
**Sterilization of health care products —
Moist heat —**

Part 1:

**Requirements for the development,
validation and routine control of a
sterilization process for medical devices**

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Stérilisation des produits de santé — Chaleur humide —

*Partie 1: Exigences pour le développement, la validation et le contrôle
de routine d'un procédé de stérilisation des dispositifs médicaux*

<https://standards.iteh.ai/catalog/standards/sist/98e7bf5e-71a3-4b82-ad09-f29cd7766bc0/iso-17665-1-2006>



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Contents

Page

Foreword.....	v
Introduction	vi
1 Scope	1
1.1 Inclusions	1
1.2 Exclusions	1
2 Normative references	2
3 Terms and definitions.....	3
4 Quality management system elements	10
4.1 Documentation	10
4.2 Management responsibility	10
4.3 Product realization.....	10
4.4 Measurement, analysis and improvement — Control of non-conforming product	10
5 Sterilizing agent characterization	11
5.1 Sterilizing agent	11
5.2 Microbicial effectiveness	11
5.3 Materials effects	11
5.4 Environmental consideration	11
6 Process and equipment characterization	11
6.1 Process	11
6.1.1 General.....	11
6.1.2 Saturated steam processes	12
6.1.3 Contained product processes	12
6.2 Equipment	13
7 Product definition	14
8 Process definition.....	15
9 Validation	17
9.1 General.....	17
9.2 Installation qualification (IQ)	17
9.2.1 Equipment	17
9.2.2 Installation	17
9.2.3 Function	17
9.3 Operational qualification (OQ).....	18
9.4 Performance qualification (PQ)	18
9.5 Review and approval of validation	19
10 Routine monitoring and control	20
11 Product release from sterilization.....	21
12 Maintaining process effectiveness	21
12.1 Demonstration of continued effectiveness	21
12.2 Recalibration	21
12.3 Maintenance of equipment	21
12.4 Requalification	22
12.5 Assessment of change.....	22
Annex A (informative) Guidance	23
Annex B (informative) Process definition based on inactivation of the microbial population in its natural state (bioburden-based method).....	27

Annex C (informative) Process definition based on the inactivation of a reference microorganism and a knowledge of bioburden on product items to be sterilized (combined bioburden/biological indicator based method).....	28
Annex D (informative) Conservative process definition based on inactivation of reference microorganisms (overkill method).....	29
Annex E (informative) Operating cycles.....	31
Bibliography	36

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<https://standards.iteh.ai/catalog/standards/sist/98e7bf5e-71a3-4b82-ad09-f29cd7766bc0/iso-17665-1-2006>

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 17665-1 was prepared by Technical Committee ISO/TC 198, *Sterilization of health care products*.

This first edition of ISO 17665-1 cancels and replaces ISO 11134:1994 and ISO 13683:1997 both of which have been technically revised.

ISO 17665 consists of the following parts, under the general title *Sterilization of health care products — Moist heat*:

- [\(standards.iteh.ai\)](https://standards.iteh.ai/)
- [ISO 17665-1:2006](https://standards.iteh.ai/catalog/standards/sist/98e7bf5e-71a3-4b82-ad09-129cd7766bcd/iso-17665-1-2006)
- *Part 1: Requirements for the development, validation and routine control of a sterilization process for medical devices*
 - *Part 2: Guidance on the application of ISO 17665-1*

Introduction

A sterile medical device is one which is free of viable microorganisms. International standards that specify requirements for validation and routine control of sterilization processes require, when it is necessary to supply a sterile medical device, that adventitious microbiological contamination of a medical device prior to sterilization be minimized. Even so, medical devices produced under standard manufacturing conditions in accordance with the requirements for quality management systems (see, for example, ISO 13485) may, prior to sterilization, have microorganisms on them, albeit in low numbers. Such products are non-sterile. The purpose of sterilization is to inactivate the microbiological contaminants and thereby transform the non-sterile products into sterile ones.

