Final draft ETSI ES 203 228 V1.2.0 (2017-02)



Environmental Engineering (EE);
Assessment of mobile network energy efficiency

Reference

RES/EE-EEPS18

Keywords

access, base station, energy efficiency, GSM, LTE, mobile, network, radio, UMTS

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from: http://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommiteeSupportStaff.aspx

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2017.
All rights reserved.

DECT[™], **PLUGTESTS**[™], **UMTS**[™] and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members. **3GPP**[™] and **LTE**[™] are Trade Marks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Contents

Intelle	ectual Property Rights	5
Forew	word	5
Moda	al verbs terminology	5
Introd	duction	5
1	Scope	6
2	-	
2 2.1	References	
2.1	Informative references	
3 3.1	Definitions and abbreviations	
3.1	Abbreviations	
4 4.1	Network under test definition	
4.2	Test parameter categorization.	
4.3	Network classification	12
4.3.0	Introduction of network classification	12
4.3.1	Demography	12
4.3.2	Topography	13
4.3.3	Climate zones.	13
4.3.4	Introduction of network classification Demography Topography Climate zones Additional classification classes Metrics for energy efficiency assessment Introduction of clause Energy Consumption metrics Performance metrics Mobile Network Energy efficiency metrics Measurement of energy efficiency Introduction of clause Time duration of the measurement Measurement procedures Measurement of Energy Consumption	14
5	Metrics for energy efficiency assessment.	14
5.0	Introduction of clause	14
5.1	Energy Consumption metrics	14
5.2 5.3	Mobile Network Energy efficiency metrics	1 /
5.5	Woone Network Energy Critically metrics	1/
6	Measurement of energy efficiency	18
6.0	Introduction of clause	18
6.1 6.2	Measurement procedures	18
6.2.1	Measurement of Energy Consumption	18
6.2.2	Measurement of capacity	
6.2.3	Determination of coverage area	
6.2.3.0		
6.2.3.1		
6.2.3.2		
6.2.3.3		
7	Extrapolation for overall networks	
7.0	Extrapolation approach.	
7.1 7.1.0	Extrapolation method	
7.1.0 7.1.1	Statistical information about Demography	
7.1.2	Statistical information about Demography	
7.1.3	Statistical information about Climate zones	
7.2	Extrapolation reporting tables	24
7.2.0	Introduction of extrapolation reporting tables.	
7.2.1	Reporting extrapolation based on Demography	
7.2.2	Reporting extrapolation based on Topography	
7.2.3	Reporting extrapolation based on Climate zones	
8	Assessment report	
8.0	Introduction of assessment report	
8.1	Report of Network Area under test	25

8.2	Report of sites unde	er test	27
8.3	Report of Site meas	er test	28
9	Implementation guidelines		
Ann	ex A (informative):	Implementation examples	30
A.1	Implementation exan	nples	30
A.2	Examples of reportin	g data	30
Ann	ex B (informative):	Cloud RAN energy efficiency	35
B.0	Introduction		35
B.1	Generic CRAN archi	tecture layout and definitions	35
B.2	Energy consumption and efficiency assessment		36
Histo	orv		37

IT of Standards in sandards standards standards standards standards in the sandards standards st

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This final draft ETSI Standard (ES) has been produced by ETSI Technical Committee Environmental Engineering (EE), and is now submitted for the ETSI standards Membership Approval Procedure.

The present document was developed jointly by ETSI TC EE and ITU-T Study Group 5. It will be published respectively by ITU and ETSI as Recommendation ITU-T L.1330 [i.4] and ETSI ES 203 228 (the present document), which are technically-equivalent.

Moreover the present document has been developed in collaboration with 3GPP SA5 and RAN3; GSMA has also given valuable suggestions and contributions.

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

Introduction

The present document deals with the definition of metrics and methods to measure energy efficiency performance of Mobile Radio Access Networks and adopts an approach based on the measurement of such performance on small networks, for feasibility and simplicity purposes. Such simplified approach is proposed for approximate energy efficiency evaluations and cannot be considered as a reference for planning evaluation purposes throughout the network operation process. The same approach was introduced also in ETSI TR 103 117 [i.1]; the measurements in testing laboratories of the efficiency of the Base Stations is the topic treated in ETSI ES 202 706 [i.2].

The measurement of this performance is useful for many reasons, and an implementation guidelines clause is given (clause 9) to help using the present document in the most convenient way.

