



Designation: D4555 – 01 (Reapproved 2005)

Standard Test Method for Determining Deformability and Strength of Weak Rock by an In Situ Uniaxial Compressive Test¹

This standard is issued under the fixed designation D4555; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope*

1.1 This test method covers the measurement of the deformability and strength of large in situ specimens of weak rock by a uniaxial - compressive test. The test results take into account the effect of both intact material behavior and the behavior of discontinuities contained within the specimen block.

1.2 The values stated in SI units are to be regarded as the standard.

1.3 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

2. Referenced Documents

2.1 *ASTM Standards:*²

D3740 Practice for Minimum Requirements for Agencies Engaged in Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction

3. Terminology

3.1 *Definitions of Terms Specific to This Standard:*

3.1.1 *rock quality designation, RQD*—a method for quantitatively describing the nature of a rock mass from core borings. RQD is obtained by measuring the total length of all unweathered pieces of core greater than or equal to 100 mm and dividing the total by the length of the particular core run. This quantity is expressed as a percent and is used to classify in situ rock.

3.1.2 *weak rock*—rock containing numerous weathered joints spaced 30 to 500 mm, with gouge filling/waste rock with fines. Weak rock has both rock and soil properties depending on condition of use. The compressive strength is less than 35 MPa and the RQD is less than 50 %.

¹ This test method is under the jurisdiction of Committee D18 on Soil and Rock and is the direct responsibility of Subcommittee D18.12 on Rock Mechanics.

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

4. Significance and Use

4.1 Since there is no reliable method of predicting the overall strength and deformation data of a rock mass from the results of laboratory tests on small specimens, in situ tests on large specimens are necessary. Such tests also have the advantage that the rock specimen is tested under similar environmental conditions as prevailing for the rock mass.

4.2 Since the strength of rock is dependent on the size of the test specimen, it is necessary to test several specimens (laboratory or field, or both) of progressively increasing size until an asymptotically constant strength value is found. This value is taken to represent the strength of the rock mass.^{3,4}

NOTE 1—Notwithstanding the statements on precision and bias contained in this test method; the precision of this test method is dependent on the competence of the personnel performing it, and the suitability of the equipment and facilities used. Agencies that meet the criteria of Practice D3740 are generally considered capable of competent and objective testing. Users of this test method are cautioned that compliance with Practice D3740 does not in itself assure reliable testing. Reliable testing depends on many factors. Practice D3740 provides a means of evaluating some of those factors.

5. Apparatus

5.1 *Preparation Equipment*—Equipment is needed for cutting specimen blocks from existing underground exposed faces, for example, a coal cutting machine, pneumatic chisel, or other hand tools. No explosives are permitted.

5.2 *Loading System:*

5.2.1 *Hydraulic Jacks or Flatjacks*—This equipment is required to apply a uniformly distributed load to the complete upper face of the specimen. The loading system shall be of sufficient capacity and travel to load the system to failure. Multiple hydraulic jacks fed by a common manifold should be avoided.

5.2.2 *Hydraulic Pumping System*—This system is needed to supply oil at the required pressure to the jacks, the pressure being controlled to give a constant rate of displacement or strain, rather than a constant rate of stress increase.

NOTE 2—Experience has shown that deformation-controlled loading is

³ Bieniawski, Z. T., and Van Heerden, W. L., "The Significance of Large-Scale In Situ Tests," *International Journal of Rock Mechanics Mining Sciences*, Vol 1, 1975.

⁴ Heuze, F. E., "Scale Effects in the Determination of Rock Mass Strength and Deformability," *Rock Mechanics*, Vol 12, 1980, pp 167–192.

*A Summary of Changes section appears at the end of this standard.

preferable to stress-controlled loading because it results in a more stable, and thus safer, test. This result is a consequence of the strain softening nature of most rock or rock-like materials. A single stress level may correspond to different values of strain during any test, with the level of strain continuing to increase throughout a test. One way to achieve uniform deformation of the specimen is to use a separate pump for each jack and to set the oil delivery rate of each pump to the same value. Standard diesel fuel injection pumps have been found suitable and are capable of supplying pressures up to 100 MPa. The delivery rate of these pumps can be set very accurately.

5.3 *Equipment to Measure Applied Load and Strain in the Specimen:*

5.3.1 *Load Measuring Equipment*—This equipment, for example, electric, hydraulic, or mechanical load cells, permits the applied load to be measured with an accuracy better than $\pm 5\%$ of the maximum in the test.

5.3.2 *Dial Gage*—A dial gage, or similar displacement measuring devices, with robust fittings to enable the instruments to be mounted so that the strain in the central third of each specimen face is measured with an accuracy better than $\pm 10^{-5}$. Strain is to be measured in the direction of applied load and also in a perpendicular direction if Poisson's ratio values are to be determined.

5.4 *Calibration Equipment*—Equipment to calibrate the loading and displacement measuring systems, the accuracy of calibration to be better than the accuracies of test measurement specified in 5.3.1 and 5.3.2.

