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**Advanced Surface Movement Guidance
and Control System (A-SMGCS);
Part 1: Community Specification for application under the
Single European Sky Interoperability Regulation EC 552/2004
for A-SMGCS Level 1 including external interfaces**

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Contents

Intellectual Property Rights	5
Foreword.....	5
Modal verbs terminology.....	6
Introduction	6
1 Scope	7
2 References	7
2.1 Normative references	7
2.2 Informative references.....	8
3 Definitions and abbreviations.....	9
3.1 Definitions.....	9
3.2 Abbreviations	10
4 Requirements for implementing A-SMGCS Level 1	11
4.0 General Requirements	11
4.1 Constituents of an A-SMGCS Level 1 System.....	11
4.1.0 General.....	11
4.1.1 Constituent - Surface Movement Radar (SMR).....	11
4.1.1.1 Interfaces for SMR.....	11
4.1.2 Constituent - Multilateration.....	11
4.1.2.1 Interfaces for multilateration.....	11
4.1.3 Interface for Data fusion	12
4.1.4 Human Machine Interface (HMI).....	12
4.1.4.1 Interface for HMI	12
4.2 Design Requirements for A-SMGCS Level 1 Systems.....	12
4.2.1 Design Requirements on System Level	12
4.2.1.1 Modularity.....	12
4.2.1.2 System Integrity	12
4.2.1.3 Availability and Continuity of Service.....	12
4.2.1.4 Identification	12
4.2.1.5 Void.....	12
4.2.1.6 Logical architecture.....	12
4.2.1.7 Safety	12
4.2.1.7.1 Failure effect.....	12
4.2.1.7.2 Reliability	13
4.2.1.7.3 Human capabilities	13
4.2.1.7.4 Safety Assessment.....	13
4.2.1.8 Capacity and Quality.....	13
4.2.1.8.1 Handle Traffic Movements.....	13
4.2.1.8.2 System capacity	13
4.2.1.8.3 Accuracy.....	13
4.2.1.8.4 Resolution.....	13
4.2.1.8.5 Update rate	13
4.2.1.8.6 Coverage Volume.....	13
4.2.1.8.7 Classification	13
4.2.1.9 Evolution.....	14
4.2.2 Design Requirements for Surface Movement Radar	14
4.2.3 Design Requirements for Local Area Multilateration.....	14
4.2.4 Design Requirements for Data Fusion.....	14
4.2.5 Design Requirements for HMI.....	14
4.3 Acceptance testing requirements for A-SMGCS Level 1 System.....	14
4.3.1 Acceptance testing requirements on System Level.....	14
4.3.1.1 General Tests.....	14
4.3.1.2 Tests on modularity and interchangeability	14
4.3.1.3 Acceptance testing requirements for Data Fusion.....	14
4.3.1.4 Acceptance testing requirements for HMI	14

4.3.2	Acceptance testing requirements on Constituent Level	14
4.3.2.1	Acceptance testing requirements for Constituent Surface Movement Radar	14
4.3.2.2	Acceptance testing requirements for Constituent Local Area Multilateration	15
4.4	Maintenance Requirements for A-SMGCS Level 1 Systems	15
4.5	Requirements for operation of A-SMGCS Level 1 Systems	15
4.5.1	Requirements for operational responsibility	15
4.5.2	System performance below specified minima	15
5	Testing	15
Annex SA (normative): Standards Annex		16
SA.1	Correspondence between the present document and the Single European Sky Interoperability Regulation as amended for A-SMGCS Systems Level 1	16
Annex A (normative): Checklist		20
A.1	General	20
A.2	Interoperability Regulation Annex II Essential Requirements; Part A: General requirements	21
A.3	Interoperability Regulation Annex II Essential Requirements; Part B: Specific requirements	27
A.3.1	Systems and procedures for airspace management	27
A.3.2	Systems and procedures for air traffic flow management	27
A.3.3	Systems and procedures for air traffic services	28
A.3.3.1	Flight data processing systems	28
A.3.3.2	Surveillance data processing systems	30
A.3.3.3	HMI systems	31
A.3.4	Communications systems and procedures for ground-to-ground, air-to-ground and air-to-air communications	32
A.3.5	Navigation systems and procedures	33
A.3.6	Surveillance systems and procedures	33
A.3.7	Systems and procedures for aeronautical information services	34
A.3.8	Systems and procedures for the use of meteorological information	34
Annex B (informative): Bibliography		36
History		37

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Foreword

This European Standard (EN) has been produced by ETSI Technical Committee Electromagnetic compatibility and Radio spectrum Matters (ERM).