The present document provides also an extrapolation method to extend the applicability of the assessment of energy efficiency to wider networks (clause 7).

The general outcome of the application of the method specified in the present document is based on the "Assessment report" introduced in clause 8. An example of application of the method is given for better readability and ease of use in annex A.

1 Scope

The present document is aimed at defining the topology and level of analysis to assess the energy efficiency of mobile networks. Within the scope of the present document there is the radio access part of the mobile networks, and namely there are radio base stations, backhauling systems, radio controllers and other infrastructure radio site equipment. The covered technologies are GSM, UMTS and LTE (including LTE-A). In particular the present document defines metrics for mobile network energy efficiency and methods for assessing (and measuring) energy efficiency in operational networks. The purpose of the present document is to allow better comprehension of networks energy efficiency.

The present document deals with both a homogeneous and heterogeneous "network" considering a network whose size and scale could be defined by topologic, geographic or demographic boundaries. For networks defined by topologic boundaries, a possible example of a network covered by the present document consists of a control node (whenever applicable), its supported access nodes as well as the related network elements. Networks could be defined by geographic boundaries, such as city-wide, national or continental networks and could be defined by demographic boundaries, such as urban or rural networks.

The present document applies to the so-called "partial" networks where energy efficiency is measured in standardized way. The specification extends the measurements in partial networks to wider so-called "total" networks energy efficiency estimations (i.e. the network in a geographic area, the network in a whole country, the network of a MNO, etc.).

Terminal (end-user) equipment is outside the scope of the present document and is not considered in the energy efficiency measurement.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at http://docbox.etsi.org/Reference.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

[1]	ETSI TS 125 104: "Universal Mobile Telecommunications System (UMTS); Base Station (BS)
	radio transmission and reception (FDD) (3GPP TS 25.104)".

- [2] ETSI TS 136 104: "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception (3GPP TS 36.104)".
- [3] ETSI TS 132 425 (V12.0.0): "LTE; Telecommunication management; Performance Management (PM); Performance measurements Evolved Universal Terrestrial Radio Access Network (E-UTRAN) (3GPP TS 32.425 version 12.0.0 Release 12)".
- [4] ETSI TS 132 412 (V11.1.0): "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE; Telecommunication management; Performance Management (PM) Integration Reference Point (IRP): Information Service (IS) (3GPP TS 32.412 version 11.1.0 Release 11)".
- [5] ETSI TS 123 203 (V12.7.0): "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE; Policy and charging control architecture (3GPP TS 23.203 version 12.7.0 Release 12)".

- [6] ETSI TS 136 314: "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Layer 2 Measurements (3GPP TS 36.314)".
- [7] ETSI TS 152 402 (V11.0.0): "Digital cellular telecommunications system (Phase 2+); Telecommunication management; Performance Management (PM); Performance measurements GSM (3GPP TS 52.402 version 11.0.0 Release 11)".
- [8] ETSI TS 132 405 (V11.1.1): "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE; Telecommunication management; Performance Management (PM); Performance measurements; Universal Terrestrial Radio Access Network (UTRAN) (3GPP TS 32.405 version 11.1.1 Release 11)".
- [9] ETSI ES 202 336-12: "Environmental Engineering (EE); Monitoring and control interface for infrastructure equipment (power, cooling and building environment systems used in telecommunication networks); Part 12: ICT equipment power, energy and environmental parameters monitoring information model".
- [10] ISO/IEC 17025 (2005): "General requirements for the competence of testing and calibration laboratories".

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] ETSI TR 103 117: "Environmental Engineering (EE); Principles for Mobile Network level energy efficiency".
- [i.2] ETSI ES 202 706: "Environmental Engineering (EE); Measurement method for power consumption and energy efficiency of wireless access network equipment".
- [i.3] ETSI GS NFV 003: "Network Functions Virtualisation (NFV); Terminology for Main Concepts in NFV".
- [i.4] Recommendation ITU-T L.1330: "Energy efficiency measurement and metrics for telecommunication networks".
- [i.5] FAO Soils Portal.
- NOTE: Available at http://www.fao.org/soils-portal/soil-survey/soil-maps-and-databases/harmonized-world-soil-database-v12/en/.
- [i.6] Jürgen Grieser, René Gommes, Stephen Cofield and Michele Bernardi, "Data sources for FAO worldmaps of Koeppen climatologies and climatic net primary production", 2006.
- NOTE: Available at: http://www.fao.org/nr/climpag/globgrids/KC commondata en.asp.

