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**oneM2M;
WebSocket Protocol Binding
(oneM2M TS-0020 version 2.0.0 Release 2)**

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Foreword

This Technical Specification (TS) has been produced by ETSI Partnership Project oneM2M (oneM2M).

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1 Scope

The present document specifies the binding of Mca and Mcc primitives onto the WebSocket binding.

It specifies:

- Procedures and message formats for operating and closing of WebSocket connections.
- How request and response primitives are mapped into the payload of the WebSocket protocol.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are necessary for the application of the present document.

- [1] IETF RFC 6455: "The Web Socket Protocol", December 2011.
- [2] ETSI TS 118 101: "oneM2M; Functional Architecture (oneM2M TS-0001).
- [3] IETF RFC 7230: "Hypertext Transport Protocol (HTTP/1.1): Message Syntax and Routing", June 2014.
- [4] ETSI TS 118 103: "oneM2M; Security Solutions (oneM2M TS-0003)".
- [5] ETSI TS 118 104: "oneM2M; Service Layer Core Protocol Specification (oneM2M TS-0004)".
- [6] IETF RFC 7692: "Compression Extension for WebSocket", December 2015.

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] oneM2M Drafting Rules.

NOTE: Available at <http://www.onem2m.org/images/files/oneM2M-Drafting-Rules.pdf>.

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

oneM2M WebSocket Client (WS Client): WebSocket Client associated with an AE or a CSE capable of establishing the WebSocket connections

oneM2M WebSocket Server (WS Server): WebSocket Server associated with a CSE which accepts requests to establish WebSocket connections

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

| | |
|--------|--|
| ADN | Application Dedicated Node |
| AE | Application Entity |
| ASN | Application Service Node |
| CBOR | Concise Binary Object Representation |
| CSE | Common Services Entity |
| FQDN | Fully Qualified Domain Name |
| GUID | Globally Unique Identifier |
| HTTP | Hypertext Transport Protocol |
| IANA | Internet Assigned Numbers Authority |
| IETF | Internet Engineering Task Force |
| IN-CSE | Infrastructure Node Common Services Entity |
| IP | Internet Protocol |
| JSON | JavaScript Object Notation |
| MN | Middle Node |
| MN-CSE | Middle Node Common Services Entity |
| NAT | Network Address Translator |
| SAEF | Security Association Establishment Framework |
| TCP | Transmission Control Protocol |
| TLS | Transport Layer Security |
| URI | Uniform Resource Identifier |
| WS | WebSocket |
| XML | eXtensible Markup Language |

4 Conventions

The key words "Shall", "Shall not", "May", "Need not", "Should", "Should not" in the present document are to be interpreted as described in the oneM2M Drafting Rules [i.1].

5 Overview on WebSocket Binding

5.1 Use of WebSocket

This binding makes use of the WebSocket protocol IETF RFC 6455 [1] to transport serialized representations of oneM2M request and response primitives over the Mca or Mcc reference points.

Establishment of a WebSocket connection shall be initiated by a WebSocket client by sending a handshake to a WebSocket server as specified in section 4 of IETF RFC 6455 [1]. Once the WebSocket connection is established, both oneM2M request and response primitives can be exchanged bi-directionally between the two endpoints of the connection. Serialized representations of the request and response primitives shall be mapped in the Payload Data field of the WebSocket base framing protocol, as defined in section 5.2 of IETF RFC 6455 [1].

A WebSocket connection employs either a TCP/IP or a TLS over TCP/IP connection. The underlying TCP and TLS connections are established as the first step of the WebSocket handshake.

5.2 Binding Overview

WebSocket binding may be employed for communication between any two endpoints which can be connected over the Mca, Mcc or Mcc' interface reference points supported by the oneM2M Architecture as shown in figure 6.1-1 of ETSI TS 118 101 [2].

When using the WebSocket protocol, one communication endpoint shall act as the WebSocket server. The WebSocket server listens for inbound handshake messages arriving from any WebSocket client to which a WebSocket connection is not yet established. Whether a communication endpoint takes the role of the client or the server shall depend on the registration relationship between the communicating entities as follows: the registree shall always use a WebSocket client, while the associated registrar shall always use a WebSocket server on the respective reference point.

This implies that ADN and ASN always take the role of a WebSocket client when WebSocket binding is employed. An MN-CSE uses a WebSocket server to communicate with its registrees and a WebSocket client to communicate with its own registrar (which can be another MN-CSE or an IN-CSE).

The IN-CSE provides a WebSocket server functionality to communicate with all its registrees, i.e. within a service provider's domain. On the Mcc' reference points, i.e. for communication between IN-CSEs of different Service Provider domains, the IN-CSE shall provide both WebSocket client and server functionality. This enables any IN-CSE to open a WebSocket connection to any IN-CSE of another Service Provider's domain.

