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**Smart Cards;**  
**Test specification for the Host Controller Interface (HCI);**  
**Part 1: Terminal features**  
**(Release 9)**

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# Contents

Intellectual Property Rights .....	8
Foreword.....	8
Modal verbs terminology.....	8
Introduction .....	9
1 Scope .....	10
2 References .....	10
2.1 Normative references .....	10
2.2 Informative references.....	11
3 Definitions, symbols and abbreviations .....	11
3.1 Definitions .....	11
3.2 Symbols.....	11
3.3 Abbreviations .....	11
3.4 Void.....	12
3A Formats.....	12
3A.1 Format of the table of optional features.....	12
3A.2 Format of the applicability table.....	13
3A.3 Status and Notations.....	13
4 Test environment.....	14
4.1 Table of optional features.....	14
4.2 Applicability table .....	15
4.3 Information to be provided by the device supplier.....	18
4.4 Test equipment .....	18
4.4.1 Measurement / setting uncertainties.....	19
4.4.2 Default conditions for DUT operation.....	19
4.4.2.1 General .....	19
4.4.2.2 Status of UICC interfaces.....	19
4.4.3 Minimum/maximum conditions for DUT operation.....	19
4.4.4 Conventions .....	19
4.5 Test execution .....	19
4.5.1 Parameter variations .....	19
4.5.2 Execution requirements .....	19
4.6 Pass criterion .....	20
4.6.1 Unanticipated behaviour from the DUT .....	20
5 Test cases.....	20
5.1 HCI architecture .....	20
5.1.1 Overview .....	20
5.1.2 Hosts .....	20
5.1.3 Gates.....	21
5.1.3.1 Conformance requirements .....	21
5.1.3.2 Test case 1: existence of gates.....	21
5.1.3.2.1 Test execution.....	21
5.1.3.2.2 Initial conditions .....	21
5.1.3.2.3 Test procedure .....	21
5.1.3.3 Void.....	21
5.1.4 Pipes.....	22
5.1.4.1 Conformance requirements .....	22
5.1.4.2 Test case 1: static pipe deletion.....	22
5.1.4.2.1 Test execution.....	22
5.1.4.2.2 Initial conditions .....	22
5.1.4.2.3 Test procedure .....	22
5.1.4.3 Test case 2: initial pipe state and persistence of pipe state and registry value .....	23

5.1.4.3.1	Test execution.....	23
5.1.4.3.2	Initial conditions.....	23
5.1.4.3.3	Test procedure.....	23
5.1.5	Registries.....	24
5.1.5.1	Conformance requirements.....	24
5.1.5.2	Test case 1: registry deletion.....	24
5.1.5.2.1	Test execution.....	24
5.1.5.2.2	Initial conditions.....	24
5.1.5.2.3	Test procedure.....	25
5.2	HCP.....	25
5.2.1	HCP packets.....	25
5.2.1.1	Conformance requirements.....	25
5.2.2	HCP message structure.....	26
5.2.2.1	Conformance requirements.....	26
5.2.2.2	Test case 1: commands/events on pipe which is not open.....	26
5.2.2.2.1	Test execution.....	26
5.2.2.2.2	Initial conditions.....	26
5.2.2.2.3	Test procedure.....	26
5.2.3	Message fragmentation.....	27
5.2.3.1	Conformance requirements.....	27
5.3	Instructions.....	27
5.3.1	Commands.....	27
5.3.1.1	Overview.....	27
5.3.1.1.1	Conformance requirements.....	27
5.3.1.2	Generic commands.....	27
5.3.1.2.1	ANY_SET_PARAMETER.....	27
5.3.1.2.2	ANY_GET_PARAMETER.....	28
5.3.1.2.3	ANY_OPEN_PIPE.....	28
5.3.1.2.4	ANY_CLOSE_PIPE.....	29
5.3.1.3	Administration commands.....	30
5.3.1.3.1	ADM_CREATE_PIPE.....	30
5.3.1.3.2	ADM_NOTIFY_PIPE_CREATED.....	30
5.3.1.3.3	ADM_DELETE_PIPE.....	30
5.3.1.3.4	ADM_NOTIFY_PIPE_DELETED.....	30
5.3.1.3.5	ADM_CLEAR_ALL_PIPE.....	31
5.3.1.3.6	ADM_NOTIFY_ALL_PIPE_CLEARED.....	31
5.3.2	Responses.....	31
5.3.2.1	Conformance requirements.....	31
5.3.2.2	Test case 1: response to unknown command.....	31
5.3.2.2.1	Test execution.....	31
5.3.2.2.2	Initial conditions.....	32
5.3.2.2.3	Test procedure.....	32
5.3.3	Events.....	32
5.3.3.1	Conformance requirements.....	32
5.3.3.2	Test case 1: reception of unknown events.....	32
5.3.3.2.1	Test execution.....	32
5.3.3.2.2	Initial conditions.....	32
5.3.3.2.3	Test procedure.....	32
5.4	GATES and subclauses.....	33
5.4.1	GATES.....	33
5.4.1.1	Conformance requirements.....	33
5.4.2	Management gates.....	33
5.4.2.1	Administration gates.....	33
5.4.2.1.1	Host controller administration gate.....	33
5.4.2.1.2	Host administration gate.....	35
5.4.2.2	Link management gate.....	36
5.4.2.2.1	Host controller link management gate.....	36
5.4.2.2.2	Host link management gate.....	36
5.4.2.3	Identity management gate.....	36
5.4.2.3.1	Local registry.....	36
5.4.2.3.2	Remote registry.....	38
5.4.2.4	Loop back gate.....	38

