ETSI TS 103 329 V1.1.1 (2016-06)



Wireless Industrial Applications (WIA); Radio equipment to be used in the 5,725 GHz to 5,875 GHz frequency range with power level up to 400 mW; Methods and concepts for a WIA system approach to sharing in the 5,725 GHz to 5,875 GHz band

https://sandards.or

Reference DTS/ERM-TG41-002

Keywords

access control, radio

ETSI



The present document can be downloaded from: <u>http://www.etsi.org/standards-search</u>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <u>https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</u>

If you find errors in the present document, please send your comment to one of the following services: <u>https://portal.etsi.org/People/CommiteeSupportStaff.aspx</u>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI. The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2016. All rights reserved.

DECT[™], PLUGTESTS[™], UMTS[™] and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP[™]** and LTE[™] are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Content

Intelle	ectual Property Rights	4
Forew	vord	4
Moda	l verbs terminology	4
Introd	luction	4
1	Scope	5
2	References	5
2.1	Normative references	5
2.2	Informative references	5
3	Definitions, symbols and abbreviations	6
3.1	Definitions	6
3.2	Symbols	6
3.3	Abbreviations	7
4	Concept of Central Coordination Point	7
4.1	Overview	7
4.2	CCP concept for sharing with other incumbent services and applications operating within the 5,8 GHz	
	band	8
4.2.1	General	8
4.2.2	Incumbent Protection	10
4.3	CCP concept for intra-system coexistence operating within the 5,8 GHz band	10
4.3.1	General	10
4.3.2	Inter-Network Sensing Assistance	11
5	Architecture of CCP	12
5.1	General	12
5.2	Equipment Types	13
5.2.1	WIA device	13
5.2.1.1	WIA device with per device mitigation and spectrum access mechanism	13
5.2.1.2	2 CCP controlled WIA device.	14
5.2.1.3	3 CCP-controlled WIA device with per device mitigation and spectrum access mechanism	14
5.2.2	Central Coordination Point	14
5.3	Local Industrial Environment Resource Database	14
5.4	Spectrum Manager	15
5.4.1	Overview	15
5.4.2	Incumbent Service Database	15
5.4.3	Spectrum Resource Engine	16
5.4.4	Radio System and Device Registration and Management	16
5.4.5	Automation Application Resource Management	16
5.5	Spectrum Sensing Unit	16
5.6	Functions	17
5.6.1	Mitigation techniques	17
5.6.1.1	General	17
Histor	ry	18

6

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM).

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

Introduction

The present document describes radio aspects related to the central coordination point (CCP) concept. The intended application domain is wireless industrial applications (WIA) within the 5,8 GHz WIA band.

ailes

The concept of a central coordination point (CCP) is a mechanism which allows the coordination of multiple devices and/or multiple networks using single or multiple spectrum access technologies with the intension of optimizing spectrum efficiency and ensuring coexistence. The objective of the CCP as described in the present document, is to establish an automated adaptive coexistence management for the 5,8 GHz WIA band, which means that the CCP identifies and manages the available spectrum for WIA under its control. The CCP employs mitigation techniques like Dynamic Frequency Selection (DFS) and Detect and Avoid (DAA) in order to protect incumbent services or applications.

NOTE: The term device is used in the present document as a synonym for equipment.

The technical specifications of higher layer protocol mechanisms that are needed to allow a multi-vendor-capable-CCP are not part of the present document.

1 Scope

The present document describes methods and concepts for a Central Coordination Point (CCP) in order to support spectrum sharing between WIA devices with power level up to 400 mW and other systems operating in the 5,725 GHz to 5,875 GHz band, i.e. the 5,8 GHz WIA band. The present document covers two aspects:

- CCP for sharing with incumbent services/applications (e.g. those identified in ECC Report 206 [i.1]).
- CCP for Intra-system coexistence.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <u>http://docbox.etsi.org/Reference</u>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

Not applicable.

