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**5 GHz RLAN;
Harmonised Standard covering the essential requirements
of article 3.2 of Directive 2014/53/EU**

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Foreword

This draft Harmonised European Standard (EN) has been produced by ETSI Technical Committee Broadband Radio Access Networks (BRAN), and is now submitted for the combined Public Enquiry and Vote phase of the ETSI standards EN Approval Procedure.

The present document has been prepared under the Commission's standardisation request C(2015) 5376 final [i.4] to provide one voluntary means of conforming to the essential requirements of Directive 2014/53/EU on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC [i.1].

Once the present document is cited in the Official Journal of the European Union under that Directive, compliance with the normative clauses of the present document given in table A.1 confers, within the limits of the scope of the present document, a presumption of conformity with the corresponding essential requirements of that Directive, and associated EFTA regulations.

National transposition dates	
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Modal verbs terminology

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Introduction

5 GHz wireless access systems (WAS) including RLAN equipment are used in wireless local area networks which provide high speed data communications in between devices connected to the wireless infrastructure. The present document also addresses ad-hoc networking where devices communicate directly with each other, without the use of a wireless infrastructure.

The spectrum usage conditions for equipment within the scope of the present document are set in the ECC Decision (04)08 [i.8] and the Commission Decision 2005/513/EC [i.9] as amended by the Commission Decision 2007/90/EC [i.10].

1 Scope

The present document specifies technical characteristics and methods of measurements for 5 GHz wireless access systems (WAS) including RLAN equipment.

The present document also describes spectrum access requirements to facilitate spectrum sharing with other equipment.

These radio equipment are capable of operating in all or parts of the frequency bands given in table 1.

Table 1: Service frequency bands

	Service frequency bands
Transmit	5 150 MHz to 5 350 MHz
Receive	5 150 MHz to 5 350 MHz
Transmit	5 470 MHz to 5 725 MHz
Receive	5 470 MHz to 5 725 MHz

The present document covers the essential requirements of article 3.2 of Directive 2014/53/EU under the conditions identified in annex A.

2 References

2.1 Normative references

References are specific, identified by date of publication and/or edition number or version number. Only the cited version applies.

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- [1] Void.
- [2] Void.
- [3] Void.
- [4] Void.
- [5] ETSI TR 102 273-2 (V1.2.1) (12-2001): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Improvement on Radiated Methods of Measurement (using test site) and evaluation of the corresponding measurement uncertainties; Part 2: Anechoic chamber".
- [6] ETSI TR 102 273-3 (V1.2.1) (12-2001): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Improvement on Radiated Methods of Measurement (using test site) and evaluation of the corresponding measurement uncertainties; Part 3: Anechoic chamber with a ground plane".
- [7] ETSI TR 102 273-4 (V1.2.1) (12-2001): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Improvement on Radiated Methods of Measurement (using test site) and evaluation of the corresponding measurement uncertainties; Part 4: Open area test site".
- [8] ETSI TS 136 141 V13.5.0 (2016-10): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) conformance testing (3GPP TS 36.141 version 13.5.0 Release 13)".

- [9] IEEE 802.11™-2012: "IEEE Standard for Information Technology - Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications".
- [10] IEEE 802.11ac™-2013: "IEEE Standard for Information Technology - Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications - Amendment 4: Enhancements for Very High Throughput for Operation in Bands below 6 GHz".