Figure 5.2.-1 shows some applicable example system configuration.

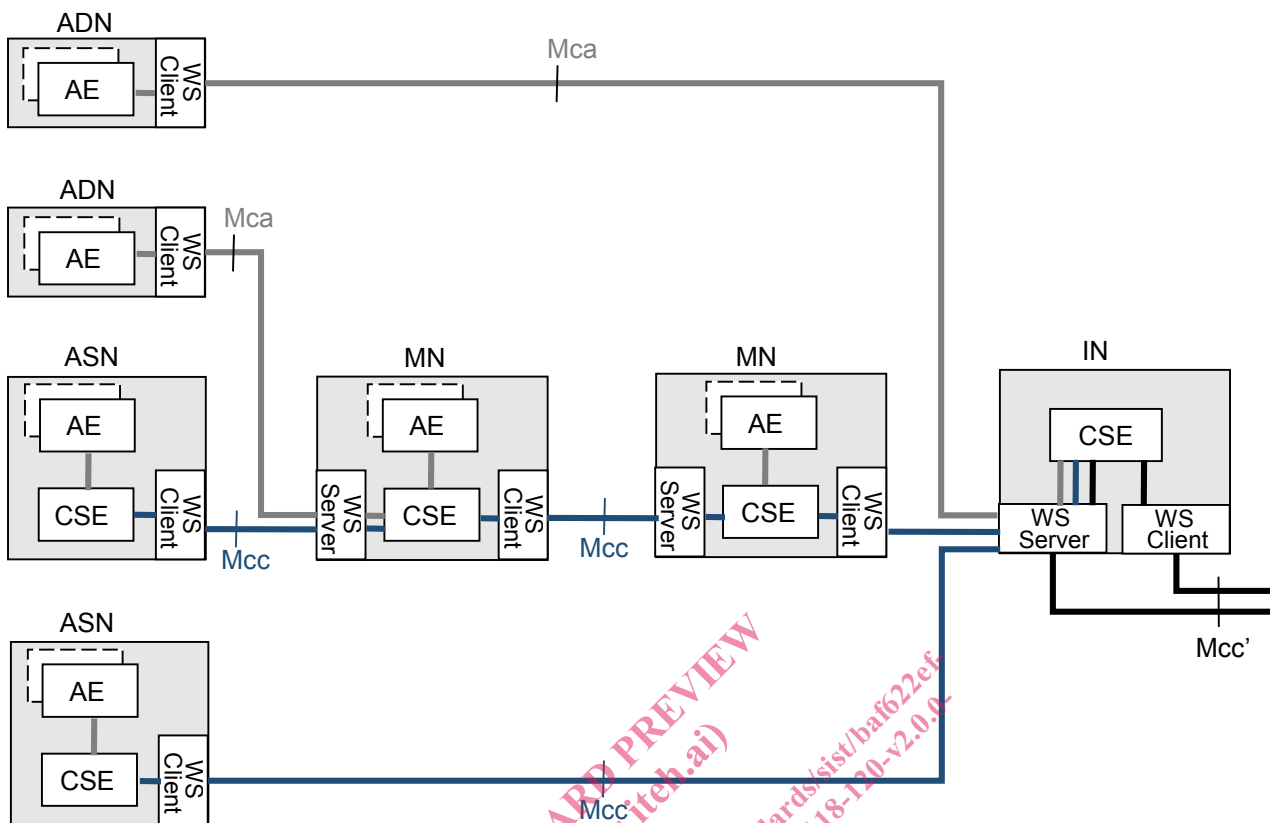


Figure 5.2-1: Example scenarios of WebSocket client and server configurations

There exists a maximum of one WebSocket connection between two nodes. A WebSocket connection is established for the first time when the initial registration procedure of an entity to its registrar is performed. On an established WebSocket connection, request and response primitives can be exchanged in both directions. Any connection may be closed by either the WebSocket client or the server, depending on the communication schedule of either entity. However, the connection can be reopened from the client side only.

If the connection is closed temporarily, it shall be reopened when the next request primitive is sent from the client to the server side, or when the time to become reachable configured at <schedule> resource. If the WebSocket connection with the next-hop entity is not opened, and the WebSocket connection cannot be established due to lack of *pointOfAccess* address for the entity, a sending CSE may enable buffering of primitives which should be sent to the entity until the connection is reopened or their expiration time is reached. See Annex H of ETSI TS 118 104 [5] about buffering of primitives by CMDH functionality.

Figure 5.2-2 shows an example message flow for a scenario where an ADN-AE registers to its registrar MN-CSE using an unsecured TCP connection without proxy and then continues exchanging non-registration request and response primitives.

