



**Smart Cards;
Test specification for the
Single Wire Protocol (SWP) interface;
Part 1: Terminal features
(Release 10)**

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Contents

Intellectual Property Rights	9
Foreword.....	9
Modal verbs terminology.....	9
Introduction	9
1 Scope	10
2 References	10
2.1 Normative references	10
2.2 Informative references.....	11
3 Definitions, symbols and abbreviations	11
3.1 Definitions.....	11
3.2 Symbols.....	12
3.3 Abbreviations	12
3.4 Formats.....	13
3.4.1 Format of the table of optional features	13
3.4.2 Format of the applicability table.....	13
3.4.3 Status and Notations	13
4 Test environment.....	14
4.1 Table of optional features.....	14
4.2 Applicability table	16
4.3 Information provided by the device supplier.....	20
4.4 Test equipment	20
4.4.1 Measurement/setting uncertainties.....	21
4.4.2 Default conditions for DUT operation.....	21
4.4.2.1 Temperature	22
4.4.2.2 ETSI TS 102 221 interface contacts (CLK, RST, I/O) and contact Vcc	22
4.4.2.3 ETSI TS 102 600 interface contacts (IC_DP, IC_DM).....	22
4.4.2.4 ETSI TS 102 613 interface contact (SWIO).....	22
4.4.2.5 Status of UICC interfaces.....	22
4.4.2.6 Characteristics of LLC's	22
4.4.2.6.1 ACT LLC	22
4.4.2.6.2 SHDLC LLC	23
4.4.2.6.3 CLT LLC	23
4.4.3 Minimum/maximum conditions for DUT operation.....	23
4.4.4 Execution requirements	23
4.4.4.1 Definition of TR1	23
4.4.4.2 Definition of TR2.....	24
4.5 Test execution	24
4.5.1 Parameter variations	24
4.5.2 Execution requirements	24
4.6 Pass criterion	25
5 Test cases.....	25
5.1 Principle of the Single Wire Protocol.....	25
5.2 System architecture	25
5.2.1 General overview	25
5.2.2 ETSI TS 102 221 support	25
5.2.2.1 Conformance requirements	25
5.2.3 Configurations	26
5.2.3.1 Conformance requirements	26
5.2.4 Interaction with other interfaces	26
5.2.4.1 Conformance requirements	26
5.3 Physical characteristics.....	26
5.3.1 Temperature range for card operations	26
5.3.1.1 Conformance requirements	26
5.3.2 Contacts	26

5.3.2.1	Provision of contacts	26
5.3.2.1.1	Conformance requirements.....	26
5.3.2.2	Contact activation and deactivation	27
5.3.2.2.1	Conformance requirements.....	27
5.3.2.2.2	Test case 1: activation of SWP additionally to other interfaces	27
5.3.2.2.3	Test case 2: activation of SWP in low power mode	28
5.3.2.3	Interface activation.....	28
5.3.2.3.1	Conformance requirements.....	28
5.3.2.3.2	Test case 1: SWP initial activation in full power mode - normal procedure	30
5.3.2.3.3	Test case 2: SWP Initial activation - no resume	31
5.3.2.3.4	Test case 3: SWP initial activation in full power mode - corrupted ACT_SYNC frame (repeat the last frame).....	31
5.3.2.3.5	Test case 4: SWP initial activation in full power mode - no ACT_SYNC frame (repeat the last frame).....	31
5.3.2.3.6	Test case 5: SWP initial activation failed in full power mode - corrupted ACT_SYNC frame (multiple).....	32
5.3.2.3.7	Test case 6: SWP initial activation failed in full power mode - no ACT_SYNC frame (multiple).....	32
5.3.2.3.8	Test case 7: SWP Initial activation in full power mode - corrupted ACT_READY frame (repeat last frame).....	33
5.3.2.3.9	Void.....	34
5.3.2.3.9a	Test case 8a: SWP Initial activation in full power mode - no ACT_READY frame (repeat last frame)	34
5.3.2.3.10	Test case 9: SWP initial activation failed in full power mode - corrupted ACT_READY frame (multiple).....	34
5.3.2.3.11	Test case 10: SWP initial activation failed in full power mode - no ACT_READY frame (multiple).....	35
5.3.2.3.12	Test case 11: SWP initial activation in low power mode	36
5.3.2.3.13	Test case 12:SWP initial activation in low power mode - corrupted ACT_SYNC frame (repeat the last frame).....	36
5.3.2.3.14	Test case 13: SWP initial activation in low power mode - no ACT_SYNC frame (repeat the last frame).....	37
5.3.2.3.15	Test case 14: SWP initial activation failed in low power mode - corrupted ACT_SYNC frame (multiple)	37
5.3.2.3.16	Test case 15: SWP initial activation failed in low power mode - no ACT_SYNC frame (multiple)	38
5.3.2.3.17	Test case 16: SWP subsequent activation in full power mode	38
5.3.2.3.18	Void.....	39
5.3.2.3.19	Test case 18: SWP initial activation in full power mode - send ACT frames in wrong order, ACT_READY frame after activation (repeat the last frame)	39
5.3.2.4	Behavior of a UICC in a terminal not supporting SWP	39
5.3.2.4.1	Conformance requirements.....	39
5.3.2.5	Behavior of terminal connected to a UICC not supporting SWP	40
5.3.2.5.1	Conformance requirements.....	40
5.3.2.5.2	Void.....	40
5.3.2.6	Inactive contacts.....	40
5.3.2.6.1	Conformance requirements.....	40
5.4	Electrical characteristics	40
5.4.1	Operating conditions and sub-clauses	40
5.4.1.1	Operating conditions	40
5.4.1.2	Supply voltage classes.....	40
5.4.1.3	Vcc(C1) low power mode definition.....	40
5.4.1.3.1	Conformance requirements.....	40
5.4.1.3.2	Test case 1: current provided in low power mode, no spikes	40
5.4.1.3.3	Test case 2: current provided in low power mode, with spikes	41
5.4.1.4	Signal S1	42
5.4.1.4.1	Conformance requirements.....	42
5.4.1.4.2	Test case 1: communication with S2 variation in full power mode.....	43
5.4.1.4.3	Test case 2: communication with S2 variation in low power mode	43
5.4.1.5	Signal S2 and subclauses	44
5.4.1.5.1	Signal S2.....	44
5.4.1.5.2	Operating current for S2	44

