64] Wi-Fi Requirements for Cable Modem Gateways, WR-SP-WiFi-GW-I05-150515, May 15, 2015, Cable Television Laboratories, Inc.

## 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Mapping of Address and Port (MAP) Technical Report, CL-TR-MAP-V01-160630, June 30, 2016, Cable Television Laboratories, Inc.
- [i.2] IP Multicast Controller-Client Interface Specification, OC-SP-MC-EMCI-102-160923, September 23, 2016, Cable Television Laboratories, Inc.
- [i.3] TR-069: "Deployment Scenarios", Issue 1, MR-230, August 2010, Broadband Forum Marketing Report.
- [i.4] IETF RFC 793/STD-7: "Transmission Control Protocol", Postel, J., September 1981.
- [i.5] IETF RFC 2460: "Internet Protocol, Version 6 (IPv6) Specification", Deering, S. and R. Hinden, December 1998.
- [i.6] IETF RFC 2473: "Generic Packet Tunneling in IPv6 Specification", A. Conta, S. Deering, December 1998.
- [i.7] IETF RFC 3775: "Mobility Support in IPv6", Johnson, D., Perkins, C., and J. Arkko, June 2004.
- [i.8] IETF RFC 3828: "The Lightweight User Datagram Protocol (UDP-Lite)", Larzon, L-A., Degermark, M., Pink, S., Jonsson, L-E., and G. Fairhurst, July 2004.
- [i.9] IETF RFC 3859: "Deprecating Site Local Addresses", C. Huitema, B. Carpenter, September 2004.
- [i.10] IETF RFC 4007: "IPv6 Scoped Address Architecture", Deering, S., Haberman, B., Jinmei, T., Nordmark, E., and B. Zill, March 2005.
- [i.11] IETF RFC 4193: "Unique Local IPv6 Unicast Addresses", R. Hinden, B. Haberman, October 2005.
- [i.12] IETF RFC 4302: "IP Authentication Header", Kent, S., December 2005.

- [i.13] IETF RFC 4303: "IP Encapsulating Security Payload (ESP)", S. Kent. December 2005.
- [i.14] IETF RFC 4340: "Datagram Congestion Control Protocol (DCCP)", Kohler, E., Handley, M., and S. Floyd, March 2006.
- [i.15] IETF RFC 4960: "Stream Control Transmission Protocol", Stewart, R., September 2007.
- [i.16] IETF RFC 5095: "Deprecation of Type 0 Routing Headers in IPv6", Abley, J., Savola, P., and G. Neville-Neil, December 2007.
- [i.17] IETF RFC 5156: "Special-Use IPv6 Addresses", Blanchet, M., April 2008.
- [i.18] IETF RFC 5201: "Host Identity Protocol, Moskowitz", R., Nikander, P., Jokela, P., and T. Henderson, April 2008.
- [i.19] IETF RFC 5382: "NAT Behavioral Requirements for TCP", Guha, S., Biswas, K., Ford, B., Sivakumar, S., and P. Srisuresh, October 2008.
- [i.20] IETF RFC 5625: "DNS Proxy Implementation Guidelines", R. Bellis, August 2009.
- [i.21] IETF RFC 5996: "Internet Key Exchange Protocol Version 2 (IKEv2)", C. Kaufman, P. Hoffman, Y. Nir, and P. Eronen, September 2010.
- [i.22] IETF RFC 6145: "IP/ICMP Translation Algorithm", X. Li, C. Bao, F. Baker, April 2011.
- [i.23] TR-106: "Data Model Template for TR-069-Enabled Devices", Issue 1, Amendment 5, November 2010, Broadband Forum Technical Report.
- [i.24] Wi-Fi Provisioning Framework Specification, WR-SP-WiFi-MGMT-I07-160512, May 12, 2016, Cable Television Laboratories, Inc.
- [i.25] IEEE 802.1Q-2014™: "IEEE standard for local and metropolitan area networks -- bridges and bridged networks".

STANDARD PREVIEW  
(standards.iteh.ai)

SIST ES 203 386 V1.1.1:2018

<https://standards.iteh.ai/en/standards/3867778-1118-4ec4-bc48-a630800c6e60/sist-es-203-386-v1-1-1-2018>

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**Customer Edge Router (CER):** provides specific services and forwarding capabilities necessary for establishing and maintaining the customer edge on the Operator-Facing Interface (WAN)

NOTE: In this role, Router application services such as DHCP, NAPT and Packet Filtering Firewall are enabled.