The kinetics of inactivation of a pure culture of microorganisms by physical and/or chemical agents used to sterilize medical devices generally can best be described by an exponential relationship between the number of microorganisms surviving and the extent of treatment with the sterilizing agent; inevitably this means that there is always a finite probability that a microorganism may survive regardless of the extent of treatment applied. For a given treatment, the probability of survival is determined by the number and resistance of microorganisms and by the environment in which the organisms exist during treatment. It follows that the sterility of any one product in a population subjected to sterilization processing cannot be guaranteed and the sterility of a processed population is defined in terms of the probability of there being a viable microorganism present on a product item.

ISO 17665 describes requirements that, if met, will provide a moist heat sterilization process intended to sterilize medical devices, which has appropriate microbicidal activity. Furthermore, compliance with the requirements ensures this activity is both reliable and reproducible so that predictions can be made, with reasonable confidence, that there is a low level of probability of there being a viable microorganism present on product after sterilization. Specification of this probability is a matter for regulatory authorities and may vary from country to country (see, for example, EN 556-1 and ANSI/AAMI ST67).

Generic requirements of the quality management system for design and development, production, installation and servicing are given in ISO 9001 and particular requirements for quality management systems for medical device production are given in ISO 13485. The standards for quality management systems recognise that, for certain processes used in manufacturing, the effectiveness of the process cannot be fully verified by subsequent inspection and testing of the product. Sterilization is an example of such a process. For this reason, sterilization processes are validated for use, the performance of the sterilization process is monitored routinely and the equipment is maintained.

Exposure to a properly validated, accurately controlled sterilization process is not the only factor associated with the provision of reliable assurance that the product is sterile and, in this regard, suitable for its intended use. Attention is therefore given to a number of factors including:

- a) the microbiological status of incoming raw materials and/or components;
- b) the validation and routine control of any cleaning and disinfection procedures used on the product;
- c) the control of the environment in which the product is manufactured, assembled and packaged;
- d) the control of equipment and processes;
- e) the control of personnel and their hygiene;
- f) the manner and materials in which the product is packaged;
- g) the conditions under which product is stored.

The type of contamination on a product to be sterilized varies and this has an impact upon the effectiveness of a sterilization process. It is preferable that products that have been used in a health care setting and that are being presented for resterilization in accordance with the manufacturer's instructions (see ISO 17664) be regarded as special cases. There is the potential for such products to possess a wide range of contaminating microorganisms and residual inorganic and/or organic contamination in spite of the application of a cleaning process. Hence, particular attention has to be given to the validation and control of the cleaning and disinfection processes used during reprocessing.

This part of ISO 17665 describes the requirements for ensuring that the activities associated with the process of moist heat sterilization are performed properly. These activities are described in documented work programmes designed to demonstrate that the moist heat sterilization process will consistently yield sterile products on treatment with process variables falling within the predetermined limits.

The requirements are the normative parts of this part of ISO 17665 with which compliance is claimed. The guidance given in the informative annexes is not normative and is not provided as a checklist for auditors. The guidance provides explanations and methods that are regarded as being suitable means for complying with the requirements. Methods other than those given in the guidance may be used, if they are effective in achieving compliance with the requirements of this part of ISO 17665.

The development, validation and routine control of a sterilization process comprise a number of discrete but interrelated activities, e.g. calibration, maintenance, product definition, process definition, installation qualification, operational qualification and performance qualification. While the activities required by this part of ISO 17665 have been grouped together and are presented in a particular order, this part of ISO 17665 does not require that the activities be performed in the order that they are presented. The activities required are not necessarily sequential, as the programme of development and validation may be iterative. It is possible that performing these different activities will involve a number of separate individuals and/or organizations, each of whom undertake one or more of these activities. This part of ISO 17665 does not specify the particular individuals or organizations to carry out the activities.

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Sterilization of health care products — Moist heat —

Part 1: Requirements for the development, validation and routine control of a sterilization process for medical devices

1 Scope

1.1 Inclusions

1.1.1 This part of ISO 17665 specifies requirements for the development, validation and routine control of a moist heat sterilization process for medical devices.

NOTE Although the scope of this part of ISO 17665 is limited to medical devices, it specifies requirements and provides guidance that may be applicable to other health care products.

