



**Intellectual Property Rights (IPRs);  
Essential, or potentially Essential, IPRs notified  
to ETSI in respect of ETSI standards**

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ReferenceRSR/BOARD-00021

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Keywords

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IPR

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# Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

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## Foreword

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or Potentially Essential, to ETSI Standards or Technical Specifications.

The present document has been prepared on the basis of information received. ETSI has not checked the validity of the information, nor the relevance of the identified patents/patent applications to the ETSI standards and cannot confirm, or deny, that the patents/patent applications are, in fact, essential, or potentially Essential. No investigation, or IPR searches, have been carried out by ETSI and therefore no guarantee can be given concerning the existence of other IPRs which are, or may become, essential.

It should also be noted that whilst ETSI members are not obliged to conduct IPR searches they are obliged to make reasonable efforts to inform ETSI of any Essential IPRs of which they become aware (see article 4 of the ETSI IPR Policy [i.1]).

The present document will be maintained by the ETSI Secretariat and further editions will be issued as, and when, necessary. Any errors in the information contained in the present document, or any additional information concerning Essential IPRs, of which readers of the present document become aware, should be notified to the ETSI Secretariat (for the attention of the Legal Service).

NOTE: The present document is also available at <http://www.etsi.org/about/iprs-in-etsi/ipr-database> [i.4].

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## Modal verbs terminology

In the present document **"shall"**, **"shall not"**, **"should"**, **"should not"**, **"may"**, **"need not"**, **"will"**, **"will not"**, **"can"** and **"cannot"** are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

**"must"** and **"must not"** are **NOT** allowed in ETSI deliverables except when used in direct citation.

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# 1 Scope

The present document identifies IPRs, particularly patents and patent applications, which have been notified to ETSI as being Essential, or potentially Essential, to ETSI Standards or Technical Specifications.

Unless otherwise specified, all IPRs included into the present document have been notified to ETSI, with an undertaking from the owner to grant licences according to the terms and conditions of article 6.1 of the ETSI IPR Policy [i.1], Annex 6 of the ETSI Rules of Procedure [i.3].

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## 2 References

### 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

Not applicable.

### 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] ETSI IPR Policy.

NOTE: The ETSI IPR Policy can be found at <http://portal.etsi.org/Resources/ETSIDirectives.aspx>.

[i.2] ETSI Guide on IPRs.

NOTE: The ETSI Guide on IPRs is to be found at <http://portal.etsi.org/Resources/ETSIDirectives.aspx>.

[i.3] ETSI Rules of Procedure (RoP).

NOTE: The ETSI Rules of Procedure (RoP) can be found at <http://portal.etsi.org/Resources/ETSIDirectives.aspx>.

[i.4] ETSI IPR database.

NOTE: Notification to ETSI of Essential or potentially Essential IPRs should be made using the combined [information statement and licensing declaration forms](http://www.etsi.org/about/iprs-in-etsi/ipr-database). Additional information can be found at <http://www.etsi.org/about/iprs-in-etsi/ipr-database>.

[i.5] Declare your IPRs to ETSI.

NOTE: A step by step explanation for filling out the above mentioned forms is to be found in the [Guidelines on how to declare an essential IPR and how to make a licensing declaration to ETSI](http://www.etsi.org/about/iprs-in-etsi/ipr-database). Additional information can be found at <http://www.etsi.org/about/iprs-in-etsi/ipr-database>.

## 3 Definitions and abbreviations

### 3.1 Definitions

The terms "ESSENTIAL", "IPR" and "STANDARD" given below are defined in the "Definitions" annex of the ETSI IPR Policy [i.1].

**ESSENTIAL:** as applied to IPR means that it is not possible on technical (but not commercial) grounds, taking into account normal technical practice and the state of the art generally available at the time of standardization, to make, sell, lease, otherwise dispose of, repair, use or operate EQUIPMENT or METHODS which comply with a STANDARD without infringing that IPR.

For the avoidance of doubt in exceptional cases where a STANDARD can only be implemented by technical solutions, all of which are infringements of IPRs, all such IPRs shall be considered ESSENTIAL.