The present document has been produced by ETSI in response to European Commission mandate M/390 for the Interoperability of the European Air Traffic Management Network.

The present document has been developed in cooperation with EUROCAE to support Essential Requirements of the Single European Sky Interoperability Regulation 552/2004 [i.1] and/or requirements given in implementing rules for interoperability based on the Single European Interoperability Regulation.

The presumption of conformity which is linked to the full application of ETSI EN 303 213 (parts 1 to 4) can only be claimed after ETSI EN 303 213 (parts 1 to 4) has been listed in the Official Journal of the European Union as Community Specification.

General and specific requirements for presumption of conformity to SES Interoperability Regulation 552/2004 [i.1] as amended by Regulation 1070/2009 [i.12] are given in the normative annexes of the present document.

NOTE: Other requirements and other EU Regulations and/or Directives may be applicable to the product(s) falling within the scope of the present document.

The present document is part 1 of a multi-part deliverable covering Advanced Surface Movement Guidance and Control System (A-SMGCS), as identified below:

- Part 1: "Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for A-SMGCS Level 1 including external interfaces";**
- Part 2: "Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for A-SMGCS Level 2 including external interfaces";
- Part 3: "Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for a deployed cooperative sensor including its interfaces";
- Part 4: "Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for a deployed non-cooperative sensor including its interfaces";
- Part 5: "Harmonised Standard covering the essential requirements of article 3.2 of the Directive 2014/53/EU for multilateration equipment";
- Part 6: "Harmonised Standard covering the essential requirements of article 3.2 of the Directive 2014/53/EU for deployed surface movement radar sensors".

National transposition dates	
Date of adoption of this EN:	28 November 2016
Date of latest announcement of this EN (doa):	28 February 2017
Date of latest publication of new National Standard or endorsement of this EN (dop/e):	31 August 2017
Date of withdrawal of any conflicting National Standard (dow):	31 August 2017

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Introduction

The European Union launched the Legislation "Single European Sky" (SES) in 2002 which was adopted in 2004 and amended by Regulation (EC) No 1070/2009 [i.12].

The SES legislation is based on a framework of 4 regulations, which includes the Interoperability Regulation [i.1]. The objective of the Interoperability Regulation is to ensure interoperability of the European Air Traffic Management Network (EATMN) consistent with air navigation services. Under this regulation, the use of a European Standard referenced in the Official Journal of the European Union as Community Specification (CS) is a means of compliance to the essential requirements of the Regulation and/or the relevant implementing rules for interoperability.

The present document takes into account the Council Decision 2009/320/EC endorsing the European Air Traffic Management Master Plan of the Single European Sky ATM Research (SESAR) project [i.8].

The present document takes into account the updated referenced documents from EUROCAE and EUROCONTROL.

1 Scope

The present document is applicable to Advanced Surface Movement Guidance and Control System (A-SMGCS) Level 1. This system provides enhanced surveillance functionalities, as well as a display to controllers with accurate and unambiguous identity and position information on the entire manoeuvring and movement area.

The present document provides a European Standard for Air Navigation Service Providers, which have to demonstrate and declare compliance of their systems and procedures to the IOP regulation.

Any software elements related to the software assurance level of an A-SMGCS are outside of the scope of the present document. As such the essential requirements of the Interoperability Regulation are not considered for software elements within the present document.

The present document does not give presumption of conformity related to the maintenance requirements, environmental constraints, procedure level, effect of harmful interference and civil/military coordination.