2.2 Informative references

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- [i.1] Directive 2014/53/EU of the European Parliament and of the Council of 16 April 2014 on the harmonisation of the laws of the Member States relating to the making available on the market of radio equipment and repealing Directive 1999/5/EC.
- [i.2] Void.
- [i.3] Void
- [i.4] Commission Implementing Decision C(2015) 5376 final of 4.8.2015 on a standardisation request to the European Committee for Electrotechnical Standardisation and to the European Telecommunications Standards Institute as regards radio equipment in support of Directive 2014/53/EU of the European Parliament and of the Council.
- [i.5] ETSI EG 203 367 (V1.1.1) (06-2016): "Guide to the application of harmonised standards covering articles 3.1b and 3.2 of the Directive 2014/53/EU (RED) to multi-radio and combined radio and non-radio equipment".
- [i.6] ETSI TR 100 028-1 (V1.4.1) (12-2001): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics; Part 1".
- [i.7] ETSI TR 100 028-2 (V1.4.1) (12-2001): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics; Part 2".
- [i.8] ECC/DEC/(04)08: ECC Decision of 9 July 2004 on the harmonised use of the 5 GHz frequency bands for the implementation of Wireless Access Systems including Radio Local Area Networks (WAS/RLANs) (30/10/2009).
- [i.9] Commission Decision 2005/513/EC of 11 July 2005 on the harmonised use of radio spectrum in the 5 GHz frequency band for the implementation of Wireless Access Systems including Radio Local Area Networks (WAS/RLANs).
- [i.10] Commission Decision 2007/90/EC of 12 February 2007 amending Decision 2005/513/EC on the harmonised use of radio spectrum in the 5 GHz frequency band for the implementation of Wireless Access Systems including Radio Local Area Networks (WAS/RLANs).

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in Directive 2014/53/EU [i.1] and the following apply:

5 GHz RLAN bands: total frequency range that consists of the 5 150 MHz to 5 350 MHz and the 5470 MHz to 5 725 MHz sub-bands

adaptive equipment: equipment operating in an adaptive mode

adaptive mode: mechanism by which equipment can adapt to its environment by identifying other transmissions present in the band

ad-hoc mode: operating mode in which an RLAN device establishes a temporary wireless connection with other RLAN devices without a controlling network infrastructure

antenna array: two or more antennas connected to a single device and operating simultaneously

antenna assembly: combination of the antenna (integral or dedicated), its coaxial cable and if applicable, its antenna connector and associated switching components

NOTE 1: This term (antenna assembly) refers to an antenna connected to one transmit chain.

NOTE 2: The gain of an antenna assembly G in dBi, does not include the additional gain that may result out of beamforming.

available channel: channel identified as available for immediate use as an *Operating Channel*

NOTE: *Usable Channels* whose nominal bandwidth falls completely within the band 5 150 MHz to 5 250 MHz can be considered as *Available Channels* without further testing.

backoff procedure: procedure that facilitates the sharing of the medium by randomizing the transmission attempts from multiple devices competing for access to an *Operating Channel*

beamforming gain: additional (antenna) gain realized by using beamforming techniques in smart antenna systems

NOTE: Beamforming gain as used in the present document does not include the gain of the antenna assembly.

burst: period during which radio waves are intentionally transmitted, preceded and succeeded by periods during which no intentional transmission is made

channel: minimum amount of spectrum used by a single RLAN device

NOTE: An RLAN device is permitted to operate (transmit/receive) in one or more adjacent or non-adjacent channels simultaneously.

EXAMPLE: For the purpose of the present document, an IEEE 802.11™ [9] device operating in a 40 MHz mode may be considered as operating in 2 adjacent 20 MHz channels simultaneously.

Channel Access Engine (CAE): mechanism that determines when a transmission attempt is permitted

channel plan: combination of the centre frequencies and for each of the centre frequencies, the declared nominal bandwidth(s)

clear channel assessment: mechanism used by an equipment to identify other transmissions in the channel

combined equipment: equipment consisting of two or more products where at least one of which is radio equipment within the scope of the present document

Contention Window (CW): main parameter that determines the duration of the *Backoff Procedure*

dedicated antenna: antenna external to the equipment, using an antenna connector with a cable or a wave-guide and which has been designed or developed for one or more specific types of equipment

energy detect: mechanism used by an adaptive system to determine the presence of another device operating on the channel based on detecting the signal level of that other device

environmental profile: range of environmental conditions under which equipment within the scope of the present document is required to comply with the provisions of the present document

Frame Based Equipment (FBE): is equipment where the transmit/receive structure has a periodic timing with a periodicity equal to the *Fixed Frame Period*

integral antenna: antenna designed as a fixed part of the equipment (without the use of an external connector) which cannot be disconnected from the equipment by a user with the intent to connect another antenna

NOTE: An integral antenna may be fitted internally or externally. In the case where the antenna is external, a non-detachable cable or wave-guide can be used.