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

backhaul equipment: equipment used to connect base stations to the core network, or to other BSs (like X2 in LTE)

Base Station (BS): generic term used for network component which serves one cell or more cells and interfaces the user terminal (through air interface) and a radio access network infrastructure

distributed RBS: BS architecture which contains radio heads (RRH) close to the antenna element and a central element connecting BS to network infrastructure

Energy Efficiency (EE): relation between the useful output and energy/power consumption

energy saving feature: feature which contributes to decreasing the energy consumption compared to the case when the feature is not implemented

integrated BS: BS architecture in which all BS elements are located close to each other for example in one or two cabinets

NOTE: The integrated BS architecture may include TMA close to antenna.

Mobile Network (MN): set of equipment from the radio access network or sub-network that are relevant for the assessment of energy efficiency

mobile network coverage energy efficiency: ratio between the area covered by the network in the Mobile Network under investigation and the energy consumption

mobile network data energy efficiency: ratio between the performance indicator based on Data Volume and the energy consumption when assessed during the same time frame

mobile network energy consumption: overall energy consumption of equipment included in the MN under investigation

mobile network energy efficiency: Energy Efficiency of a Mobile Network

Mobile Network Operator (MNO): operator that manages one or more Mobile Networks

mobile network operator penetration ratio: percentage of traffic served by an MNO in the area where it is active

mobile network performance delivered: performance indicator of the MN under investigation, defined as the data volume delivered by the mobile network under investigation during the time frame of the energy consumption assessment

power consumption: power consumed by a device to achieve an intended application performance

radio access network: telecommunications network in which the access to the network (connection between user terminal and network) is implemented without the use of wires and that is part of GERAN, UTRAN or E-UTRA networks defined by 3GPP

Site Energy Efficiency (SEE): metric used to determine the energy efficiency of a telecom site

NOTE: SEE is defined by the ratio of "IT equipment energy" and "Total site energy", which generally includes rectifiers, cooling, storage, security and IT equipment. For datacentres, the "Total site energy" more globally includes building load, powering equipment (e.g. switchgear, uninterruptible power supply (UPS), battery backup), cooling equipment (e.g. chillers, computer room air conditioning unit (CRAC)) and IT equipment energy.

telecommunication network: network operated under a license granted by a national telecommunications authority, which provides telecommunications between Network Termination Points (NTPs)

Virtualized Network Function (VNF): See ETSI GS NFV 003 [i.3].

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3GPP 3G (mobile) Partnership Project

BH Backhaul
BS Base Station
CoA Coverage Area

9

CoA des designated coverage area as designed by network planning

CoA geo total geographical area under investigation and within the operator's license agreement

CoA Qdes quality factor describing how well users are covered within the coverage area

CRAN Cloud Radio Access Network

CS Circuit Switched

CS/PS Circuit Switched/Packet Switched

DC Data Center

DCA Designed Coverage Area

DL DownLink

DP Dominant Penetration

DU Dense Urban
DV Data Volume

DV_{MN} Data Volume of the Mobile Network

EC Energy Consumption

EC_{MN} Energy Consumption of the Mobile Network

EC_{SI} Energy Consumption of the Site

EDC Edge Cloud

EECRAN Energy Efficiency of the Cloud RAN Energy Efficiency of the Mobile Network

E-UTRA Evolved UMTS Terrestrial Radio Access Network

FAO Food and Agriculture Organization GERAN GSM/EDGE Radio Access Network

GHG GreenHouse Gas

GSM Global System for Mobile communication

GSMA GSM Association

ICT Information Communications Technology

IP Internet Protocol

ITU International Telecommunications Union

ITU-T International Telecommunications Union - Telecommunication

LTE Long Term Evolution
MDT Minimization of Drive Tests

MJ MegaJoule
MN Mobile Network
MNO Mobile Network Operator

MP Minor Penetration NA Not Applicable

NDP Non Dominant Penetration
O&M Operation & Maintenance
PDF Probability Distribution Function

PS Packet Switched

PSL Packet Switched Large packages dominating PSS Packet Switched Small packages dominating

QCI **QoS** Class Identifier Quality of Services QoS Radio Access Bearer **RAB RAN** Radio Access Network **RAP** Radio Access Point **RAT** Radio Access Technology RC Remote Controller RF Radio Frequency