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1]	ECC Report 206: "Compatibility studies in the band 5725-5875 MHz between SRD equipment for wireless industrial applications and other systems".
[i.2]	Gnad, A.; Krätzig, M.; Schade, J.; Schönrock, R.; Trikaliotis, S.; Rauchhaupt, L.: "Software Defined Radio und Cognitive Radio in der industriellen Automation. Deutsche Forschungsgesellschaft für Automation und Mikroelektronik (DFAM), ISBN: 978-3-8163-0614-6, VDMA Verlag, Frankfurt(Main), 2011".
[i.3]	CENELEC EN 62591 (2010): "Industrial communication networks - Wireless communication network and communication profiles - WirelessHART TM ".
[i.4]	CENELEC EN 62734 (2015): "Industrial networks - Wireless communication network and communication profiles - ISA 100.11a".
[i.5]	CENELEC EN 62657-2 (2015): "Industrial communication networks - Wireless Communication networks - Part 2: Coexistence management".
[i.6]	CENELEC EN 61158-1:2014: "Industrial communication networks - Fieldbus specifications -

Part 1: Overview and guidance for the IEC 61158 and IEC 61784 series".

3 Definitions, symbols and abbreviations

3.1Definitions

For the purposes of the present document, the terms and definitions given in CENELEC EN 62657-2 [i.5] and the following apply:

5,8 GHz WIA band: Wireless Industrial Automation (WIA) assigned total frequency range of 5 725 MHz to 5 875 MHz

Central Coordination Point (CCP): device coordinating resources in the different wireless systems/networks

coexistence (wireless communication coexistence): state in which all wireless communication solutions of a plant using shared medium fulfil all their application communication requirements

frequency range: range of operating frequencies over which the equipment can be adjusted

harmful interference: interference which endangers the functioning of a radionavigation service or of other safety services or which otherwise seriously degrades, obstructs or repeatedly interrupts a radiocommunications service operating in accordance with the applicable Community or national regulations

network: all of the media, connectors, repeaters, routers, gateways and associated node communication elements by which a given set of communicating devices are interconnected

NOTE: The definition is the same as in CENELEC EN 61158-1:2014, 3.1.30 [i.6].

node: end-point of a branch in a network

The definition is the same as in CENELEC EN 61918:2013, 3.1.50 [i.7]. NOTE:

radio equipment: an electrical or electronic product, which intentionally emits and/or receives radio waves for the purpose of radio communication and/or radiodetermination, or an electrical or electronic product which is always completed with an accessory, such as antenna, so as to intentionally emit and/or receive radio waves for the purpose of radio communication and/or radiodetermination

radio communication: communication by means of radio waves

radiodetermination: determination of the position, velocity and/or other characteristics of an object, or the obtaining of information relating to those parameters, by means of the propagation properties of radio waves

radio waves: electromagnetic waves of frequencies lower than 3 000 GHz, propagated in space without artificial guide

ETSI

3.2 **Symbols**

For the purposes of the present document, the following symbols apply:

dB relative to 1 milliwatt
Gigahertz
Hertz
kilohertz
Megahertz
Watt

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AARM	Automation Application Resource Management
AFA	Adaptive Frequency Agility
BFWA	Broadband Fixed Wireless Access
CCP	Central Coordination Point
CCW	CCP Controlled WIA
DAA	Detect And Avoid
DFS	Dynamic Frequency Selection
EIRP	Effective Isotropic Radiated Power
FSS	Fixed Satellite Services
ISDB	Incumbent Service/Application Database
ITS	Intelligent Transport System
LRDB	Local Industrial Environment Resource Database
RF	Radio Frequency
RLAN	Radio Local Access Network
RRM	Radio system and device Registration and Management
SM	Spectrum Manager
SRE	Spectrum Resource Engine
SSU	Spectrum Sensing Unit
TTT	Transport and Traffic Telematics
WIA	Wireless Industrial Application

4 Concept of Central Coordination Point

4.1 Overview

The idea of a central coordination point (CCP) is to coordinate between multiple devices and/or multiple wireless networks using single wireless communication solution or multiple wireless communication solutions. The aim is to further improve the use of spectrum. The main idea is that a central device should coordinate the use of spectrum by these devices and/or networks with respect to bandwidth, time and space for multiple devices and/or networks, instead of using mitigation techniques individually per radio device.