**Customer-Facing Interface:** eRouter interface used for connecting CPE devices

NOTE: As defined in [57], this is a Local Area Network (LAN) interface and is represented by a physical port.

**Customer-Facing IP Interface:** IP interface connected to the eRouter that is not necessarily mapped one-to-one with the number of customer-facing ports on the eRouter

NOTE: As defined in [57], this is an IP LAN interface in which one or many physical ports are associated with an IP address.

**Customer-Facing Logical Interface:** logical interface connected to the eRouter that is not necessarily mapped one-to-one with the number of customer-facing ports on the eRouter

NOTE: As defined in [57], this is a LAN interface in which one or more physical ports are associated with a logical interface, such as a VLAN.

**DNS Proxy Forwarding:** mechanism in which the DNS queries of the LAN clients are proxied by the eRouter before being transmitted to the service provider's DNS servers that the eRouter learned during DHCP

**Down Interface:** interface on a router that is further away from the ISP network than the 'Up' interface on that same router

**eRouter:** eSAFE device that is implemented in conjunction with the DOCSIS embedded cable modem

**Hard Reset:** full reset of the eDOCSIS device and its constituent eSAFE application elements (such as the eRouter) and embedded CM

**Internet Gateway Device:** remotely managed gateway device as defined in CPE WAN Management Protocol [62]

**Link ID:** 16 bits of both IPv4 and IPv6 addresses chosen to uniquely identify each "link" or LAN segment (Customer-Facing IP Interface) within the home network. Counting from the left, the Link ID includes bits 49 - 64 (fourth 16-bit block) in an IPv6 address and bits 9 - 24 (middle two octets) in an IPv4 address.

**Multicast Subscription Database:** simple table of entries for the IPv4 or IPv6 Multicast Group Membership information maintained by the eRouter on respective interfaces

NOTE: Implementation details for storage of records are completely vendor-defined.

**Operator-Facing Interface:** eRouter interface that is connected to the embedded cable modem

NOTE: As defined in [57], this is a Wide Area Network (WAN) interface. In CPE WAN Management Protocol (CWMP) this is called an upstream interface.

**Operator-Facing IP Interface:** IP interface that is connected to the embedded cable modem and is provisioned with an IP address provided by the operator

NOTE: As defined in [57], this is a WAN interface.

**Prefix:** common address component, which defines a portion of a network

NOTE: The meanings of the terms Prefix and Subnet are interchangeable. The term Prefix is favored in the present document. See also Prefix Delegation.

**Prefix Delegation:** form of IPv6 address assignment allowing the operator's DHCP server to delegate a prefix of a specific length, such as /56, to a customer's router

NOTE: The delegation of one or more prefixes allows the router to further sub-divide and assign individual prefixes (which are /64 in length) to its interfaces and/or provide prefix sub-delegation to additional routers within the customer's network. Prefix Delegation occurs only between the operator's DHCP server and a router operating in the role of Customer Edge Router (CER). See also Customer Edge Router.

**Reset:** routine in which the operational state is interrupted by the instruction to shut down and restart

NOTE: The term is synonymous with the terms re-initialization and reboot. The term can describe either a full device reset (a Hard Reset) or the re-initialization of an individual eSAFE's software application (a Soft Reset) and any associated routines necessary to notify connected clients or other nodes of the device becoming temporarily unavailable.

**Service Discovery:** set of protocols and methods that are used to discover services that are made available by hosts and nodes within the customer network

**Soft Reset:** reset operation in which the software layer of the eRouter eSAFE application is re-initialized without impacting other eSAFEs or the embedded CM within an eDOCSIS device

Subnet: portion of a network that shares a common address component

NOTE: The meanings of the terms Prefix and Subnet are interchangeable. The term Prefix is favored in the present document.

**TR-069:** term used to refer to the CPE WAN Management Protocol suite defined in [62]

**TR-069 CPE:** term used to refer to the CPE managed using the CPE WAN Management Protocol suite defined in [62]