RNC Radio Network Controller RRC Radio Resource Control RRH Remote Radio Head

RU Rural

SE Switching Equipment
SEE Site Energy Efficiency
SEE_{CC} SEE for Central Cloud
SEE_{EDC} SEE for Edge Cloud
SEE_{RAP} SEE for Radio Access Point

SI Site Infrastructure

SINR Signal to Interference plus Noise Ratio

SU Sub Urban

TCO Total Cost of Ownership
TMA Tower Mounted Amplifier

U Urban

UE User Equipment

UE-BS User Equipment to Base Station

UL UpLink

UMTS Universal Mobile Telecommunication Service

UN United Nations

USA United States of America

UTRAN UMTS Terrestrial Radio Access Network

VNF Virtualized Network Function

VNFS Virtualized Network Function Servers

X2 Interface allowing to interconnect eNBs with each other

RA Radio Access
CC Central Cloud
TE Telco Equipment

4 Network under test definition

4.1 Introduction

The Mobile Radio Access Network (MN) under investigation shall include all the equipment that is necessary to run a radio access network or sub-network. Equipment to be included in the Mobile Network under investigation:

- Base Stations (see ETSI TS 125 104 [1] and ETSI TS 136 104 [2]).
 - Wide area BS.
 - Medium range BS.
 - Local Area BS.
 - Home BS.

NOTE: Home BS (and Wi-Fi access points) are not dealt with in the present document, being possibly considered for future versions.

- Site equipment (air conditioners recuffiers/ batteries, fixed network equipment, etc.).
- Backhaul equipment required to interconnect the BS used in the assessment with the core network.
- Radio Controller (RC).

Power consumption and energy efficiency measurements of individual mobile network elements are described in several standards (for example ETSI ES 202 706 [i.2] for radio base stations). The present document describes energy consumption and MN energy efficiency measurements in operational networks.

As a complete and detailed energy consumption measurement of the complete network of a country or MNO is in most cases impossible or economically not viable, the total network is split into a small number of networks with limited size ("sub-networks").

These sub-networks are defined to represent some specific characteristics, for example:

- capacity limited networks representing urban and dense urban networks;
- sub-urban networks with high requirements for coverage and capacity;
- rural networks, which are usually coverage limited.

The size and scale of the sub-networks are defined by topologic, geographic or demographic boundaries. For networks defined by topologic boundaries, a possible example of a network covered by the present document consists of a Radio controller (whenever applicable), its supported access nodes as well as the related network elements. Networks could be defined by geographic boundaries, such as city-wide, national or continental networks and could be defined by demographic boundaries, such as urban or rural networks.

The sub-networks analyzed might consist of macro-only base stations or heterogeneous networks or whatever is actually implemented in real networks.

The tests defined in the present document for sub-networks provide the basis to estimate energy efficiency for large networks of one MNO or within an entire country, applying the extrapolation methods described in clause 7.

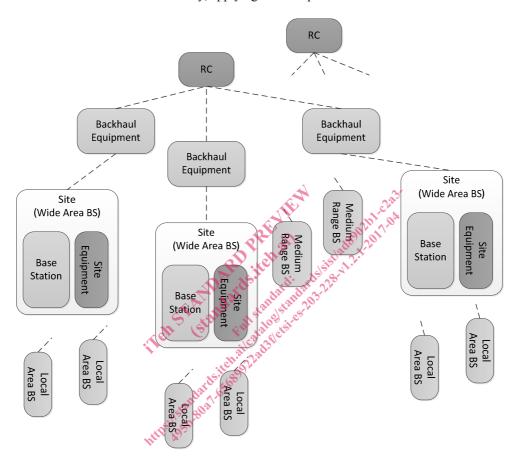


Figure 1: Network under test definition

4.2 Test parameter categorization

Metrics used for the energy efficiency assessment of mobile networks require the definition and collection of a range of parameters and variables. These are separated into two categories:

- 1) Parameters and variables required to calculate the network energy efficiency.
- 2) Parameters needed to allow network energy efficiency evaluation.

The first category describes a set of network variables as described in clause 5 (energy consumption, delivered bits, coverage) to be used to calculate the energy efficiency.

The second category includes parameters which are not directly required in the energy efficiency calculation. These parameters describe the network characteristics, such as geographical conditions, population density, coverage area, targeted data rates, climate zones, etc. and are used to interpolate from the measured sub-network to a larger network as described in clause 7. These parameters can be used to interpret variations in energy efficiency results of different networks.