5.4.2.4.1	Conformance requirements.....	38
5.4.3	Generic gates .....	38
5.5	HCI procedures .....	39
5.5.1	Pipe management.....	39
5.5.1.1	Pipe creation.....	39
5.5.1.1.1	Conformance requirements.....	39
5.5.1.2	Pipe deletion.....	40
5.5.1.2.1	Conformance requirements.....	40
5.5.1.2.2	Test case 1: valid pipe deletion from host to host controller .....	40
5.5.1.3	Clear all Pipes .....	41
5.5.1.3.1	Conformance requirements.....	41
5.5.1.3.2	Test case 1: identity reference data when ETSI TS 102 613 is used .....	41
5.5.1.3.3	Test case 2: reception of ADM_CLEAR_ALL_PIPE - static pipes, dynamic pipes to host .....	41
5.5.2	Registry access.....	42
5.5.3	Host and Gate discovery .....	42
5.5.4	Session initialization .....	42
5.5.4.1	Conformance requirements .....	42
5.5.4.2	Test case 1: inhibited state .....	43
5.5.4.2.1	Test execution.....	43
5.5.4.2.2	Initial conditions .....	43
5.5.4.2.3	Test procedure .....	43
5.5.4.3	Test case 2: inhibited state, followed by subsequent successful identity check .....	43
5.5.4.3.1	Test execution.....	43
5.5.4.3.2	Initial conditions .....	44
5.5.4.3.3	Test procedure .....	44
5.5.5	Loop back testing.....	44
5.5.5.1	Conformance requirements .....	44
5.5.5.2	Test case 1: processing of EVT_POST_DATA .....	44
5.5.5.2.1	Test execution.....	44
5.5.5.2.2	Initial conditions .....	45
5.5.5.2.3	Test procedure .....	45
5.6	Contactless card emulation.....	45
5.6.1	Overview .....	45
5.6.1.1	Conformance requirements .....	45
5.6.1.2	Test case 1: RF gate of type A .....	45
5.6.1.2.1	Test execution.....	45
5.6.1.2.2	Initial conditions .....	45
5.6.1.2.3	Test procedure .....	46
5.6.1.3	Test case 2: RF gate of type B.....	46
5.6.1.3.1	Test execution.....	46
5.6.1.3.2	Initial conditions .....	46
5.6.1.3.3	Test procedure .....	46
5.6.1.4	Test case 3: RF gate of type F.....	47
5.6.1.4.1	Test execution.....	47
5.6.1.4.2	Initial conditions .....	47
5.6.1.4.3	Test procedure .....	47
5.6.2	Void .....	47
5.6.3	Gates .....	47
5.6.3.1	Void.....	47
5.6.3.2	Identity management gate .....	48
5.6.3.2.1	Conformance requirements.....	48
5.6.3.3	Card RF gates.....	48
5.6.3.3.1	Overview .....	48
5.6.3.3.2	Commands.....	48
5.6.3.3.3	Events and subclauses .....	48
5.6.3.3.4	Registry and subclauses.....	48
5.6.3.4	Card application gates .....	59
5.6.3.4.1	Overview .....	59
5.6.3.4.2	Commands.....	59
5.6.3.4.3	Events and subclauses .....	59
5.6.3.4.4	Registry .....	60
5.6.4	Procedures.....	61