NOTE 1: For these ERs, please refer to the Air Navigation Service Provider procedures.

Requirements in the present document which refer to "should" statements or recommendations in the normatively referenced material (clause 2.1) are to be interpreted as fully normative ("shall") for the purpose of compliance with the present document.

The present document does not give presumption of conformity to any current interoperability Implementing Rules.

NOTE 2: Currently there are no relevant Implementing Rules for A-SMGCS.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <https://docbox.etsi.org/Reference/>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

- [1] EUROCAE ED-87C (January 2015): "MASPS for Advanced Surface Movement Guidance and Control Systems (A-SMGCS) - Levels 1 and 2".
- [2] EUROCONTROL 10/07/15-70 (V2.1: 30/06/2010): "Operational Concept and Requirements for A-SMGCS Implementation Level 1".

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Regulation (EC) No 552/2004 of the European Parliament and of the Council of 10 March 2004 on the interoperability of the European Air Traffic Management network (interoperability Regulation), OJ L 96, 31.03.2004, p. 26 as amended by Regulation (EC) No 1070/2009, OJ L 300, 14.11.2009, p. 34.
- [i.2] ETSI EN 303 213-3: "Advanced Surface Movement Guidance and Control System (A-SMGCS) Part 3: Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for a deployed cooperative sensor including its interfaces".
- [i.3] ETSI EN 303 213-4-1: "Advanced Surface Movement Guidance and Control System (A-SMGCS); Part 4: Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for a deployed non-cooperative sensor including its interfaces; Sub-part 1: Generic requirements for non-cooperative sensor".
- [i.4] ETSI EN 303 213-4-2: "Advanced Surface Movement Guidance and Control System (A-SMGCS); Part 4: Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for a deployed non-cooperative sensor including its interfaces; Sub-part 2: Specific requirements for a deployed Surface Movement Radar sensor".
- [i.5] Regulation (EC) No 549/2004 of the European Parliament and of the Council of 10 March 2004 laying down the framework for the creation of the single European sky (the framework Regulation), OJ L 96, 31.03.2004, p. 1 as amended by Regulation (EC) No 1070/2009, OJ L 300, 14.11.2009, p. 34.
- [i.6] EUROCAE ED-128 (08/2007): "Guidelines for surveillance data fusion in advanced surface movement guidance and control systems (A-SMGCS) levels 1 and 2".
- [i.7] ICAO Document 9830, AN/452: "Advanced Surface Movement Guidance and Control Systems (A-SMGCS) Manual", First Edition, 2004.
- [i.8] Council Decision 2009/320/EC endorsing the European Air Traffic Management Master Plan of the Single European Sky ATM Research (SESAR) project, 30.03.2009.
- [i.9] ETSI EN 303 213-2: "Advanced Surface Movement Guidance and Control System (A-SMGCS); Part 2: Community Specification for application under the Single European Sky Interoperability Regulation EC 552/2004 for A-SMGCS Level 2 including external interfaces".
- [i.10] EUROCONTROL 10/07/15-71 (V2.1: 30/06/2010): "A-SMGCS Levels 1 & 2 Preliminary Safety Case".
- [i.11] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations.
- [i.12] Regulation (EC) No 1070/2009 of the European Parliament and of the Council of 21 October 2009 amending Regulations (EC) No 549/2004, (EC) No 550/2004, (EC) No 551/2004 and (EC) No 552/2004 in order to improve the performance and sustainability of the European aviation system, OJ L 300, 14.11.2009.

