



**Smart Cards;**  
**Test specification for the Host Controller Interface (HCI);**  
**Part 1: Terminal features**  
**(Release 11)**

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The present document is part 1 of a multi-part deliverable covering the Test specification for the Host Controller Interface (HCI), as identified below:

- Part 1: "Terminal features";
  - Part 2: "UICC features";
  - Part 3: "Host Controller features".
- 

## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

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# Introduction

The present document defines test cases for the terminal relating to the Host Controller Interface (HCI) as specified in ETSI TS 102 622 [1].

The aim of the present document is to ensure interoperability between the terminal and the UICC independently of the respective manufacturer, card issuer or operator.

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# 1 Scope

The present document covers the minimum characteristics which are considered necessary for the terminal in order to provide compliance to ETSI TS 102 622 [1].

The present document specifies the test cases for:

- the HCI core as described in the first part of ETSI TS 102 622 [1];
- the contactless platform as described in the second part of ETSI TS 102 622 [1].

Test cases for the UICC relating to ETSI TS 102 622 [1] and test cases for the Single Wire Protocol (SWP) covering both terminal and UICC are out of scope of the present document.

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## 2 References

### 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

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NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

- [1] ETSI TS 102 622: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Host Controller Interface (HCI)".
- [2] ETSI TS 102 613: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Part 1: Physical and data link layer characteristics".
- [3] ETSI TS 102 223: "Smart Cards; Card Application Toolkit (CAT)".
- [4] ISO/IEC 18092: "Information technology - Telecommunications and information exchange between systems - Near Field Communication - Interface and Protocol (NFCIP-1)".
- [5] ISO/IEC 14443-2: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 2: Radio frequency power and signal interface".
- [6] ISO/IEC 14443-3: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 3: Initialization and anticollision".
- [7] ISO/IEC 14443-4: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 4: Transmission Protocol".
- [8] ISO/IEC 7816-4: "Information technology - Identification cards - Integrated circuit(s) cards with contacts - Part 4: Interindustry commands for interchange".
- [9] ISO/IEC 9646-7: "Information technology - Open Systems Interconnection - Conformance testing methodology and framework - Part 7: Implementation Conformance Statements".
- [10] ETSI TS 102 695-3: "Smart Cards; Test specification for the Host Controller Interface (HCI); Part 3: Host Controller features".

## 2.2 Informative references

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

Not applicable.

---

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in ETSI TS 102 622 [1] and the following apply:

**allowed error response code:** response code which is not ANY\_OK and which is allowed for the referenced command as specified in ETSI TS 102 622 [1]

**non-occurrence RQ:** RQ which has been extracted from ETSI TS 102 622 [1], but which indicates a situation which should never occur

NOTE: The consequence is that such RQs cannot be explicitly tested.

**user:** describes any logical or physical entity which controls the test equipment in a way that it is able to trigger activities of the DUT

### 3.2 Symbols

For the purposes of the present document, the symbols given in ETSI TS 102 622 [1] and the following apply:

PIPE0	the static pipe connected to the link management gate of the device under test.
PIPE1	the static pipe connected to the administration gate of the device under test.

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in ETSI TS 102 622 [1] and the following apply:

(U)SIM	(Universal) Subscriber Identity Module
AC	AntiCollision
AFI	Application Family Identifier
AID	Application IDentifier
ATQA	Answer To reQuest of type A
ATQB	Answer To reQuest of type B
ATS	Answer To Select
CLF	ContactLess Front-end
CLT	ContactLess Tunnelling
CRC	Cyclic Redundancy Code
DUT	Device under test
FFS	For further study
HCI	Host Controller Interface
HCUT	Host controller under test
HS	Host simulator

ICRx Initial condition requirement (where x is a number)

NOTE: As used in the applicability table; see clauses 4.2 and 4.5.2.

LEN LENgth  
 NAA Network Access Application  
 PCD Proximity Coupling Device  
 PICC Proximity Integrated Circuit Card  
 PPS Protocol and Parameter Selection  
 RATS Request for Answer To Select  
 REQA REQuest command, type A  
 RF Radio Frequency  
 RO Read-Only  
 RQ Conformance requirement  
 RW Read-Write  
 SAK Select AcKnowledge  
 SDL Specification and Description Language  
 SRx Static requirement (where x is a number)

NOTE: As used in the applicability table; see clauses 4.2 and 4.5.2.

TC Test Case  
 TRx Trigger requirement (where x is a number)  
 UID Unique IDentification  
 WO Write Only  
 WUPB Wake-Up command for PICC type B

NOTE: As used in the applicability table; see clauses 4.2 and 4.5.2.

## 3.4 Void

Content of this clause has been moved to clause 3A.

# 3A Formats

## 3A.1 Format of the table of optional features

The columns in table 4.1 have the following meaning.

Column	Meaning
Option	The optional feature supported or not by the DUT.
Status	See clause 3.4.3.
Support	The support columns shall be filled in by the supplier of the implementation. The following common notations, defined in ISO/IEC 9646-7 [9], are used for the support column in table 4.1. Y or y supported by the implementation. N or n not supported by the implementation. N/A, n/a or - no answer required (allowed only if the status is N/A, directly or after evaluation of a conditional status).
Mnemonic	The mnemonic column contains mnemonic identifiers for each item.

## 3A.2 Format of the applicability table

The applicability of every test in table 4.2 is formally expressed by the use of Boolean expression defined in the following clause.

The columns in table 4.2 have the following meaning.

Column	Meaning
Clause	The "Clause" column identifies the clause containing the test case referenced in the "Test case number and description" column.
Test case number and description	The "Test case number and description" column gives a reference to the test case number (along with the corresponding description) detailed in the present document and required to validate the DUT.
Release	The "Release" column gives the Release applicable and onwards, for the corresponding test case.
Execution requirements	The usage of the "Execution requirements" column is described in clause 4.5.2.
Rel-x Terminal	For a given Release, the corresponding "Rel-x" column lists the tests required for a DUT to be declared compliant to this Release.
Support	The "Support" column is blank in the proforma, and shall be completed by the manufacturer in respect of each particular requirement to indicate the choices, which have been made in the implementation.

## 3A.3 Status and Notations

The "Rel-x" columns show the status of the entries as follows:

The following notations, defined in ISO/IEC 9646-7 [9], are used for the status column:

M	mandatory - the capability is required to be supported.
O	optional - the capability may be supported or not.
N/A	not applicable - in the given context, it is impossible to use the capability.
X	prohibited (excluded) - there is a requirement not to use this capability in the given context.
O.i	qualified optional - for mutually exclusive or selectable options from a set. "i" is an integer which identifies a unique group of related optional items and the logic of their selection which is defined immediately following the table.
Ci	conditional - the requirement on the capability ("M", "O", "X" or "N/A") depends on the support of other optional or conditional items. "i" is an integer identifying a unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF ... THEN (IF ... THEN ... ELSE...) ELSE ..." shall be used to avoid ambiguities.

### References to items

For each possible item answer (answer in the support column) there exists a unique reference, used, for example, in the conditional expressions. It is defined as the table identifier, followed by a solidus character "/", followed by the item number in the table. If there is more than one support column in a table, the columns shall be discriminated by letters (a, b, etc.), respectively.

EXAMPLE: 4.1/4 is the reference to the answer of item 4 in table 4.1.