5.6.4.1	Use of contactless card application .....	61
5.6.4.1.1	Conformance requirements.....	61
5.6.4.1.2	Test case 1: ISO/IEC 14443-3 Type A .....	61
5.6.4.1.3	Test case 2: ISO/IEC 14443-3 Type B .....	62
5.6.4.2	Non ISO/IEC 14443-4 type A.....	64
5.6.4.2.1	Conformance requirements.....	64
5.6.4.2.2	Test case 1: Non ISO/IEC 14443-4 type A.....	64
5.6.4.2.3	Test case 2: Routing EVT_FIELD_ON and EVT_FIELD_OFF to RF Gate with lowest G <sub>ID</sub> .....	65
5.6.4.3	Type B' RF technology .....	66
5.6.4.3.1	Conformance requirements.....	66
5.6.4.4	Type F RF technology.....	67
5.6.4.4.1	Conformance requirements.....	67
5.6.4.4.2	Test case 1: ISO/IEC 18092 Type F.....	67
5.6.4.4.3	Test case 2: RF off during ISO/IEC 18092 [4] Type F commands handling .....	68
5.6.4.4.4	Test case 3: Routing EVT_FIELD_ON and EVT_FIELD_OFF to RF Gate with lowest G <sub>ID</sub> .....	70
5.6.4.5	Update RF technology settings .....	71
5.6.4.5.1	Conformance requirements.....	71
5.6.4.6	Identity check.....	71
5.6.4.6.1	Conformance requirements.....	71
5.7	Contactless reader.....	72
5.7.1	Overview .....	72
5.7.1.1	Conformance requirements .....	72
5.7.2	Reader RF gates .....	72
5.7.2.1	Overview.....	72
5.7.2.2	Command.....	72
5.7.2.2.1	WR_XCHG_DATA .....	72
5.7.2.3	Registries.....	73
5.7.2.3.1	Type A reader RF gate .....	73
5.7.2.3.2	Type B reader RF gate.....	76
5.7.2.4	Events and subclauses .....	78
5.7.2.4.1	Events .....	78
5.7.2.4.2	EVT_READER_REQUESTED .....	78
5.7.2.4.3	EVT_END_OPERATION.....	78
5.7.2.5	Responses.....	79
5.7.2.5.1	Conformance requirements.....	79
5.7.3	Reader application gates .....	79
5.7.3.1	Overview.....	79
5.7.3.2	Command.....	79
5.7.3.2.1	Conformance requirements.....	79
5.7.3.3	Registry .....	79
5.7.3.3.1	Conformance requirements.....	79
5.7.3.4	Events and subclauses .....	79
5.7.3.4.1	Events .....	79
5.7.3.4.2	EVT_TARGET_DISCOVERED .....	80
5.7.4	Procedures.....	80
5.7.4.1	Use of contactless reader application .....	80
5.7.4.1.1	Conformance requirements.....	80
5.8	Connectivity .....	80
5.8.1	Overview .....	80
5.8.2	Connectivity gate and subclauses .....	80
5.8.2.1	Connectivity gate .....	80
5.8.2.2	Commands .....	81
5.8.2.2.1	PRO_HOST_REQUEST .....	81
5.8.2.3	Events and subclauses .....	81
5.8.2.3.1	Events .....	81
5.8.2.3.2	EVT_CONNECTIVITY.....	81
5.8.2.3.3	Void.....	81
5.8.2.3.4	EVT_OPERATION_ENDED .....	81
5.8.2.3.5	EVT_TRANSACTION .....	81
5.8.2.4	Registry .....	82
5.8.2.4.1	Conformance requirements.....	82
5.8.3	Connectivity application gate and subclauses.....	82