In general, the functional architecture for radio systems with CCP shall fulfil the following basic requirements:

- Support of spectrum coordination mechanisms to provide coexistence between different systems and services in the same frequency range, with the aim of:
 - Protection of incumbent radio systems (e.g. Radiolocation, BFWA, ITS).
 - Avoidance of harmful interferences.
- Support of mechanisms for automatically spectrum allocation and spectrum access, with the aim of:
 - Recognition of free and occupied spectrum.
 - Detection and classification of incumbent radio systems and services.
- Support mechanisms for continuous surveillance of the frequency spectrum condition.

The resources can be selected in time, in frequency and in space domain. The CCP can organize the available spectrum resources and assign resource blocks to the different wireless networks or devices within the 5,8 GHz WIA band.

The CCP shall communicate with network access points or with individual wireless devices by means of one or more communication channels and protocols. Therefore, the CCP shall fulfil the following requirements:

- Support of different radio technologies.
- Support of interface for interoperability. •
- Support of interface for coordination. •

Two scenarios can be considered:

- 1) CCP for sharing with other incumbent services/applications. This scenario is described in clause 4.2.
- 2) CCP for intra-system coexistence. This scenario is described in clause 4.3.

The CCP for intra-system coexistence can substitute manual coexistence management as defined by CENELEC EN 62657-2 [i.5].

The CCP is scheduling resources to the different wireless systems. This can include CCP to single device communications or CCP to network access point communication. In the latter case, the network access point will then use the CCP information to configure and control its own wireless network.

If WIA systems are not controlled by a CCP and operate independently using their own spectrum access mechanisms, then there is no coordination between the WIA systems, and they rely on their own techniques for network formation, network discovery, service discovery and interference management, including the protection of incumbent services.

CCP concept for sharing with other incumbent services and 4.2 applications operating within the 5,8 GHz band

421 General

Full A CCP concept for sharing with other incumbent services and applications is intended to take away the burden of implementing the needed mitigation techniques for the used spectrum in each device. Another benefit of this concept is that the CCP can sense the incumbent services at the edges of the installed area with a better receiver sensitivity as the WIA devices can perform it; this behaviour is also required in the ECC Report 206 [i.1].

If the available spectrum not used by incumbent services is identified by the CCP, then the CCP shall calculate the most efficient spectrum assignments that can be assigned to the CCP controlled systems and CCP controlled devices based on their WIA requirements on communication needs.

WIA systems in a factory environment may operate exclusively over one or more network access technologies and many of these WIA systems may be capable of operating simultaneously in a factory environment. For example CCP controlled WIA systems and incumbent services/applications within the 5,8 GHz WIA band, such as radiolocation, transportation system and BFWA are depicted in Figure 1.



9

Figure 1: CCP controlled WIA systems and incumbent services and applications

Figure 2 shows the incumbent services and applications using the 5,8 GHz WIA band. The incumbent services and applications with a high regulatory involvement are the following: radiolocation, fixed satellite services (FSS), transportation systems like Transport and Traffic Telematics (TTT), ITS non-safety and broadband fixed wireless 93 access (BFWA).

access (BFWA). Table 1 shows typical parameters for some incumbent services and applications in the 5,8 GHz WIA band as defined in iten aile ECC Report 206 [i.1]. 18 હે 0

5725 MHz	5750 MHz	dards 5800 MHz	5850 M	Hz 5875 MHz			
		https://state.oor		ITS Non-Safety			
	Broad	band Fixed Wireless Access	(BFWA) Systems				
	FSS	(Earth-to-Space), region 1		FSS global			
	Non-Specific Short Range Devices						
		Amateur	Amateur Satellite (Space-to-Earth)				
	Rad	diolocation applications					
		Wireless Industrial Applic	ations				
5725 MHz	5750 MHz	5800 MHz	5850 M				