5.5	Physical transmission layer	46
5.5.1	S1 Bit coding and sampling time	46
5.5.1.1	Conformance requirements	46
5.5.1.2	Test case 1: S1 waveforms, default bit duration.....	46
5.5.1.2.1	Test execution.....	46
5.5.1.2.2	Initial conditions.....	46
5.5.1.2.3	Test procedure	47
5.5.1.3	Test case 2: S1 waveforms, extended bit durations.....	47
5.5.1.3.1	Test execution.....	47
5.5.1.3.2	Initial conditions.....	47
5.5.1.3.3	Test procedure	48
5.5.2	S2 switching management	48
5.5.2.1	Conformance requirements	48
5.5.3	SWP interface states management	49
5.5.3.1	Conformance requirements	49
5.5.3.2	Test case 1: SWP states and transitions, communication.....	49
5.5.3.2.1	Test execution.....	49
5.5.3.2.2	Initial conditions.....	50
5.5.3.2.3	Test procedure	50
5.5.3.3	Test Case 2: SWP resume after upper layer indication that the UICC requires no more activity on this interface	50
5.5.3.3.1	Test execution.....	50
5.5.3.3.2	Initial Conditions	50
5.5.3.3.3	Test procedure	51
5.5.4	Power mode states/transitions and Power saving mode	51
5.5.4.1	Conformance requirements	51
5.5.4.2	Test case 1: power provided in full power mode	51
5.5.4.2.1	Test execution.....	51
5.5.4.2.2	Initial conditions	51
5.5.4.2.3	Test procedure	52
5.5.4.3	Test case 2: switching from full to low power mode	52
5.5.4.3.1	Test execution.....	52
5.5.4.3.2	Initial conditions	52
5.5.4.3.3	Test procedure	52
5.5.4.4	Test case 3: switching from low to full power mode	52
5.5.4.4.1	Test execution.....	52
5.5.4.4.2	Initial conditions	52
5.5.4.4.3	Test procedure	52
5.6	Data link layer	53
5.6.1	Overview	53
5.6.2	Medium Access Control (MAC) layer	53
5.6.2.1	Bit order	53
5.6.2.1.1	Conformance requirements	53
5.6.2.2	Structure	53
5.6.2.2.1	Conformance requirements	53
5.6.2.2.2	Test case 1: interpretation of incorrectly formed frames - SHDLC RSET frames	53
5.6.2.2.3	Test case 2: interpretation of incorrectly formed frames - SHDLC I-frames	54
5.6.2.3	Bit stuffing	54
5.6.2.3.1	Conformance requirements	54
5.6.2.3.2	Test case 1: behavior of CLF with bit stuffing in frame	54
5.6.2.4	Error detection	55
5.6.2.4.1	Conformance requirements	55
5.6.3	Supported LLC layers and sub clauses	55
5.6.3.1	Supported LLC layers	55
5.6.3.1.1	Conformance requirements	55
5.6.3.2	Interworking of the LLC layers	56
5.6.3.2.1	Conformance requirements	56
5.6.3.2.2	Test case 1: ignore ACT LLC frame reception after the SHDLC link establishment	56
5.6.3.2.3	Test case 2: ignore ACT LLC frame reception in CLT session	57
5.6.3.2.4	Test case 3: CLT session during SHDLC communication	57
5.6.3.2.5	Test case 4: closing condition of CLT session whereas SHDLC link has been established before CLT session	57