Listen Before Talk (LBT): mechanism by which an equipment applies clear channel assessment (CCA) before using the channel

Load Based Equipment (LBE): equipment where the transmit/receive structure is not fixed in time but demand-driven

master mode: mode which relates to the DFS functionality where the RLAN device uses a Radar Interference Detection function and controls the transmissions of RLAN devices operating in slave mode

multi-radio equipment: combined equipment consisting of two or more radio products (transmitters, receivers or transceivers) or a single radio product operating in two or more bands simultaneously

Observation Slot: period during which the operating channel is checked for the presence of other RLAN transmissions

operating channel: *Available Channel* on which the RLAN has started transmissions

Post Backoff : Backoff procedure that is applied after every successful transmission

Prioritization Period: period consisting of an initial deferral period followed by an observation period during which the Operating Channel is checked for the presence of other RLAN transmissions

receive chain: receiver circuit with an associated antenna

RLAN devices: 5 GHz wireless access systems (WAS) including RLAN equipment

simulated radar burst: series of periodic radio wave pulses for test purposes

slave mode: mode which relates to the DFS functionality where the transmissions of the RLAN are under control of an RLAN device operating in master mode

smart antenna systems: equipment that combines multiple transmit and/or receive chains with a signal processing function to increase the throughput and/or to optimize its radiation and/or reception capabilities

NOTE: These are techniques such as spatial multiplexing, beamforming, cyclic delay diversity, MIMO, etc.

stand-alone radio equipment: equipment that is intended primarily as radio communications equipment and that is normally used on a stand-alone basis

sub-band: portion of the 5 GHz RLAN bands

NOTE: See definition for "5 GHz RLAN bands".

total occupied bandwidth: total of the *Nominal Channel Bandwidths* in case of simultaneous transmissions in adjacent or non-adjacent channels

transmit chain: transmitter circuit with an associated antenna

Transmit Power Control (TPC): technique in which the transmitter output power is controlled resulting in reduced interference to other systems

unavailable channel: channel which cannot be considered by the RLAN device for a certain period of time (*Non Occupancy Period*) after a radar signal was detected on that channel

unusable channel: channel from the declared channel plan which may be declared as permanently unavailable due to one or more radar detections on the channel

usable channel: any channel from the declared channel plan, which may be considered by the RLAN for possible use

3.2 Symbols

For the purposes of the present document, the following symbols apply:

A	Measured power output
T_{ch}	Number of active transmit chains
B	Radar burst period
Ch_r	Channel in which radar test signals are inserted to simulate the presence of a radar
CW_{min}	Minimum Contention Window size
CW_{max}	Maximum Contention Window size
D	Measured power density
dB	decibel
dBm	dB relative to 1 mW
DC	Direct Current
E	Field strength
E_o	Reference field strength
f_c	Carrier frequency
G	Antenna gain
GHz	gigahertz
Hz	hertz
kHz	kilohertz
L	Radar burst length
MHz	megahertz
ms	millisecond
Samples/s	Samples per second
mW	milliwatt
n	Number of channels
p	Prioritization period related counter
P_H	Calculated e.i.r.p. at highest power level
P_L	Calculated e.i.r.p. at lowest power level
P_{burst}	RMS (mean) power over the transmission burst
PD	Calculated power density
P_d	Detection Probability
q	Backoff procedure related counter
R	Distance
R_{ch}	Number of active receive chains
R_o	Reference distance
S0	Signal power
T0	Time instant
T1	Time instant
T2	Time instant
T3	Time instant
W	Radar pulse width
x	Observed duty cycle
Y	Beamforming (antenna) gain