1.1.2 Moist heat sterilization processes covered by this part of ISO 17665 include but are not limited to:

- a) saturated steam venting systems;
- b) saturated steam active air removal systems;
- c) air steam mixtures;
- d) water spray;
- e) water immersion.

NOTE See also Annex E.

1.2 Exclusions

1.2.1 This part of ISO 17665 does not specify requirements for development, validation, and routine control of a process for inactivating the causative agents of spongiform encephalopathies such as scrapie, bovine spongiform encephalopathy and Creutzfeldt-Jakob disease. Specific recommendations have been produced in particular countries for the processing of materials potentially contaminated with these agents.

NOTE See also ISO 22442-1, ISO 22442-2 and ISO 22442-3.

1.2.2 This part of ISO 17665 does not apply to those sterilization processes that are based on a combination of moist heat with other biocidal agents (e.g. formaldehyde) as the sterilizing agent.

1.2.3 This part of ISO 17665 does not detail a specified requirement for designating a medical device as “sterile.”

NOTE Attention is drawn to national or regional requirements for designating medical devices as “sterile.” See, for example, EN 556-1 or ANSI/AAMI ST67.

1.2.4 This part of ISO 17665 does not specify a quality management system for the control of all stages of production of medical devices.

NOTE It is not a requirement of this part of ISO 17665 to have a complete quality management system during manufacture, but the elements of a quality management system that are the minimum necessary to control the sterilization process are normatively referenced at appropriate places in the text (see, in particular, Clause 4). Attention is drawn to the standards for quality management systems (see ISO 13485) that control all stages of production of medical devices, including the sterilization process. Regional and national regulations for the provision of medical devices might require implementation of a complete quality management system and the assessment of that system by a third party.

1.2.5 This part of ISO 17665 does not specify requirements for occupational safety associated with the design and operation of moist heat sterilization facilities.

NOTE Requirements for operational safety are specified in IEC 61010-2-040. Additionally, safety regulations exist in some countries.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 10012, *Measurement management systems — Requirements for measurement processes and measuring equipment*

ISO 11138-1, *Sterilization of health care products — Biological indicators — Part 1: General Requirements*

ISO 11138-3, *Sterilization of health care products — Biological indicators — Part 3: Biological indicators for moist heat sterilization processes*

ISO 11140-1, *Sterilization of health care products — Chemical indicators — Part 1: General requirements*

ISO 11140-3, *Sterilization of health care products — Chemical indicators — Part 3: Class 2 indicator systems for use in the Bowie and Dick steam penetration test*

ISO 11140-4, *Sterilization of health care products — Chemical indicators — Part 4: Class 2 indicators as an alternative to Bowie and Dick test for detection of steam penetration*

ISO 11140-5, *Sterilization of health care products — Chemical indicators — Part 5: Class 2 indicators for Bowie and Dick air removal test sheets and packs*

ISO 11607-1, *Packaging for terminally sterilized medical devices — Part 1: Requirements for materials, sterile barrier systems and packaging systems*

ISO 11607-2, *Packaging for terminally sterilized medical devices — Part 2: Validation requirements for forming, sealing and assembly processes*

ISO 11737-1, *Sterilization of medical devices — Microbiological methods — Part 1: Determination of a population of microorganisms on products*

ISO 11737-2, *Sterilization of medical devices — Microbiological methods — Part 2: Tests of sterility performed in the validation of a sterilization process*

ISO 13485:2003, *Medical devices — Quality management systems — Requirements for regulatory purposes*

ISO 17664, *Sterilization of medical devices — Information to be provided by the manufacturer for the processing of resterilizable medical devices*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1

air detector

device designed to detect the presence of non-condensable gases in a stream of steam and condensate or in the sterilizer chamber

3.2

automatic controller

(sterilization) device that, in response to pre-determined operating cycle variables, operates the sterilizer sequentially through the required stages of the operating cycle(s)

3.3

bioburden

population of viable microorganisms on and/or in a product and/or sterile barrier system

[ISO/TS 11139:2006, definition 2.2]

3.4

biological indicator

test system containing viable microorganisms providing a defined resistance to a specified sterilization process

[ISO/TS 11139:2006, definition 2.3]