5.6.4	ACT LLC definition and sub clauses.....	58
5.6.4.1	ACT LLC definition.....	58
5.6.4.1.1	Conformance requirements.....	58
5.6.4.2	SYNC_ID verification process.....	58
5.6.4.2.1	Conformance requirements.....	58
5.6.4.2.2	Test case 1: not matching SYNC_ID verification in low power mode.....	58
5.7	SHDLC LLC definition.....	59
5.7.1	SHDLC overview	59
5.7.1.1	Conformance requirements	59
5.7.1.2	Test Case 1: data passed up to the next layer	59
5.7.1.2.1	Test execution.....	59
5.7.1.2.2	Initial conditions.....	59
5.7.1.2.3	Test procedure	59
5.7.1.3	Test Case 2: error management - corrupted I-frame	59
5.7.1.3.1	Test execution.....	59
5.7.1.3.2	Initial Conditions	59
5.7.1.3.3	Test procedure	59
5.7.1.4	Test Case 3: error management - corrupted RR frame.....	59
5.7.1.4.1	Test execution.....	59
5.7.1.4.2	Initial Conditions	60
5.7.1.4.3	Test procedure	60
5.7.2	Endpoints	60
5.7.2.1	Conformance requirements	60
5.7.3	SHDLC frames types	60
5.7.3.1	Conformance requirements	60
5.7.4	Control Field.....	60
5.7.4.1	Conformance requirements	60
5.7.4.2	I-Frames coding	60
5.7.4.2.1	Conformance requirements.....	60
5.7.4.3	S-Frames coding	60
5.7.4.3.1	Conformance requirements.....	60
5.7.4.4	U-Frames coding	61
5.7.4.4.1	Conformance requirements.....	61
5.7.5	Changing sliding window size and endpoint capabilities	61
5.7.5.1	Conformance requirements	61
5.7.5.2	RSET frame payload	61
5.7.5.2.1	Conformance requirements.....	61
5.7.5.3	UA frame payload	61
5.7.5.3.1	Conformance requirements.....	61
5.7.6	SHDLC context	61
5.7.6.1	Conformance requirements	61
5.7.6.2	Constants.....	61
5.7.6.2.1	Conformance requirements.....	61
5.7.6.3	Variables	61
5.7.6.3.1	Conformance requirements.....	61
5.7.6.4	Initial Reset state	62
5.7.6.4.1	Conformance requirements.....	62
5.7.6.4.2	Test case 1: initial state at link reset - reset by the UICC	62
5.7.7	SHDLC sequence of frames.....	62
5.7.7.1	Conformance requirements	62
5.7.7.2	Nomenclature	62
5.7.7.2.1	Conformance requirements.....	62
5.7.7.3	Link establishment with default sliding window size	63
5.7.7.3.1	Conformance requirements.....	63
5.7.7.3.2	Test Case 1: link establishment by the UICC	63
5.7.7.3.3	Test case 2: Link establishment and connection time out.....	64
5.7.7.3.4	Test Case 3: requesting unsupported window size and/or SREJ support - link establishment by UICC	64
5.7.7.3.5	Test Case 4: forcing lower window size and SREJ not used - link establishment by the T	65
5.7.7.3.6	Test case 5: discard buffered frames on link re-establishment	65
5.7.7.4	Link establishment with custom sliding window size	66
5.7.7.4.1	Conformance requirements.....	66