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

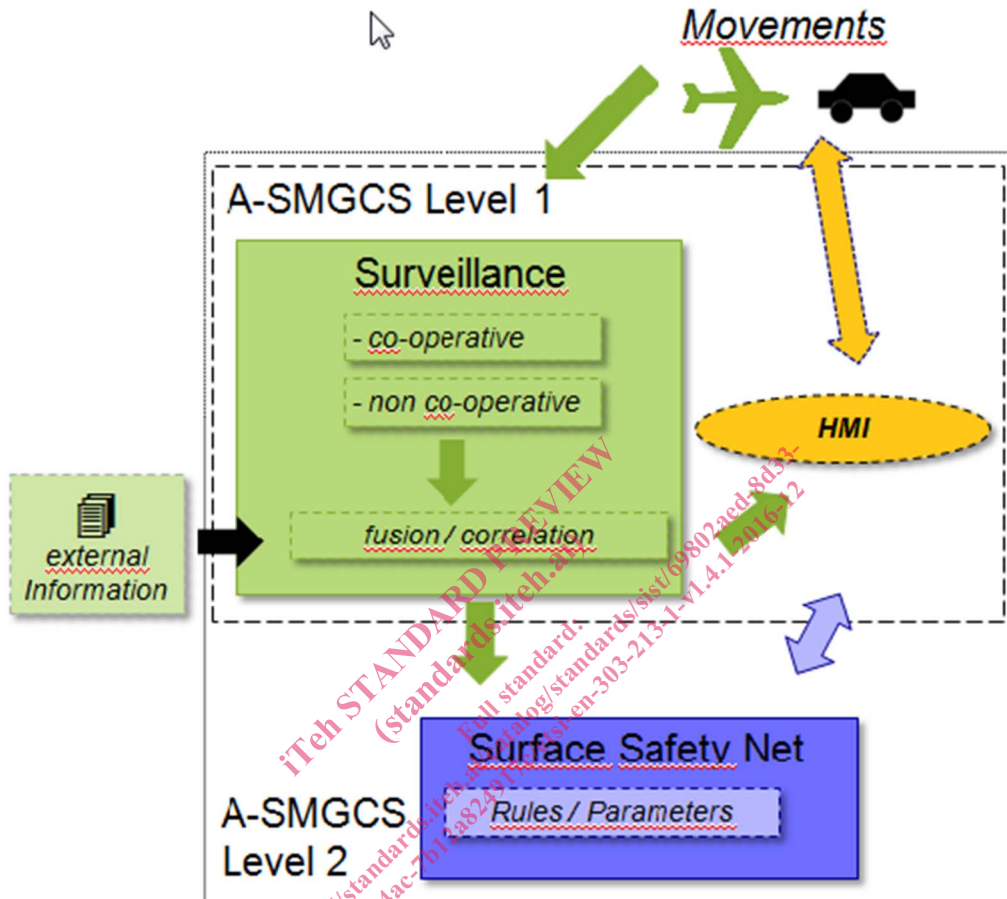


Figure 1: A-SMGCS Functional Configuration

Advanced Surface Movement Guidance and Control System (A-SMGCS): systems providing routing, guidance, surveillance for the control to aircraft and vehicles in order to maintain movement rate under all local weather conditions within the Aerodrome Visibility Operational Level (AVOL) whilst maintaining the required level of safety

NOTE: This definition is derived from the ICAO Document 9830 [i.7].

A-SMGCS Level 1: A-SMGCS including a comprehensive Surveillance element capable of the location and classification of all aircraft and vehicles within the area of interest and the identification of cooperative aircraft and vehicles

A-SMGCS Level 2: A-SMGCS including the capabilities of A-SMGCS Level 1 and uses the comprehensive surveillance data available to monitor the situation in the area of interest against a set of rules which will enable the system to alert the user to hazardous situations

aerodrome: defined area on land or water (including any buildings, installations, and equipment) intended to be used either wholly or in part for arrival, departure and surface movement of aircraft

NOTE: This definition is derived from the ICAO Document 9830 [i.7].

apron: defined area on an aerodrome, intended to accommodate aircraft for purposes of loading or unloading passengers, mail or cargo, fuelling, parking or maintenance

NOTE 1: This definition is derived from the ICAO Document 9830 [i.7].