6. Procedure

6.1 *Preparation of Specimens:*

6.1.1 Cut specimens of the required dimensions from the exposed rock faces (Fig. 1). The specimen shall have a

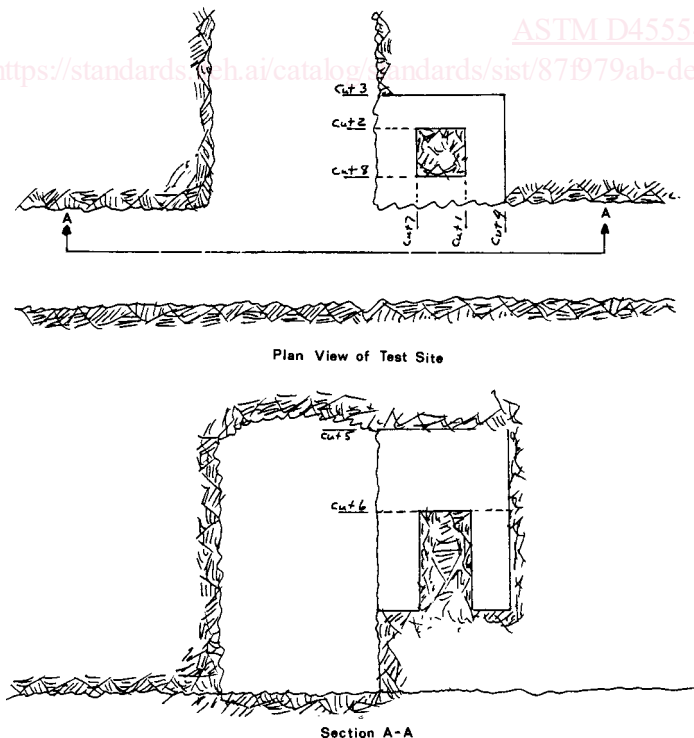


FIG. 1 Sequence of Cuts and Excavation for Specimen Preparation

height-to-minimum-width dimension ratio of 2.0 to 2.5. The ratio of the maximum width of the specimen to the minimum width shall be as near to 1.0 as practicable.

6.1.1.1 First, remove loose and damaged rock. Make vertical cuts as shown in Fig. 1 to form the vertical faces of the specimen. Dimensional uniformity of each vertical face of the test specimen should not deviate by more than 20 mm. If there is such deviation, abandon the specimen. Make a horizontal cut to form the top face of the specimen. Remove loose rock and trim the specimen to final size using hand tools.

NOTE 3—Specimen dimensions cannot be specified because they depend very much on the rock properties, for example, the thickness of strata and the ease with which specimens can be prepared. It is recommended that a number of tests be done with a specimen with a width of about 0.5 m and that the size of subsequent specimens should be increased until an asymptotically constant strength value is reached. It is probable that the largest test specimen will have a minimum width at least 10 times greater than the average dimension of the largest fragment defined by discontinuities.

6.1.2 Clean and inspect the specimen. Record in detail the geological structure of the block and nature of the reaction faces of the block. Measure specimen geometry, including the geometry of defects in the block, with an accuracy better than 5 mm. Prepare photographs and drawings to illustrate both geological and geometric characteristics.

6.1.3 Cast a concrete pad, suitably reinforced, to cover the top face of the specimen (Fig. 2). This pad shall be sufficient to give adequate strength under the full applied load. The top face of the pad shall be flat to within $\pm 5^\circ$ of the basal plane of the block.

6.1.4 Remove the rock from above the specimen to make space for the loading jacks. Cut back the rock to a stratum of sufficient strength to provide safe reaction. Generally, a concrete reaction pad must be cast to distribute the load on the roof and to prevent undue deformation and movement of the jacks during the test. The lower face of the reaction block shall be flat to within ± 5 mm and shall be parallel to the upper face of the

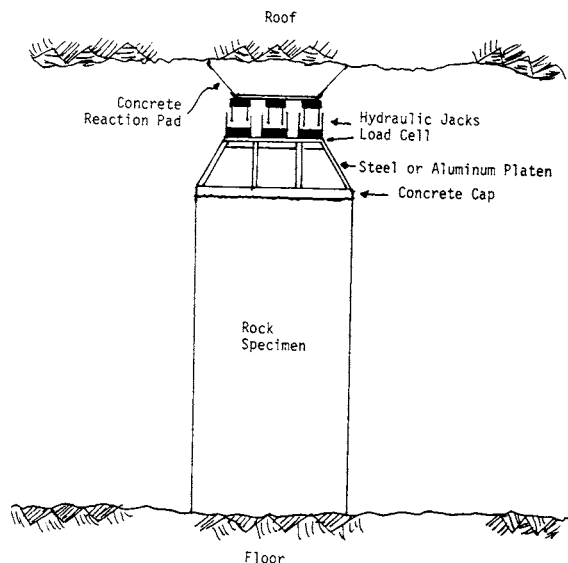


FIG. 2 Test Arrangement