5.7.7.5	Data flow	66
5.7.7.5.1	Conformance requirements.....	66
5.7.7.5.2	Test case 1: I-frame transmission	66
5.7.7.5.3	Test case 2: I-frame reception - single I-Frame reception	67
5.7.7.5.4	Test case 3: I-frame reception - multiple I-Frame reception	67
5.7.7.6	Reject (go N back)	68
5.7.7.6.1	Conformance requirements.....	68
5.7.7.6.2	Test case 1: REJ transmission - multiple I-frames received.....	68
5.7.7.6.3	Test case 2: REJ reception.....	69
5.7.7.7	Last Frame Loss	69
5.7.7.7.1	Conformance requirements.....	69
5.7.7.7.2	Test Case 1: retransmission of multiple frames.....	69
5.7.7.8	Receive and not ready	70
5.7.7.8.1	Conformance requirements.....	70
5.7.7.8.2	Test case 1: RNR reception	70
5.7.7.8.3	Test case 2: Empty I-frame transmission.....	70
5.7.7.9	Selective reject	71
5.7.7.9.1	Conformance requirements.....	71
5.7.7.9.2	Test case 1: SREJ transmission	71
5.7.7.9.3	Test case 2: SREJ transmission - multiple I-frames received.....	71
5.7.7.9.4	Test case 3: SREJ reception.....	72
5.7.7.9.5	Void.....	72
5.7.8	Implementation	72
5.7.8.1	Conformance requirements	72
5.7.8.2	Information Frame emission	72
5.7.8.2.1	Conformance requirements	72
5.7.8.3	Information Frame reception.....	72
5.7.8.3.1	Conformance requirements.....	72
5.7.8.4	Reception Ready Frame reception	72
5.7.8.4.1	Conformance requirements.....	72
5.7.8.5	Reject Frame reception	73
5.7.8.5.1	Conformance requirements.....	73
5.7.8.6	Selective Reject Frame reception	73
5.7.8.6.1	Conformance requirements.....	73
5.7.8.7	Acknowledge timeout	73
5.7.8.7.1	Conformance requirements.....	73
5.7.8.8	Guarding/transmit timeout.....	73
5.7.8.8.1	Conformance requirements.....	73
5.8	CLT LLC definition	73
5.8.1	System Assumptions.....	73
5.8.2	Overview	73
5.8.2.1	Conformance requirements	73
5.8.3	Supported RF protocols	73
5.8.3.1	Conformance requirements	73
5.8.4	CLT Frame Format	74
5.8.4.1	Conformance requirements	74
5.8.5	CLT Command Set	74
5.8.5.1	Conformance requirements	74
5.8.5.2	Test case 1: ISO/IEC 14443-3 Type A, no administrative command	74
5.8.5.2.1	Test execution.....	74
5.8.5.2.2	Initial conditions.....	74
5.8.5.2.3	Test procedure	75
5.8.6	CLT Frame Interpretation	75
5.8.6.1	CLT frames with Type A aligned DATA_FIELD	75
5.8.6.1.1	Conformance requirements.....	75
5.8.6.2	Handling of DATA_FIELD by the CLF	75
5.8.6.2.1	Conformance requirements.....	75
5.8.6.3	Handling of ADMIN_FIELD	76
5.8.6.3.1	CL_PROTO_INF(A)	76
5.8.6.3.2	CL_PROTO_INF(F).....	77
5.8.6.3.3	CL_GOTO_INIT and CL_GOTO_HALT.....	82
5.8.7	CLT Protocol Rules	82

5.8.7.1	Rules for the CLF.....	82
5.8.7.1.1	Conformance requirements.....	82
5.8.7.2	Rules for the UICC.....	82
5.9	Timing and performance	82
5.9.1	SHDLC Data transmission mode.....	82
5.9.1.1	CLF processing delay when receiving data over an RF-link.....	82
5.9.1.1.1	Conformance requirements.....	82
5.9.1.2	CLF processing delay when sending data over an RF-link	83
5.9.1.2.1	Conformance requirements.....	83
5.9.1.2.2	Test case 1: Transceiving non-chained data over RF in Card Emulation	83
5.9.2	CLT data transmission mode for ISO/IEC 14443-3 Type A	84
5.9.2.1	CLF processing delay (three subclauses)	84
5.9.2.1.1	Conformance requirements.....	84
5.9.2.1.2	Test case 1: CLF processing time - Type A aligned communication, with RF response	85
5.9.2.1.3	Test case 2: CLF processing time, no RF response	86
5.9.2.2	Timing value for the CLF processing delay (Request Guard Time)	87
5.9.2.2.1	Conformance requirements.....	87
5.9.2.2.2	Test case 1: CLF processing time, Request Guard Time from IDLE state - Type A state transition.....	87
5.9.2.2.3	Test case y: CLF processing time, Request Guard Time from HALT state- Type A state transition.....	88
5.9.3	CLT data transmission mode for ISO/IEC 18092 212 kbps/424 kbps passive mode	89
Annex A:	Void	90
Annex B (informative):	Core specification version information.....	91
Annex C (informative):	Change history.....	92
History		94