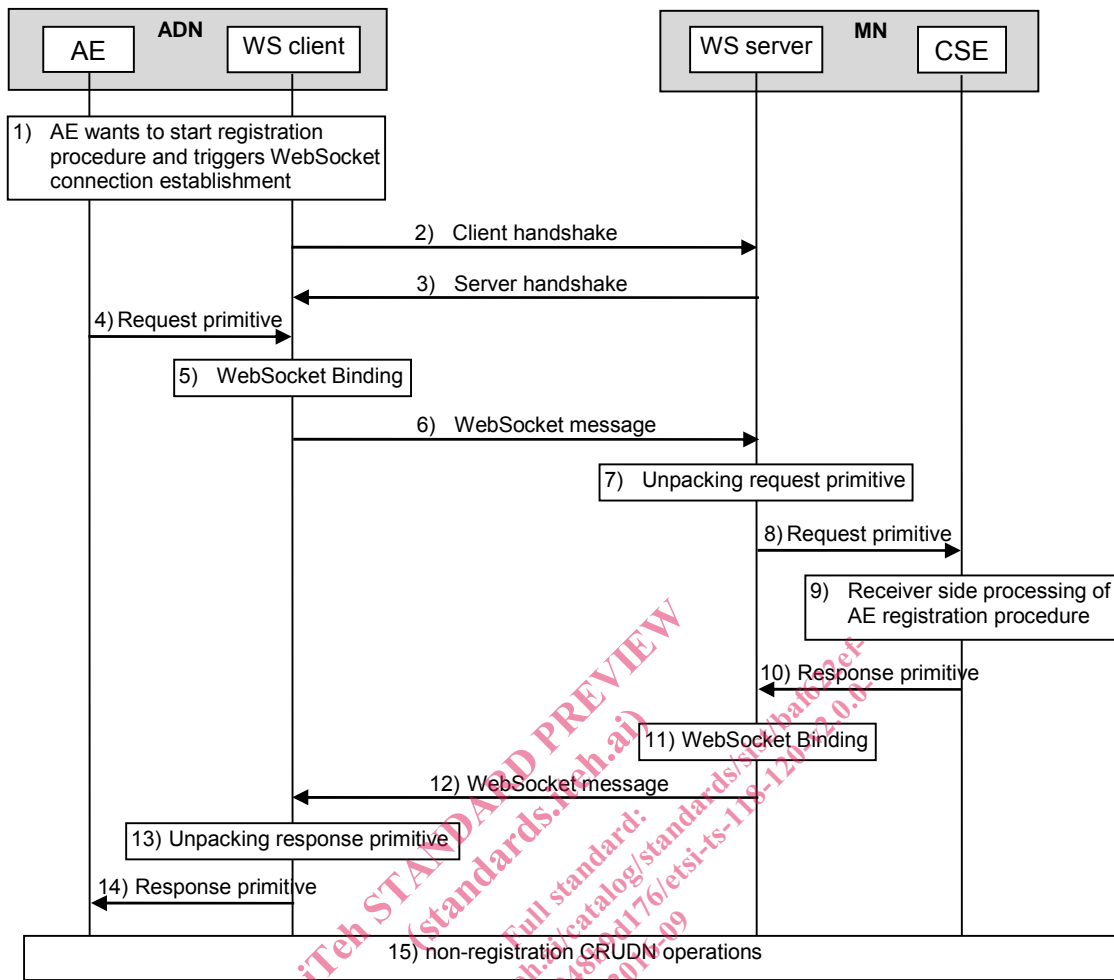


Figure 5.2-2: Example message flow with Websocket binding

1) The ADN-AE wants to register to its registrar MN-CSE. If a WebSocket connection does not exist, it is established by the following steps 2) and 3). It is assumed that the ADN-AE knows the point of access (i.e. WebSocket URI specified in IETF RFC 6455 [1]) under which the registrar CSE can be reached with WebSocket binding.

2) The WebSocket client opens handshake to the server with subprotocol name 'oneM2M-pro-v1.0' following IETF RFC 6455 [1].
 If the server can be reached under the WebSocket URI ws://example.net:9000/, the client handshake may look as follows:

```

GET / HTTP/1.1
Host: mncse1234.net:9000
Upgrade: WebSocket
Connection: Upgrade
Sec-WebSocket-Key: ud63env87LQLd4uIV20/oQ==
Sec-WebSocket-Protocol: oneM2M-pro-v1.0
Sec-WebSocket-Version: 13
  
```

3) The WebSocket server replies with a handshake to the client. In the successful case, the status-line of this HTTP response may look as follow:

```

Request-Version: HTTP/1.1
Status-Code: 101
Response-Phrase: Switching Protocols
Upgrade: WebSocket
Connection: Upgrade
Sec-WebSocket-Protocol: oneM2M-pro-v1.0
Sec-WebSocket-Accept: FuSSKANNI7C/6/FrPMt70mfBY8E=
  
```