3.5

calibration

set of operations that establish, under specified conditions, the relationship between values of a quantity indicated by a measuring instrument or measuring system, or values represented by a material measure or a reference material, and the corresponding values realized by standards

[VIM:1993, definition 6.11]

3.6

chemical indicator

non-biological indicator

test system that reveals change in one or more pre-defined process variables based on a chemical or physical change resulting from exposure to a process

[ISO/TS 11139:2006, definition 2.6]

3.7

contained product

product for which the environment within the sterilizer during any stage of the sterilization process does not come into direct contact with the product

NOTE The environment within the sterilizer is used for heating and cooling purposes only, not for achieving the sterilization effect; e.g. a solution in a sealed bottle.

3.8

correction

action to eliminate a detected nonconformity

NOTE A correction can be made in conjunction with a corrective action.

[ISO 9000:2005, definition 3.6.6]

3.9

corrective action

action to eliminate the cause of a detected nonconformity or other undesirable situation

NOTE 1 There can be more than one cause for a nonconformity.

NOTE 2 Corrective action is taken to prevent recurrence whereas preventive action is taken to prevent occurrence.

NOTE 3 There is a distinction between correction and corrective action.

[ISO 9000:2005, definition 3.6.5]

3.10

D value

D₁₀ value

time or dose required to achieve inactivation of 90 % of a population of the test microorganism under stated conditions

[ISO/TS 11139:2006, definition 2.11]

NOTE For the purposes of this part of ISO 17665 *D*-value refers to the exposure necessary to achieve 90 % reduction.

3.11

development

act of elaborating a specification

[ISO/TS 11139:2006, definition 2.13]

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3.12

environmental control

application of engineering and/or procedural systems to maintain conditions in defined areas within specified limits

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NOTE Such systems can include air and fluid filters, surface disinfection, protective clothing and administrative procedures.

[ISO/TS 11139:2006, definition 2.16]

3.13

equilibration time

period which elapses between the attainment of the sterilization temperature at the reference measuring point and the attainment of the sterilization temperature at all points within the sterilization load

3.14

establish

determine by theoretical evaluation and confirm by experimentation

[ISO/TS 11139:2006, definition 2.17]

3.15

exposure time

period for which the process parameters are maintained within their specified tolerances

[ISO/TS 11139:2006, definition 2.18]

3.16

fault

one or more of the process parameters lying outside its/their specified tolerance(s)

[ISO/TS 11139:2006, definition 2.19]

3.17 **F_0 value**

microbiological lethality of a sterilization process expressed in terms of the equivalent time, in minutes, at a temperature of 121,1 °C with reference to microorganisms with a z value of 10 °C

3.18**health care product(s)**

medical device(s) including *in vitro* diagnostic medical device(s) or medicinal product(s) including biopharmaceutical(s)

[ISO/TS 11139:2006, definition 2.20]

3.19**holding time**

⟨sterilization⟩ period for which the temperatures at the reference measurement point and at all points within the sterilization load are continuously within the sterilization temperature band

3.20**installation qualification****IQ**

process of obtaining and documenting evidence that equipment has been provided and installed in accordance with its specification

[ISO/TS 11139:2006, definition 2.22]

3.21**load configuration**

specified configuration within the sterilization chamber of the items of fixed chamber parts and the numbers, types, distribution and orientation of product presented for sterilization

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3.22**maintenance**

⟨sterilization⟩ combination of all technical and associated administrative actions intended to retain an item at/or restore it to a state in which it can perform its required function

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3.23**medical device**

instrument, apparatus, implement, machine, appliance, implant, *in vitro* reagent or calibrator, software, material or other related article, intended by the manufacturer to be used, alone or in combination, for human beings for one or more of the specific purpose(s) of

- diagnosis, prevention, monitoring, treatment or alleviation of disease;
- diagnosis, monitoring, treatment, alleviation of or compensation for an injury;
- investigation, replacement, modification or support of the anatomy or of a physiological process;
- supporting or sustaining life;
- control of conception;
- disinfection of medical devices;
- providing information for medical purposes by means of *in vitro* examination of specimens derived from the human body;