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The present document is part 1 of a multi-part deliverable covering the Test specification for the Single Wire Protocol (SWP) interface, as identified below:

Part 1: "Terminal features";

Part 2: "UICC features".

Modal verbs terminology

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Introduction

The present document defines test cases for the terminal relating to Single Wire Protocol (SWP). SWP is the communication interface between the UICC and a contactless frontend (CLF) as specified in ETSI TS 102 613 [1].

The aim of the present document is to ensure interoperability between the terminal and the UICC independently of the respective manufacturer, card issuer or operator.

1 Scope

The present document covers the minimum characteristics which are considered necessary for the terminal in order to provide compliance to ETSI TS 102 613 [1].

The present document specifies the test cases for:

- the physical layer of the interface CLF - UICC;
- the electrical interface of the CLF;
- the initial communication establishment CLF - UICC;
- the data link layer.

Test cases for the UICC relating to ETSI TS 102 613 [1] and test cases for the host controller interface (HCI) covering both terminal and UICC are out of scope of this document.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

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- [1] ETSI TS 102 613: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Part 1: Physical and data link layer characteristics".
- [2] ETSI TS 102 221: "Smart Cards; UICC-Terminal interface; Physical and logical characteristics".
- [3] ETSI TS 102 600: "Smart Cards; UICC-Terminal interface; Characteristics of the USB interface".
- [4] ETSI TS 102 622: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Host Controller Interface (HCI)".
- [5] ISO/IEC 14443-3: "Identification cards -- Contactless integrated circuit cards -- Proximity cards -- Part 3: Initialization and anticollision".
- [6] ISO/IEC 14443-4: "Identification cards -- Contactless integrated circuit cards -- Proximity cards -- Part 4: Transmission protocol".
- [7] ISO/IEC 9646-7: "Information technology -- Open Systems Interconnection -- Conformance testing methodology and framework -- Part 7: Implementation Conformance Statements".
- [8] ISO/IEC 18092: "Information technology -- Telecommunications and information exchange between systems -- Near Field Communication -- Interface and Protocol (NFCIP-1)".
- [9] ISO/IEC 13239: "Information technology -- Telecommunications and information exchange between systems -- High-level data link control (HDLC) procedures".

- [10] ISO/IEC 14443-2: "Identification cards -- Contactless integrated circuit cards -- Proximity cards -- Part 2: Radio frequency power and signal interface".

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Not applicable.

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions apply given in ETSI TS 102 613 [1] and the following apply:

corrupted frame: SWP frame which is well-formed with respect to the MAC layer, with the exception that the CRC16 in the frame does not match with the CRC16 result calculated over the payload

NOTE: This frame has at least 1 byte payload. Used by the TE to represent the situation where the DUT receives a corrupted frame (unless otherwise specified).

nomenclature used for tests involving SHDLC LLC:

For SHDLC link establishment, following definitions apply:

- ES transmits RSET:
 - RSET(): RSET frame without payload.
 - RSET(Ws=w): RSET frame with one byte payload.
 - RSET(Ws=w, SREJ=S): RSET frame with two bytes payload. For the endpoint capabilities byte, SREJ=0 represents the value 0x00, SREJ=1 represents the value 0x01.
- ES receives RSET:
 - RSET: RSET frame with any valid payload.
 - RSET(): RSET frame without payload.
 - RSET(Ws=w): RSET frame with one byte payload.
 - RSET(Ws=w, SREJ=S): RSET frame with two bytes payload. For the endpoint capabilities byte, SREJ=0 represents the value 0x00, SREJ=1 represents the value 0x01.