NOTE: In practical terms, the existence of an Essential IPR makes it necessary to have a licence in order to exploit the standard concerned.

**IPR:** shall mean any intellectual property right conferred by statute law including applications therefore other than trademarks.

For the avoidance of doubt rights relating to get up, confidential information, trade secrets or the like are excluded from the definition of IPR.

**STANDARD:** shall mean any standard adopted by ETSI including options therein or amended versions and shall include European Standards (ENs), ETSI standards (ESS), Common Technical Regulations (CTRs) which are taken from ENs and including drafts of any of the foregoing, and documents made under the previous nomenclature, including ETSS, I-ETSS, parts of NETs and TBRs, the technical specifications of which are available to all MEMBERS, but not including any standards, or parts thereof, not made by ETSI.

The date on which a STANDARD is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical specification of that STANDARD was available to all MEMBERS.

**TECHNICAL SPECIFICATION:** shall mean any Technical Specification (TS) adopted by ETSI including options therein or amended version including drafts, the Technical Specifications of which are available to all MEMBERS, but not including any technical specifications, or parts thereof, not made by ETSI.

The date on which a TECHNICAL SPECIFICATION is considered to be adopted by ETSI for the purposes of this POLICY shall be the date on which the technical content of that TECHNICAL SPECIFICATION was available to all MEMBERS.

The following definitions also apply:

**notified:** means any IPR information of which ETSI has been formally notified by the owner of the IPR or, any IPR information of which ETSI has become aware, pursuant to the IPR Policy

**IPR licensing declaration:** this is a declaration to the effect that the IPR owner declares that he is prepared to grant licences on fair, reasonable and non-discriminatory terms, in accordance with article 6.1 and comply with clause 6.1 bis of the ETSI IPR Policy

**3GPP standards:** all standards issued by ETSI in relation to 3<sup>rd</sup> Generation Partnership Project (3GPP)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "3GPP" tab.

**BRAN standards:** all standards issued by ETSI Project Broadband Radio Access Networks (BRAN)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "BRAN" tab.

**DAB standards:** all standards issued by ETSI in relation to Digital Audio Broadcasting (DAB)

NOTE: See <http://www.etsi.org/index.php/technologies-clusters/technologies/broadcast/dab>.

**DCS 1800 standards:** all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication - DCS 1800 extensions

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "3GPP" tab.

**DECT/GSM interworking standards:** all standards issued by ETSI in relation to interworking between DECT and GSM

**DECT standards:** all standards issued by ETSI in relation to Digital Enhanced Cordless Telephone (DECT), previously known as Digital Enhanced Cordless Telephone (DECT)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "DECT" tab.

**DTM standards:** all standards issued by ETSI in relation to Dynamic synchronous Transfer Mode (DTM)

NOTE: SPAN Technical Body has closed. See [http://portal.etsi.org/tb/closed\\_tb/span.asp](http://portal.etsi.org/tb/closed_tb/span.asp).  
All SPAN standards can now be found under TISPAN.

**DVB standards:** all standards issued by ETSI in relation to Digital Video Broadcasting (DVB)

NOTE: See <http://www.etsi.org/index.php/technologies-clusters/technologies/broadcast/DVB>.

**ERMES standards:** all standards issued by ETSI in relation to European Radio Messaging System (ERMES)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "ERM" tab.

**GPRS standards:** all standards issued by ETSI in relation to General Packet Radio Service (GPRS)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "3GPP" tab.

**GSM standards:** all standards issued by ETSI in relation to European Cellular Digital - Global System for Mobile Communication (GSM)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "3GPP" tab.

**HDSL standards:** all standards issued by ETSI in relation to High bit rate Digital Subscriber Line (HDSL)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "TM" then "TM 06" tab.

**HIPERLAN standards:** all standards issued by ETSI in relation to High Performance Radio Local Area Network (HIPERLAN)

**ISDN standards:** all standards issued by ETSI in relation to Integrated Services Digital Network (ISDN)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "SPAN" tab.

**Lawful Interception (LI) standards:** all standards issued by ETSI in relation to Lawful Interception (LI)

NOTE: See <http://portal.etsi.org/TBSiteMap/LI/Summary.aspx>.