NOTE 2: De-icing platforms, including remote de-icing areas, are considered as apron areas.

availability: probability that a system or an item is in a functioning state at a given point in time

NOTE: This definition is derived from EUROCAE ED-87C [1].

constituents: tangible objects such as hardware and intangible objects such as software upon which the interoperability of the EATMN depends

NOTE: This is the legally binding definition in the context of Single European Sky [i.5].

manoeuvring area: part of an aerodrome to be used for take-off, landing and taxiing of aircraft, excluding aprons

NOTE: This definition is derived from the ICAO Document 9830 [i.7].

movement area: part of an aerodrome to be used for take-off, landing and taxiing of aircraft, consisting of the manoeuvring area and apron(s)

NOTE: This definition is derived from the ICAO Document 9830 [i.7].

procedure: standard method for either the technical or operational use of the system, in the context of agreed and validated concepts of operation requiring uniform implementation throughout the EATMN

NOTE: This is the legally binding definition in the context of Single European Sky [i.5].

system: aggregation of airborne and ground based constituents, as well as space-based equipment, that provides support for air navigation services for all phases of flight

NOTE: This is the legally binding definition in the context of Single European Sky [i.5].

target: any aircraft, vehicle or obstacle, whether stationary or moving, which is located within the Coverage Volume of the A-SMGCS and which is operationally significant in the scope of A-SMGCS use

NOTE 1: Aircraft and vehicles are collectively referred to as mobiles.

NOTE 2: This definition is derived from EUROCAE ED-87C [1].

test targets: form of either fixed reflectors or active devices transponders, mounted at fixed positions within the Coverage Volume

update: renewal of target reports relating to all targets under surveillance

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

A-SMGCS	Advanced Surface Movement Guidance and Control Systems
ATC	Air Traffic Control
ATM	Air Traffic Management
ATS	Air Traffic Service
AVOL	Aerodrome Visibility Operational Level
CS	Community Specification
DFP	Data Fusion Processor
EATMN	European Air Traffic Management Network
EC	European Communities
EN	European Norm - (standard)
ER	Essential Requirement
EUROCAE	EUROpean organization for Civil Aviation Equipment
EUROCONTROL	EUROpean organization for the safety of air navigation
HMI	Human Machine Interface

ICAO	International Civil Aviation Organization
IOP Regulation	InterOPERability Regulation
LAM	Local Area Multilateration
MASPS	Minimum Aviation Systems Performance Specification
SES	Single European Sky
SESAR	Single European Sky ATM Research
SMR	Surface Movement Radar
TMA	Terminal Manoeuvring Area

4 Requirements for implementing A-SMGCS Level 1

4.0 General Requirements

An A-SMGCS Level 1 System shall consist of the following constituents as a minimum for the implementation, operation and maintenance:

- 1) Non-Cooperative Surveillance, e.g. Surface Movement Radar.
- 2) Multilateration.

Data Fusion and HMI are considered as part of the System but are not at this time defined as constituents.

NOTE 1: Guidance for the Data Fusion can be found in EUROCAE ED-128 [i.6].

NOTE 2: The Data fusion could be part of a larger data fusion processor providing other ATS functions.

NOTE 3: The Data fusion may be a separate part of the CS in the future.

4.1 Constituents of an A-SMGCS Level 1 System

4.1.0 General

The following clauses identify the constituents of an A-SMGCS.

NOTE: Data Fusion and HMI are currently defined at System level, however they have been included here, since they may become constituents in the future.

4.1.1 Constituent - Surface Movement Radar (SMR)

4.1.1.1 Interfaces for SMR

The interfaces for SMR constituents shall comply with the requirements as defined in EUROCAE ED-87C [1], clause 2.3.1.1.

The SMR constituent of an A-SMGCS is covered in ETSI EN 303 213-4-1 [i.3] (Generic requirements) and ETSI EN 303 213-4-2 [i.4] (Specific requirements).

4.1.2 Constituent - Multilateration

4.1.2.1 Interfaces for multilateration

The interfaces for multilateration constituents shall comply with the requirements as defined in EUROCAE ED-87C [1], clause 2.3.1.1.

The multilateration constituent of an A-SMGCS is covered in ETSI EN 303 213-3 [i.2] (cooperative sensors).