5.8.3.1 Connectivity application gate.....82  
5.8.3.1.1 Conformance requirements.....82  
5.8.3.2 Commands .....82  
5.8.3.2.1 Conformance requirements.....82  
5.8.3.3 Events and subclauses .....83  
5.8.3.3.1 Events .....83  
5.8.3.3.2 EVT\_STANDBY .....83  
5.8.3.4 Registry .....83  
5.8.3.4.1 Conformance requirements.....83  
5.8.4 Procedures.....83  
5.8.4.1 Use of connectivity gate.....83

**Annex A (informative): Bibliography.....84**  
**Annex B (informative): Core specification version information.....85**  
**Annex C (informative): Change history .....86**  
History .....88

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## Foreword

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The present document is part 1 of a multi-part deliverable covering the Test specification for the Host Controller Interface (HCI), as identified below:

- Part 1: "Terminal features";
- Part 2: "UICC features";
- Part 3: "Host Controller features".

---

## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**may not**", "**need**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

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# Introduction

The present document defines test cases for the terminal relating to the Host Controller Interface (HCI) as specified in ETSI TS 102 622 [1].

The aim of the present document is to ensure interoperability between the terminal and the UICC independently of the respective manufacturer, card issuer or operator.

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# 1 Scope

The present document covers the minimum characteristics which are considered necessary for the terminal in order to provide compliance to ETSI TS 102 622 [1].

The present document specifies the test cases for:

- the HCI core as described in the first part of ETSI TS 102 622 [1];
- the contactless platform as described in the second part of ETSI TS 102 622 [1].

Test cases for the UICC relating to ETSI TS 102 622 [1] and test cases for the Single Wire Protocol (SWP) covering both terminal and UICC are out of scope of the present document.

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# 2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

- In the case of a reference to a TC SCP document, a non specific reference implicitly refers to the latest version of that document in the same Release as the present document.

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NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

## 2.1 Normative references

The following referenced documents are necessary for the application of the present document.

- [1] ETSI TS 102 622: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Host Controller Interface (HCI)".
- [2] ETSI TS 102 613: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Part 1: Physical and data link layer characteristics".
- [3] ETSI TS 102 223: "Smart Cards; Card Application Toolkit (CAT)".
- [4] ISO/IEC 18092: "Information technology - Telecommunications and information exchange between systems - Near Field Communication - Interface and Protocol (NFCIP-1)".
- [5] ISO/IEC 14443-2: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 2: Radio frequency power and signal interface".
- [6] ISO/IEC 14443-3: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 3: Initialization and anticollision".
- [7] ISO/IEC 14443-4: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 4: Transmission Protocol".
- [8] ISO/IEC 7816-4: "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 4: Interindustry commands for interchange".
- [9] ISO/IEC 9646-7: "Information technology - Open Systems Interconnection - Conformance testing methodology and framework - Part 7: Implementation Conformance Statements".

- [10] ETSI TS 102 695-3: "Smart Cards; Test specification for the Host Controller Interface (HCI); Part 3: Host Controller features".

## 2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

Not applicable.

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# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in ETSI TS 102 622 [1] and the following apply:

**allowed error response code:** response code which is not ANY\_OK and which is allowed for the referenced command as specified in ETSI TS 102 622 [1]

**non-occurrence RQ:** RQ which has been extracted from ETSI TS 102 622 [1], but which indicates a situation which should never occur

NOTE: The consequence is that such RQs cannot be explicitly tested.

**user:** describes any logical or physical entity which controls the test equipment in a way that it is able to trigger activities of the DUT

## 3.2 Symbols

For the purposes of the present document, the symbols given in ETSI TS 102 622 [1] and the following apply:

PIPE0 the static pipe connected to the link management gate of the device under test.  
 PIPE1 the static pipe connected to the administration gate of the device under test.