**PSTN standards:** all standards issued by ETSI in relation to Public Switched Telephone Network (PSTN)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "AT" tab.

**SDSL standards:** all standards issued by ETSI in relation to Symmetrical Digital Subscriber Line (SDSL)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "TM" then "TM 06" tab.

**STAG standards:** all standards issued by ETSI in relation to Security Techniques Advisory Group (STAG)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "SEC" tab.

**Television systems standards:** all standards issued by ETSI in relation to TV broadcasting systems

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "BROADCAST" tab.



**TETRA standards:** all standards issued by ETSI in relation to Terrestrial Trunked Radio (TETRA), previously known as Trans-European Trunked Radio (TETRA)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "TETRA" tab.

**TFTS standards:** all standards issued by ETSI in relation to Terrestrial Flight Telecommunication System (TFTS)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "ERM" tab.

**TIPHON standards:** all standards issued by ETSI in relation to Telecommunications And Internet Protocol Harmonization Over Networks (TIPHON)

NOTE: TIPHON Technical Body has closed. See [http://portal.etsi.org/tb/closed\\_tb/tiphon.asp](http://portal.etsi.org/tb/closed_tb/tiphon.asp).  
All TIPHON standards can now be found under TISPAN.

**UMTS standards:** all standards issued by ETSI in relation to Universal Mobile Telecommunications System (UMTS)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "3GPP" tab.

**VDSL standards:** all standards issued by ETSI in relation to Very high speed Digital Subscriber Line (VDSL)

NOTE: From <http://portal.etsi.org/home.aspx>, click on the "TM" then "TM 06" tab.

## 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AF	AFGHANISTAN
OA	AFRICAN INTELLECTUAL PROPERTY ORGANIZATION
AP	AFRICAN REGIONAL INDUSTRIAL PROPERTY ORGANIZATION
AL	ALBANIA
DZ	ALGERIA
AS	AMERICAN SAMOA
AD	ANDORRA
AO	ANGOLA
AG	ANTIGUA AND BARBUDA
AR	ARGENTINA
AM	ARMENIA
AW	ARUBA
AU	AUSTRALIA
AT	AUSTRIA
AZ	AZERBAIJAN
BS	BAHAMAS
BH	BAHRAIN
BD	BANGLADESH
BB	BARBADOS
BY	BELARUS
BE	BELGIUM
BZ	BELIZE
BJ	BENIN
BM	BERMUDA
BT	BHUTAN
BO	BOLIVIA
BA	BOSNIA AND HERZEGOVINA
BW	BOTSWANA
BR	BRAZIL
BN	BRUNEI DARUSSALAM
BG	BULGARIA
BF	BURKINA FASO
BI	BURUNDI
KH	CAMBODIA
CM	CAMEROON
CA	CANADA
CV	CAPE VERDE



CF	CENTRAL AFRICAN REPUBLIC
TD	CHAD
CL	CHILE
CN	CHINA
CO	COLOMBIA
KM	COMOROS
CG	CONGO
GC	COOPERATION COUNCIL FOR ARAB STATES OF THE GULF
CR	COSTA RICA
CI	CÔTE D'IVOIRE
HR	CROATIA
CU	CUBA
CY	CYPRUS
CZ	CZECH REPUBLIC
DK	DENMARK
DJ	DJIBOUTI
DM	DOMINICA
DO	DOMINICAN REPUBLIC
EC	ECUADOR
EG	EGYPT
SV	EL SALVADOR
GQ	EQUATORIAL GUINEA
ER	ERITREA
EE	ESTONIA
ET	ETHIOPIA
EA	EURASIAN PATENT OFFICE
EP	EUROPEAN PATENT OFFICE
FO	FAROE ISLANDS
FJ	FIJI
FI	FINLAND
FR	FRANCE
MK	FYROM
GA	GABON
GM	GAMBIA
GE	GEORGIA
DE	GERMANY
GH	GHANA
GI	GIBRALTAR
GR	GREECE
GL	GREENLAND
GD	GRENADA
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GU	GUAM
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