For every calculation on NS0_T, NS0_S or NR in the test procedures use modulo 8.

non-occurrence RQ: RQ which has been extracted from ETSI TS 102 613 [1], but which indicates a situation which should never occur

NOTE: The consequence is that such RQs can not be explicitly tested.

representative SWP frame exchange procedure: sequence of SWP frames exchanged between TE and DUT

NOTE: Used by the TE to cause SWP communication traffic where needed in test procedures. This sequence shall provide the following characteristics, unless otherwise specified or more precisely stated in test procedures:

- Amount of data exchanged between TE and DUT at least 500 byte (with respect to the MAC layer), valid for both directions.
- Some half-duplex SWP communication.
- Some full-duplex SWP communication.
- Frame transmission started by the TE while the DUT yet sends a frame.
- Exchanged data shall enforce occurrence of some bit stuffing in both directions.
- Some variation of frame length sent from the TE.

The DUT provider shall provide sufficient information to allow this procedure to be defined.

representative USB frame exchange procedure: sequence of USB frames exchanged between TE and DUT

NOTE: Used by the TE to cause USB communication traffic where needed in test procedures.

user: describes any logical or physical entity which controls the test equipment in a way that it is able to trigger activities of the DUT

3.2 Symbols

For the purposes of the present document, the symbols given in ETSI TS 102 613 [1] and the following apply:

The characters x, y, z represent any values for the current test, unless otherwise specified

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in ETSI TS 102 613 [1] and the following apply:

(U)SIM	Universal Subscriber Identity Module
ACT	ACTivation protocol
CLF	ContactLess Frontend
CLT	ContactLess Tunnelling
CRC	Cyclic Redundancy Code
DUT	Device under test
ES	SHDLC endpoint of test equipment (i.e. the UICC simulator)
FFS	For further study
FSC	Frame Size for proximity Card
HCI	Host Controller Interface
HCP	Host Controller Protocol
LLC	Logical Link Control
NAA	Network Access Application
NR	Number of next information frame to Receive
NS	Number of next information frame to Send
PCD	Proximity Coupling Device
RQ	Conformance requirement
SHDLC	Simplified High Level Data Link Control
SWIO	Single Wire protocol Input/Output
SWP	Single Wire Protocol
T	Terminal, i.e. the DUT (shortcut used only in test procedure tables)
TE	Test equipment
TSN	Time Slot Number
WS	Window Size

3.4 Formats

3.4.1 Format of the table of optional features

The columns in table 4.1 have the following meaning:

Column	Meaning
Option:	The optional feature supported or not by the implementation.
Status:	See clause 3.4.3 'Status and Notations'
Support:	The support columns are to be filled in by the supplier of the implementation. The following common notations, defined in ISO/IEC 9646-7 [7], are used for the support column in table 4.1. Y or y supported by the implementation. N or n not supported by the implementation. N/A, n/a or - no answer required (allowed only if the status is N/A, directly or after evaluation of a conditional status).
Mnemonic:	The mnemonic column contains mnemonic identifiers for each item.

3.4.2 Format of the applicability table

The applicability of every test in table 4.2 a) is formally expressed by the use of Boolean expression defined in the following clause.

The columns in table 4.2 have the following meaning:

Column	Meaning
Test case:	The "Test case" column gives a reference to the test case number(s) detailed in the present document and required to validate the implementation of the corresponding item in the "Description" column
Description:	In the "Description" column a short non-exhaustive description of the requirement is found.
Release:	The "Release" column gives the Release applicable and onwards, for the item in the "Description" column
Execution requirements	The usage of the "Execution requirements" column is described in clause 4.5.2.
Rel-x Terminal:	For a given Release, the corresponding "Rel-x Terminal" column lists the tests required for a Terminal to be declared compliant to this Release.
Support:	The "Support" column is blank in the proforma, and is to be completed by the manufacturer in respect of each particular requirement to indicate the choices, which have been made in the implementation.

3.4.3 Status and Notations

The "Rel-x Terminal" columns show the status of the entries as follows:

The following notations, defined in ISO/IEC 9646-7 [7], are used for the status column:

- M mandatory - the capability is required to be supported.
- O optional - the capability may be supported or not.
- N/A not applicable - in the given context, it is impossible to use the capability.
- X prohibited (excluded) - there is a requirement not to use this capability in the given context.
- O.i qualified optional - for mutually exclusive or selectable options from a set. "i" is an integer which identifies an unique group of related optional items and the logic of their selection which is defined immediately following the table.
- Ci conditional - the requirement on the capability ("M", "O", "X" or "N/A") depends on the support of other optional or conditional items. "i" is an integer identifying an unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF ... THEN (IF ... THEN ... ELSE...) ELSE ..." is to be used to avoid ambiguities.