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in ETSI TS 102 622 [1] and the following apply:

(U)SIM	(Universal) Subscriber Identity Module
AC	AntiCollision
AFI	Application Family Identifier
AID	Application IDentifier
ATQA	Answer To reQuest of type A
ATQB	Answer To reQuest of type B
ATS	Answer To Select
CLF	ContactLess Front-end
CLT	ContactLess Tunnelling
CRC	Cyclic Redundancy Code
DUT	Device under test
FFS	For further study
HCI	Host Controller Interface
HCUT	Host controller under test
HS	Host simulator
ICRx	Initial condition requirement (where x is a number)

NOTE: As used in the applicability table; see clauses 4.2 and 4.5.2.

LEN	LENgth
NAA	Network Access Application
PCD	Proximity Coupling Device
PICC	Proximity Integrated Circuit Card
PPS	Protocol and Parameter Selection
RATS	Request for Answer To Select
REQA	REQuest command, type A
RF	Radio Frequency
RO	Read-Only
RQ	Conformance requirement
RW	Read-Write
SAK	Select AcKnowledge
SDL	Specification and Description Language
SRx	Static requirement (where x is a number)

NOTE: As used in the applicability table; see clauses 4.2 and 4.5.2.

TC	Test Case
TRx	Trigger requirement (where x is a number)
UID	Unique IDentification
WO	Write Only
WUPB	Wake-Up command for PICC type B

NOTE: As used in the applicability table; see clauses 4.2 and 4.5.2.

### 3.4 Void

Content of this clause has been moved to clause 3A.

## 3A Formats

### 3A.1 Format of the table of optional features

The columns in table 4.1 have the following meaning.

Column	Meaning
Option	The optional feature supported or not by the DUT.
Status	See clause 3.4.3.
Support	The support columns shall be filled in by the supplier of the implementation. The following common notations, defined in ISO/IEC 9646-7 [9], are used for the support column in table 4.1. Y or y supported by the implementation. N or n not supported by the implementation. N/A, n/a or - no answer required (allowed only if the status is N/A, directly or after evaluation of a conditional status).
Mnemonic	The mnemonic column contains mnemonic identifiers for each item.

## 3A.2 Format of the applicability table

The applicability of every test in table 4.2 is formally expressed by the use of Boolean expression defined in the following clause.

The columns in table 4.2 have the following meaning.

Column	Meaning
Clause	The "Clause" column identifies the clause containing the test case referenced in the "Test case number and description" column.
Test case number and description	The "Test case number and description" column gives a reference to the test case number (along with the corresponding description) detailed in the present document and required to validate the DUT.
Release	The "Release" column gives the Release applicable and onwards, for the corresponding test case.
Execution requirements	The usage of the "Execution requirements" column is described in clause 4.5.2.
Rel-x Terminal	For a given Release, the corresponding "Rel-x" column lists the tests required for a DUT to be declared compliant to this Release.
Support	The "Support" column is blank in the proforma, and shall be completed by the manufacturer in respect of each particular requirement to indicate the choices, which have been made in the implementation.

## 3A.3 Status and Notations

The "Rel-x" columns show the status of the entries as follows:

The following notations, defined in ISO/IEC 9646-7 [9], are used for the status column:

M	mandatory - the capability is required to be supported.
O	optional - the capability may be supported or not.
N/A	not applicable - in the given context, it is impossible to use the capability.
X	prohibited (excluded) - there is a requirement not to use this capability in the given context.
O.i	qualified optional - for mutually exclusive or selectable options from a set. "i" is an integer which identifies an unique group of related optional items and the logic of their selection which is defined immediately following the table.
Ci	conditional - the requirement on the capability ("M", "O", "X" or "N/A") depends on the support of other optional or conditional items. "i" is an integer identifying an unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF ... THEN (IF ... THEN ... ELSE...) ELSE ..." shall be used to avoid ambiguities.

### References to items

For each possible item answer (answer in the support column) there exists a unique reference, used, for example, in the conditional expressions. It is defined as the table identifier, followed by a solidus character "/", followed by the item number in the table. If there is more than one support column in a table, the columns shall be discriminated by letters (a, b, etc.), respectively.

EXAMPLE: 4.1/4 is the reference to the answer of item 4 in table 4.1.